

# Supplement and Release Notes for CiscoWorks Security Information Management Solution (SIMS) 3.4.1

OL-11757-01 Revised October 31, 2006

This document provides Cisco-specific information to supplement the CiscoWorks Security Information Management Solution (SIMS) 3.4.1 documentation, developed by netForensics, Inc. This document contains:

- Acknowledgements, page 2
- Important Information About This Update, page 2
- Product Availability Change Notice, page 6
- Documentation Roadmap, page 6
- Obtaining Documentation, page 11
- Documentation Feedback, page 13
- Cisco Product Security Overview, page 13
- Product Alerts and Field Notices, page 15
- Obtaining Technical Assistance, page 15



- Obtaining Additional Publications and Information, page 18
- CiscoWorks SIMS Licensing and Registration, page 19

## **Acknowledgements**

CiscoWorks SIMS is the netForensics, Inc., Security Information Management Solution. This product is offered to Cisco Systems customers in cooperation with netForensics, Inc.

## **Important Information About This Update**



If you use a CiscoWorks SIMS version earlier than 3.4, you must upgrade to version 3.4 *before* you try to install the CiscoWorks SIMS 3.4.1 update. You must *not* apply this update to any CiscoWorks SIMS version earlier than 3.4. If you do, your server might become unstable or unusable.

Topics in this section describe how this release differs from earlier releases:

- Updated Documents, page 2
- Agents in This Update, page 3

### **Updated Documents**

The following netForensics guides are updated for the CiscoWorks SIMS 3.4.1 release. For information about where you can obtain them, see **nFX\_OSP3.4.1ReleaseNotes.Cisco.pdf**:

- nFX OSP Unix and Windows Installation Guide
- nFX OSP Administration and Configuration Guide
- nFX OSP User's Guide

- nFX OSP Reports Guide
- nFX OSP Vulnerability Correlation Administration Guide

See Documentation Roadmap, page 6 for detailed information.

## Agents in This Update

This update includes new and changed agents. To learn more, see these topics:

- Changed Terminology, page 3
- New and Replaced Agents, page 3
- Updated Agents, page 4
- Agent Installation Notes, page 5

### **Changed Terminology**

The 3.3 Consolidated Agent from CiscoWorks SIMS 3.3 has been renamed. Its new name is Agent Installation (October 2006).

#### New and Replaced Agents

Agent Installation (October 2006) includes the following new agents:

- nF Agent for AirDefense Enterprise Agent
- nF Agent for Fortinet FortiGate
- nF Agent for Microsoft ISA Server
- nF Agent for Microsoft SQL Server
- nF Agent for RippleTech Informant
- nF Agent for Unix OS
- nF Agent for Websense Enterprise



nF Agent for Unix OS replaces the following two agents:

- nF Agent for HP Unix
- nF Agent for IBM AIX OS

#### **Updated Agents**

Agent Installation (October 2006) includes updates to the following agents:

- nF Agent for Sidewinder
- nF Agent for Dragon Sensor
- nF Agent for Sourcefire EStreamer
- nF Agent for Real Secure ISS Site Protector
- nF Agent for Real Secure Desktop Protector
- nF Agent for Arbor Peakflow X
- nF Agent for Cisco Secure IPS
- nF Agent for Symantec AntiVirus
- nF Agent for Foundstone Scanner
- nF Agent for Syslog File Agent (syslog)
- nF Agent for Syslog File Agent (Java)

### **Agent Installation Notes**

The version of CiscoWorks SIMS that you have determines whether, how, and when you use Agent Installation (October 2006). For detailed information, see **nFAgentReleaseNotes(October2006).Cisco.pdf**.

Version	Notes			
3.4.1	You already have the software that this update contains.			
3.4	You must apply Point Update 58405 from the <i>Agent Installation (October 2006)</i> CD-ROM before you install any of the agents included in this release:			
	• <b>nFSIM-3.4-PNTUPD-58405.tar.gz</b> is in the Prerequisite Point Updates/3.4 Prerequisite subdirectory.			
	• For detailed installation instructions, see <i>Point Update 58405 for CiscoWorks SIMS</i> 3.4 <i>Release Notes</i> , in the Documents subdirectory.			
3.3.1	You must apply Point Update 58404 from the <i>Agent Installation (October 2006)</i> CD-ROM before you install any of the agents included in this release:			
	• <b>nFSIM-3.3.1-PNTUPD-58404.tar.gz</b> is in the Prerequisite Point Updates/3.3.1 Prerequisite subdirectory.			
	• For detailed installation instructions, see <i>Point Update 58404 for CiscoWorks SIMS</i> 3.3.1 Release Notes, in the Documents subdirectory.			
3.3 or earlier	You must complete this procedure before you install any of the agents included in this release:			
	1. Upgrade to CiscoWorks SIMS 3.3.1.			
	For detailed upgrade instructions, see either:			
	• nFX OSP Version 3.3.1 Release Notes. or			
	• nFX OSP Version 3.3.1 Migration Update Release Notes.			
	2. From the Agent Installation (October 2006) CD-ROM, apply Point Update 58404:			
	• <b>nFSIM-3.3.1-PNTUPD-58404.tar.gz</b> is in the Prerequisite Point Updates/3.3.1 Prerequisite subdirectory.			
	• For detailed installation instructions, see <i>Point Update 58404 for CiscoWorks SIMS 3.3.1 Release Notes</i> , in the Documents subdirectory.			

