

plug'n'crypt

Overview V1.0"



© Copyright 2007 by charismathics gmbh, 47 sendlinger st, munich, germany 80331

 $\ensuremath{\mathbb{C}}$ 2007 charismathics gmbh. All rights reserved

The names of the other products mentioned are trademarks of their respective owners.



This hardware key is in compliance with the following test specification: CEI EN 61000-4-2; CEI EN 61000-4-3; CISPR22 as required by :

CEI EN 61000-6-1, CEI EN 61000-6-2, CEI EN 61000-6-3, CEI EN 61000-6-4

which are specified for the following test:

"ESD Immunity test"

"Radiated radio-frequency and electromagnetic field immunity test"

• "Radiated Emission Verification"

In compliance with the "Essential Requisites" for the EMC Directive 89/336/EEC.



FCC ID: U5Y-AAB Charismathics GmbH plug ´n ´crypt Supply: 5V DC Absorption: 250 mA

This device complies with Part 15 of the FCC Rules. Operation is subject to the following two conditions: (1) this device may not cause harmful interference, and (2) this device must accept any interference received, including interference that may cause undesired operation.

NOTE: This equipment has been tested and found to comply with the limits for a Class B digital device, pursuant to Part 15 of the FCC Rules. These limits are designed to provide reasonable protection against harmful interference in a residential installation. This equipment generates, uses and can radiate radio frequency energy and, if not installed and used in accordance with the instructions, may cause harmful interference to radio communications. However, there is no guarantee that interference will not occur in a particular installation. If this equipment does cause harmful interference to radio or television reception, which can be determined by turning the equipment off and on, the user is encouraged to try to correct the interference by one or more of the following measures:

- Reorient or relocate the receiving antenna.

- Increase the separation between the equipment and receiver.
- Connect the equipment into an outlet on a circuit different from that to which the receiver is connected.
- Consult the dealer or an experienced radio/TV technician for help.

Caution: changes or modifications not expressly approved by the party responsible for compliance could void the user's authority to operate the equipment.

Contents

1.	Preface	. 5
2.	About this Manual	. 7
3.	Installation	. 8
3.′	1. Installation Requirements	. 8
3.2	2. Supported Smart Cards	. 9
3.3	3. Tested Card Readers	10
4.	Administration Tool: Charismathics Smart Security Interface Manager	11
4.1	1. User Interface	11
	Tab "Manager"	13
	Tab "Edit"	14
	Tab "Token"	14
	Tab "Key Pair"	15
	Tab "Certificate"	15
	Tab "Info"	16
4.2	2. Changing PINs	17
4.3	3. Unlocking Smart Cards	18
4.4	4. Generating and Importing Keys	19
	Generation of a Key Pair	19
	Importing a Key Pair	20
	Generation of a Secret Key	20
	Importing a Secret Key	21
4.5	5. Generation and Import of Certificates	22
	Generating self signed Certificates and Certificate Requests	22
	Import of Certificates	24
4.6	6. Creating Profiles	25
	in the case of a smart card with profile	25
	in the case of an empty smart card	26
4.7	7. Preparing a Smart Card (Initialization and Personalization)	27
4.8	8. Further Functions	27
	Directory "Certificates"	27
	Directory "Data"	28
	Function "Open Token"	30
	Function "Delete all" and "Delete Object"	30
	Function "Set Default Container"	30
	Function "Show Certificate"	31
	Function "Export Certificate"	31

	Function "Register Certificate"	31	
	Function "Check Private Key"	31	
	Function "Check Secret Key"	33	
5. Use	er Tool: Charismathics Smart Security Interface Utility		
5.1.	Changing PINs		
5.2.	Smart card Registration		
6. Reg	gister Tool	35	
6.1.	Start CSSI Manager and Start CSSI Utility	35	
6.2.	Pause / Continue	36	
6.3.	Settings	36	
6.4.	About	37	
6.5.	Exit37		
7. CS	P of Charismathics Smart Security Interface	38	
7.1.	General Proceedings	38	
7.2.	Smart Card Login to a Windows 2000 Domain	39	
7.3.	SSL- Authentication with Smart Card over the Internet Explorer	39	
7.4.	Outlook Express with Electronic Signature and Encryption via Smart Card	40	
7.5.	Windows VPN-Login with Smart Card	40	
8. PK	CS#11-Module of Charismathics Smart Security Interface	41	
8.1.	General Methodology	41	
8.2.	Smart Card Login to a Novell eDirectory (formerly NDS)	42	
8.3.	SSL- Authentication with Smart Card over Netscape	43	
8.4.	Email-Security by Smart Cards with Netscape's Messenger	44	
9. PK	CS#11 module register	45	
10. Ref	erences	46	
11. Info	ormation / Export Restrictions	47	
Annendi	x A: Reference for Developers	48	
Functio	ons according to PKCS#11-Standard	48	
Synon	sis of specific functions	51	
Cynop	C. Finalize	51	
	C GetSlotList		
	C GetTokenInfo		
	C Initialize		
C. OpenSession			
	C_WaitForSlotEvent		
Object	S	53	

Mechanisr	η	. 55
	Sign (RSA):	. 55
	Verify (RSA):	. 55
	Encrypt (RSA):	57
	Decrypt (RSA):	57
	Digest (Hashfunctions SHA1, MD2, MD5):	57
Appendix B:	: Log Information	. 58
	Logger (win):	. 58
Appendix C	: Certificate Attributes (Key Usage)	. 59

1. Preface

Congratulations on your purchase of Charismathics Smart Security Interface!

Charismathics Smart Security Interface provides modules that you need to integrate CardOS M4.01(a), V4.2(b), V4.3(b), JCOP20/30, GemXpresso or ACOS EMV A03 smart cards in to your applications. Beginning with functions for the administration of the card, up to modules supporting the operating system to use the smart card. The following file structures (profiles) are supported:

- Charismathics corporate profile
- PKCS#15 profile
- Carta Nazionale dei Servizi (CNS) profile

Charismathics Smart Security Interface comprises five modules:

- the administration tool Charismathics Smart Security Interface Manager
- the user tool Charismathics Smart Security Interface Utility
- the Register Tool for the automatic registration of the certificates
- the CSP
- the PKCS#11-module

With the user tool Charismathics Smart Security Interface Utility you can change your user PIN and register your smart card. You can manage your keys and certificates of the smart card with the administration tool Charismathics Smart Security Interface Manager. You can generate, import or export keys and certificates on a CardOS smart card. Furthermore, you can display information about the contents of a CardOS smart card, change the PIN of the smart card, unlock the smart card and create new profiles.

Charismathics Smart Security Interface-CSP enables you to enhance applications and services in a Microsoft environment and their use with a CardOS smart card.

Charismathics Smart Security Interface-PKCS#11 enables you to use additional applications and services, that use this standard. PKCS#11-Modules are in use e.g. by Netscape and in Novell environments.

Especially you can augment the following applications by smart card applications:

- smart card login to Windows Domains or Novell eDirectory
- SSL- Authentication by smart card (Internet Explorer, Netscape, ...)
- email security with cards (PGP, Netscape Messenger, Outlook, Outlook Express, ...)

- VPN with smart cards (Microsoft, Cisco, ...)

This manual is meant for system administrators and users, that are entrusted with these tasks. Application developers, who develop their own applications that access modules of **Charis-mathics Smart Security Interface**, e.g. PKCS#11, will find additional information in Appendix A.

2. About this Manual

This manual begins with a description how to install Charismathics Smart Security Interface.

If you have acquired **Charismathics Smart Security Interface** in the admin edition, you will find a description of the administration tool. It contains: how to manage keys and certificates, changing PINs, unlocking, initializing and personalizing smart cards.

If you have acquired **Charismathics Smart Security Interface** in the user edition, you will find a description of the user tool. It contains: how to change PINs and register your smart card.

Furthermore, you will find more precise information regarding the Register Tool, CSP and PKSC#11 and which applications can be employed with smart cards. A reference part consolidates your knowledge. Application developers can find further information in appendix A how to access modules (e.g. accessing PKCS#11) of **Charismathics Smart Security Interface**, if they intent to develop a proprietary application. Appendix B is a concise description of the certificate attributes, i.e. information about key employment.

Admittedly, there is no explanation how to configure environments of Microsoft or other producers. In these cases, please consult the documentation of the corresponding producer.

NOTE: To understand this manual you need basic knowledge in IT-security. Especially, you should be familiar with the following notions: certificate, private, public, and secret key, digital signature, PKI, etc. If you want to consolidate your knowledge in IT-security and cryptography, there are informations in the service area of the charismathics homepage: <u>http://www.charismathics.com/</u>

3. Installation

Before you can install **Charismathics Smart Security Interface** the card reader you purchased must be installed according to the producer's guidelines and be fully operative. The installation of **Charismathics Smart Security Interface** is run from the program CD. Please execute the file SETUP.EXE as a user with administrator rights. Follow the installation instructions.

3.1. Installation Requirements

If not explicitly required otherwise in the following:

Microsoft Windows NT 4.0 with Service Pack 6a or Windows 2000 with Service Pack 4 or Windows XP with Service Pack 2 or Windows Server 2003 or Windows Vista

Note: During the installation the CSP Module is registered automatically in the Windows operating system. If there is a Netscape/Firefox version on your computer, there will be the possibility to register the PKCS#11 Module in the Netscape Navigator over the file "InstallNet-scapePKCS11.html". With the help of the file "UninstallNetscapePKCS11.html" this procedure can be cancelled each time.

