# Gauntlet® for IRIX® Administrator's Guide

Version 4.1

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Gauntlet® for IRIX® Administrator's Guide Document Number 007-2826-005

## Contents

List of Figures xxv

**PART I** 

1.

**About This Guide** xxix

## Audience for This Guide xxix Contents of This Guide xxix Conventions Used in This Guide xxx Additional Documentation xxx Additional Resources xxx Books xxxi Newsgroups xxxi Mailing Lists xxxi Frequently Asked Questions Lists xxxi White Papers xxxii How to Get Latest Security Patches xxxii **Introducing the Gauntlet Firewall Understanding the Gauntlet Firewall** 1 Understanding Gauntlet Firewall Concepts 2 Gauntlet Firewall Design Philosophy 2 Establishing a Security Perimeter 2

Trusted and Untrusted Networks 3

Trusted Networks 3 Untrusted Networks 3 Unknown Networks 4

Service Groups 4 Transparency 5 2.

Understanding Gauntlet Firewall Components 5	
Gauntlet Firewall Hardware 5	
Gauntlet Firewall Software 5	
Gauntlet Firewall Operating System 5	
Application Level Security Services (Proxies)	6
IP Screening Utility 8	
Management Utilities 8	
How the Gauntlet Firewall Works 8	
Dual-Homed Bastion Host 10	
Processing Packets and Requests 12	
Receiving Packets 12	
Checking Source and Destination 13	
Checking the Request Type 13	
Calling the Appropriate Program 13	
Processing the Request 14	
Managing the Gauntlet Firewall 15	
General Management and Maintenance 16	
Creating User Accounts 16	
Backing Up and Restoring 16	
Managing Gauntlet Firewall Options 17	
Understanding the Gauntlet Firewall Manager 17	
Firewall Manager Graphical Interface 17	
Firewall Server 18	

```
Planning the Firewall 19
   Configuring the Firewall 19
   Configuring Your System 20
   Configuring Your Web Browser 21
   Accessing the Gauntlet Firewall Manager 21
   Exiting the Gauntlet Firewall Manager 22
   Saving Your Changes 23
       Quit Menu Command 23
       Save Menu Command 23
       Save and Apply Menu Command 23
       Save, Apply, and Reboot Menu Command 24
       Reboot Menu Command 24
       Cancel 24
Getting Help 24
Managing the Gauntlet Firewall
Service Groups and Service Group Rules 27
Understanding Service Groups 27
   Default Service Groups 28
       Trusted Service Group 29
       Untrusted Service Group 29
   Service Group Membership 30
   When to Add New Service Groups 30
Accessing Service Group Configuration 31
Configuring Service Groups 32
   Planning Service Groups 32
   Creating Service Groups 33
       Modifying Service Groups 34
       Deleting Service Groups 35
Understanding Service Group Rules 35
   Order of Precedence 35
Accessing Service Group Rules Configuration 36
```

**PART II** 

3.

Using the Gauntlet Firewall Manager 18

4.

5.

Adding Service Group Rules 37 Modifying Service Group Rules 38 Deleting Service Group Rules 38 Changing Order of Precedence 38 **Destination Access** 39 **Understanding Destination Access** 39 How Destination Access Works 40 Default Destination Access Rules 40 Order of Precedence 40 Considerations for Specifying Destination Addresses 42 Unknown Keyword 42 Accessing Destination Access Configuration 43 **Configuring Destination Access Rules** Planning Destination Access Rules 45 Creating Destination Access Rules 45 Modifying Destination Access Rules 46 Deleting Destination Access Rules 46 Changing Order of Precedence 46 Networks and Network Groups 47 Understanding Networks and Network Groups 47 Default Network Groups 48 Trusted Network Group 48 Untrusted Network Group 49 When to Add New Network Groups 49 Accessing Network Configuration 50 Configuring Networks 50 Planning Networks 50 Creating Networks 51 Modifying Networks 52 Deleting Networks 53 Accessing Network Group Configuration 53

Planning Network Groups 54 Creating Network Groups 54 Modifying Network Groups 56 Deleting Network Groups 56 Users and User Groups 57 Understanding the User Authentication Management System 57 How the Firewall Uses Authentication Information 58 How Other Services Use Authentication Information 58 Understanding Users and Groups 59 Users in the Authentication Management System 59 Groups in the Authentication Management System 59 **Understanding Strong Authentication** Access Key II Authentication APOP 60 CRYPTOCard RB-1 61 Digipass Authentication 61

SafeWord Authentication Server 61

SecurID Authentication 62 S/Key Authentication 62 RADIUS Authentication 62 Reusable Passwords 63

Configuring Network Groups 54

6.

7.

```
Configuring Users 63
   Accessing User Configuration 63
   Creating Users 64
   Modifying Users 64
       Changing User Names 65
       Changing User IDs 65
       Changing Group Membership 65
       Changing Authentication Method 66
       Changing Passwords 66
       Allowing Users to Change Their Password 67
   Enabling Users 68
   Disabling Users 68
   Deleting Users 69
Managing Groups 69
   Creating Groups 69
   Disabling Groups 70
   Deleting Groups 70
User Restrictions 71
Understanding User Restrictions 71
How User Restrictions Work 72
   Order of Precedence 72
Accessing User Restriction Configuration 73
Configuring User Restriction Rules 75
   Planning User Restriction Rules
   Creating User Restriction Rules
   Modifying User Restriction Rules 76
   Deleting User Restriction Rules
   Changing Order of Precedence 77
```

#### PART III Configuring and Using Proxy Services

#### 8. Managing Proxy Services 81

**Understanding Proxy Services** 81

Configuring Proxy Services 82

Creating Multiple Configurations for a Proxy 82

Working With Configuration Sets 83

Planning Configuration Sets 83

Creating Configuration Sets 84

Modifying Configuration Sets 85

Deleting Configuration Sets 85

#### 9. Managing FTP Services 87

Understanding the FTP Proxy 87

How the FTP Proxy Works 88

Accessing FTP Proxy Configuration 89

Configuring the Firewall for FTP Services 89

Planning FTP Proxy Settings 90

Configuring FTP Proxy Settings 90

Enabling FTP Proxy Services 90

Creating Authentication User Entries 90

Verifying Your Setup 91

Using FTP Services 91

Using Authentication 91

Using Authentication With Some GUI FTP Tools 92

Running an Anonymous FTP Server 94

#### 10. Managing LDAP Services 95

Understanding the LDAP Proxy 95

How the LDAP Proxy Works 96

Planning the LDAP Proxy 97

Configuring LDAP Clients 97

Configuring LDAP Clients With Transparency 97

Configuring LDAP Clients Without Transparency 97

Configuring LDAP Proxy Settings 97

Enabling LDAP Services 99

## 11. Managing Microsoft SQL Services 101 Understanding the SQL Server Proxy 101 How the SQL Server Proxy Works 102 Accessing SQL Server Proxy Configuration 103 Configuring the Firewall for Microsoft SQL Services 104 Planning SQL Server Proxy Settings 104 Configuring SQL Server Proxy Settings 105 Enabling SQL Server Proxy Services 107 Configuring Microsoft SQL Clients 108 Verifying Your Setup 108 12. Managing Multimedia Services 109 Understanding the Multimedia Proxy 110 How the Multimedia Proxy Works 110 Accessing Multimedia Proxy Configuration 111 Configuring the Firewall for Multimedia Services Planning the Firewall for Multimedia Services **Configuring Multimedia Proxy Services** Enabling Multimedia Proxy Services 113 Verifying Your Setup 113 Using the NetShow Proxy 114 Using the NetShow Proxy With Transparency 114 Using the NetShow Proxy Without Transparency 114 Using the RealPlayer Proxy 115 Using the RealPlayer Proxy With Transparency 115 Using the Proxy for Real Audio and Real Video Proxy Without Transparency 116 Using the StreamWorks Proxy 117 Using the VDOLive Proxy 118

#### 13. Managing Network Management Services 121

Understanding the SNMP Proxy 121 How the SNMP Proxy Works 122 SNMP Requests 122 SNMP Trap Requests 123 Accessing SNMP Proxy Configuration 123
Configuring the Firewall for SNMP Services 124
Planning the Firewall for SNMP Services 124
Configuring SNMP Proxy Settings 125
Enabling SNMP Proxy Services 126
Configuring SNMP Agents 127

#### 14. Managing News Services 129

Understanding the News Proxy 130

How the News Proxy Works 130

Configuring the Firewall for News Services 131

Planning News Settings 131

Configuring News Settings 131

Enabling News Proxy Services 134

Informing Your News Feed 134

Configuring Your News Server 134

Using News 135

#### 15. Managing Print Services 137

Understanding the lp Proxy 137

How the lp Proxy Works 138

Configuring the Print Client 140

Configuring the Print Server 140

Accessing lp Proxy Configuration 141

Configuring the Firewall for lp Services 142

Planning lp Proxy Settings 142

Configuring lp Proxy Settings 142

Enabling the *lp* Proxy 144

Using lp Services 144

#### **16. Managing rsh Services** 145

Understanding the rsh Proxy 145 How the rsh Proxy Works 146 Accessing rsh Proxy Configuration 147 Configuring the Firewall for rsh Services 147
Planning rsh Proxy Settings 147
Configuring rsh Proxy Settings 148
Enabling rsh Proxy Services 148
Verifying Your Setup 148
Using rsh Services 149
Configuring the Remote System 149

#### 17. Managing Sybase Services 151

Understanding the Sybase Proxy 151
How the Sybase Proxy Works 152
Accessing Sybase Proxy Configuration 153
Configuring the Firewall for Sybase Services 154
Planning Sybase Proxy Settings 154
Configuring Sybase Proxy Settings 154
Enabling Sybase Proxy Services 155
Configuring Sybase Clients 156
Verifying Your Setup 156

#### 18. Managing Terminal Services 157

Understanding the TELNET and rlogin Proxies 158

How the TELNET and rlogin Proxies Work 159

Accessing TELNET and rlogin Proxy Configuration 160

Configuring the Firewall for Terminal Services 161

Planning TELNET and rlogin Proxy Settings 161

Configuring TELNET and rlogin Proxy Settings 162

Enabling TELNET and rlogin Proxy Services 162

Creating Authentication User Entries 162

Verifying Your Setup 162

Using Terminal Services 163

TELNET, rlogin, and TN3270 Without Authentication 163

TELNET and rlogin with Authentication 163

TN3270 With Authentication 165

#### 19. Managing WWW and Gopher Services 167

Understanding the HTTP and Gopher Proxies 168

How the HTTP, Gopher, and SSL Proxies Work 168

Authenticated HTTP 169

Gopher and FTP Services 170

SHTTP and SSL Services 170

Accessing HTTP, SSL, and Gopher Proxy Configuration 171

Configuring the Firewall for Web and Gopher Services 173

Planning Web and Gopher Service Proxy Settings 173

Configuring Web and Gopher Service Proxy Settings 174

Configuring HTTP Proxy Settings 174

Configuring Authenticated HTTP Proxy Settings 174

Configuring SSL Proxy Settings 174

Configuring Gopher Proxy Settings 174

**Enabling Proxy Services** 175

Creating User Authentication Entries 175

Verifying Your Setup 175

Using Web Services 175

Transparent Access 175

Non-Standard Ports 176

Authenticated HTTP 176

Non-Transparent Access 176

Using Proxy-Aware Browsers 176

Configuring Web Browsers 176

Accessing Web Services without Authentication 178

Accessing Web Services with Authentication 178

Using Non-Proxy-Aware Browsers 179

Configuring Web Browsers 179

Accessing Web Services 179

Using Gopher Services 180

Running a Web Server 180

20.