# Product Availability Change Notice

## Note

- Although certain earlier releases of CiscoWorks SIMS provided a Starter Kit option, CiscoWorks SIMS 3.4.1 does not. Instead, you can purchase the CiscoWorks SIMS Enterprise Lite software, which provides additional software components. See Additional License Restrictions, page 20.
  - Registered CiscoWorks SIMS Starter Kit customers will receive a Starter Kit upgrade to CiscoWorks SIMS 3.4.1. See Additional License Restrictions, page 20.
  - Registered CiscoWorks SIMS Starter Kit users can follow an upgrade path to either CiscoWorks SIMS Enterprise Pack or CiscoWorks SIMS Enterprise Lite. See Additional License Restrictions, page 20.

# **Documentation Roadmap**

To install and use CiscoWorks SIMS effectively, read the core documents described in Table 1 on page 7 in the order listed.



- We might update this document after its original publication. Therefore, you should also review it on Cisco.com for any updates.
- To view Adobe Portable Document Format (PDF) files, Adobe Acrobat Reader 4.0 or later is required.

PD	F Filename	Document Title; Description and Intended Audience; Part Number	Written By netForensics	Written By Cisco Systems	PDF in the CiscoWorks SIMS ISO Image on Cisco.com	HTML and PDF on Cisco.com	
1.	nfsupp341.pdf (this document)	Supplement and Release Notes for CiscoWorks Sec Management Solution 3.4.1	urity Inf	ormatio	on		
		All users; describes Cisco-specific registration, licensing, product, features, known problems, and other information to supplement the documentation written by netForensics, Inc.					
		OL-11757-01		X		X	
2.	nFX_OSP3.4.1Release Notes.Cisco.pdf	CiscoWorks SIMS Version 3.4.1 Release Notes					
		All users; describes system requirements, supported platforms, product installation, product feature highlights, and known issues.					
		OL-11535-01	X		X		
3.	nFAgentReleaseNotes (October2006).Cisco.pdf	S CiscoWorks SIMS Agent Installation (October 2006) Release Notes					
		All users; describes required configuration tasks for the Agent Installation (October 2006) release.					
		OL-11579-01	X		X		
4.	nFX_OSP_HCE_Relea seNotes.Cisco.pdf	nFX OSP Historical Correlation Engine Release Notes					
		All users; describes system requirements, supported platforms, product installation, product feature highlights, and known issues.					
		OL-10759-01	X		X		

#### Table 1 Sequence to Read Core CiscoWorks SIMS Documentation

PDI	Filename	Document Title; Description and Intended Audience; Part Number	h By ensics	Mritten By Sisco Systems	PDF in the CiscoWorks SIMS SO Image on Cisco.com	HTML and PDF Dn Cisco.com	
	1		Written By netForensics	Written By Cisco Syst	PDF in ISO Im	HTML on Cis	
5.	nFX_OSP_DeplPlanIm plGuide.Cisco.pdf	nFX OSP Deployment Planning and Implementation ( Version 3.4	Guide				
	All users; describes CiscoWorks SIMS (nFX OSP) arch components, use cases, options, and requirements, and studies.					ase	
		OL-10758-01	X		X		
6.	nFX_OSP_InstallGuide .Cisco.pdf	Guide nFX OSP Unix and Windows Installation Guide Red Hat Enterprise Linux AS 2.1, AS 3.0 Solaris 8, Solaris 9 (SPARC) Windows 2000 Server and Advanced Server Windows 2003 Version 3.4.1 October 2006					
		Network and system administrators; provides details about the preparation for and installation of CiscoWorks SIMS (nFX OSP).					
		OL-11681-01	X		X		
7.	nFX_OSP_Administrati onGuide.Cisco.pdf	i nFX OSP Administration and Configuration Guide Version 3.4.1					
		All users; describes the user interface, architecture and concepts, prerequisites, configuration, user settings, component and administrative options, database management and performance, reports, device message formats, diagnostics, maintenance, rollback and fault tolerance.					
		OL-11531-01	X		X		

#### Table 1 Sequence to Read Core CiscoWorks SIMS Documentation (continued)

PDI	Filename	Document Title; Description and Intended Audience; Part Number	Written By netForensics	Written By Cisco Systems	PDF in the CiscoWorks SIMS ISO Image on Cisco.com	HTML and PDF on Cisco.com	
8.	nFX_OSP_UsersGuide. Cisco.pdf	nFX OSP User's Guide Version 3.4.1	1		I		
	Network security professionals and general users; de CiscoWorks SIMS (nFX OSP) to view and analyze sec in a computer network.						
		OL-11532-01	X		X		
9.	nFX_OSP_AdvancedU sersGuide.Cisco.pdf	nFX OSP Advanced User's Guide Version 3.4					
		Network security professionals, system and network admin describes security monitoring strategy, best practices, the for—and importance of—computer forensics, and the adva derived from incident response.					
		OL-10757-01	X		X		
10.	nFReportsguide.Cisco. pdf	nFX OSP Reports Guide Version 3.4.1	1				
		Network security professionals and system administrators; provides details about the reporting tools.					
		OL-11533-01	X		Х		
11.	nFX_OSP_IRMAdmin guide.Cisco.pdf	nFX OSP Incident Resolution Management Administr Version 3.4	ration G	uide			
		All users.					
		OL-10760-01	X		Х		