Further the following application are supported:

- Smart card login to a Windows 2000 or 2003-Domain:
 ADS, Enterprise CA, Windows 2000 or 2003 Server and as Client: Windows 2000
 Professional or Windows XP Professional
- SSL- Authentication with smart card using Internet Explorer: Microsoft Internet Explorer 5.0, 5.5 or 6.0, High Encryption Pack, SSL V3 with Strong User Authentication
- Outlook with digital signature and encryption via smart card: Outlook Express 5.0, 5.5 or 6.0 resp. Outlook 2000
- Lotus Notes with digital signature and encryption via smart card: Lotus Notes 6.5 or higher

- Windows VPN-Login with smart card:
 Windows 2000 Server and as Client: Windows 2000 Professional or
 Windows 2003 Server and as Client: Windows 2000 or XP
- Smart card login to Novell eDirectory: Netware 5.1 SP3, eDirectory 8.6.1, Novell Client 4.83 SP1, NMAS EE 2.0 (with the included Universal Smartcard Login Method) with NICI 1.5.7 (Server and Client), NMAS 2.1 (with the included Universal Smartcard Login Method) with NICI 2.4.1 (Server and Client) or higher in each case
- Smart card login to Lotus Notes: Lotus Notes 6.5 or higher
- SSL- Authentication with smart card with Netscape: Netscape Navigator 4.72 (High Encryption), 4.73, 4.76, 6.x
- Email-Security via smart cards with the Netscape Messenger: Netscape Messenger 4.72 (High Encryption), 4.73, 4.76, 7.x
- E-Mail-Security via PGP support (PKCS#11): PGP Personal Desktop 8.1 for Windows
- Compatibility/Smart card administration of the Baltimore-PKI (PKCS#11): Token Manager für Betrusted Unicert V5.2 for Windows
- Compatibility/Smart card administration of the Entrust-PKI (PKCS#11): Security Manager Administration 7.0
- The products serving as examples do not need any further client requirements; please observe in case of other products the corresponding manuals.

3.2. Supported Smart Cards

Charismathics Smart Security Interface supports the following smart cards:

- CardOS M4.01
- CardOS M4.01a
- CardOS V4.2



- CardOS 4.2b
- CardOS V4.3
- CardOS V4.3b
- Aladdin eToken
- ACOS EMV A03
- JCOP 20
- JCOP 30
- GemXpresso Pro R3.2
- NetKey PKS/2000/E4

3.3. Tested Card Readers

Please observe, that your card reader has been installed according to the producer's specifications and is operating. **Charismathics Smart Security Interface** has been tested with the following card readers:

- SCM SCR241 PCMCIA
- SCM SCR331 USB
- SCM SCR333
- SCM SCR335 USB
- SCM SCR532 seriell/USB
- Omnikey Cardman 1010 seriell
- Omnikey Cardman 2011 seriell
- Omnikey Cardman 2020 USB
- Omnikey Cardman 3121 USB
- Omnikey Cardman 3620 USB
- ACS38 USB
- ORGA Card Mouse USB

Note: All these card readers are PC/SC-readers, since only PC/SC-drivers are supported. Observe, there is no support for CT-API-drivers.

4. Administration Tool: Charismathics Smart Security Interface Manager

If you acquired **Charismathics Smart Security Interface** in the Admin edition, with this tool functions relevant for you are available: like changing your PINs, unlocking smart card, generating profiles, keys and certificates and so on. These functions are now described.

4.1. User Interface

After opening the administration tool of **Charismathics Smart Security Interface** you will see the following interface:

🕕 Charismathics Smart Security Interface Manager		
Manager Edit Token Key Pair Certificate Info		
⊡… <u> </u> Slots	Parameter	Value
🕀 🍋 Charismathics Plug'n'Crypt Identity Token 0	ATR	3bf4180002c10a31fe5856346376c5
🖶 🍥 Eutron Cryptol dentity 0	Chip Operating System	Siemens CardOS V4.3B
🖶 🍥 Eutron Cryptol dentity 1		
📄 🍥 FT SCR2000A 0		
🗓 🍥 FT SCR2000A 1		
🗄 🍥 SCM Microsystems Inc. SCR33x USB Smart Card F		
		<u>*</u>

After you insert a smart card into the card reader, you can after one click on the smart card reader select the function "Open Token" with a click on the right mouse button. Alternatively you have the possibility to select this function under the menu "Manager".

Manager Edit Token Key Pair Certificate Info	ameter) Operating System al	Value 3bf41800ffc10a31fe5556346376 Siemens CardOS V4.2
Slots Charismathics plug'n'crypt identity token 0 Chin Chin Chin Chin Chin Chin Chin Chin	ameter) Operating System al	Value 3bf41800ffc10a31fe5556346376 Siemens CardOS V4.2
Eutron Cryptoldentity 0 Eutron Cryptoldentity 0 Eutron Cryptoldentity 1 OMNIKEY CardMan 3x21 0 test Certificates Data Secret Keys Container: {A1C57769-7C19-40FE-9D52-8195 Container: {A1C57769-7C19-40FE-9D52-8195 Container: {A1C57769-7C19-40FE-9D52-8195 Container: {A1C57769-7C19-40FE-9D52-8195 SA public key RSA public key SA private key OMNIKEY CardMan 4000 0 TouchChip Combo 0	, ile al Number imum PIN Length mum PIN Length a Card Memory (in Bytes)	test corporate profile 456 10 4 26105

First you will receive the public information, e.g. name of the smart card, the profile and the free card memory. In this case, it is a CardOS V4.2 smart card with the corporate profile. Furthermore, certificates, public keys, container and data are displayed.

To display sensitive data of the smart card, you must login on the card. For this, select the item "Login" in the menu "Token":



Now you are asked to insert your User PIN:

Login	×
Please enter User PIN	
*****	1
OK Cancel	

Here **Charismathics Smart Security Interface** offers you the possibility to register your certificates in the certificate store of Windows. Thus you can spare the later import of certificates into the operating system. The registration happens by a dialogue; for each certificate you will be asked, whether the registration of this certificate is desired.

Confirm	Ν		×
Do you wish to register the certifica cn=Thomas Mustermann, c=de			tificate? e
	<u>]</u> a	<u>N</u> ein	

Next other data, Private Keys and Secret Keys show up on the interface:

📴 Charismathics Smart Security Interface Manager 📃 🗌 🗶				
Manager Edit Token Key Pair Certificate Info				
Manager Edit Token Key Pair Certificate Info	Parameter ATR Chip Operating System Label Serial Number Maximum PIN Length Minimum PIN Length Free Card Memory (in Bytes)	Value 3bf4180002c10a31fe5856346376 Siemens CardOS V4.3B CardOS V4.3B corporate profile new token 10 4 25738		
⊕->>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>				
E SCM Microsystems Inc. SCR33x USB Smart Card F	•	Þ		

One can generate several keys with the corresponding certificates on the card. They will merged in containers. The functions available for you will be described in the following sections in more detail.

Tab "Manager"

🗜 Charismathics Smart Security Interface Manager 📃 📃 🗙				
Manager Edit Token Key Pair Certificate Info				
Open Token	Parameter	Value		
Create Td& Profile ug'n'Crypt Identity Token 0 CardOs	ATR Chip Operating System Label Serial Number	3bf4180002c10a31fe5856346376 Siemens CardOS V4.3B CardOS V4.3B corporate profile		
Secret Keys ⊡⁄∰ Container: 4417bbc6-ec1f-4445-aece-bfd53df(∰ cn=Thomas Mustermann, c=de % RSA public key	Maximum PIN Length Minimum PIN Length Free Card Memory (in Bytes)	10 4 25738		
Eutron Cryptoldentity 0 Eutron Cryptoldentity 1 FT SCR2000A 0				
SCM Microsystems Inc. SCR33x USB Smart Card F	•	<u> </u>		

By this tab you access general functions for operating this tool.

Tab "Edit"

🔁 Charismathics Smart Security Interface Manager			
Manager Edi Token Key Pair Certificate Info			
🖃 🗐 SI Set Default Container	Parameter Value		
📄 🥁 Delete Container 🛛 entity Token 0 C	ard0{		
Delete all			
- 🙀 Secret Keys			
🖨 🗐 💼 📠 Container: 4417bbc6-ec1f-4445-aece-bfd	5380		
🔛 cn=Thomas Mustermann, c=de			
🚽 🔍 RSA public key			
📕 🦠 RSA private key			
📗 👜 🍑 Eutron Cryptol dentity 0			
📗 🗄 🍅 Eutron Cryptol dentity 1			
🗄 🍅 FT SCR2000A 0			
🗄 💩 FT SCR2000A 1			
📕 🗍 🍈 SCM Microsystems Inc. SCR33x USB Smart C	ard F		
		Þ	

By this tab you can call specific functions the smart card provides.