## Understanding the X11 Proxy 181 How the X11 Proxy Works 182 Accessing X11 Proxy Configuration 183 Configuring the Firewall for X11 Services 183 Planning X11 Proxy Settings 184 Configuring X11 Proxy Settings 184 Enabling X11 Proxy Services 185 Verifying Your X11 Proxy Setup 185 Using X11 Services 185 21. **Managing Custom Services** 189 Understanding the Plug Proxy 190 How the Plug Proxy Works 191 Accessing Plug Proxy Service Configuration 192 Configuring the Firewall for Plug Proxy Services 192 Planning 193 Configuring Plug Proxy Settings 193 Enabling Plug Proxy Services 195 Configuring Your Service 195 22. **Managing Custom Services With Authentication** 197 Understanding the Circuit Proxy 198 How the Circuit Proxy Works 199 Accessing Circuit Proxy Configuration 200 Configuring the Firewall for Circuit Proxy Services 201 Planning 201 Configuring Circuit Proxy Settings 202 Enabling Circuit Proxy Services 204 Verifying Your Setup 204 Using the Circuit Proxy 204

Managing X Window Services 181

#### 23. Managing MediaBase Services 207

Understanding the MediaBase Proxy 207

How It Works 208

Configuring the Firewall to Use the MediaBase Proxy 208

Planning 208

Configuring Network Services 209

Configuring MediaBase Proxy Settings 209

Enabling MediaBase Services 209

Using the MediaBase Proxy 210

Verifying Your Setup 210

### PART IV Managing the Firewall Environment

#### 24. Mail Services 213

Understanding the SMTP Proxy 214

How the SMTP Proxy Works 214

Accessing SMTP Proxy Configuration 215

Configuring the Firewall for SMTP Services 210

Planning SMTP Proxy Services 216

Configuring SMTP Proxy Services 216

Enabling SMTP Proxy Services 217

Configuring Other Settings 218

Advertising the Firewall as a Mail Exchanger 218

Configuring Your Internal Mail Hub 218

Verifying Your Setup 218

Using Mail 219

Understanding the POP3 Proxy 219

How the POP3 Proxy Works 220

Accessing POP3 Proxy Configuration 220

Configuring the Firewall for POP3 Services 221

Planning 221

Configuring POP3 Proxy Settings 222

Creating User Authentication Entries 222

Enabling POP3 Proxy Services 222

Configuring Your Internal POP3 Mail Server 223
Using POP3 to Exchange Mail 223
Using the POP3 Proxy with Multiple POP3 Servers 224

#### 25. Managing Packet Screening 225

Understanding Packet Screening 226

How Packet Screening Works 227

How Packet Screening Rules Work 227

Packet Screening Action Rules 227

Deny Rules 227

Permit Rules 228

Absorb Rules 228

Packet Screening Field Rules 229

Source IP Address and Destination IP Address Rules 229

Interface Rules 230

Protocol Rules 230

Source Port and Destination Port Rules 231

Order of Precedence of Packet Screening Rules 231

Accessing Packet Screening Configuration 232

Adding Packet Screening Rules 233

Planning Packet Screening Rules 233

Creating Packet Screening Rules 233

Loading Packet Screening Rules 235

Modifying Packet Screening Rules 235

Deleting Packet Screening Rules 235

Changing Order of Precedence 236

Verifying Your Configuration 236

#### PART V Managing Additional Firewall Services

#### 26. Managing Logging and Reporting 239

Understanding Logging and Reporting 239

Creating Logs 240

Configuring Logs 241

Customizing Kernel Alerting and Logging 241

Configuring Additional Logging 242

Configuring Log Retention Time 242

Creating Reports 243

Creating Service Summary Reports 244

Creating Exception Reports 244

Creating Other Reports 245

Configuring Reports 245

Accessing Report Configuration 245

Configuring Report Recipients 245

Configuring Report Frequency 246

Configuring Events to Ignore in Exception Reports 246

Security Alerts 248

Reading Logs and Reports 249

Reading Logs 249

Reading Service Summary Reports 250

Reading Exception Reports 250

Exception Reports: The Security Alerts Section 251

Exception Reports: The System Warnings Section 251

Exception Reports: Possible Items of Interest Section 251

Exception Reports: Example 252

#### 27. Verifying Integrity 253

Understanding System Integrity 253

How System Integrity Works 254

Configuring Integrity Checks 254

Accessing Integrity Checking 254

Configuring Files to Ignore 255

Creating an Integrity Database 256

Planning an Integrity Database 256

Creating the Database 256

Protecting the Integrity Database 257

Updating the Integrity Database 257

Updating the Database 257

Verifying System Integrity 257

Check Integrity 258

Viewing and Understanding the Results 258

#### 28. Managing Virtual Private Networks 259

Understanding VPNs 260

Privacy With Trust (Trusted Link) 262

Privacy Without Trust (Private Link) 262

Encryption Through Multiple Firewalls (Passthrough Link) 263

How Virtual Private Networks Work 264

Encrypting the Data 264

Decrypting the Data 264

Routing the Packet 265

Accessing Encryption Key Configuration 265

Working With Encryption Keys 265

Planning Encryption Keys 265

Creating Encryption Keys 266

Modifying Encryption Keys 267

Deleting Encryption Keys 267

Accessing the VPN Configuration 268

```
Working With the VPN Configuration 268
   Planning VPNs 268
   Creating a Trusted VPN 269
   Creating a Passthrough Link 270
   Modifying VPNs 271
   Deleting VPNs 272
   Starting Your VPN 272
   Testing Your VPN 272
   Stopping Your VPN 273
Managing Web and Gopher Servers
Understanding the Info Server 275
How the Info Server Works 276
   How the Database Works
       Info Server Directories 277
       Info Server Data Files 277
       Info Server Queries and Executable Programs 278
       Info Server Gopher Menu Files
Accessing Info Server Configuration 279
Configuring the Firewall to Run an Info Server
                                             280
   Planning an Info Server 280
   Creating Files for an Info Server 280
   Placing Info Server Files on the Firewall 280
   Adding Files to the Info Server Database
       Adding Text Files to the Info Server Database 281
       Adding Binary Files to the Info Server Database
       Adding Query Files to the Info Server Database
   Creating Info Server Gopher Menu Files
   Enabling the Info Server 283
   Verifying Your Info Server Setup 284
Using the Info Server 284
   Updating Info Server Files 284
```

29.

## 30. Managing Content Scanning 285 **Understanding Content Scanning** 285 FTP 286 SMTP Mail 286 HTTP 286 Content Scanning Engine 287 How Content Scanning Works 287 Accessing Content Scanning Configuration 288 Configuring the Firewall to Use Content Scanning 289 Planning the Firewall Configuration for Content Scanning 290 Configuring and Enabling Content Scanning 290 Configuring the Content Scanner 291 31. Managing URL Filtering 293 Understanding URL Filtering 293 Denying and Allowing Access to Specified URLs 294 Filtering URL Headers 294 Configuring URL Filtering 294 Planning URL Filtering 294 Configuring URL Filtering Settings 295 Enabling URL Filtering Services 296 Understanding Cyber Patrol 297 Configuring Cyber Patrol 299 Planning 299 **Configuring Cyber Patrol Settings** 300 Enabling Cyber Patrol Services 304 32. Managing the Network Management Agent 305 Understanding the SNMP Agent 306 How the SNMP Agent Works 306

Accessing SNMP Agent Configuration 307

```
Configuring the Firewall as an SNMP Agent 307
   Planning SNMP Agent Settings 308
   Configuring SNMP Agent Settings 308
   Enabling the SNMP Agent 308
Configuring SNMP Network Managers 309
   CA Unicenter Configuration Package 309
   HP OpenView 309
Understanding SNMP Agent Replies 310
   SNMP Agent and Management Information Base 310
       SNMP Agent Community 310
       SNMP Agent Object ID 311
       Trap 311
Login Shell 313
Understanding the Login Shell Program 313
How the Login Shell Program Works 314
Configuring the Firewall to Use the Login Shell Program 314
   Planning Remote Login 314
   Enabling Remote Login 314
   Adding Support for the Login Shell 315
   Creating User Accounts 315
   Configuring the Proxy Rules 315
   Configuring the Shell 316
   Creating User Authentication Records 316
   Securing Other Applications
   Verifying Your Setup 317
Using the Login Shell Program 317
   Accessing the Firewall From Trusted Networks 317
   Accessing the Firewall From Untrusted Networks 318
   Changing Password for User Account 318
```

**33**.

#### PART VI Appendices, Glossary, and Index

#### A. Installing and Upgrading to Gauntlet 4.1 321

Gauntlet Execution Environment Subsystems 322

Gauntlet Disk Space Requirements 323

Prerequisites for Installing Gauntlet 323

Software License 323

IRIX Software Prerequisites 324

Installing the Software 324

Files In This Release 326

Gauntlet Configuration 326

Activating Your Gauntlet License 327

A Few Definitions 327

Obtaining and Installing a Software License 328

Upgrading to Gauntlet 4.1 330

Upgrade Instructions 330

How the Upgrade Program Works 330

Upgrade Considerations 331

#### B. Initializing Strong Authentication Tokens 333

Access Key II Authentication 333

How Access Key II Authentication Works 333

Configuring the Access Key II 334

Adding an Access Key II User 334

Using Access Key II With the Gauntlet Firewall 335

Authenticating With Access Key II 335

Access Key II Example 336

CRYPTOCard RB-I 336 How It Works 336 Configuring the CRYPTOCard 337 Generating the Shared Secret 337 Initializing the CRYPTOCard 337 Adding a CRYPTOCard User 338 Using the CRYPTOCard with the Gauntlet Firewall 339 Using the CRYPTOCard for the First Time 339 Authenticating With CRYPTOCard 340 Changing Your CRYPTOCard PIN 340 CRYPTOCard Example 341 Digipass Authentication 341 How Digipass Authentication Works 341 Configuring the Digipass 342 Adding a Digipass User 342 Using Digipass With the Gauntlet Firewall 343 Authenticating With Digipass 343 Digipass Example 344 SafeWord Authentication Server 344 How SafeWord Authentication Works 345 Configuring the SafeWord Authentication Server 345 Configuring the Firewall for SafeWord Authentication 345 Adding a SafeWord User 346 Using SafeWord Authentication Server With the Gauntlet Firewall 347 Authenticating With SafeWord Authentication Server 347