#### Table 1 Sequence to Read Core CiscoWorks SIMS Documentation (continued)

PDF	Filename	Document Title; Description and Intended Audience; Part Number	Written By netForensics	Written By Cisco Systems	PDF in the CiscoWorks SIMS ISO Image on Cisco.com	HTML and PDF on Cisco.com
12.	nFX_OSP_RBC_Admi nistrationGuide.Cisco.p	nFX OSP Rule Based Correlation Administration Guid Version 3.4	le			
	df	All users.				
		OL-10761-01	Х		Х	
13.	nFX_OSP_ RBC_LoggingTuningG uide.cisco.pdf	Logging Requirements and RBC Tuning Guide Version 3.4				
		Network security professionals, system and network administrators; provides details about tuning RBC engine rules in CiscoWorks SIMS (nFX OSP).				
		OL-10756-01	X		Х	
14.         nFX_OSP_VCAdminist rationGuide.Cisco.pdf         nFX OSP Vulnerability Correlation Administration Guide Version 3.4.1						
		All users.				
		OL-11534-01	X		Х	
15.	nFX_OSP_SecureCertif icateManagerGuide.Cis					
	co.pdf	Network security professionals and general users; provides details about using Secure Certificate Manager to generate certificates.				5
		OL-10974-01	X		X	

#### Table 1 Sequence to Read Core CiscoWorks SIMS Documentation (continued)

PDF	Filename	Document Title; Description and Intended Audience; Part Number	Written By netForensics	Written By Cisco Systems	PDF in the CiscoWorks SIMS ISO Image on Cisco.com	HTML and PDF on Cisco.com	
16.	nFX_OSP_QuickConne ct_UserGuide.Cisco.pd f	nFX OSP Quick Connect User Guide Version 3.4		•	•		
		Network security professionals and general users; provides information about Quick Connect features, architecture and configuration, describes the user interface, and provides step-by-step procedures for important tasks.					
		OL-10978-01	X		X		
17.	nFX_OSP_SecurityPort al.Cisco.pdf	nFX OSP Security Portal Server User's Guide Version 3.4					
		Network administrators; provides details about logging into and out of SPS, describes the SPS interface, tools, and controls, and provides examples of scheduled reports that you can view.					
		OL-10979-01	X		Х		

#### Table 1 Sequence to Read Core CiscoWorks SIMS Documentation (continued)

In addition, online help written by netForensics is integrated into the CiscoWorks SIMS (nFX OSP) product to provide all users with context-sensitive, task-oriented assistance.

## **Obtaining Documentation**

Cisco documentation and additional literature are available on Cisco.com. This section explains the product documentation resources that Cisco offers.



Cisco Systems does not sell documentation that netForensics prepares for users of nFX OSP products, also known collectively as CiscoWorks SIMS. For information on where and how a Cisco customer can obtain netForensics documentation for CiscoWorks SIMS 3.4, see Table 1 on page 7.

## Cisco.com

You can access the most current Cisco documentation at this URL:

http://www.cisco.com/techsupport

You can access the Cisco website at this URL:

http://www.cisco.com

You can access international Cisco websites at this URL:

http://www.cisco.com/public/countries\_languages.shtml

### Product Documentation DVD

The Product Documentation DVD is a library of technical product documentation on a portable medium. The DVD enables you to access installation, configuration, and command guides for Cisco hardware and software products. With the DVD, you have access to the HTML documentation and some of the PDF files found on the Cisco website at this URL:

http://www.cisco.com/univercd/home/home.htm

The Product Documentation DVD is created and released regularly. DVDs are available singly or by subscription. Registered Cisco.com users can order a Product Documentation DVD (product number DOC-DOCDVD= or DOC-DOCDVD=SUB) from Cisco Marketplace at the Product Documentation Store at this URL:

http://www.cisco.com/go/marketplace/docstore

### **Ordering Documentation**

You must be a registered Cisco.com user to access Cisco Marketplace. Registered users may order Cisco documentation at the Product Documentation Store at this URL:

http://www.cisco.com/go/marketplace/docstore

If you do not have a user ID or password, you can register at this URL:

http://tools.cisco.com/RPF/register/register.do

## **Documentation Feedback**

You can provide feedback about Cisco technical documentation on the Cisco Technical Support & Documentation site area by entering your comments in the feedback form available in every online document.

## **Cisco Product Security Overview**

Cisco provides a free online Security Vulnerability Policy portal at this URL:

http://www.cisco.com/en/US/products/products\_security\_vulnerability\_policy.ht ml

From this site, you will find information about how to do the following:

- · Report security vulnerabilities in Cisco products
- · Obtain assistance with security incidents that involve Cisco products
- Register to receive security information from Cisco

A current list of security advisories, security notices, and security responses for Cisco products is available at this URL:

http://www.cisco.com/go/psirt

To see security advisories, security notices, and security responses as they are updated in real time, you can subscribe to the Product Security Incident Response Team Really Simple Syndication (PSIRT RSS) feed. Information about how to subscribe to the PSIRT RSS feed is found at this URL:

http://www.cisco.com/en/US/products/products\_psirt\_rss\_feed.html

## **Reporting Security Problems in Cisco Products**

Cisco is committed to delivering secure products. We test our products internally before we release them, and we strive to correct all vulnerabilities quickly. If you think that you have identified a vulnerability in a Cisco product, contact PSIRT:

• For emergencies only—security-alert@cisco.com

An emergency is either a condition in which a system is under active attack or a condition for which a severe and urgent security vulnerability should be reported. All other conditions are considered nonemergencies.