Tab "Token"

🕕 Charismathics Smart Security Interface Manager					×
Manager Edit	Toke Key Pair Certi	ficate Info			
⊡… <u> </u> Slots	Login		Parameter	Value	
📔 🚊 🍋 Chai	Logout	tity Token 0 CardO{			
	Change User PIN				
	Change SO PIN				
	Unlock User PIN	4445-aece-bfd53df0			
	📓 cn=Thomas Musterma	nn, c=de			
	NRSA public key				
	S RSA private key				
Eutr	on Cryptol dentity U		1		
🕀 🍥 Eutron Cryptoldentity 1					
📗 🕀 🂬 FT S	CR2000A 0				
📗 🕀 🍑 FT S	CR2000A 1				
📗 🗄 🍑 SCM	Microsystems Inc. SCR3	3x USB Smart Card F	1		
		► F	•		۶I

By this tab you can use the functions regarding the token itself in this case the CardOS smart card.

Tab "Key Pair"

🔁 Charismathics Smart Security Interface Manager			
Manager Edit Token Key Pair Certificate Info			
⊡	Parameter	Value	
🔄 🍋 🍋 Charismathic: Import Key Pair from PFX-File			
🚽 Certificates			
📕 🔤 Data			
- 🦗 Secret Keys			
Container: 4417bbc6-ec1f-4445-aece-bfd53df0			
🔛 cn=Thomas Mustermann, c=de			
HSA private key			
Eutron Cryptol dentity U			
Eutron Cryptoldentity I			
E SCM Microsustems Inc. SCP22u LISP Smort Card E			
SCM Microsystems Inc. SCH 33X 03B Siliait Calur	•		

This tab provides functions regarding the key pair on the CardOS smart card.

Tab "Certificate"

🕕 Charismathics Smart Secur	ity Interface Manager		
Manager Edit Token Key Pair	Certifiqate Info		
⊡~- <u>III</u> Slots	Import Certificate	er	Value
📗 🚊 🍋 Charismathics Plug'n'Cr	, Show Certificate		Self signed Certificate
👘 Certificates	Export Certificate		4417bbc6-ec1f-4445-aece-bfd53df0dee8
📕 🔤 Data	Register Certificate		cn=Thomas Mustermann, c=de
🖌 🦳 🦗 Secret Keys	Create Certificate Request	hav	cn=Thomas Mustermann, c=de
📄 🔅 🚊 Container: 4417bbc	Create self signed Certificate	liber	5000238410770058319014934113111
📟 cn=Thomas Mu	Istermann, c=ue		
RSA public key	·		
🛛 🖳 🦠 RSA private ke	У		
📗 🕀 🌑 Eutron Cryptol dentity 0			
Eutron Cryptoldentity 1			
🗐 🕀 🍋 FT SCR2000A 0			
📗 🕀 🍋 FT SCR2000A 1			
📗 🗄 🧼 SCM Microsystems Inc.	SCR33x USB Smart Card F		
			Þ

This tab provides access to functions, that you need to work with certificates on the CardOS smart card.



Tab "Info"

🕕 Charismathics Smart Security Interface	e Manager		
Manager Edit Token Key Pair Certificate	Infe		
⊡ 💭 Slots	olini	eter	Value
📄 🔄 🍋 Charismathics Plug'n'Crypt Identity To	PKCS#11 Info		Self signed Certificate
🚽 🔤 Certificates	CSP Info		4417bbc6-ec1f-4445-aece-bfd53df0dee8
🚽 🖂 🕒 Data	Manual		cn=Thomas Mustermann, c=de
🖌 🦗 Secret Keys	Sabje	et Number	cn=1homas Mustermann, c=de
📄 👘 📠 Container: 4417bbc6-ec1f-4445-a	ece-bfd53df(Number	2000238410770026319014934113111
cn=Thomas Mustermann, c=	ie i		
🚽 🦄 RSA public key			
SA private key			
Eutron Cryptol dentity 0			
Eutron Cryptol dentity 1			
FT SCR2000A 0			
E SUM Microsystems Inc. SUR33x USB	Smart Card F		
	브 브		

By clicking the tab "Info" you obtain information about the version of the modules of **Charismathics Smart Security Interface** and about the producer charismathics gmbh as well as an online link to this manual.

4.2. Changing PINs

There are 3 PINs on a CardOS smart card: the User PIN, the SO PIN (PIN of the system operator, i.e. system administrator) and the Card-PIN. There are different functions to use with these 3 PINs:

The **User PIN** must be entered, if one wants to write on the card (e.g. key generation, storing a certificate), delete objects or when the cryptographic functions (e.g. signing or decryption) are used. The minimal length of the User PIN is four characters and the maximal length is ten characters. The Default-PIN is "11111111" (these are 8 ones).

IMPORTANT: After three wrong inputs the User PIN will be locked.

A locked User PIN can be unlocked by the **SO PIN** sometimes called PUK. The length of the SO PIN is fixed to ten characters. The Default-PIN is "1111111111" (these are 10 ones).

The SO PIN will used solely for unlocking the User PIN. There are no functions like Create or Delete.

IMPORTANT: After ten wrong inputs the SO PIN will be locked.

With the **Card-PIN** one can delete an existing profile on a card by setting up a new profile. The Card-PIN will be determined during the initialization and cannot be changed afterwards. The length of the Card-PIN is ten characters.

IMPORTANT: After ten wrong inputs the PIN is locked and the card cannot be deleted anymore. I.e. if the Card-PIN, the SO PIN and the User PIN are locked, the card is useless.

You find all functions to change User and SO PIN in the menu "Token", as shown in the following figure:



4.3. Unlocking Smart Cards

As a security measure a smart card will locked, if a user enters three times a wrong PIN. This provides security, because an unauthorized person can check all possible PINs by trial and error, if you lost your smart card or it has been stolen.

But it might happen, that you as legitimate owner of the smart card have entered three times the wrong PIN. In this case the smart card will be locked too. Therefore, you can unlock the smart card with **Charismathics Smart Security Interface**, if you know the SO PIN.

You need the SO PIN to unlock a User PIN. You find the function "Unlock User PIN" in the menu "Token", as shown in the following figure:

🕕 Charismath	ics Smart Securi	it <mark>y</mark> Interfac	e Manager		
Manager Edit	Token Key Pair	Certificate	Info		
⊡… <u> </u> Slots	Login			Parameter	Value
🖻 🍋 Cha	Logout	tity To	oken 0 CardO\$	ATR Chin Consulting Surface	3bf4180002c10a31fe5856346376
	Change User P	IN		Label	CardOS V4.3B CardOS V4.3B corporate profile
	Change SO PIN	J		Serial Number	new token
⊟…@	Unlock User 1	4445-	aece-bfd53df(Maximum PIN Length Minimum PIN Length	10 4
	🔛 on=Thomas Mŭ 🖏 BSA public kau	istermann, c=	de	Free Card Memory (in Bytes)	25738
	💊 RSA private key	Ŷ			
📄 💮 Eutr	on Cryptol dentity 0				
🗄 🍑 Eutr	on Cryptol dentity 1				
III ⊞ 🛞 EI S	SCR2000A 0				
I ⊞ 💬 FT S	SCR2000A 1				
E-♦ SCM	1 Microsystems Inc.	SCH33x USE	Smart Card F		

4.4. Generating and Importing Keys

To use the smart card for digital signatures or encryption, you need a key pair, which is composed of a private and a public key. The private key must be stored very secretly and the public key must be accessible to communication partners by a certificate. These keys and certificates can be generated and managed by the administration tool.

In principle there are several possibilities:

- 1. You can generate keys (key pairs comprising private and public keys and secret keys) with the administration tool of **Charismathics Smart Security Interface**.
- You already own a key and/or key pair. Then, you can import the key pair if necessary together with certificate as a PFX-file. You can store Secret Keys by importing them e.g. with "Copy and Paste".

If you want to use this function, you must first login to the smart card: Confirm the menu "Token"->"Login".

🜐 Charismathics Smart Security Int	erface Manager	
Manager Edit Token Key Pair Certif	icate Info	
Slots Slots Change User PIN Change SO PIN Unlock User PIN Change SO PIN Unlock User PIN Scarthomas Musterma Scarthomas Musterma Eutron CryptoIdentity 0 Eutron CryptoIdentity 1 FT SCR2000A 0 FT SCR2000A 1 SCM Microsystems Inc. SCR33	tity Token 0 Card09 Parameter ATR Chip Operating System Label Serial Number 4445-aece-bfd53dft Maximum PIN Length nn, c=de Free Card Memory (in Byte 3x USB Smart Card F Image: Card F	Value 3bf4180002c10a31fe5856346376 Siemens CardOS V4.3B CardOS V4.3B corporate profile new token 10 4 25738
•		Þ

You can find all the functions to generate and import keys in the menu "Key Pair" and to import certificates in the menu "Certificates",.

Generation of a Key Pair

The generation of a key pair (private and public key) takes place in the menu "Key Pair" over the item "Generate Key Pair". Then, you see these keys in the administration tool in the corresponding container under "public key" and under "private key".

If you have a CardOS M4.01, M4.01a or V4.20 smart card they are RSA keys with 512 or 1024 bit. If you have a CardOS V4.30 smart card you can additionally generate RSA-keys with 1536 or 2048 bit. To generate RSA keys with 2048 bit on a CardOS V4.20 smart card you need a package. There exists also aprofile with ECC support for CardOS M4.01a, which supports curves up to 256 bit length.

Importing a Key Pair

If you already own a key pair, that you intend to employ, you can import it in the menu "Key Pair" over the item "Import Key Pair from PFX-File". Thereby you have to enter your password on request.

Remark: The key must be an RSA-key as pfx- or p12-file.