SafeWord Authentication Server Example 348

SecurID System Authentication 348 How SecurID System Authentication Works 348 Configuring the ACE/Server 349 Configuring the Firewall 349 Adding SecurID Users 350 Adding Individual SecurID Users 350 SecurID Default Users 351 Using SecurID with the Gauntlet Firewall 351 Authenticating With SecurID 351 SecurID Example 352 S/Key System 353 How the S/Key System Works 353 Adding an S/Key User 353 Generating One-Time Access Passwords 354 Determining the Key Value 354 Generating the One-Time Passwords 355 Allowing Users to Generate One-Time Passwords 356 Using S/Key With the Gauntlet Firewall 356 Authenticating With the S/Key System 356 S/Key Example 357 RADIUS Authentication 358 How RADIUS Authentication Works 358 Configuring the RADIUS Authentication Server 359 Enabling RADIUS Support 359 Adding a RADIUS User 360 Using RADIUS With the Gauntlet Firewall 360 Authenticating With RADIUS 361 RADIUS Authentication Example 361

Reusable Passwords 362
How Reusable Passwords Work 362
Adding a User with Reusable Passwords 362
Using Passwords with Gauntlet 363
Authenticating With Reusable Passwords 363
Reusable Password Authentication Example 364

**Glossary** 365 **Index** 375

# List of Figures

Figure 1-1	Gauntlet Internet Firewall Standard Configuration 9
Figure 1-2	Dual-Homed Bastion Host 11
Figure 2-1	Authentication Window 21
Figure 2-2	Gauntlet Firewall Manager 22
Figure 3-1	Service Groups Window 31
Figure 3-2	Add Service Groups Window 33
Figure 3-3	Rules Window 37
Figure 4-1	Destination Access Window 44
Figure 5-1	Networks Window 50
Figure 5-2	Add Network Definition Window 51
Figure 5-3	Network Groups Window 53
Figure 5-4	Add Network Group Window 55
Figure 6-1	Users Window 64
Figure 7-1	User Restrictions Window 74
Figure 9-1	FTP Window 89
Figure 10-1	LDAP Window 98
Figure 11-1	SQL Server Window 104
Figure 11-2	Add SQL Server Services Window 106
Figure 12-1	NetShow Window 111
Figure 12-2	Microsoft NetShow Player Advanced Properties Window 115
Figure 12-3	RealPlayer Proxy Preferences Window 116
Figure 12-4	StreamWorks Player Network Settings Window 117
Figure 12-5	VDOLive Setup Settings Window 119
Figure 13-1	SNMP Window 124
Figure 13-2	Add SNMP Agents Window 125
Figure 14-1	News Window 132
Figure 14-2	News Feed Server Identification Screen 132

Figure 14-3	External News Server Window 133
Figure 14-4	News Reader Window 135
Figure 15-1	Example Firewall lp Configuration 139
Figure 15-2	Lp Window 141
Figure 15-3	Modify Printer Services Window 143
Figure 16-1	Rsh Window 147
Figure 17-1	Sybase Window 153
Figure 17-2	Modify Sybase Services Window 155
Figure 18-1	TELNET Window 160
Figure 18-2	rlogin Window 161
Figure 18-3	TELNET Connect Window 163
Figure 19-1	HTTP Window 171
Figure 19-2	SSL Window 172
Figure 19-3	Gopher Window 173
Figure 19-4	Browser Settings Window 177
Figure 19-5	Network Password Window 178
Figure 19-6	User Password Window 179
Figure 20-1	X Proxy Configuration Window 183
Figure 20-2	Modify TELNET Proxy Configuration Window 184
Figure 20-3	X Status Window 187
Figure 20-4	X Connection Confirmation Window 187
Figure 21-1	Plug Configuration Window 192
Figure 21-2	Add Plug Services Window 194
Figure 22-1	Circuit Configuration Window 200
Figure 22-2	Circuit Service Definition Window 202
Figure 22-3	Add Circuit Gateway Configuration Window 203
Figure 24-1	Mail Window 215
Figure 24-2	POP3 Mail and Proxy Configuration Window 221
Figure 24-3	Eudora Pro Configuration for APOP 224
Figure 25-1	Packet Screening Window 232
Figure 25-2	Add Packet Screening Rule Window 234
Figure 26-1	Alerts Window 242
Figure 26-2	Configure Window 243

Figure 26-3	Items of Interest Window 247
Figure 26-4	Security Alerts Window 248
Figure 27-1	Integrity Check Window 255
Figure 28-1	Example VPN 261
Figure 28-2	Add Swipe Key Window 266
Figure 28-3	VPNs Window 268
Figure 28-4	Add VPN Link Configuration Window 269
Figure 29-1	Info Window 279
Figure 30-1	Mail Window 289
Figure 31-1	URL Filtering Window 295
Figure 31-2	Add HTTP Services Window 300
Figure 31-3	Cyber Patrol Configuration Window 301
Figure 31-4	Cyber Patrol Renewal/Registration Window 302
Figure 31-5	Work Time Range Configuration Window 303
Figure 32-1	SNMP Agent Window 307
Figure B-1	One-Time Password Window 357

## **About This Guide**

Connecting your private, internal network to an outside, untrusted network can be both an asset and a liability. It is an asset because you can exchange computerized information with a variety of organizations. It can be a liability because you may be exposing your network resources to unwanted probing and spying. The Gauntlet firewall is an important component in a well-designed network security structure to combat these threats.

This introduction gives some overview information and also discusses "How to Get Latest Security Patches" on page xxxii.

#### **Audience for This Guide**

This guide is intended for firewall administrators. It assumes that you are familiar with IRIX system and networking administration and with basic firewall concepts. System administrators should be familiar with TCP/IP, domain name service, *sendmail*, and router configuration. Consult your local library, bookstore, network resources, and IRIX administrator for additional references.

#### **Contents of This Guide**

This guide consists of six parts:

- Part I, "Introducing the Gauntlet Firewall," presents the initial information about the firewall and firewall administration.
- Part II, "Managing the Gauntlet Firewall," describes how to manage the components of the network.
- Part III, "Configuring and Using Proxy Services," describes how to configure the various proxies.

- Part IV, "Managing the Firewall Environment," describes how to manage those services within the firewall environment.
- Part V, "Managing Additional Firewall Services," describes the various services that the Gauntlet firewall provides.
- Part VI, "Appendices, Glossary, and Index," provides supplementary information.

#### Conventions Used in This Guide

These type conventions and symbols are used in this guide:

**Bold**—keywords and command line options.

*Italics*—executable names, filenames, IRIX commands, manual/book titles, new terms, utilities, variable command-line arguments, and variables to be supplied by the user in examples, code, and syntax statements.

Fixed-width type—Code examples, prompts, and onscreen text.

Bold fixed-width type—User input, including keyboard keys, printing and nonprinting (see also <>).

#### **Additional Documentation**

Refer to the following documentation for additional information about the Gauntlet Firewall product:

- Check the release notes for the most recent information and software and hardware requirements.
- *Gauntlet Netperm Table Reference Guide* (part number 007-3822-001) describes how to edit the netperm table using the command-line interface.

#### **Additional Resources**

The collection of resources in this section is presented for your information only. It is not an endorsement of any of the products or organizations.

#### **Books**

*Building Internet Firewalls*. Chapman, D. Brent & Zwicky, Elizabeth. O'Reilly & Associates, Inc. ISBN 1-56592-124-0.

Firewalls and Internet Security: Repelling the Wily Hacker. Cheswick, Steven M. & Bellovin, William R. Addison Wesley. ISBN 0-201-63357-4.

#### **Newsgroups**

comp.security.firewalls—Discussions of anything regarding network security firewalls.

#### **Mailing Lists**

The Firewalls mailing list is for discussions of Internet firewall security systems and related issues. Relevant topics include the design, construction, operation, maintenance, and philosophy of Internet firewall security systems.

To subscribe to the regular mailing list, send the following command in the body of an e-mail message (*not* on the "Subject:" line!) to majordomo@greatcircle.com:

```
subscribe firewalls
```

To subscribe to the digest version of the mailing list, send the following command in the body of an email message (*not* on the "Subject:" line!) to majordomo@greatcircle.com:

subscribe firewalls-digest

#### **Frequently Asked Questions Lists**

The Internet Firewalls Frequently Asked Questions list is maintained by Marcus J. Ranum and located at:

http://www.verio.net/faq.html

#### **White Papers**

Application Gateways and Stateful Packet Filters Avolio, Frederick M.and Blask, Chris.

http://www.tis.com/prodserv/gauntlet/firewallcomp.html

Firewalls Are Not Enough Avolio.

http://www.tis.com/prodserv/gauntlet/FirewallsNotEnough.html

Securing the Perimeter

http://www.tis.com/prodserv/gauntlet/fwovervw/index.htm

Thinking About Firewalls Ranum, Marcus J. Presented at SANSII, 1993.

http://www.tis.com/Home/NetworkSecurity/Firewalls/
ThinkingFirewalls.html

ftp.tis.com /pub/firewalls/firewalls.ps.Z

A Toolkit and Methods for Internet Firewalls Avolio, Frederick M. and Ranum, Marcus J.

http://www.tis.com/prodserv/gauntlet/Usenix.html

ftp.tis.com /pub/firewalls/usenix-paper.ps.Z

#### **How to Get Latest Security Patches**

The CD-ROM containing the Gauntlet firewall software contains necessary security patches (if any) at the time of product release, so be sure to install those patches. Stay in touch with the WWW site for Silicon Graphics Security Headquarters at <a href="http://www.sgi.com/Support/Secur/security.html">http://www.sgi.com/Support/Secur/security.html</a> for new security patches and security advisories. Be sure to install any security patches that replace patches found on your CD-ROM.