• For nonemergencies—psirt@cisco.com

In an emergency, you can also reach PSIRT by telephone:

- 1877228-7302
- 1 408 525-6532



We encourage you to use Pretty Good Privacy (PGP) or a compatible product (for example, GnuPG) to encrypt any sensitive information that you send to Cisco. PSIRT can work with information that has been encrypted with PGP versions 2.x through 9.x.

Never use a revoked encryption key or an expired encryption key. The correct public key to use in your correspondence with PSIRT is the one linked in the Contact Summary section of the Security Vulnerability Policy page at this URL:

 $http://www.cisco.com/en/US/products/products\_security\_vulnerability\_policy.ht~ml$ 

The link on this page has the current PGP key ID in use.

If you do not have or use PGP, contact PSIRT to find other means of encrypting the data before sending any sensitive material.

## **Product Alerts and Field Notices**

Modifications to or updates about Cisco products are announced in Cisco Product Alerts and Cisco Field Notices. You can receive Cisco Product Alerts and Cisco Field Notices by using the Product Alert Tool on Cisco.com. This tool enables you to create a profile and choose those products for which you want to receive information.

To access the Product Alert Tool, you must be a registered Cisco.com user. (To register as a Cisco.com user, go to this URL: http://tools.cisco.com/RPF/register/register.do) Registered users can access the tool at this URL: http://tools.cisco.com/Support/PAT/do/ViewMyProfiles.do?local=en

## **Obtaining Technical Assistance**

Cisco Technical Support provides 24-hour-a-day award-winning technical assistance. The Cisco Technical Support & Documentation website on Cisco.com features extensive online support resources. In addition, if you have a valid Cisco service contract, Cisco Technical Assistance Center (TAC) engineers provide telephone support. If you do not have a valid Cisco service contract, contact your reseller.

### **Cisco Technical Support & Documentation Website**

The Cisco Technical Support & Documentation website provides online documents and tools for troubleshooting and resolving technical issues with Cisco products and technologies. The website is available 24 hours a day at this URL:

http://www.cisco.com/techsupport

Access to all tools on the Cisco Technical Support & Documentation website requires a Cisco.com user ID and password. If you have a valid service contract but do not have a user ID or password, you can register at this URL:

http://tools.cisco.com/RPF/register/register.do



Use the **Cisco Product Identification Tool** to locate your product serial number before submitting a request for service online or by phone. You can access this tool from the Cisco Technical Support & Documentation website by clicking the **Tools & Resources** link, clicking the **All Tools (A-Z)** tab, and then choosing **Cisco Product Identification Tool** from the alphabetical list. This tool offers three search options: by product ID or model name; by tree view; or, for certain products, by copying and pasting **show** command output. Search results show an illustration of your product with the serial number label location highlighted. Locate the serial number label on your product and record the information before placing a service call.



Displaying and Searching on Cisco.com

If you suspect that the browser is not refreshing a web page, force the browser to update the web page by holding down the Ctrl key while pressing F5.

To find technical information, narrow your search to look in technical documentation, not the entire Cisco.com website. On the Cisco.com home page, click the **Advanced Search** link under the Search box and then click the **Technical Support & Documentation** radio button.

To provide feedback about the Cisco.com website or a particular technical document, click **Contacts & Feedback** at the top of any Cisco.com web page.

### Submitting a Service Request

Using the online TAC Service Request Tool is the fastest way to open S3 and S4 service requests. (S3 and S4 service requests are those in which your network is minimally impaired or for which you require product information.) After you describe your situation, the TAC Service Request Tool provides recommended

solutions. If your issue is not resolved using the recommended resources, your service request is assigned to a Cisco engineer. The TAC Service Request Tool is located at this URL:

http://www.cisco.com/techsupport/servicerequest

For S1 or S2 service requests, or if you do not have Internet access, contact the Cisco TAC by telephone. (S1 or S2 service requests are those in which your production network is down or severely degraded.) Cisco engineers are assigned immediately to S1 and S2 service requests to help keep your business operations running smoothly.

To open a service request by telephone, use one of the following numbers:

Asia-Pacific: +61 2 8446 7411 Australia: 1 800 805 227 EMEA: +32 2 704 55 55 USA: 1 800 553 2447

For a complete list of Cisco TAC contacts, go to this URL:

http://www.cisco.com/techsupport/contacts

### **Definitions of Service Request Severity**

To ensure that all service requests are reported in a standard format, Cisco has established severity definitions.

Severity 1 (S1)—An existing network is "down" or there is a critical impact to your business operations. You and Cisco will commit all necessary resources around the clock to resolve the situation.

Severity 2 (S2)—Operation of an existing network is severely degraded, or significant aspects of your business operations are negatively affected by inadequate performance of Cisco products. You and Cisco will commit full-time resources during normal business hours to resolve the situation.

Severity 3 (S3)—Operational performance of the network is impaired while most business operations remain functional. You and Cisco will commit resources during normal business hours to restore service to satisfactory levels.

Severity 4 (S4)—You require information or assistance with Cisco product capabilities, installation, or configuration. There is little or no effect on your business operations.

# **Obtaining Additional Publications and Information**

Information about Cisco products, technologies, and network solutions is available from various online and printed sources.