Generation of a Secret Key

To generate a secret key for encryption highlight "Secret Keys" and select the item "Generate Secret Key" in the menu "Edit".



Here, you can generate a Triple-DES-key with 192 bits, a Triple-DES-key with 128 bits or a DES-key with 64 bits.

Generate S	ecret Key	Concepto E	acret Kou			
Ciphor		Generate 5	ellerkey	Generate S	ecret Key	×
ciphei.		Cipher:	Triple-DES 💌	Cipher:	DES	
Key Size:	192 💌 bits	Key Size:	128 💌 bits	Key Size:	64 💌 bits	
	Generate Car		Generate Cano		Generate Cancel	

Note: Recommended are algorithms with at least 128 bits (Triple-DES). According to present day standard, lesser key lengths can not be considered as secure any more.

Importing a Secret Key

If you own a secret key, that you want to use, you can import it in the menu "Edit" over the menu item "Store Secret Key". The Secret Key must be specified hexadecimal and be in case of Triple-DES 192 or 128 bits or in the case of DES 64 bits long. Importing takes place by inserting the bits into the field "Secret Key (hexadecimal)", e.g. copy and paste.

Store Secr	et Key	×
Cipher:	Triple-DES Triple-DES	
Secret Ke	y (hexadecimal):	
	OK Cancel	

4.5. Generation and Import of Certificates

In order to use the smart card for digital signatures or encryption you need a key pair comprising a private key and a public key. The public key should be accessible to communication partners by a certificate. These certificates can be generated and managed by the administration tool.

In principle there are several possibilities:

- 1. You can sign the certificate corresponding to a public key by yourself or make a certificate request, such that another instance e.g. a trust center will authenticate the public key.
- 2. You already have a key and/or certificates. Then, you can import certificates, if needed together with the corresponding key.

Generating self signed Certificates and Certificate Requests

You can generate the certificate belonging to a public key by signing it yourself or make a certificate request, such that another instance e.g. a trust center authenticates the public key. To this end you highlight the Private Key and confirm in the menu "Edit" the item "Create Certificate Request" to generate a certificate request and the item "Create Self Signed Certificate" to sign the certificate by yourself.



In order to generate the certificate, resp. request you enter the data into the corresponding fields. In case of a certificate request you create a file to send it to the authority, that should sign the certificate (e.g. trust center). Therefore you store the request as a p10-file in a directory and attach it to the email, that you send to the corresponding authority intended to sign the certificate. You have to observe the specifications of the issuing authority (e.g. trust center).

If the certificate of the issuer has been returned, you have to import the certificate over the menu item "Import Certificate".



Note: There is an explanation of the certificate attributes and how to employ the keys in the appendix B of this manual.

Import of Certificates

In case you already own certificates, that you intent to employ, you can import them over the menu "Certificate" over the item "Import Certificate". Certificates, which belong to key pairs, are directly assigned to the associated "container" after the import. Certificates without keys - as for example CA certificates - are assigned to the file "Certificates".

🕕 Charismathics Smart Secur			
Manager Edit Token Key Pair	Certificate Info		
⊡… <u> </u> Slots	Import Certificate	ameter	Value
📋 🚊 🍋 Charismathics Plug'n'Cr	, Show Certifidaçe	el	26162449-91cc-4826-a43a-65188c4759b2
🖉 Certificates	Export Certificate		26162449-91cc-4826-a43a-65188c4759b2
📕 🔤 Data	Register Certificate	type	RSA
📄 👧 Secret Keys	Create Certificate Request	usage	Sign and Decrypt
TripleDES key	Create self signed Certificate	5120	1024 Dits
📄 👘 👰 Container: 4417bbc	c6-ec11-4440-aece-blu050l00	_	
🔛 🔤 cn=Thomas Mu	ustermann, c=de		
RSA public key	,		
RSA private ke	γ III		
📕 🛛 🖂 🖾 🖾 🗐 🕞	19-91cc-4826-a43a-65188c47		
RSA public key	<u>,</u>		
RSA private ke	9		
📔 😟 Eutron Cryptoldentity 0			
👔 🕀 🍋 Eutron Cryptol dentity 1			
📄 🍥 FT SCR2000A 0			
	Ľ (

4.6. <u>Creating Profiles ...</u>

If you want to use a smart card, there must be a profile on this smart card. In a first step you have to setup the corporate profile on this smart card. Click the menu "Manager"->"Create Token Profile".



... in the case of a smart card with profile

If there is already a profile on the card and you want to create a new one, the existing one will be deleted as a first step. To this end enter the Card-PIN. If you have created the profile yourself, you have to enter the Card-PIN you have assigned to the card. If it is a Siemens-profile, enter the default-Card-PIN "0987654321" of Siemens.

Erase profile	×
Input Card PIN to erase the current profile	
	_
OK Abbrechen	

The further proceedings are the same, as in the following section "...in the case of an empty smart card". Please follow the instructions, which are described below.

... in the case of an empty smart card

If the profile is setup (Initialization) on an empty smart card, the "Card-PIN", the "SO PIN", the "User PIN" and a Serial Number must be defined. Further a Label of the token can be assigned. You can choose a profile if several are available. CSSI supports three profiles (corporate, PKCS#15 and CNS) for CardOS V4.x smartcards, and supports two profiles (corporate and PKCS#15) for JCOP smartcards.

corporate profile	2	×
Profile:	corporate profile 💌	The length of the Card PIN has to be exactly 10.
Card PIN:	0987654321	The minimum length of the SO-PIN is 8
SO PIN:	******	
Confirm SO PIN:	*****	The maximum length of the SO-PIN is 10.
User PIN:	*****	✓ The SO-PIN was correctly verified.
Confirm User PIN:	******	The minimum length of the User PIN is 4.
Serial Number:	123abc	✓ The maximum length of the User PIN is 8.
Label:	My Personal Card	✓ The User PIN was correctly verified.
		The serial number shall have not more than 16 and at least one alpha-numeric digits.
		OK Cancel

With the help of the Card PIN the smart card can be deleted later again and with the SO PIN the smart card can be unlocked. Therefore you should not assign "simple" PINs. The input of the SO PIN and the User PIN **is also not displayed** in plain text, but through * in the input mask. The input must be also confirmed. Further explanations regarding the PINs can be found in the section 4.2.

4.7. <u>Preparing a Smart Card (Initialization and Personalization)</u>

In order that a user can employ his smart card, it must be prepared, i.e. the smart card must be initialized and personalized. In a first step you have to setup a profile on the smart card and in a second step setup keys and certificates on the smart card.

First Step: Creating a Profile (Initialization)

As a first step you must setup a profile on an empty smart card. You proceed as described in <u>section 4.6</u> "Creating Profiles".

Second Step: Creating Keys and Certificates (Personalization)

As a second step you must setup for a user key and certificate on the smart card. You have the possibility to either generate keys and certificates or to import them. For this purpose you have a description in <u>section 4.4</u> "Generating and Importing Keys" and in <u>section 4.5</u> "Generation and Import of Certificates".

4.8. Further Functions

Directory "Certificates"

There is the directory "Certificates" for all certificates, that are not directly corresponding to a key. These are intermediate certificates, that have to be imported into this directory. For this purpose select the item "Import Certificate" in the menu "Certificate" or choose the context menu over the right mouse button.

🔁 Charismathics Smart Security Interface Manager		
Manager Edit Token Key Pair Certificate Info		
 Slots Charismathics Plug'n'Crypt Identity Token 0 My Persona Certificates Certificates Data Secret Keys TripleDES key Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21911 RSA public key RSA public key Certhomas Mustermann, o=cm, c=de Eutron CryptoIdentity 0 Eutron CryptoIdentity 1 FT SCR2000A 0 FT SCR2000A 1 SCM Microsystems Inc. SCR33x USB Smart Card Read 	Parameter ATR Chip Operating System calabel Serial Number Maximum PIN Length Minimum PIN Length Free Card Memory (in Bytes)	Value 3bf4180002c10a31fe585634 Siemens CardO5 V4.3B My Personal Card 123abc 10 4 24001



Directory "Data"

A smart card is the safest environment for the private key. Furthermore, the smart card is necessary for application with at least daily logins or authentication. Thus, one carries it often or always around. Therefore it makes sense to store sensitive or necessary data on this medium, e.g. a text file with your PINS. To create data highlight "Data" and select the item "Create Data" in the menu "Edit". Then, a further window is displayed for you, where you can create your data:

Create Data		×
Label:	y PINs	
Application: Ir	ternet explorer	
Value:	lexadecimal ⓒ ASCII	
123456 11111111		<u> </u>
		_
Content re	adable after login only	- A
	ОК	Cancel

There you have the possibility to access the actual data only, if one is logged on to the smart card. To this end tick the field "Save value secured". Your existing data can be deleted, updated or exported:



🜐 Charismathics Smart Security Interface Manager		
Manager Edit Token Key Pair Certificate Info		
🖃 🖳 SI Delete Data 📃	Parameter	Value
📄 🏹 Update Data 🔨 : Identity Token 0 My Perso	Label	My PINs
E Export Data Value	Application	Internet explorer
er CA, dc=charismathics, d	Value (ASCII) Value (Hexadecimal)	123956L1111111 3132333435360a313131313131313131
		5152555 1555566515151515151515151
TripleDES key		
E- I Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21		
🔤 🔍 RSA public key		
🚽 💊 RSA private key		
🔛 cn=Thomas Mustermann, o=cm, c=de		
🗄 🍥 Eutron Cryptol dentity 0		
Eutron Cryptol dentity 1		

Function "Open Token"

The function "Open Token" of the menu "Manager" transfers data from the smart card to the user interface. This is recommended, if you work with different cards or card readers.