## PART ONE

# **Introducing the Gauntlet Firewall**

## Chapter 1

Understanding the Gauntlet Firewall

## Chapter 2

Managing the Gauntlet Firewall

# Understanding the Gauntlet Firewall

A Gauntlet Firewall is a hardware- and software-based firewall system. It provides secure access and internetwork communications between private networks and public networks (such as the Internet), and between subnets of private networks. It offers application-level security services for both incoming and outgoing communications based on existing security practices or an organization's security policies.

This chapter provides an overview of the Gauntlet Firewall and how it works. It is not a thorough discussion of firewalls or security practices. Refer to "Additional Resources" on page xxx for a list of other resources that provide excellent introductory and advanced discussions of firewalls. The chapter contains these sections:

- "Understanding Gauntlet Firewall Concepts" on page 2
- "Understanding Gauntlet Firewall Components" on page 5
- "How the Gauntlet Firewall Works" on page 8

# **Understanding Gauntlet Firewall Concepts**

Simply put, a firewall is a single point of defense that protects one side of a network from the other side. This usually means protecting your company's private network from other networks to which it is connected, such as the Internet. Firewalls can be as simple as a router that filters packets or as complex as a multisystem, multirouter solution that combines packet filtering with application gateways.

## **Gauntlet Firewall Design Philosophy**

The Gauntlet Firewall follows this paradigm:

That which is not expressly permitted is prohibited.

The firewall will allow only those activities that are explicitly set, either through system defaults or through your own configurations. New services don't get through the firewall unless you allow them through. You must be able to identify and remove any "backdoors" you have left in place that no longer match your security policy.

Recognizing that most security breaches occur through a compromised user account, the Gauntlet Firewall generally has no user accounts. While you can set up an administrator account, users do not need to log into the firewall to access information on the other side of your firewall.

The Gauntlet Firewall is auditable, controllable, and configurable. It logs many activities and processes.

## **Establishing a Security Perimeter**

Establishing a network security perimeter involves designating a network of systems you wish to protect and defining the mechanisms used to protect them. The firewall is the communications gateway for all hosts inside the perimeter. To have a successful network security perimeter, *all* communications from outside the security perimeter to hosts inside the perimeter must pass through the firewall.

#### **Trusted and Untrusted Networks**

Your firewall must be configured to differentiate between the "good guys" and the "bad guys." The firewall makes this determination using information you provide about different networks. It understands three types of networks: trusted, untrusted, and unknown.

#### **Trusted Networks**

Trusted networks are the networks inside your security perimeter. Trusted networks are usually the ones you are trying to protect. Often, you or someone in your organization administers the systems on these networks. Your organization controls the security measures for these networks. Usually, they are within the physical security perimeter. They can also be connected in a virtual private network, as explained in Chapter 28, "Managing Virtual Private Networks" on page 259.

When you set up the firewall, you explicitly configure the networks your firewall can trust. After initial configuration, the trusted networks usually include the firewall itself and all networks inside your security perimeter.

#### **Untrusted Networks**

Untrusted networks are the networks outside your security perimeter. They are untrusted because they are usually outside your control or knowledge. You have no control over the administration or security policies for these sites. They are the ones from which you are trying to protect your network. However, you still need and want to communicate with these networks, even though they are untrusted.

When you set up the firewall, you explicitly configure the networks from which your firewall can accept requests, but which it does not trust. By default, after initial configuration, the untrusted networks are all networks outside the perimeter.

The firewall uses different service groups for requests from untrusted networks than it does for requests from trusted networks. For example, the default configuration allows HTTP requests from trusted networks but not from untrusted networks. For some types of requests (including TELNET, FTP, rlogin, rsh, HTTP, and POP3), the firewall may use additional authentication before processing the request.

#### **Unknown Networks**

Unknown networks are those networks that are neither trusted nor untrusted. They are unknown quantities to the firewall because you have not explicitly told the firewall that this network is a trusted or an untrusted network. By default, the list of untrusted networks contains all networks that are not trusted (\*). In that case, there are no unknown networks. If you change the list of untrusted networks to contain some specific networks, all networks not in the trusted or untrusted list become unknown.

Consider a company that lists its own networks as the trusted network. The company lists the networks for three clients as the untrusted networks. Every other network on the Internet is now an unknown network and cannot pass requests through the firewall.

## **Service Groups**

Your security policy provides a list of allowed and prohibited activities. Similarly, the Gauntlet Firewall uses service groups to specify allowed activities. Service groups are collections of rules about what the firewall can and cannot do in particular situations. Service groups indicate which proxies can run, and whether they require authentication, special logging, or other general settings. The service groups you create and rules you assign should be based on your site's security policies.

By default, the Gauntlet Firewall includes one service group for requests from trusted networks and one for requests from untrusted networks. The firewall uses the source IP address of the request to determine which service group to use.

The default service group for trusted networks allows for a variety of services (including TELNET, FTP, NNTP, and HTTP). It does not require users to authenticate. The default service group for untrusted networks allows for only a few services (including FTP, TELNET, NNTP, and POP3). It requires users to authenticate before accessing hosts inside your security perimeter. You can, of course, modify these default service groups to match your security policy.

## **Transparency**

Transparency means that your firewall is not visible to your users as they work. They can continue to use TELNET, for example, to access client sites without having to explicitly connect to the firewall.

The default Gauntlet Firewall configuration implements transparency inside your firewall for your trusted networks. This is accomplished by creating default routes that send all requests to untrusted networks through the firewall and by certain configuration options on the firewall.

In contrast, the firewall does not implement transparency for requests from untrusted networks. In this case, you want users outside your security perimeter to be aware that they are entering your site through your firewall.

The advantage of transparent access is that you do not need to reconfigure your client systems or learn new procedures in order to use supported services. Nontransparent access is supported, but users must learn procedures to perform their tasks.

# **Understanding Gauntlet Firewall Components**

The Gauntlet Firewall uses hardware and software to protect your network.

#### **Gauntlet Firewall Hardware**

The specific hardware components of the Gauntlet Firewall are the network interface cards. These cards physically separate networks from one another.

#### **Gauntlet Firewall Software**

The software components of the Gauntlet Firewall include a hardened operating system, application-level security services, security programs, and other management utilities.

#### **Gauntlet Firewall Operating System**

The operating system is a version of the standard Silicon Graphic's IRIX operating system, "hardened" by the Gauntlet software. As part of the firewall, the operating

system has been tailored to provide support for only the services necessary to run the firewall. For example, the operating system on the firewall does not support IP packet forwarding, source routed packets, or ICMP redirects. These services change the directions that packets flow, and could direct networks to circumvent the firewall. Services such as NFS, NIS, and RPC cannot easily be made secure and so should be disabled.

Unsupported network services do not just report an error to the requesting site. The operating system logs these access attempts, providing information about such probes.

### **Application Level Security Services (Proxies)**

The software on the Gauntlet Firewall includes security services on a per-application basis. As noted above, all packets, and therefore all application requests go to the firewall. On the firewall, proxy software relays information from one side of the firewall to the other. The proxy prevents the applications on outside networks from talking directly with the applications on your inside network, and vice versa. No IP packets pass from one side of the firewall to the other. All data is passed at the application level. (The "trusted ports" feature in this implementation is an exception to this generalization.)

Each application generally talks through a different proxy that understands the protocol for that application. Currently, the Gauntlet Firewall includes proxies for the following types of services:

- Terminal services (TELNET and rlogin)
- Electronic mail (SMTP and POP3)
- File transfer services (FTP)
- Remote execution (rsh)
- Web services (HTTP, SHTTP)
- Gopher services (Gopher, Gopher+)
- X Window services (X11)
- Printing services (lp)
- SQL services (Sybase SQL Server and Microsoft SQL Server)
- Multimedia services (RealAudio/RealVideo, NetShow, VDOLive, StreamWorks)
- Network management services (SNMP)
- Certificate management (LDAP)

In addition, the Gauntlet Firewall includes a generic plug-board proxy. This proxy patches TCP traffic from a particular port on one side of the firewall to a particular TCP port on another system on the other side of the firewall. As with the service-specific proxies, no IP packets pass from one side of the firewall to the other. If you have not installed a proxy for a service, that type of traffic does not pass through the firewall.

The Gauntlet Firewall also includes an authenticating circuit proxy. This proxy also patches TCP traffic from a particular port on one side of the firewall to a particular TCP port on another system on the other side of the firewall. The circuit proxy requires users to authenticate first; the plug proxy does not.

The Gauntlet Firewall includes configured versions of the plug proxy for:

- AOL
- finger
- CompuServe
- Usenet news (NNTP)
- Web services (SSL)
- whois

Because the non-plug proxies use the same protocols to communicate as the applications, you do not need to modify the original client or server applications. For example, when the TELNET application connects to the firewall, the application follows the standard TELNET protocol in RFCs 764 and 854. You can continue to use the same TELNET application to connect to remote sites.

All of the proxies are configurable. You can accept or reject requests to or from certain sites and networks, or set up other rules the proxies use when passing requests through the firewall. You can also enable or disable individual proxies and run only the ones you need. You can easily translate your security policies into configuration rules.

The proxies log all activities to and through the firewall. You can use the logs to gather usage statistics or to look for potential attacks.

In addition, several of the proxies support strong user authentication systems. These one-time passwords or security token systems provide additional security because users use a different password each time they access the network.

#### **IP Screening Utility**

The Gauntlet Firewall includes additional security software in the form of an IP screening utility. This feature checks IP packets based on several criteria (for example, address and protocol) and processes or rejects the packets. It detects spoofed packets claiming to be from one network that are actually from another network.

Using the IP screening utility, you can configure the firewall so that the firewall is transparent to your users for most activities. The IP screening facility provides a method for permitting high bandwidth or unsupported protocols in situations where the security requirements are not as stringent as with an Internet firewall.

#### **Management Utilities**

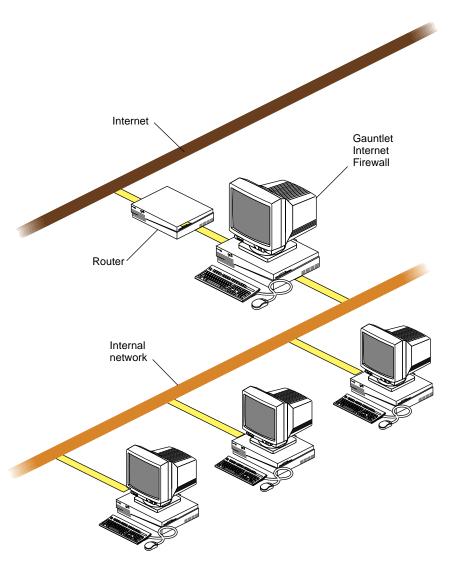
The Gauntlet Firewall also contains several programs that ease the job of administering the firewall. These include management tools for configuring the firewall, and scripts for reporting activity through the firewall and performing general administration.