• The Cisco Online Subscription Center is the website where you can sign up for a variety of Cisco e-mail newsletters and other communications. Create a profile and then select the subscriptions that you would like to receive. To visit the Cisco Online Subscription Center, go to this URL:

#### http://www.cisco.com/offer/subscribe

• The *Cisco Product Quick Reference Guide* is a handy, compact reference tool that includes brief product overviews, key features, sample part numbers, and abbreviated technical specifications for many Cisco products that are sold through channel partners. It is updated twice a year and includes the latest Cisco channel product offerings. To order and find out more about the *Cisco Product Quick Reference Guide*, go to this URL:

http://www.cisco.com/go/guide

• Cisco Marketplace provides a variety of Cisco books, reference guides, documentation, and logo merchandise. Visit Cisco Marketplace, the company store, at this URL:

http://www.cisco.com/go/marketplace/

• Cisco Press publishes a wide range of general networking, training, and certification titles. Both new and experienced users will benefit from these publications. For current Cisco Press titles and other information, go to Cisco Press at this URL:

http://www.ciscopress.com

• *Internet Protocol Journal* is a quarterly journal published by Cisco Systems for engineering professionals involved in designing, developing, and operating public and private internets and intranets. You can access the *Internet Protocol Journal* at this URL:

http://www.cisco.com/ipj

• Networking products offered by Cisco Systems, as well as customer support services, can be obtained at this URL:

http://www.cisco.com/en/US/products/index.html

• Networking Professionals Connection is an interactive website where networking professionals share questions, suggestions, and information about networking products and technologies with Cisco experts and other networking professionals. Join a discussion at this URL:

http://www.cisco.com/discuss/networking

• "What's New in Cisco Documentation" is an online publication that provides information about the latest documentation releases for Cisco products. Updated monthly, this online publication is organized by product category to direct you quickly to the documentation for your products. You can view the latest release of "What's New in Cisco Documentation" at this URL:

http://www.cisco.com/univercd/cc/td/doc/abtunicd/136957.htm

• World-class networking training is available from Cisco. You can view current offerings at this URL:

http://www.cisco.com/en/US/learning/index.html

## **CiscoWorks SIMS Licensing and Registration**

This section contains the following:

- Supplemental End-User License Agreement, page 20
- Registration and Licensing Notes for All CiscoWorks SIMS Components and Add-On Kits, page 24
- Getting Help with Licensing, page 24
- End User License, page 25

## Supplemental End-User License Agreement

SUPPLEMENTAL LICENSE AGREEMENT FOR CISCO SYSTEMS SECURITY MANAGEMENT SOFTWARE: CiscoWorks Security Information Management Solution Product Line

**IMPORTANT—READ CAREFULLY**: This Supplemental License Agreement ("SLA") contains additional limitations on the license to the Software provided to Customer under the Software License Agreement between Customer and Cisco. Capitalized terms used in this SLA and not otherwise defined herein shall have the meanings assigned to them in the Software License Agreement. To the extent that there is a conflict among any of these terms and conditions applicable to the Software, the terms and conditions in this SLA shall take precedence.

By installing, downloading, accessing or otherwise using the Software, Customer agrees to be bound by the terms of this SLA. If Customer does not agree to the terms of this SLA, Customer may not install, download, or otherwise use the Software. When used below, the term "server" refers to central processor unit.

#### 1. ADDITIONAL LICENSE RESTRICTIONS.

- **Installation and Use**. The Software and all of its related components are provided to Customer solely to install, update, supplement, or replace existing functionality of the applicable Security Management Software product. Customer may install and use the following Software components subject to the following restrictions:
  - CiscoWorks Security Information Management Solution (SIM) Starter Kit Software Upgrade:
  - Master Engine Server: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.
  - Distributed Engine Server: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.
  - Database: Customer may upgrade one (1) instance of the Oracle standard database on one (1) server with five (5) Oracle human users and up to four (4) CPUs per license certificate, in the Customer's network management environment. Additional Oracle human user licenses are purchased separately.

- Device Licenses for Agents: The SIM Starter Kit and its upgrade provide a license certificate to allow agents to monitor a minimum of thirty (30) devices, in the Customer's network environment. Additional device licenses are purchased separately.
- CiscoWorks Security Information Management Solution (SIM) Enterprise Lite Software:
- Master Engine Server: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Distributed Engine Server: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Database: Customer may install one (1) instance of the Oracle standard database on one (1) server with five (5) Oracle human users and up to four (4) CPUs per license certificate, in the Customer's network management environment. Additional Oracle standard or enterprise database or human user licenses are purchased separately.
- Device Licenses for Agents: The SIM Starter Kit and its upgrade provide a license certificate to allow agents to monitor up to five (5) devices, in the Customer's network environment. Additional device licenses are purchased separately.
- Enterprise Security Portal: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Quick Connect: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- CiscoWorks Security Information Management Solution (SIM) Enterprise Pack Software:
- Master Engine Server: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Distributed Engine Server: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Database: Customer may install one (1) instance of the Oracle standard database on one (1) server with five (5) Oracle human users and up to four (4) CPUs per license certificate, in the Customer's network management environment. Additional Oracle standard or enterprise database or human user licenses are purchased separately.

- Device Licenses for Agents: The SIM Starter Kit and its upgrade provide a license certificate to allow agents to monitor up to ten (10) devices, in the Customer's network management environment. Additional device licenses are purchased separately.
- Enterprise Security Portal: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Quick Connect: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Rule Based Correlation Engine: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Vulnerability Correlation Engine: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Incident Resolution Management: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- CiscoWorks Security Information Management Solution (SIM) Enterprise Pack Software Upgrade from the Starter Kit:
- Software Upgrade: Customer may upgrade on one (1) server of each SIMS software component, per license certificate, in the Customer's network management environment.
- Enterprise Security Portal: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.
- Quick Connect: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.
- Rule Based Correlation Engine: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.
- Vulnerability Correlation Engine: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.
- Incident Resolution Management: Customer may upgrade on one (1) server, per license certificate, in the Customer's network management environment.