Function "Delete all" and "Delete Object"

You can delete all objects, as keys and certificates with the function "Delete all" of the menu "Edit".

The function "Delete Object" gives you the possibility to remove objects, keys and certificates. You obtain this second function over the context menu, too: highlight the object, that you want to delete, right-click and chose there the item "Delete Object".

Function "Set Default Container"

The function "Set Default Container" of the menu "Edit" is relevant to you, only if you use a smart card for login to a Windows-2000 domain via CSP.

If you do not choose a container as Default Container, Windows will take the first key from the list for the login to a Windows-2000 domain via CSP.

If you have chosen a Container as Default Container, it will shown in bold face in the interface of the administration tool:

Manager Edit Token Key Pair Certificate Info Image: Si Set Default Container Image: Si Parameter Value	
SI Set Default Container Parameter Value	
Delete Contain§r antity Token 0 My Perso Delete all A, dc=charismathics, d Delete all A, dc=charismathics, d Delete all A, dc=charismathics, d My PINs Secret Keys TripleDES key Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21 SRA public key RSA private key Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21 SRA private key Container: SRA private key Container: SRA private key Container: SRA private key SRA private key Container: SRA private key SRA private key Container: SRA private key	

Function "Show Certificate"

If you want to display a certificate, use the function "Show Certificate" from the menu "Certificate".

You obtain this function over the context menu, too: highlight the certificate, that you want to display, rightclick and choose there the item "Show Certificate". Then you obtain the information contained in the certificate:

Certificate		×
Field	Value 🗸	
Version Serial Number Issuer Subject Valid not before Valid not after ▲ Key Usage ▲ Basic Contraints ▲ Subject Key Identifier	3 476cc3b9df6394af4cc2adb6781869d1 cn=Win2003Server CA, dc=charismat cn=Win2003Server CA, dc=charismat 05.01.2006 17:04:46 05.01.2016 17:13:39 86 3aa217d6b6c5223575ceab685a99146	
	<u> </u>	

Function "Export Certificate"

If you want to employ a certificate for other applications, you can export it from the smart card with the function "Export Certificate" from the menu "Certificate". You can also obtain this function over the context menu: highlight the certificate, that you want to export, rightclick and choose there the item "Export Certificate".

Function "Register Certificate"

The function "Register Certificate" from the menu "Certificate" installs the certificate, that you want to register to make it accessible for Windows-applications (like Internet Explorer or Outlook Express).

You can also obtain this function over the context menu: highlight the certificate, that you want to register, right click and choose there the item "Register Certificate".

Additional you can configure the settings regarding the registration in the Register Tool. Read more for this in <u>chapter 6 Register Tool</u>.

Function "Check Private Key"

With this function you can test generated keys, e. g. for signing or decryption. First you must be logged on, then highlight the private key you want to test and chose the function "Check Private Key" from the menu "Edit".



🜐 Charismathics Smart Security Interface Manager		
Manager Edit Token Key Pair Certificate Info		
Manager Edit Token Key Pair Certificate Info Create Certificate Request Create self signed Certificate Check Private Key Delete all My PINs Secret Keys Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21 RSA public key Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21 RSA public key Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21 RSA public key Container: 3a3d21cf-7de6-421d-9b0a-cebd9d21 Container: 3a3d21cf-7de6-421d-9b0a-cebd	Parameter Label ID Key type Key usage Key size	Value 3a3d21cf-7de6-421d-9b0a-cebd9d219117 3a3d21cf-7de6-421d-9b0a-cebd9d219117 RSA Sign and Decrypt 512 bits
FT SCR2000A 1		

To test the decryption key write text in the field "Plaintext" and click on the button "Start". If the decrypted text is the same as the Plaintext, the decryption key works all right.

Ch	eck Private Key			x
[Encrypt/Decrypt			1
	Plaintext:			
	This is a test			
	Ciphertext:			
	7876286f234637dbf7	'f49095ea290d3	71c37a491d7e2t	
	Decrypted text:			
	This is a test			
			Start	
[Sign/Verify			1
	Hash:			
	Plaintext:			
	Signature:			
	Verify Result:			
			Start	
			Close	

To test the signing key you can chose the hash algorithm. If the Verify Result is true, the signing key works all right.

Function "Check Secret Key"

With this function you can test generated keys for encryption. First you must be logged on, then highlight the private key you want to test and chose the function "Check Secret Key" from the menu "Edit".

You can choose the cryptographic mode for testing the key. The different versions are the Cipher Block Chaining (CBC) and the Electronic CodeBook (ECB). And you can choose ISO or PKCS5 as Padding.

To test the encryption key write text in the field "Plaintext" and click on the button "Start". If you know the initializing vector you can insert it; otherwise it will be filled with zero. Is the decrypted text the same as the Plaintext, the encryption key works all right.

Check Encrypt/Decrypt			×
Mode: CBC	Padding:	ISO	•
Plaintext:			
this is a test 123			
Initializing vector (hexaded	cimal):		
Ciphertext:			
00000000000000007922	27dc9254eb)8e1da37c36	i7afe
Decrypted text:			
this is a test 123			
	Start	Close	

5. User Tool: Charismathics Smart Security Interface Utility

If you acquired **Charismathics Smart Security Interface** in the user edition, with this tool all relevant functions are available: like changing your pin and the registration of your key/certificates of the smart card. These functions are now described.

5.1. Changing PINs

Insert your smart card in the reader and open **Charismathics Smart Security Interface** Utility: click on "Start" and then follow the path

"Programs"->"charismathics"->"smart security interface Utility".

To change your PIN, first insert the old PIN and then the new PIN, which must be entered a second time as confirmation. The minimal length of the User PIN is four characters and the maximal length is ten characters.

Click on the button "Change PIN" and you receive a window with the confirmation.

IMPORTANT: After three wrong inputs the User PIN will be locked. Please choose a PIN, which you can note well, but which cannot be easily guessed. Avoid e.g. birthdays or simple sequences of numbers like 1234 or 1111.

5.2. Unlock PIN

To unlock your PIN, first insert the SO PIN and then the new PIN, which must be entered a second time as confirmation. The minimal length of the User PIN is four characters and the maximal length is ten characters.

Click on the button "Unlock PIN" and you receive a window with the confirmation.

5.3. Smart card Registration

On your smart card are certificates and keys. These certificates must be once registered, so that applications can use these. Particularly it concerns the following registration of the certificate/keys in the Microsoft Windows certificate data base.

IMPORTANT: THE REGISTRATION MUST BE ONLY ONCE ACCOMPLISHED.

Insert your smart card in the reader and open **Charismathics Smart Security Interface** Utility: click on "Start" and then follow the path

"Programs"->"charismathics "->"smart security interface" ->"Charismathics Smart Security Interface Utility".

Now click on the tab "Registration" and on this window on the button "Register now". Follow the instructions on the monitor.

6. Register Tool

If you acquired **Charismathics Smart Security Interface** in the Admin or in the User edition, this Register Tool makes more functions available for you.

To make certificates accessible for Windows-applications like Internet Explorer or Outlook Express, you can automatically register the certificates from your smart card in the certificate store of Windows. The settings for this registration you can configure in this additional Register Tool.

The default functionality is the following: as soon as a smart card is inserted into the card reader, the certificates are automatically registered, if the Register Tool is active. On smart card removal, the certificates are <u>not</u> automatically unregistered. If this is desired, you can adjust this over the "Settings".

You can call the Register Tool of **Charismathics Smart Security Interface** either over the starting menu or over the tray icon:

Charismathics Smart Security Interface Register Tool						
S (C) 🖻 💟 🖄	10:15					

Then you get the possibilities of starting the Administration Tool sc/interface Manager or the User Tool sc/interface Utility, to Pause the Register Tool, to configure Settings, to read information or to terminate the Register Tool, which is now explained.

Charismathics Smart Security Interface Manager					
Pause	N				
Settings	4				
About					
Exit					

6.1. <u>Start Charismathics Smart Security Interface Manager and Start Charismathics Smart</u> <u>Security Interface Utility</u>

If you have the Admin Edition the function "Start Charismathics Smart Security Interface Manager" gives you the possibility to start the Administration Tool "Charismathics Smart Security Interface Manager". If you have the User Edition with the function "Start Charismathics Smart Security Interface Utility" you can start the User Tool Charismathics Smart Security Interface Utility. Further explanations concerning this Administration Tool you find in <u>chapter 4</u> and concerning the User Tool you find in <u>chapter 5</u>.

6.2. Pause / Continue

If it is not desired that the certificates of the smart card are registered automatically, you can pause the Register Tool. For it you select the point "Pause" in the pop-up menu of the tray icons. Thereupon the tray icon changes, so that one recognises that the Register Tool is set to pause. In order to continue with the automatic registration, you select the now appeared point "Continue" in the pop-up menu of the tray icons:

Charismathics Smart Security Interface Manager					
Continue	N				
Settings	6				
About					
Exit					

6.3. Settings

The default functionality of the Register Tool is, that certificates are registered automatically, as soon as a smart card is inserted into the card reader. On smart card removal the certificates are unregistered automatically. If this is desired, you can configure this over the "Settings". For this you select the point "Settings" in the pop-up menu of the tray icons and you receive the following dialog:

Set	tings	×
Γ	- General Settings	
	Unregister certificates on smartcard removal	
	k	
	OK Abbruch	

If you want, that the registered certificates are removed from the certificate store, if you remove the smart card from the card reader, you must activate the field.