The graphical Gauntlet Firewall Manager utility provides an easy-to-use interface for performing most standard configuration activities. You do not need to modify system files or configuration files unless you want to customize uncommon features of your configuration.

The Gauntlet Firewall also includes shell scripts that assist in upgrading, creating backups, checking integrity, and other general administrative tasks.

### **How the Gauntlet Firewall Works**

Consider a company, Yoyodyne, that has a connection to the Internet via an Internet service provider (ISP). They have installed a Gauntlet Firewall to protect their corporate network (yoyodyne.com) from every other host on the Internet. They are using the configuration shown in Figure 1-1.



**Figure 1-1** Gauntlet Internet Firewall Standard Configuration

The Yoyodyne network is first separated from the rest of the Internet by a router. The router passes traffic from the Internet to the Gauntlet firewall only when that traffic is bound for some part of the Yoyodyne internal network. More sophisticated routers can

additionally strengthen a company's security perimeter by implementing certain security functions such as "IP spoofing filters."

The firewall is helping to establish a security perimeter to protect the internal (trusted) network. It screens all requests that need to pass from one side of the firewall to the other. Using rules Yoyodyne created based on their security policies, the firewall determines whether to accept or pass requests through (at the application or TCP level) to the other side.

### **Dual-Homed Bastion Host**

In order to protect the inside network, the firewall must be able to see all of the packets intended for hosts on the inside network. While there are a number of ways to physically and logically accomplish this, the recommended configuration is the firewall system installed as a dual-homed bastion host.

As a dual-homed bastion host, the firewall system has two network interface cards, thus two connections—one to your network and one to the outside, as shown in Figure 1-2.

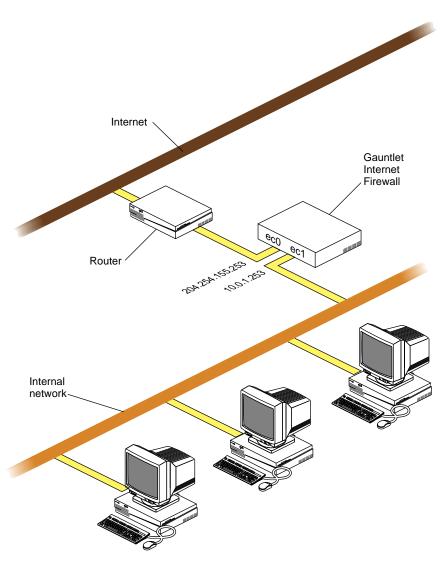


Figure 1-2 Dual-Homed Bastion Host

All outside network traffic enters and exits the firewall through one network interface, such as ec0. All inside network traffic enters and exits through a second network interface, such as ec1. To accomplish this, each interface has a separate IP address.

Yoyodyne was assigned the 204.254.155 network, and chose 204.254.155.253 as the outside IP address; it chose 10.0.1.253 for the inside IP address.

**Note:** You can also use two firewalls to create a virtual private network (or a virtual network perimeter), exchanging encrypted information across an untrusted network, such as the Internet. Because of United States government export regulations, this feature is generally not available outside the United States and Canada. Refer to Chapter 28, "Managing Virtual Private Networks" on page 259, for information about the encryption features of the Gauntlet Firewall.

## **Processing Packets and Requests**

The firewall follows a standard set of steps for the packets it receives on either interface. The steps are discussed in these sections:

- 1. "Receiving Packets" on page 12
- 2. "Checking Source and Destination" on page 13
- 3. "Checking the Request Type" on page 13
- 4. "Calling the Appropriate Program" on page 13
- 5. "Processing the Request" on page 14

As we examine each step of the process, consider a Yoyodyne employee working at a client site (outside the security perimeter) who needs access to their system at work via TELNET.

#### **Receiving Packets**

Routing information on outside hosts and at the ISP directs all requests to hosts on the inside network to the firewall. In addition, the domain name system (DNS) on the firewall and other outside DNS servers advertise the outside IP address of the firewall as the *only* way to connect to anything on the inside network. Hosts on the inside network use routing information to direct all requests for outside networks to the inside address of the firewall.

For example, the client company systems consult their routing information and pass the TELNET request along until it reaches the Yoyodyne firewall.

#### **Checking Source and Destination**

Once the firewall receives a packet it must determine what to do with it. First, the operating system kernel examines the destination of the packet and determines whether the packet is destined for a host inside the firewall. The firewall accepts the packet and redirects it to the appropriate proxy. If there is no proxy configured to accept a packet, the firewall drops the packet and logs the failed access.

Next, the firewall examines the source address of the packet and the interface on which it received the packet. This process verifies the information against configuration tables, and prevents the firewall from accepting IP spoofed packets. If this check indicates that the current request could not possibly have come in through the associated interface, the firewall rejects the packet and logs it. For example, if the Yoyodyne firewall receives a packet on ec0 (the outside interface) claiming to be from 10.0.1.10 (an inside address), the firewall rejects the packet and logs the spoof attempt.

In our TELNET example, the destination of the packet is the firewall. The firewall receives a request on ec0, the outside interface. The address does not indicate that it came from an inside network. The firewall accepts the packet for local delivery and processing.

#### **Checking the Request Type**

Now that the firewall knows to deliver the packet locally, it looks at the contents of the packet. The operating system checks various tables on the firewall to determine if it offers the requested service on the requested port. If it does not, it logs the attempt as a potential security alert and rejects the request.

In our TELNET example, the packet indicates that it is a TELNET request on TCP port 23. The configuration tables indicate that the firewall supports this type of service.

#### **Calling the Appropriate Program**

Now that the firewall knows to offer the requested service, the operating system uses other configuration information to forward the request to the appropriate daemon. In our TELNET example, the firewall forwards the request to the TELNET proxy, which processes the TELNET request.

#### **Processing the Request**

The proxy now processes the request. It first checks its configuration information. The proxy determines how to handle the request based on the source (IP address) of the request. By default, it uses one service group (set of rules) for trusted networks and another service group for untrusted networks.

Once configured, the proxy processes the request as the standard application would. The proxies follow the same protocols and handshakes as indicated in the RFCs or other documents. Requesting applications think they are talking to an actual server, not a proxy.

The proxies also check whether the request is permitted for the destination. For some services, the proxies can perform the additional step of authenticating the user. This verification provides additional assurance that users really are who they say they are. The proxies then pass each request to the appropriate program on the other side of the firewall using the standard protocol for that service.

In our TELNET example, the TELNET proxy uses the generic untrusted service group because the request came from an outside network. The untrusted service group and rule permits TELNET to access systems on the inside network, but requires authentication. The firewall prompts the user to authenticate. Once the user authenticates, the proxy provides a command-line interface allowing the user to indicate the internal system to which they wish to connect. The proxy then uses the standard TELNET protocol to pass packets back and forth between the host on the outside network and the host on the inside network.

# Managing the Gauntlet Firewall

Your Gauntlet Firewall is an integral part of your corporate network. Follow the same maintenance and management practices for the firewall as you do for any other mission-critical application or machinery. You also need to manage the Gauntlet specific elements of the firewall. The Gauntlet Firewall includes a graphical user interface, called the Gauntlet Firewall Manager, that allows you to configure the firewall easily.

This chapter explores considerations for managing your Gauntlet Firewall, and explains how to use the Gauntlet Firewall Manager in the following sections:

- "General Management and Maintenance" on page 16
- "Understanding the Gauntlet Firewall Manager" on page 17
- "Using the Gauntlet Firewall Manager" on page 18
- "Getting Help" on page 24

## **General Management and Maintenance**

Consider the Gauntlet Firewall to be another IRIX system you must maintain. For most activities, you can continue to use the tools you are familiar with. The exceptions are noted in the sections that follow:

- "Creating User Accounts" on page 16
- "Backing Up and Restoring" on page 16
- "Managing Gauntlet Firewall Options" on page 17

# **Creating User Accounts**

If you need to create user accounts on the firewall for yourself or another administrator, work with the tools you would normally use, such as the *addUserAccount* command or the System Manager from the Toolchest. Remember that you only need to create one account on the firewall: the account for the administrator. You do not need to create any user accounts on your firewall.

Consider using the login shell included with the Gauntlet Firewall as the shell for the administrator accounts you create on the firewall. This login shell requires that you configure a user in the Gauntlet user authentication system, allowing users to use strong authentication to log in.

## **Backing Up and Restoring**

Back up your firewall. In most cases, you can use the same backup scheme for your firewall as you use for other IRIX systems on your network.

Remember that the firewall includes a hardened version of the IRIX operating system. You cannot use NFS to make the drives on the firewall visible to a backup system on another system.

## **Managing Gauntlet Firewall Options**

To manage Gauntlet Firewall settings you must use the tools included with the firewall. These include a graphical and a text-based interface.

The graphical interface, the Gauntlet Firewall Manager, is the recommended interface and allows you to configure your firewall easily. The Gauntlet Firewall Manager provides flexibility by allowing you to manage your firewall from a variety of locations. The ability to configure your firewall from remote locations is especially useful when your office is located across campus, across town, or across the country from your firewall.

The text-based interface allows you to configure any feature of the Gauntlet Firewall (even though the Gauntlet firewall Manager is the recommended interface for most features). You must use the text-based interface when editing configuration files. Consider using the text-based management interface only if your security policy requires you to manage the firewall from the console. You may also need to modify configuration files manually if you have a particularly complex configuration, such as when using four network interface cards.

**Note:** Do not attempt to use both management interfaces at the same time. You risk overwriting changes you made with one interface with changes you made with the other interface. Take particular care if you have multiple firewall administrators. Be sure that none of your fellow administrators is using the text-mode interface when you use the Gauntlet Firewall Manager.

## **Understanding the Gauntlet Firewall Manager**

The Gauntlet Firewall Manager allows you to configure your firewall quickly and easily. Because of its graphical nature, you can easily view your current configuration. The Gauntlet Firewall Manager consists of two parts: the interface and the firewall server.

## **Firewall Manager Graphical Interface**

The graphical interface to Gauntlet that you see in your Web browser is an applet written in Java. You do not need to install any additional software on your client to use the Gauntlet Firewall Manager; your Web browser includes the necessary code to run the applet.

#### Firewall Server

The server on the firewall that serves the graphical interface is a modified version of the Gauntlet Information Server. This server is written with security in mind. The server portion of the Gauntlet Firewall Manager runs as a daemon listening for requests on TCP port 8080. When the firewall receives requests for services on this port, the server checks its configuration information and determines whether the initiating host has permission to use the Gauntlet Firewall Manager as a server. If the host does not have permission, the server logs the connection and displays the error message Unauthorized to use gateway.