- The following restrictions apply when Customer has exercised an option to purchase additional software components or device licenses for CiscoWorks SIMS:
- Enterprise Security Portal: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Rule Based Correlation Engine: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Vulnerability Correlation Engine: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Incident Resolution Management: Customer may install on one (1) server, per license certificate, in the Customer's network management environment.
- Distributed Engine: Customer may install on one (1) additional server, per license certificate, in the Customer's network management environment.
- Database: Customer may install one (1) additional standard or enterprise Oracle database on one (1) server, per license certificate, in the Customer's network management environment.
- License Certificate: Customer may use CiscoWorks SIMS software agents to monitor up to the total additional devices purchased for any or all category types in the Customer's network management environment.
- **Reproduction and Distribution**. Customer may neither reproduce nor distribute the Software. Software may be installed on a second server, provided that the first and second servers do not use the Software simultaneously. You may make a limited number of copies of the Software for archival purposes, provided that any copy must contain all of the proprietary notices accompanying the Software. If you receive your first copy of the Software electronically, and a second copy of the Software on media, then the second copy may be used for archival purposes only.

#### 2. DESCRIPTION OF OTHER RIGHTS AND LIMITATIONS.

Please refer to Software License Agreement, page 25.

## Registration and Licensing Notes for All CiscoWorks SIMS Components and Add-On Kits

To obtain a production license for your CiscoWorks SIMS software—including but not limited to the optional software components (see Additional License Restrictions, page 20), you must register your copy of the software. When registering, you must provide the Product Authorization Key (PAK), which is attached to the *Software License Claim Certificate* inside the shipped software package.

1. If you do not have a free Cisco.com user account, create one for yourself here:

http://tools.cisco.com/RPF/register/register.do

2. Log in to your Cisco.com user account, then register your software here:

http://www.cisco.com/go/license

After registration, the software license is sent to the email address you provided during registration. Keep this document with your other CiscoWorks SIMS paperwork and media.

### **Getting Help with Licensing**

If you have trouble using the registration website or this document, contact the Licensing Department in the Cisco Technical Assistance Center (TAC):

- Phone: +1 (800) 553-2447
- E-Mail: licensing@cisco.com
- http://www.cisco.com/tac

### **End User License**

#### Software License Agreement

PLEASE READ THIS SOFTWARE LICENSE AGREEMENT CAREFULLY BEFORE DOWNLOADING, INSTALLING OR USING CISCO OR CISCO-SUPPLIED SOFTWARE.

BY DOWNLOADING OR INSTALLING THE SOFTWARE, OR USING THE EQUIPMENT THAT CONTAINS THIS SOFTWARE, YOU ARE BINDING THE BUSINESS ENTITY THAT YOU REPRESENT ("CUSTOMER") TO THIS AGREEMENT. IF YOU DO NOT AGREE TO ALL OF THE TERMS OF THIS AGREEMENT, THEN (A) DO NOT DOWNLOAD, INSTALL OR USE THE SOFTWARE, AND (B) YOU MAY RETURN THE SOFTWARE FOR A FULL REFUND, OR, IF THE SOFTWARE IS SUPPLIED AS PART OF ANOTHER PRODUCT, YOU MAY RETURN THE ENTIRE PRODUCT FOR A FULL REFUND. YOUR RIGHT TO RETURN AND REFUND EXPIRES 30 DAYS AFTER PURCHASE FROM CISCO OR AN AUTHORIZED CISCO RESELLER, AND APPLIES ONLY IF CUSTOMER IS THE ORIGINAL END USER PURCHASER.

The following terms of this Software License Agreement ("Agreement") govern Customer's access and use of the Software, except to the extent (a) there is a separate signed agreement between Customer and Cisco governing Customer's use of the Software or (b) the Software includes a separate "click-accept" license agreement as part of the installation and/or download process. To the extent of a conflict between the provisions of the foregoing documents, the order of precedence shall be (1) the signed agreement, (2) the click-accept agreement, and (3) this Software License Agreement.

*License*. Subject to the terms and conditions of this Agreement, Cisco Systems, Inc. or its subsidiary licensing the Software instead of Cisco Systems, Inc. ("Cisco"), grants to Customer a nonexclusive and nontransferable license to use for Customer's internal business purposes the Software and the Documentation for which Customer has paid the required license fees. "Documentation" means written information (whether contained in user or technical manuals, training materials, specifications or otherwise) regarding the Software and made available by Cisco in any manner (including on CD-ROM, or on-line).

Customer's license to use the Software shall be limited to, and Customer shall not use the Software in excess of, a single hardware chassis or card or that number of agent(s), concurrent users, sessions, IP addresses, port(s), seat(s), server(s) or site(s), as set forth in the applicable Purchase Order which has been accepted by Cisco and for which Customer has paid to Cisco the required license fee.

Unless otherwise expressly provided in the Documentation, Customer shall use the Software solely as embedded in, for execution on, or (where the applicable documentation permits installation on non-Cisco equipment) for communication with Cisco equipment owned or leased by Customer. **NOTE:** For evaluation or beta copies for which Cisco does not charge a license fee, the above requirement to pay license fees does not apply.