6.4. <u>About</u>

For information about the version of the Register Tools and the manufacturer charismathics gmbh select "About" in the menu of the tray icons:

Info X
charismathics tech networking for earth
Charismathics Smart Security Interface
Copyright 2004 - 2006
release 4.0.0 charismathics gmbh 47 sendlinger st munich, germany 80331
http://www.charismathics.com
OK

6.5. <u>Exit</u>

With "Exit" in the menu of the tray icon you can end the Register Tool.

7. CSP of Charismathics Smart Security Interface

The Windows operating system supports cryptographic functionalities like encryption and digital signature by the so-called Crypto-API. Furthermore, CSPs (Cryptographic Service Providers) enable programs to support smart cards. During the installation of **Charismathics Smart Security Interface** the **Charismathics Smart Security Interface-CSP** – abbr. cmCSP – will be added. It supports the CardOS smart card.

Now with this cmCSP you can use certain programs and functionalities delivered with Windows 2000, like Outlook Express, Internet Explorer, network login and VPN-login with the CardOS smart card. They will be explained in the following.

NOTE: Here, you will not find a description how to configure your Microsoft environment for the use of smart cards. Please consult the help files for Outlook Express and the Internet Explorer. To configure the network login and the VPN-login for smart cards please consult the documentation of the Windows 2000 Server.

If you need support for the implementation or realization, charismathics's team can help you. Feel free to contact your account manager.

7.1. <u>General Proceedings</u>

General precondition is the installation of the cmCSP. **Charismathics Smart Security Interface** installs it automatically. In the following some general notes to employ the cmCSP will be given:

- If you want to use a Microsoft product in connection with the CSP for the first time on a certain computer, you must register the certificate that you want to use. Please read in <u>chapter 6</u> "Register Tool" or in <u>section 4.8</u> "Register Certificate", if you need to know how to register your own certificate.
- > You as a user need keys and certificates on the smart card. There are several different possibilities. The most popular are:
 - Generation of key pair and corresponding certificate directly on the smart card with the functions of standard browsers, like Internet Explorer or Netscape. This ensues an access on the modules of **Charismathics Smart Security Interface**, i.e. correspondingly on cmCSP or cmP11.

NOTE: Enter into the browser http://<Servername of Enterprise-CA>/certsrv .

- Generation of key pair and corresponding certificate directly on the smart card with the Microsoft Certificate Server (in "Enterprise CA" and in "Stand Alone" mode).

- Import of existing keys and certificates on the smart card, that were generated by other CAs or trust centers, resp. request of certificate from a trust center.
- Generation of key pair and corresponding self signed certificate directly on the smart card by the administration tool **Charismathics Smart Security Interface**. Please observe, that the employment of self signed certificates makes sense only in environments without a PKI or for testing.

Note: If you request a certificate from a trust center, you might be requested to choose a security module, e.g. a token. In this case choose the corporate profile, the cmCSP or the cmP11. Furthermore, your smart card has to be inserted in the card reader, so that certificates can be written on it.

- > Programs must be configured, so that they work with your smart card.
- The programs must be configured, so that they work with your keys and certificates. There you have to take into account the preconditions of the programs, that need certain input. E.g. some programs need root-certificates, that must be in certain directories or for other programs you must register your certificate.

In the following chapters only the special features of the corresponding application will be explained.

7.2. Smart Card Login to a Windows 2000 Domain

Here you should have very good command in the administration of Windows 2000 Servers. You proceed according the following steps:

- Step 1 Setup of ADS. Please observe the correct configuration of the DNS-Server.
- Step 2 Installation of the Enterprise CA and at least the templates "Enrollment Agent", "Smartcard Logon" and Smartcard User".
- Step 3 Then an Enrollment-Agent-Certificate must be generated and registered on the computer, where the smart cards should be personalized.
- Step 4 After that the smart cards for users may be issued over the Enrollment Station.

Furthermore, observe that "Set Default Private Key" must set the private key on the client (see in <u>section 4.8</u>).

7.3. <u>SSL- Authentication with Smart Card over the Internet Explorer</u>

Here you must register the certificate with the administration tool of **Charismathics Smart Security Interface** (see in <u>chapter 6</u> "Register Tool" or in <u>section 4.8</u> "Register Certificate").

7.4. Outlook Express with Electronic Signature and Encryption via Smart Card

Here you must register the certificate with the administration tool of **Charismathics Smart Security Interface** (see in <u>chapter 6</u> "Register Tool" or in <u>section 4.8</u> "Register Certificate"). Then choose the desired certificate for signing and encryption over "Tools -> Accounts -> E-Mail -> Preferences -> Security".

Normally, there are pull down menus in the email windows, that you may click encryption and/or signing an email in order to use the security functionalities. The verification of incoming signed emails uses for instance the red "signet" symbol in the right corner of the email window, like here in the example:

💼 test	sign (encry	pt										
<u> </u>	<u>E</u> dit	⊻iew	Insert	F <u>o</u> rmat	<u>T</u> ools	<u>M</u> essag	ge <u>H</u> elp						\$
Send		X Cut	Гр Сору	Paste	≌∩ Undo	⊈ √ Check	ABC Spelling) Attach	↓ ! Priority	•	Sign	Encrypt	»
🛐 To:													8
📴 Co:													6
Subject	t: te	est sign 8	encrypt										
Arial			•	10 💌	⊡_ B	3 I I	JA,	∃ I≣ f	≡ t≡		± ±	■ -	- ((*
This i	sate	est mai											•

In order that Outlook Express automatically acknowledges the right key, resp. certificate, the certificates should lie in the address book, i.e. the certificate should be imported into the "Digital IDs": e.g. highlight the name in the address book and choose the tab "Digital IDs" over the context menu. On this tab you can import the certificate for the chosen contact.

7.5. Windows VPN-Login with Smart Card

You should generate keys and certificates with the Microsoft Enterprise-CA. Furthermore, you must register the certificate with the administration tool of **Charismathics Smart Security Inter**face (see in <u>chapter 6</u> "Register Tool" or in <u>section 4.8</u> "Register Certificate").

8. PKCS#11-Module of Charismathics Smart Security Interface

If you use software, that supports PKCS#11, you can employ **Charismathics Smart Security Interface-PKCS#11** -abbreviated cmP11- with the CardOS smart card. Here it is a matter of applications and functionalities with smart cards, like network login, SSL, email security with Netscape, certain Microsoft applications and products of other producers, that are explained briefly.

NOTE: Here is no description, how to configure your environment for the employment with smart cards. For this purpose please consult the corresponding help-files of the corresponding programs.

IMPORTANT: cmP11 is a DLL with the name "cmP11.dll and is after the installation in the system directory, e.g. in \WINNT\system32.

<u>Remark</u>: Despite strict measures for the quality of PKCS#11 modules by the different manufacturers, charismathics gmbh can not guarantee for the compatibility with each PKCS#11 Module of a foreign manufacturer.

8.1. <u>General Methodology</u>

In the following some general notes are made for the employment of cmP11. General precondition is the installation of cmP11. This will be installed automatically by **Charismathics Smart Security Interface**.

- > You as a user need keys and certificates on the smart card. There are several different possibilities. The most prevalent are mentioned below:
 - Generation of key pairs and corresponding certificate directly on the smart card with the functions of standard browsers, like Internet Explorer or Netscape. This ensues access to the modules of **Charismathics Smart Security Inter-face**, i.e. corresponding to cmCSP or cmP11.

NOTE: For this purpose enter in the browser <u>http://<Servername_of_Enterprise_CA>/certsrv</u>.

- Generation of key pair and corresponding certificate directly on the smart card with Novell's Certificate Server.
- Generation of key pair and corresponding certificate directly on the smart card with Microsoft's Certificate Server (in "Enterprise CA" mode and in "Stand Alone" mode).
- Import of existing keys and certificates on the smart card, that were generated by other CAs or trust centers, resp. requesting a certificate from a trust center.

Generation of key pair and corresponding self signed certificate directly on the smart card with the delivered administration tool of **Charismathics Smart Security Interface**. Please observe that the employment of self signed certificates makes sense only in environments without PKI or for the purpose of testing.

NOTE: If you request a certificate from a trust center, you might be requested to choose a security module. Please choose in this case the corporate profile, the cmCSP or the cmP11. Furthermore, your smart card has to be inserted in the card reader, so that certificates can be written on it.

As in section 3.3 described, the possibility is offered, to install charismathics's PKCS#11-Module here in Netscape. There is the possibility to install the module manually with the help of the file "InstallNetsca-pePKCS11.html" and uninstall with the help of the file "UninstallNetscapePKCS11.html".

- > The programs must be configured, so that they can work with your smart card.
- > The programs must be configured, so that you can work with keys and certificates. Here you must take into account the preconditions of the programs, that have certain inputs. E.g. some programs need root certificates, that must be in certain directories or for other programs you have to register your certificate.

In the following chapters only the special features of the respective application will be explained.