If the host has permission, the server displays a user authentication page. The server authenticates the user. If the user does not provide the proper authentication, the server logs the connection and displays an error message.

If the user provides the proper authentication, the server stops listening on port 8080 and begins listening on a random port. The server now displays the interface.

The server portion of the Gauntlet Firewall Manager implements your configuration by taking the values you enter into the interface and placing them into the appropriate configuration files.

# **Using the Gauntlet Firewall Manager**

Using the Gauntlet Firewall Manager involves planning, configuring the firewall, configuring your system, configuring your Web browser, and accessing the Gauntlet Firewall Manager.

## Planning the Firewall

To plan the firewall:

- 1. Choose the host from which you will manage the firewall. You can access the Gauntlet Firewall Manager from any qualified host running a supported Web browser. These hosts include:
  - · a host on your inside network
  - a host on your inside network using PC Extender for Windows 95
  - a host on your outside network using PC Extender for Windows 95

Carefully consider your choice of the host from which you manage the firewall. Remember that, by default, the traffic between the Web browser and the firewall is *not* encrypted.

- 2. Consider whether the host from which you manage the firewall will be a dedicated management workstation. With a dedicated management host, you can physically secure the system to help avoid unauthorized access to the firewall.
- 3. Consider whether you wish to use PC Extender for Windows 95 on the host from which you manage the firewall. PC Extender helps protect the confidentiality on the link. Refer to the PC Extender documentation for more information on the benefits of PC Extender.

## **Configuring the Firewall**

You must tell the firewall which hosts can use the Gauntlet Firewall Manager. These steps assume you have configured your firewall as explained in the *Gauntlet Firewall Installation and Upgrade Guide*.

To configure the firewall:

- 1. Log in to the firewall and become root.
- 2. Start the text-based Gauntlet administrative tool:
  - # /usr/local/etc/gauntlet-admin
- 3. Select Fast Setup for GUI.

- 4. Verify that the information about your inside network interface is correct. Make any changes as necessary.
  - You can use the Tab key and the Up and Down arrows to navigate in the text-based interface.
- 5. Select Next Screen to move to the next screen.
- 6. Enter the IP address of the host or hosts from which you will manage your Gauntlet Firewall.
- 7. Select User Authentication Management.
- 8. Confirm that you have configured a user with a user ID of fwadmin.
- 9. Return to the Main Menu of the Gauntlet administrative interface.
- 10. Select Quit and Update.
- 11. Select Quit and Update Configuration Database.

The Gauntlet Firewall Manager daemon starts when you boot the firewall. You do not need to explicitly start the Gauntlet Firewall Manager.

## **Configuring Your System**

To use the Gauntlet Firewall Manager, you must be using certain configuration settings on your system.

To configure your system:

- 1. Make sure the color setting for your windowing display is set to at least 16-bit color (referred to as 65,536 color in the X Window System).
- 2. Make sure the screen resolution is set to at least 800 by 600 pixels.

## **Configuring Your Web Browser**

To use the Gauntlet Firewall Manager, you must configure your Web browser as follows:

- 1. Consult the *Gauntlet Firewall Installation and Upgrade Guide* to make sure you have a supported browser. Silicon Graphics recommends Netscape Navigator.
- 2. Add the IP address of the internal interface of your firewall to the list of hosts for which the browser should not use a proxy. This ensures that your browser doesn't try to send your requests to the HTTP proxy on the firewall.
- 3. Enable Java access. The Gauntlet Firewall Manager is written in Java. Therefore, your Web browser must support Java.

## **Accessing the Gauntlet Firewall Manager**

To access the Gauntlet Firewall Manager:

- 1. Open the following URL:
  - http://firewall:8080/auth/gui.html
  - firewall is the hostname or IP address of the inside interface of the firewall.
- 2. Authenticate using the account you created in the text interface.



Figure 2-1 Authentication Window

3. Wait as the Web browser starts the Gauntlet Firewall Manager. This process can take several minutes. Note that the Netscape Navigator logo is not animated while the Web browser is loading the configuration.

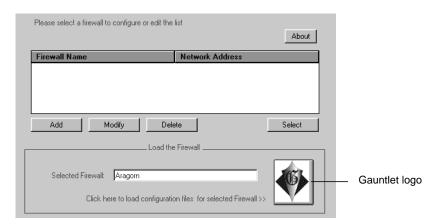


Figure 2-2 Gauntlet Firewall Manager

- 4. Verify that the hostname or IP address of your firewall is shown as the Selected Firewall.
- Click the Gauntlet logo to load the configuration settings for your firewall.This loads the Gauntlet Firewall Manager with your configuration.
- 6. Minimize your Web browser.

**Note:** Do not close your browser, because this also closes the Gauntlet Firewall Manager.

## **Exiting the Gauntlet Firewall Manager**

**Note:** Make sure you exit the Gauntlet Firewall Manager properly (using the Exit button). If you do not, you may lose any changes you've made or your firewall may not operate properly.

To exit the Gauntlet Firewall Manager:

- 1. Click Exit.
- 2. If you have made any changes to your configuration you have not saved, answer the Gauntlet Firewall Manager prompt before exiting.
- 3. If you have not made any changes to your configuration, click Quit.

## **Saving Your Changes**

The Gauntlet Firewall Manager allows you to choose when your changes take effect. When you save your changes, you have several options:

- Quit
- Save
- · Save and Apply
- Save, Apply, and Reboot
- Reboot
- Cancel

#### **Quit Menu Command**

Quit exits from the Gauntlet Firewall Manager without saving any changes that you have made.

#### **Save Menu Command**

The Save command writes the changes you have made to the Gauntlet Firewall. However, when you save your changes, the Gauntlet Firewall Manager does not make your configuration changes take effect. In other words, the next time you use the Gauntlet Firewall Manager, you will see your changes reflected in the screens and setting. However, the firewall will not use these changes until you apply them.

For example, suppose you make a number of changes to the firewall's configuration. However, you do not want to reboot your firewall in the middle of the day while there are many users using the services of the firewall. You save your changes and exit the Gauntlet Firewall Manager. After your office has closed, you start the Gauntlet Firewall Manager to displays your changes. You apply your changes and reboot the firewall to make your configuration take effect. This delayed application of changes is also useful if you are making changes and need to leave your workstation to attend a meeting or go to lunch.

#### Save and Apply Menu Command

Choosing Save and Apply writes the changes you have made to the Gauntlet Firewall and makes them take effect. Most types of changes take effect immediately when you

save and apply. For example, a destination access rule takes effect as soon as you choose Save and Apply from the Gauntlet Firewall Manager Exit window.

#### Save, Apply, and Reboot Menu Command

Choosing Save, Apply, and Reboot saves your changes, and makes them take effect immediately by rebooting the firewall and restarting all services. You must reboot your firewall to make some changes take effect, including:

- Enabling or disabling proxy services
- Starting or stopping virtual private networks (VPNs)
- Making changes to an interface
- Adding or deleting packet screening rules

#### **Reboot Menu Command**

Choosing Reboot reboots the firewall without saving or applying any changes that you have made.

#### Cancel

Cancel returns you to the Gauntlet Firewall Manager.

## **Getting Help**

The Gauntlet Firewall Manager includes an online help system. This system provides information about all of the screens and options in the Gauntlet Firewall Manager.

The Gauntlet Firewall Manager displays the online help in a separate browser screen.

- To access the main help page, click the Help button from within the Gauntlet Firewall Manager.
- To access help for a specific screen, click the question mark in the lower right corner on the screen where you need help.

## **PART TWO**

# **Managing the Gauntlet Firewall**

# Chapter 3

Service Groups and Service Group Rules

**Chapter 4** 

**Destination Access** 

**Chapter 5** 

Networks and Network Groups

Chapter 6

Users and User Groups

Chapter 7

**User Restrictions** 

# Service Groups and Service Group Rules

Your company uses many different applications to accomplish tasks, and the Gauntlet Firewall provides proxy services for these applications. You want to be able to create rules that allow certain groups to use certain services. You could create rules for each service you are offering. However, creating rules for each service would be quite a time consuming and detailed effort. The Gauntlet Firewall includes the idea of service groups, which allow you to create rules for a set of services.

The following sections explain the concepts of service groups and service group rules and describe how to configure them:

- "Understanding Service Groups" on page 27
- "Accessing Service Group Configuration" on page 31
- "Configuring Service Groups" on page 32
- "Understanding Service Group Rules" on page 35
- "Adding Service Group Rules" on page 37
- "Accessing Service Group Rules Configuration" on page 36
- "Modifying Service Group Rules" on page 38
- "Deleting Service Group Rules" on page 38
- "Changing Order of Precedence" on page 38

# **Understanding Service Groups**

Data traffic passing through the Gauntlet Firewall is generally handled through the use of services (also known as proxies). Services are applications running on the firewall that take a data stream from one interface and pass it to another interface. Most communications through the firewall occur through services. Each service is designed to handle one specific TCP or UDP protocol or port number. All services log the service

requests and the results of those requests. Some services provide blocking of certain data elements, such as Java or ActiveX.

The firewall determines whether to permit or deny traffic on the basis of the source address and the type of protocol used. When a host system attempts to communicate across the firewall using a specific protocol such as TELNET or FTP, the firewall uses the source address of the client host to determine what services have been specified for this address or the network groups to which it may belong. The firewall applies sets of rules to decide:

- whether the service is permitted or denied for this host (for example, the TELNET proxy service for the TELNET protocol)
- further requirements, such as authentication or permitted destinations

Instead of defining a group of individual services for one set of hosts and then defining the same group of services for another set of hosts, you can create a service group. A service group is a collection of services that are defined as a unit and that can be applied against a network group or specific hosts.

The service group can specify:

- Which services are available (for example, allow TELNET, FTP, and rlogin proxy services)
- What destination hosts are permitted or denied (for example, deny access to all hosts in the bigu.edu domain)
- Authentication requirements (for example, require authentication)

### **Default Service Groups**

The initial Gauntlet configuration defines two service groups: a trusted service group and an untrusted service group.

#### **Trusted Service Group**

By default, the trusted service group is applied to that network group made up of the trusted networks, which are usually inside your security perimeter. This service group:

- Allows requests to be sent to any destination
- Permits access to some of the more commonly used proxies: TELNET, Rlogin, FTP, NNTP, HTTP, aHTTP, SSL, RealAudio/RealVideo, POP3, lp, and the Info Server
- Does not generally require users to authenticate, but simply passes their requests through the firewall
- Allows users to change their passwords for strong authentication systems

#### **Untrusted Service Group**

By default, the untrusted service group is applied to that network group made up of the outside (untrusted) networks. This service group:

- Allows requests to be sent to any destination
- Permits access to a restricted group of protocols: TELNET, rlogin, FTP, NNTP, the Info Server, and POP3
- · Requires users to authenticate with the authentication server that is on the firewall

Notice that the untrusted service group does not allow access to the HTTP protocol. You do not want outside users, especially people all over the Internet, using the HTTP protocol to gain access to Web servers on your internal network.