*General Limitations.* Except as otherwise expressly provided under this Agreement, Customer shall have no right, and Customer specifically agrees not to:

(i) transfer, assign or sublicense its license rights to any other person or entity, or use the Software on unauthorized or secondhand Cisco equipment, and Customer acknowledges that any attempted transfer, assignment, sublicense or use shall be void;

(ii) make error corrections to or otherwise modify or adapt the Software or create derivative works based upon the Software, or permit third parties to do the same;

(iii) decompile, decrypt, reverse engineer, disassemble or otherwise reduce the Software to human-readable form; or

(iv) use or permit the Software to be used to perform services for third parties without the express written authorization of Cisco.

To the extent required by law, and at Customer's written request, Cisco shall provide Customer with the interface information needed to achieve interoperability between the Software and another independently created program, on payment of Cisco's applicable fee, if any. Customer shall observe strict obligations of confidentiality with respect to such information.

*Software, Upgrades and Additional Copies.* For purposes of this Agreement, "Software" shall include (and the terms and conditions of this Agreement shall apply to) computer programs, including firmware, as provided to Customer by Cisco or an authorized Cisco reseller, and any upgrades, updates, bug fixes or modified versions thereto (collectively, "Upgrades") or backup copies of the Software licensed or provided to Customer by Cisco or an authorized Cisco reseller. NOTWITHSTANDING ANY OTHER PROVISION OF THIS

AGREEMENT: (1) CUSTOMER HAS NO LICENSE OR RIGHT TO USE ANY ADDITIONAL COPIES OR UPGRADES UNLESS CUSTOMER, AT THE TIME OF ACQUIRING SUCH COPY OR UPGRADE, ALREADY HOLDS A VALID LICENSE TO THE ORIGINAL SOFTWARE AND HAS PAID THE APPLICABLE FEE FOR THE UPGRADE; (2) USE OF UPGRADES IS LIMITED TO CISCO EQUIPMENT FOR WHICH CUSTOMER IS THE ORIGINAL END USER PURCHASER OR LESSEE OR WHO OTHERWISE HOLDS A VALID LICENSE TO USE THE SOFTWARE WHICH IS BEING UPGRADED; AND (3) THE MAKING AND USE OF ADDITIONAL COPIES IS LIMITED TO NECESSARY BACKUP PURPOSES ONLY.

**Proprietary Notices.** Customer agrees to maintain and reproduce all copyright and other proprietary notices on all copies, in any form, of the Software in the same form and manner that such copyright and other proprietary notices are included on the Software. Except as expressly authorized in this Agreement, Customer shall not make any copies or duplicates of any Software without the prior written permission of Cisco.

**Protection of Information.** Customer agrees that aspects of the Software and associated Documentation, including the specific design and structure of individual programs, are trade secrets and/or copyrighted materials of Cisco, its suppliers or licensors. Customer shall not disclose, provide, or otherwise make available such trade secrets or copyrighted material in any form to any third party without the prior written consent of Cisco. Customer shall implement reasonable security measures to protect such trade secrets and copyrighted materials. Title to Software and Documentation shall remain solely with Cisco, its suppliers or licensors.

*Term and Termination.* This Agreement and the license granted herein shall remain effective until terminated. Customer may terminate this Agreement and the license at any time by destroying all copies of Software including any Documentation. Customer's rights under this Agreement will terminate immediately without notice from Cisco if Customer fails to comply with any provision of this Agreement. Upon termination, Customer shall destroy all copies of Software and Documentation in its possession or control.

*Customer Records.* Customer grants to Cisco and its independent accountants the right to examine Customer's books, records and accounts during Customer's normal business hours to verify compliance with this Agreement. In the event such audit discloses non-compliance with this Agreement, Customer shall promptly pay to Cisco the appropriate license fees.

*Export.* Software, including technical data, may be subject to U.S. export control laws, including the U.S. Export Administration Act and its associated regulations, and may be subject to export or import regulations in other countries. Customer agrees to comply strictly with all such regulations and acknowledges that it has the responsibility to obtain licenses to export, re-export, or import Software.

**U.S. Government End User Purchasers.** The Software and Documentation qualify as "commercial items," as that term is defined at 48 C.F.R. 2.101, consisting of "commercial computer software" and "commercial computer software documentation" as such terms are used in 48 C.F.R. 12.212. Consistent with 48 C.F.R.12.212 and 48 C.F.R. 227.7202-1 through 227.7202-4, Customer will provide to Government end user, or, if this Agreement is direct Government end user will acquire, the Software and software documentation with only those rights set forth herein that apply to non-governmental customers. Use of this Software and Documentation constitutes agreement by the Government entity that the computer software and Documentation is commercial, and constitutes acceptance of the rights and restrictions herein.

#### Limited Warranty

Cisco warrants that commencing from the date of shipment to Customer (but in case of resale by an authorized Cisco reseller, commencing not more than ninety (90) days after original shipment by Cisco), and continuing for a period of the longer of (a) ninety (90) days or (b) the software warranty period (if any) set forth in the warranty card accompanying the Product (if any): (a) the media on which the Software is furnished will be free of defects in materials and workmanship under normal use; and (b) the Software substantially conforms to its published specifications. The date of shipment of a Product by Cisco is set forth on the packaging material in which the Product is shipped. Except for the foregoing, the Software is provided AS IS. This limited warranty extends only to the Customer who is the original licensee. Customer's sole and exclusive remedy and the entire liability of Cisco and its suppliers and licensors under this limited warranty will be, at Cisco's option, repair, replacement, or refund of the Software if reported (or, upon request, returned) to Cisco or the party supplying the Software to Customer, if different than Cisco. In no event does Cisco warrant that the Software is error free or that Customer will be able to operate the Software without problems or interruptions. In addition, due to the continual development of new techniques for intruding upon and attacking networks, Cisco does not warrant that the Software or any equipment, system or network on which the Software is used will be free of vulnerability to intrusion or attack.