8.2. <u>Smart Card Login to a Novell eDirectory (formerly NDS)</u>

Here you should have a very good command in the administration of Novell servers and observe the installation preconditions. To realize a smart card login to an eDirectory you explicitly need the product NMAS and the corresponding Universal Smartcard Login Method.

8.3. <u>SSL- Authentication with Smart Card over Netscape</u>

The notes for the employment of Netscape are presented by the example of version 7.

Example: Netscape 7.01

You can call "Manage Security Devices" in Netscape 7.01 over "Edit"->"Preferences"->"Privacy & Security"->"Certificates". There you can load the cmP11, so that applications like SSL and emails can be employed with smart cards:

🔊 Geräte-Manager			<u> </u>
Sicherheitsmodule und -geräte	Details	Wert	Anmelden
▼NSS Internal PKCS #11 Module	Status	Nicht angemeldet	
Allgemeine Kryptographiedienst	Beschreibung	OMNIKEY CardMan	Abmelden
	Hersteller	OMNIKEY CardMan	De sevuente de second
▽Integriertes Roots-Modul	HW-Version	0.1	
ⁱ Builtin Object Token	FW-Version	0.1	Laden
▽cm PKCS#11-Modul			
ⁱ CardOS M4.01a corporate profile			Entladen
		ç	FIPS aktivieren
Hilfe OK			

Furthermore, you can call the Certificate Manager of Netscape on the same tab by clicking "Manage Certificates...".

(🔊 Zertifikats-	Mana	iger					_	미凶
ĺ	Ihre Zertifikate	Ande	re Personen	Web-Site	es Ausstell	er			
	Sie haben Zert	ifikate	folgender Org	anisation	en zu Ihrer I	identifikal	tion:		
	Zertifikatsnar	me S	icherheitseinri	chtung	Überprüft	Zweck	Seriennummer	Verfällt am	<u>E</u> #
	▼ - Thomas	с	ardOS M4.01a	ı corp	falsch	7	07:21:6C:9B:	07.02.2007	
	Anzeigen	Sich	ern Alle	sichern	Importie	ren	Löschen		
	Hilfe								

8.4. Email-Security by Smart Cards with Netscape's Messenger

The notes for the employment of Netscape and screen shots to manage certificates and modules are available in the example of version 7 in the previous section.

Normally, there pull-down menus in the email windows, where you can tick whether an email should be encrypted and/or signed, resp. a function for verification of received signed emails, to employ the security functionality.

9. PKCS#11 module register

For registration of PKCS#11 module in your Firefox or Netscape/Mozilla browser and email client you can use Charismatics Smart Security Interface PKCS#11 module register. Select your chosen browser and click on button 'register/unregister'. PKCS#11 module register will start your browser for registration of the PKCS#11 module. You can also use this tool to unregister PKCS#11 module.

Note: java script must be enabled in your browser.

Charismathics Smart Securit	y Interface PKCS#11 module register 🛛 🔀
Charismathics Smart Securit tech networking for earth	y Interface PKCS#11 module register Image: Comparison of the image: Compari
char	Note: Java script must be enabled.

10. References

[PKCS#5]	http://www.rsasecurity.com/rsalabs/pkcs/index.html
[PKCS#11]	http://www.rsasecurity.com/rsalabs/pkcs/index.html
[MS_CA]	HOW TO: Configure a Certificate Authority to Issue Smart Card Certificates in Windows 2000: <u>http://support.microsoft.com/default.aspx?scid=kb;en-</u> <u>us;Q313274&sd=tech</u>
	Guidelines for Enabling Smart Card Logon with Third-Party Certification Au- thorities: http://support.microsoft.com/default.aspx?scid=kb;en-us;Q281245
[MS_SC]	Windows 2000 Server Documentation, Smart card Administration: http://www.microsoft.com/windows2000/en/server/help/default.asp?ur l=/windows2000/en/server/help/sag_SC_admin.htm

11. Information / Export Restrictions

Charismathics GmbH 47 Sendlinger St 80331 Munich Germany

Release Date: May 31, 2006

© Copyright Charismathics GmbH 2002-2006

All rights reserved. Without the express prior written consent of charismathics you must not distribute, edit or translate copyrighted material.

Trade Mark

All mentioned software and hardware names are in most of the cases trade marks and are liable to legal requirements.

Please observe !

The product delivered to you is liable to export control. Please observe the legal requirements of specific countries. For export out of the EU an export approval is necessary.

Appendix A: Reference for Developers

In this appendix there are detailed specification regarding the supported functions of the PKCS#11-standard, a synopsis of particular functions and a list of objects and mechanisms. These information are useful and necessary for application developers, who want to develop their own applications supporting the cmP11.

Functions according to PKCS#11-Standard

In the following there are three lists of functions according to PKCS#11-Standard. The list are supported, incompletely supported, and not supported functions by **Charismathics Smart Security Interface**:

Supported Functions:

- C_Finalize
- C_GetInfo
- C_GetFunctionList
- C_GetSlotList
- C_GetSlotInfo
- C_GetMechanismList
- C_GetMechanismInfo
- C_InitPIN
- C_SetPIN
- C_CloseSession
- C_CloseAllSessions
- C_GetSessionInfo
- C_Login
- C_Logout
- C_CreateObject
- C_DestroyObject
- C_GetAttributeValue
- C_SetAttributeValue
- C_FindObjectsInit
- C_FindObjects
- C_FindObjectsFinal
- C_EncryptInit
- C_Encrypt
- C_EncryptUpdate
- C_EncryptFinal
- C_DecryptInit

- C_Decrypt
- C_DecryptUpdate
- C_DecryptFinal
- C_DigestInit
- C_Digest
- C_DigestUpdate
- C_DigestFinal
- C_SignInit
- C_Sign
- C_SignUpdate
- C_SignFinal
- C_VerifyInit
- C_Verify
- C_VerifyUpdate
- C_VerifyFinal
- C_VerifyRecoverInit
- C_VerifyRecover
- C_GenerateKeyPair
- C_GenerateKey
- C_GenerateRandom
- C_WrapKey
- C_UnwrapKey
- C_CancelFunction (returns CKR_FUNCTION_NOT_PARALLEL)

charismathics tech networking for earth

- C_InitToken

Incompletely Supported Functions / Deviations

- C_Initialize
- C_WaitForSlotEvent
- C_OpenSession
- C_GetTokenInfo
- C_GetObjectSize
- C_SignRecoverInit (use C_SignInit)
- C_SignRecover (use C_Sign)

Not supported functions:

- C_GetOperationState
- C_SetOperationState
- C_CopyObject
- C_DigestKey
- C_DigestEncryptUpdate
- C_DecryptDigestUpdate
- C_SignEncryptUpdate
- C_DecryptVerifyUpdate
- C_DeriveKey
- C_SeedRandom
- C_GetFunctionStatus

charismathics tech networking for earth

|--|

C Finalize	Tunctions
Parameter:	pReserved (CK_VOID_PTR)
Description:	Sessions will be closed. Slots will be closed. Reserved Memory will be freed.
Deviation:	pReserved will be ignored. C_Finalize will be called automatically on Finish.
	If C_Initialize is called n times in succession (without C_Finalize in be- tween), C_Finalize will only be carried out after the n time.
C_GetObjectSize	
Parameter:	hSession CK_SESSION_HANDLE hObject CK_OBJECT_HANDLE pulSize CK_ULONG_PTR
Description:	The size of an object will be returned
Deviation:	The returned size, is the minimum size of an object, which means it do not contain the size for extra attributes like label, or id. The size of private objects are default values.
C_GetSlotList	
Parameter:	tokenPresent (CK_BBOOL) pSlotList (CK_SLOT_ID_PTR) pulCount (CK_ULONG_PTR)
Description:	Returns a list of identified Slots. It might occur, that installed but not connected Slots will be in the list. The number of Slots may be obtained by passing pSlotList a Null-Pointer. If you want only the Slots with an inserted card, set tokenPresent to true.
C_GetTokenInfo	
Parameter:	slotID (CK_SLOT_ID) pInfo (CK_TOKEN_INFO_PTR)
Description:	Returns whether a card is inserted in a Slot. If the card is not inserted, CKR_TOKEN_REMOVED will returned.
Special Feature:	Inserting or removing a card from a Slot, is an Event (see C_WaitForSlotEvent). If C_GetTokenInfo will be called, the Event will be fin- ished, even if the card was removed and C_GetTokenInfo CKR_TOKEN_NOT_PRESENT has been returned.
C_Initialize	

CinitArg (CK_VOID_PTR_PTR) Parameter:

Description:	Libary will be initialized. Slots will be created. Inserted cards are read.
Deviation:	CinitArg is expected in the format CK_C_INITIALIZE_ARGS. From these the flags are picked out, in particular CKF_LIBRARY_CANT_CREATE_OS_THREADS which decides over Multi threading. The rest is ignored. If C_Initialize is called several times, CKR_CRYPTOKI_ALREADY_INITIALIZED is returned. The number is taken in account (see C_Finalize).
C_OpenSession	
Parameter:	slotID (CK_SLOT_ID) flags (CK_FLAGS) pApplication (CK_VOID_PTR) Notify (CK_NOTIFY) phSession (CK_SESSION_HANDLE_PTR)
Description:	Opens a new session on the Slot.
Deviation:	Notify and pApplication are ignored and should be set to NULL_PTR. Sessions can only be opened, if a card is inserted.
Special Feature:	If a session is opened and then the card will be removed, all sessions on the Slot will return CKR_DEVICE_REMOVED. If there is an error with CKR_DEVICE_REMOVED, CKR_TOKEN_NOT_RECOGNIZED or CKR_TOKEN_NOT_PRESENT a pauseAllSessions is automatically pro- duced on this Slot. If a paused session is used again, this session will be reopened automati- cally.
	If a card is inserted into or removed from a Slot, then this is an Event (see C_WaitForSlotEvent). If C_OpenSession is called, the Event will be fin- ished, even if the card has been removed and C_OpenSession returned CKR_TOKEN_NOT_PRESENT.