In addition, the untrusted service group does not allow users to change their passwords for strong authentication systems or allow access to the Gauntlet Firewall Manager. Because of the widespread use of packet sniffers and a multitude of other hacker tools, configuring the firewall and typing reusable passwords are extremely risky activities when done from an untrusted network. You run the risk of exposing this information to everyone and severely compromising your network security.

## **Service Group Membership**

Services that are included within a service group are members of that group. Services that are not included within a service group are non-members. For example, by default, the HTTP service is a member of the trusted service group; this allows trusted hosts to use the HTTP proxy to access Web servers on an outside network. The HTTP service is a non-member of the untrusted service group; this prohibits untrusted hosts on an outside network from using the service to access a Web server on an inside network.

## When to Add New Service Groups

Define a new service group whenever it is convenient to specify a set of general services to govern a single host or group of hosts. Often, changing business conditions or new requirements necessitate changes to the flow of information to or from an organization.

For example, until recently, the hosts in the research department at Big University have been governed by the default trusted service group and rules, which allows access to any destination on the outside networks. The research department has been providing advance research information to a group within Yoyodyne Corporation. Because of a contract dispute, the management at Big University has decided that the research department cannot give any more information to Yoyodyne. To ensure compliance, the firewall must not allow hosts in the research department to make network connections to any systems at Yoyodyne.

This example situation is an appropriate time to create a new service group. To implement the new service group, the firewall administrator at Big University:

- Creates a new service group called "no-yoyo"
- Adds all of the same services to no-yoyo as are in the trusted service group
- Adds a condition to the no-yoyo group that denies access to the destination yoyodyne.com
- Removes the service group rule that makes hosts in the research department use the trusted service group
- Adds a service group rule that makes hosts in the research department use the no-yoyo service group

The hosts in the research department continue to operate under the same services as the rest of the university, except they are now prohibited from accessing the yoyodyne.com systems.

In the future, when management at Big University determines that the hosts in the math department need to be prohibited from accessing yoyodyne.com as well, the firewall administrators don't create a new service group. Instead, they apply the "no-yoyo" service group to the math department hosts.

# **Accessing Service Group Configuration**

To access service group configuration:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Select the Service Groups tab.

The Service Groups window displays.

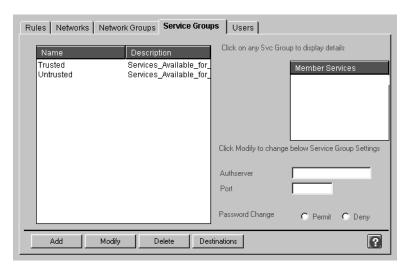


Figure 3-1 Service Groups Window

# **Configuring Service Groups**

This section explains how to configure service groups. It discusses:

- "Planning Service Groups" on page 32
- "Creating Service Groups" on page 33
- "Modifying Service Groups" on page 34
- "Deleting Service Groups" on page 35

# **Planning Service Groups**

When defining and setting up service groups, firewall administrators should plan for a number of groups that span a range from most restrictive to least restrictive services. The exact number of groups required will vary, depending on the size and diversity of the organization and the kinds of communications necessary to accommodate business requirements.

For example, in a small organization that has a limited number of users with uniform trust and levels of responsibilities assumed among all, the minimum trusted and untrusted service groups may be adequate. However, a large institution with multiple departments and diverse levels of trust (for example, Finance versus Engineering) may require a tailored set of service groups that will be applied on a departmental need-to-know basis.

Service groups should be designed so that they provide a required set of member services and associated rules that cover particular business or technical requirements, and no more. In particular, a service group that will be applied to control an untrusted network group or hosts should be limited to the absolute minimum set of services required.

In most cases, firewall administrators find it much easier to initially create a service group with the minimum number of member services required and add new services or liberalize existing service group rules later in response to a particular requirement. It is more difficult to grant a liberal set of services at the beginning and then attempt to remove access to a particular service or destination when users have grown accustomed to having that access.

## **Creating Service Groups**

To create a service group:

In the Service Groups window, click Add.
 The Add Service Group window displays.

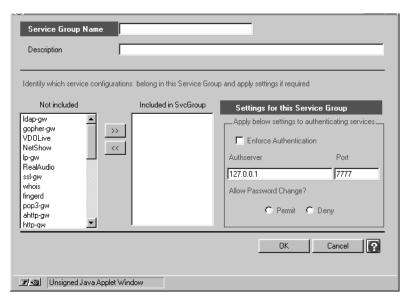


Figure 3-2 Add Service Groups Window

- 2. Provide information about the new service group.
  - Group Name Name of the new service group.
  - Description Description for the service group.
- 3. Select the services you want to include in (make members of) your service group:
  - Click the service you would like to add from the list of services not included.
  - Click >> to add the service to the list of included services.

4. Set other options for your service group.

Enforce Specifies whether this service group requires authentication for

Authentication those service members that support authentication.

Authserver IP address of the host running the authentication server. By

default, this is 127.0.0.1, indicating that the authentication

server is running on the firewall.

Port TCP port on which the authentication server is running. By

default, this is port 7777.

Allow Password Specifies whether users can change their passwords when

Change connecting from hosts that use this service group.

5. Add destination restrictions for your service group. Refer to Chapter 4, "Destination Access," on page 39 for more information about destination restrictions.

6. Click OK.

7. Proceed to "Adding Service Group Rules" on page 37 to create rules specifying which networks will use your new service group. Remember to enable the services, as well.

#### **Modifying Service Groups**

When one or more changes need to be made to an existing service group, you can modify the settings for that service group.

To modify a service group:

- 1. Select the service group you wish to modify.
- 2. Click Modify.

The Modify Service Group window displays.

- 3. Change the settings for this service group.
- 4. Click OK.

### **Deleting Service Groups**

When an existing service group is no longer needed, you may delete that group.

To delete a service group:

- 1. In the Service Groups window, select the service group you wish to delete.
- 2. Click Delete.

# **Understanding Service Group Rules**

Once a service group has been defined along with its additional parameters and destinations, it may be applied to a network group, network, or specific host. The network or host may be *permitted* to use the service group, which means that it may use the member services, subject to the additional parameter requirements and destinations. Or the network may be *denied* the right to use the service group, which means that it is specifically denied access to the member services in the group.

### **Order of Precedence**

Order of precedence is important when dealing with firewall rules. Applications and services read tables from the top to the bottom. They use the first rule that applies for a particular attribute.

**Note:** If there are multiple rules in the table that could apply for an attribute, the first one found is the one used. Any subsequent conflicting rules are ignored.

Rules that are higher in the list have a higher order of precedence than rules that are lower in the list. In other words, a higher rule will be interpreted by the firewall before rules further down, and if two rules are encountered that match a given situation but contradict each other, the first one encountered will apply. In general, the more specific rules need to be listed first.

For example, consider the rules menu in Table 3-1:

**Table 3-1** Example Rules Menu 1

Rule	Source	Services	Access
1	Research	no-yoyo	Permit
2	Research	*	Deny

In Rule 1, Research is permitted to use the services specified in the no-yoyo service group. Rule 2 denies access to any other services not covered in Rule 1. Assume you reverse the rules, as shown in Table 3-2:

**Table 3-2** Example Rules Menu 2

Rule	Source	Services	Access
1	Research	*	Deny
2	Research	no-yoyo	Permit

Research is now denied access to all services in Rule 1. Any services that may have been granted by Rule 2 are ignored.

# **Accessing Service Group Rules Configuration**

To access service group rules configuration:

- 1. From the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Click the Rules tab.

The Rules window displays.

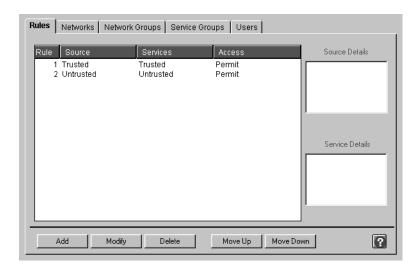


Figure 3-3 Rules Window

# **Adding Service Group Rules**

To add a rule:

In the Rules window, click Add.
 The Add Security Rule Definition window displays.

2. Provide information about your rule.

Network Source Network group, network, or host for which this rule applies.

Assign Access Specifies whether this rule permits or denies access. If permitted,

the services specified in the next section will be available for use by the source system or network. If denied, the services in the

next section will be denied to the source.

Service Services or service groups for which this rule applies. Configurations

- 3. Click OK.
- 4. Order your new service group rule, as described below, so that your firewall uses your rule in the right order.

## **Modifying Service Group Rules**

To modify an existing rule:

- 1. In the Rules window, select the rule you wish to modify.
- 2. Click Modify.

The Modify Security Rule Definition window displays.

- 3. Change settings as needed.
- 4. Click OK.

# **Deleting Service Group Rules**

To delete a rule:

- 1. In the Rules window, select the rule you wish to delete.
- 2. Click Delete.

# **Changing Order of Precedence**

To move a rule up or down in the list and change its precedence:

- 1. In the Rules window, select the rule you wish to move.
- 2. Click Move Up or Move Down as many times as necessary to move the rule to its desired position in the list.

# **Destination Access**

Assume you have configured your firewall to allow users to access the Internet without restriction. Because of a change in corporate security policy, you must now place some restrictions on what sites employees can visit. The Gauntlet Firewall includes the ability to restrict access to certain destinations.

This chapter discusses the concepts of creating destination access rules and explains how to configure the firewall to use the destination access rules. The chapter consists of these sections:

- "Understanding Destination Access" on page 39
- "How Destination Access Works" on page 40
- "Accessing Destination Access Configuration" on page 43
- "Configuring Destination Access Rules" on page 44

## **Understanding Destination Access**

The Gauntlet Firewall allows you to specify the sites you do or do not want users to connect to. You can permit or deny access to a destination by:

- IP address
- hostname

You can also permit or deny access by service or service group. For example, Yoyodyne's security policy restricts access to several systems on the development network inside their firewall. They are concerned about employees accessing these systems when they come in through the firewall. To implement the security policy, Yoyodyne could create a destination restriction rule that denies access to these systems for the untrusted service group. Or, they could create destination access rules that deny access to these systems for specific services.

**Note:** The SMTP, X Window System, and SNMP proxy services do not use destination access rules. The SMTP service simply delivers mail between the internal and external mail servers. You cannot permit or deny access to a particular host.