**Restrictions.** This warranty does not apply if the Software, Product or any other equipment upon which the Software is authorized to be used (a) has been altered, except by Cisco, (b) has not been installed, operated, repaired, or maintained in accordance with instructions supplied by Cisco, (c) has been subjected to abnormal physical or electrical stress, misuse, negligence, or accident; or (d) is licensed, for beta, evaluation, testing or demonstration purposes for which Cisco does not charge a purchase price or license fee.

DISCLAIMER OF WARRANTY. EXCEPT AS SPECIFIED IN THIS WARRANTY, ALL EXPRESS OR IMPLIED CONDITIONS, REPRESENTATIONS, AND WARRANTIES INCLUDING, WITHOUT LIMITATION, ANY IMPLIED WARRANTY OR CONDITION OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, NONINFRINGEMENT, SATISFACTORY QUALITY OR ARISING FROM A COURSE OF DEALING, LAW, USAGE, OR TRADE PRACTICE, ARE HEREBY EXCLUDED TO THE EXTENT ALLOWED BY APPLICABLE LAW AND ARE EXPRESSLY DISCLAIMED BY CISCO, ITS SUPPLIERS AND LICENSORS. TO THE EXTENT AN IMPLIED WARRANTY CANNOT BE EXCLUDED, SUCH WARRANTY IS LIMITED IN DURATION TO THE WARRANTY PERIOD. BECAUSE SOME STATES OR JURISDICTIONS DO NOT ALLOW LIMITATIONS ON HOW LONG AN IMPLIED WARRANTY LASTS, THE ABOVE LIMITATION MAY NOT APPLY. THIS WARRANTY GIVES CUSTOMER SPECIFIC LEGAL RIGHTS, AND CUSTOMER MAY ALSO HAVE OTHER RIGHTS WHICH VARY FROM JURISDICTION TO JURISDICTION. This disclaimer and exclusion shall apply even if the express warranty set forth above fails of its essential purpose.

#### General Terms Applicable to the Limited Warranty Statement and Software License

**Disclaimer of Liabilities.** IN NO EVENT WILL CISCO OR ITS SUPPLIERS BE LIABLE FOR ANY LOST REVENUE, PROFIT, OR LOST OR DAMAGED DATA, OR FOR SPECIAL, INDIRECT, CONSEQUENTIAL, INCIDENTAL, OR PUNITIVE DAMAGES HOWEVER CAUSED AND REGARDLESS OF THE THEORY OF LIABILITY OR WHETHER ARISING OUT OF THE USE OF OR INABILITY TO USE SOFTWARE OR OTHERWISE AND EVEN IF CISCO OR ITS SUPPLIERS OR LICENSORS HAVE BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. In no event shall Cisco's or its suppliers' or licensors' liability to Customer, whether in contract, tort (including negligence), or otherwise, exceed the price paid by Customer for the Software that gave rise to the claim or if the Software is part of another Product, the price paid for such other Product. The foregoing limitations shall apply even if the above-stated warranty fails of its essential purpose. BECAUSE SOME STATES OR JURISDICTIONS DO NOT ALLOW LIMITATION OR EXCLUSION OF CONSEQUENTIAL OR INCIDENTAL DAMAGES, THE ABOVE LIMITATION MAY NOT APPLY TO YOU.

The Warranty and the Software License shall be governed by and construed in accordance with the laws of the State of California, without reference to principles of conflict of laws. The United Nations Convention on the International Sale of Goods shall not apply. If any portion hereof is found to be void or unenforceable, the remaining provisions of the Agreement shall remain in full force and effect. Except as expressly provided herein, this Agreement constitutes the entire agreement between the parties with respect to the license of the Software and supersedes any conflicting or additional terms contained in any purchase order or elsewhere all of which terms are excluded.

This document is to be used in conjunction with the documents listed in Documentation Roadmap, page 6.

CCVP, the Cisco Logo, and the Cisco Square Bridge logo are trademarks of Cisco Systems, Inc.; Changing the Way We Work, Live, Play, and Learn is a service mark of Cisco Systems, Inc.; and Access Registrar, Aironet, BPX, Catalyst, CCDA, CCDP, CCIE, CCIP, CCNA, CCNP, CCSP, Cisco, the Cisco Certified Internetwork Expert logo, Cisco IOS, Cisco Press, Cisco Systems, Cisco Systems Capital, the Cisco Systems logo, Cisco Unity, Enterprise/Solver, EtherChannel, EtherFast, EtherSwitch, Fast Step, Follow Me Browsing, FormShare, GigaDrive, GigaStack, HomeLink, Internet Quotient, IOS, IP/TV, iQ Expertise, the iQ logo, iQ Net Readiness Scorecard, iQuick Study, LightStream, Linksys, MeetingPlace, MGX, Networking Academy, Network Registrar, *Packet*, PIX, ProConnect, RateMUX, ScriptShare, SlideCast, SMARTnet, StackWise, The Fastest Way to Increase Your Internet Quotient, and TransPath are registered trademarks of Cisco Systems, Inc. and/or its affiliates in the United States and certain other countries.

All other trademarks mentioned in this document or Website are the property of their respective owners. The use of the word partner does not imply a partnership relationship between Cisco and any other company. (0609R)

© 2006 Cisco Systems, Inc. All rights reserved.