C_WaitForSlotEvent

Parameter:	flags pSlot pReserved	(CK_FLAGS) (CK_SLOT_ID_PTR) (CK_VOID_PTR)	= NULL_PTR
Description:	flag = 0; The method w the Event in p	aits until a Slot reports an Ev Slot.	ent. Then it returns the Slot with
	flag = CKF The method d CKR_NO_EV	_DONT_BLOCK isplays the Slot with Event in ENT will be returned.	pSlot. If there is no Event,
Special Feature:	If more Slots h An Event pers C_OpenSessi the card.	nave an Event, they will be re ists until an access to the car on or C_GetToken_Info), eve	turned interchangeably. d occurs (e.g. by n if an error will be returned to

Objects

All objects will be stored on the card (CKA_TOKEN = true). Session- or other software-objects will not be supported.

The ID (CKA_ID) indicates, which objects belong together.

CKO_CERTIFICATE (CKC_X_509)

Certificate in X.509 format

Attribute	Value	Access
CKA_CLASS	CKO_CERTIFICATE	Read only
CKA_LABEL	<alias></alias>	Read/write
CKA_VALUE	<certificate> X509Format (DER)</certificate>	read/write
CKA_ID	<number></number>	read/write
CKA_CERTIFICATE_TYPE	CKC_X_509	read only
CKA_TOKEN	TRUE	read only
CKA_PRIVATE	FALSE	read only(**)
CKA_SUBJECT	<alias></alias>	read only
CKA_ISSUER	<alias></alias>	read only
CKA_SERIAL_NUMBER	<number></number>	read only
CKA_MODIFIABLE	TRUE/FALSE	read only(**)

(**) returns no error on trying to write.

CKO_PRIVATE_KEY (CKK_RSA)

Attribute	Value	Access
CKA_CLASS	CKO_PRIVATE_KEY	read only
CKA_LABEL	<alias></alias>	read/write
CKA_ID	<number></number>	read/write
CKA_KEY_TYPE	CKK_RSA	read only
CKA_TOKEN	TRUE	read only
CKA_PRIVATE	TRUE	read only
CKA_SUBJECT	<alias></alias>	read only(*)
CKA_SENSITIVE	FALSE	read only
CKA_DECRYPT	TRUE	read only(**)
CKA_SIGN	TRUE	read only(**)
CKA_SIGN_RECOVER	FALSE	read only(**)
CKA_UNWRAP	FALSE	read only(**)
CKA_MODULUS	Pkcs12 Format	read only
CKA_PUBLIC_EXPONENT	Pkcs12 Format	read only

CKA_PRIVATE_EXPONENT	Pkcs12 Format	not readable
CKA_PRIME_1	Pkcs12 Format	not readable
CKA_PRIME_2	Pkcs12 Format	not readable
CKA_EXPONENT_1	Pkcs12 Format	not readable
CKA_EXPONENT_2	Pkcs12 Format	not readable
CKA_COEFICIENT	Pkcs12 Format	not readable
CKA_MODIFIABLE	TRUE	read only(**)
CKA_LOCAL	TRUE	(**)(***)
CKA_START	<empty></empty>	(***)
CKA_STOP	<empty></empty>	(***)
CKA_EXTRACTABLE ⁸	FALSE	read only(**)
CKA_NEVER_EXTRACTABLE ²	TRUE	read only(**)

(*) can only be read, if a corresponding certificate exists

(**) returns no error on trying to write.

(***) is not supported

CKO_PUBLIC_KEY (CKK_RSA)

Attribute	Value	Access
CKA_CLASS	CKO_PUBLIC_KEY	read only
CKA_LABEL	<alias></alias>	read/write
CKA_ID	<number></number>	read/write
CKA_KEY_TYPE	CKK_RSA	read only
CKA_TOKEN	TRUE	read only
CKA_PRIVATE	FALSE	read only
CKA_SUBJECT	<alias></alias>	read only(*)
CKA_ENCRYPT	TRUE	read only(**)
CKA_VERIFY	TRUE	read only(**)
CKA_VERIFY_RECOVER	TRUE	read only(**)
CKA_WRAP	FALSE	read only(**)
CKA_MODULUS	pkcs12 Format	read only
CKA_PUBLIC_EXPONENT	pkcs12 Format	read only
CKA_MODIFIABLE	FALSE	read only(**)
CKA_LOCAL	TRUE	(**)(***)
CKA_START	<empty></empty>	(***)
CKA_STOP	<empty></empty>	(***)

(*) can only be read, if a corresponding certificate exists

(**) returns no error on trying to write



(***) is not supported

CKO_DATA

General Data

Attribute	Value	Access
CKA_CLASS	CKO_DATA	read only
CKA_LABEL	<alias></alias>	read/write
CKA_VALUE	<data></data>	read/write
CKA_TOKEN	TRUE	read only
CKA_PRIVATE	FALSE	read only(**)
CKA_APPLICATION	<alias></alias>	read/write
CKA_MODIFIABLE	TRUE	read only(**)

(**) returns no error on trying to write.

<u>Mechanism</u>

Sign (RSA):		
Description:	Signs data	
Order:	C_SignInit, C_SignUpdate, C_SignFinal or C_SignInit, C_Sign C_Sign works as if C_SignUpdate and then C_SignFinal were called. C_SignUpdate processes the data immediately.	
Special Feature:	Order C_SignInit, C_Sign(C_SignUpdate, C_SignFinal), C_Sign (C_SignUpdate, C_SignFinal) where on the first C_Sign (resp. C_SignFinal NULL_PTR will be passed for the signature and only the length of the signature will be returned. The signature will be returned on the second C_Sign (resp. C_SignFinal). If C_SignUpdate is called for the second time, the data must match with the data of the first time. A third call is not possible. For an other signature C_SignInit must be called first.	
Verify (RSA):		
Description:	Verifies a signature. VerifyRecover returns only the data (normally as a hash-value)	
Order:	C_VerifyInit, C_VerifyUpdate, C_VerifyFinal or C_VerifyInit, C_Verify or C_VerifyRecoverInit, C_VerifyRecover C_VerifyWorks as if _VerifyUpdate and then C_VerifyFinal were called. C_VerifyUpdate stores data only temporarily. C_VerifyRecover returns the signed data.	



Special Feature: Order C_VerifyRecoverInit, C_VerifyRecover, C_VerifyRecover, where on the first C_VerifyRecover a NULL_PTR will be passed as data. It returns only the length of the data. The data will be returned on the second C_VerifyRecover. A third call is not possible. For further verifications C_VerifyRecoverInit must be called first.

Encrypt (RSA):	
Description:	Encrypts data.
Order:	C_EncryptInit, C_EncryptUpdate, C_EncryptFinal or C_EncryptInit, C_Encrypt C_Encrypt works as if C_EncryptUpdate and then C_EncryptFinal were called.
Special Feature:	C_EncryptUpdate stores the data temporarily. And you can pick up finished data with C_EncryptUpdate. If you don't do this, you receive with C_EncryptFinal all data at one time. The data is however only once available!

Decrypt (RSA):	
Description:	Decrypts data.
Order:	C_DecryptInit, C_DecryptUpdate, C_DecryptFinal or C_DecryptInit, C_Decrypt C_Decrypt works as if C_DecryptUpdate and then C_DecryptFinal were called.
Special Feature:	C_DecryptUpdate stores the data temporarily. And you can pick up finished data with C_DecryptUpdate. If you don't do this, you receive with C_DecryptFinal all data at one time. The data is however only once available!

Digest (Hashfunctions SHA1, MD2, MD5):

Description: A hash value is calculated from the data.

- C_DigestInit, C_DigestUpdate, C_DigestFinal or C_DigestInit, C_Digest Order: C_Digest works as if C_DigestUpdate and then C_DigestFinal were called. C_DigestUpdate processes the data immediately.

Appendix B: Log Information

Appendix C: Certificate Attributes (Key Usage)

The different uses of a key pair are shown by the example of a self signed certificate:

Certificate Request		X
charismathics tech networking for earth	 Key usage Digital signature Admitted for documents Key encryption Data encryption Key exchange Only encrypting Only decrypting 	
	< Back Next > Cancel	

These are briefly explained in the following:

- 1. Digital Signature: Here you can verify digital signature (except those under two named purposes) e.g. authentication.
- 2. Authorized for Documents: Here you can verify signatures, that check the liability and bindingness of documents (except signatures of certificates and CRLs of CA).
- 3. Key Encryption: Encryption of keys for the purpose of their transmission.
- 4. Data Encryption: Encryption of data for the purpose of transmission, but not of keys.
- 5. Key exchange: Employment of the key to agree on other keys, e.g. a Diffie-Hellman key.
- 6. Signing the CRL: Employment of the public key to sign a CRL (Certificate Revocation List).