#### **How Destination Access Works**

When the firewall receives a request for a particular service, it uses the source address of the request to determine which rule applies. Once the firewall has determined which rule applies (and which service group), it then checks the destination of the request against the destination access rules.

The firewall reads the destination access rules from top to bottom. The firewall uses the first rule that matches. If the destination host in the request matches one of the destination access rules, and that rule indicates that the destination is denied, the firewall denies the request and logs the attempt. If the destination host matches a rule that explicitly permits that destination, the firewall passes the request to the destination host.

Destination access rules follow the rule "That which is not expressly permitted is denied." This is an important concept to remember, especially when creating access rules that deny access to a particular destination. For example, you want to deny access from your Accounting network to all hosts at Big University. You create a destination access rule that denies access from the accounting service group to \*.bigu.edu. This denies access to all hosts at Big University. This rule has the effect of denying access from the Accounting network to all other destinations as well. You have not created a rule that expressly permits access to other destinations, so the firewall denies these requests.

#### **Default Destination Access Rules**

The Gauntlet Firewall includes two default destination access rules. These destination access rules permit the trusted network service group and the untrusted network service group to access any destination.

#### **Order of Precedence**

Order of precedence is extremely important when dealing with destination rules. Applications and services read tables from top to bottom. They use the first rule that applies for a particular attribute. If there are multiple rules that could apply for an

attribute in the table, the first one found is the one used. Any subsequent conflicting rules are ignored.

Rules that are higher in the list have a higher order of precedence than rules that are lower in the list. In other words, a higher rule will be interpreted by the firewall before rules further down, and if two rules are encountered that match a given situation but contradict each other, the first one encountered will apply. In general, the more specific rules need to be listed first.

For example, consider the list of destination access rules in Table 4-1.

**Table 4-1** Destination Access Rules 1

Rule	Name	Destination	Access
1	Trusted (Group)	*.bigu.edu	Deny
2	Trusted(Group)	*	Permit

Rule 1 denies access from the trusted service group to all of the hosts in the bigu.edu domain. Rule 2 permits access for the trusted service group to every other destination.

If Rule 2 were not included, access from the trusted service group would also be denied to every other host. There would be no other rule that explicitly permits this access.

Consider what happens if the rules are reversed (see Table 4-2)

**Table 4-2** Destination Access Rules 2

Rule	Name	Destination	Access
1	Trusted(Group)	*	Permit
2	Trusted (Group)	*.bigu.edu	Deny

The hosts in the trusted service group can access any host. Because the firewall reads the rules from top to bottom, and stops when it reaches the first match, it never uses Rule 2.

## **Considerations for Specifying Destination Addresses**

You can specify the destination address by IP address or hostname, or by using the keyword **unknown**. The firewall converts the destination to the same format as the destination access rule and then compares the values. For example, you create a rule that denies access to ftp.bigu.edu. The firewall receives a request with a destination of 192.168.1.33. The firewall uses DNS to convert 192.168.1.33 into a hostname. DNS reports that this IP address maps to ftp.bigu.edu, and the firewall denies the request.

The following table summarizes the behavior of the firewall.

**Table 4-3** Firewall Destination Access Behavior

Destination in Packet	Destination in Access Rule	Behavior
Packet	Access Rule	
IP address	IP address	Compare IP address to IP address.
IP address	hostname	Convert IP address to hostname using DNS reverse lookup. Compare hostname to hostname.
hostname	IP address	Convert hostname to IP address. Compare IP address to IP address.
hostname	hostname	Compare hostname to hostname.

### **Unknown Keyword**

Every system must have an IP address, but it does not necessarily have a hostname. If a system has no hostname, a DNS lookup on its IP address fails. The lack of a registered hostname may be intentional or it may simply be a misconfigured DNS. When there is no hostname for an IP address, the firewall returns unknown as the hostname.

You can create access rules to permit or deny access to these destinations by using the keyword **unknown** as the destination. For example, you create an access rule that denies access to destination **unknown**. The firewall receives a request with a destination of 192.33.112.45. The firewall looks up this IP address, and determines there is no hostname registered in the DNS database for this IP address. The firewall returns **unknown** as the hostname. The firewall then compares the destination in the request (**unknown**) to the destination in the access rule (**unknown**). Because these values match, the firewall denies the request.

## **Accessing Destination Access Configuration**

You can create destination access rules that apply to particular services or to service groups. Similarly, you can configure destination restrictions from these two areas of the Gauntlet Firewall Manager.

To access destination rules configuration:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Select the Service Groups tab.

The Service Groups window displays.

3. Click Destinations.

or

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Select a service that supports configuration sets (such as HTTP or TELNET).
- 3. Click Add to create a new configuration set or Modify to modify an existing configuration set.

The Add or Modify window for the selected service displays.

4. Click Destinations.

The Destination Access window displays.

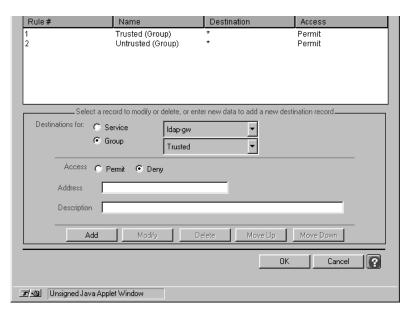


Figure 4-1 Destination Access Window

# **Configuring Destination Access Rules**

Configuring destination access rules are discussed in these sections:

- "Planning Destination Access Rules" on page 45
- "Modifying Destination Access Rules" on page 46
- "Creating Destination Access Rules" on page 45
- "Deleting Destination Access Rules" on page 46
- "Modifying Destination Access Rules" on page 46

#### **Planning Destination Access Rules**

When planning destination access rules:

- 1. Remember that the rules follow the paradigm "That which is not expressly permitted is denied." Make sure your rules do not deny access unintentionally.
- 2. Consider whether you wish to create destination restrictions by IP address or by hostname.

## **Creating Destination Access Rules**

To create destination access rules:

1. Indicate whether you are creating this destination restriction for a single service or for a service group.

Service Specifies the service for which this destination access rule

applies. Select All to make this rule apply to all services.

Service Group Specifies the service group for which this destination access

rule applies.

If you wish to create the same destination access rule for several services or service groups, consider creating one service group to which you can apply the destination access rule. Or, create the same destination access rules for each service or service group.

2. Indicate whether you are creating a permit or deny access rule.

Permit Specifies that you are explicitly permitting access to this site as

a destination.

Deny Specifies that you are explicitly denying access to this site as a

destination.

3. Enter information about the destination.

Address Enter the IP address or hostname of the system or network to

which this rule applies. Specify by IP address, IP address and

mask, or hostname. The wildcard \* is valid.

Enter unknown if you want to permit or deny access to hosts that do not have registered hostnames for their IP addresses.

Description Enter a description for this destination access rule.

- 4. Click Add.
- 5. Order your new destination access rule, as described in "Changing Order of Precedence" on page 46, so the firewall uses your new rule in the right order.

### **Modifying Destination Access Rules**

To modify destination access rules:

- 1. Select the destination access rule you want to modify.
- 2. Modify the information.
- 3. Click *Modify* to change the rule.
- 4. Reorder the rule if necessary.

#### **Deleting Destination Access Rules**

To delete destination access rules:

- 1. Select the destination access rule you want to delete.
- 2. Click Delete.
- 3. Reorder your remaining rules if necessary.

## **Changing Order of Precedence**

Remember that the order in which you place your destination access rules is important. The firewall reads the rules from top to bottom and applies the first one that matches. You generally want to place the most restrictive rules first.

To change the order of precedence:

- 1. Select the destination access rule you want to move.
- 2. Click *Move Up* or *Move Down* as many times as necessary to move the rule to the desired position.

# **Networks and Network Groups**

You have many hosts on your internal network. Your security policy may require you to implement different levels of access for groups of these hosts. Rather than creating rules for every single host, it is often easier to think of the hosts as networks. It can also be useful to group those networks into groups of networks. The Gauntlet Firewall allows you to specify networks and network groups.

This chapter discusses the concepts of networks and network groups, and explains how to configure networks and network groups. The chapter consists of the following sections:

- "Understanding Networks and Network Groups" on page 47
- "Accessing Network Configuration" on page 50
- "Configuring Networks" on page 50
- "Accessing Network Group Configuration" on page 53
- "Configuring Network Groups" on page 54

### **Understanding Networks and Network Groups**

The Gauntlet Firewall makes decisions on services and access based on the IP address of the source host. You could try to create rules for every single one of the hosts you manage. In most sites, this would be an extremely tedious process. Or, you can group the systems you manage, and create rules for groups of systems.

A network is simply an object defined by the firewall administrator that represents one or more systems. You denote a network via an IP address or domain name. The following are all valid examples of networks:

- 10.0.1.120
- 10.0.1.\*
- 192.5.49.0:255.255.255.0

- dimension.yoyodyne.com
- \*.yoyodyne.com

**Note:** The wildcard \* is not valid in the following context: 10.0.8\*.

A network group is a collection of one or more networks. Network groups simplify administration. They allow you to create rules for a larger group of systems, instead of having to create individual rules for each system. A network group consists of networks that:

- are logically related because of your security policy (for example, all the networks inside your firewall or all the systems that you use to administer the firewall)
- are physically located in the same place (for example, all the networks located in the Virginia office.
- have a similar business purpose (for example, all the networks in the Accounting department)
- groups of other networks groups (for example, all network groups in the Maryland office)

#### **Default Network Groups**

The initial Gauntlet Firewall configuration defines two network groups: a trusted network group and an untrusted network group.

#### **Trusted Network Group**

The trusted network group allows you to group all the hosts that you trust. The trusted network group generally includes:

- the firewall itself (that is, 127.0.0.1)
- hosts and networks inside the firewall (for example, 10.0.1.\*, 10.0.2.\*, \*.yoyodyne.com)
- hosts and networks connected to the firewall via PC Extender

As part of the initial configuration of the firewall, you provide information about your trusted networks, and add them to the trusted networks group. The firewall includes rules that use the trusted service group for the trusted network group.

#### **Untrusted Network Group**

The untrusted network group consists of all the hosts that you do not trust. The untrusted network group usually includes every host that you don't explicitly specify as a member of the trusted network group.

The firewall includes rules that use the untrusted service group for the untrusted network group.

## When to Add New Network Groups

Define a new network group whenever you need to specify a different set of rules for a different set of networks or hosts. Often, changing business conditions or new requirements require changes to the flow of information to or from an organization.

For example, Yoyodyne is using several of its internal networks for a demonstration for their annual open house. Visitors will have access to the systems on these demonstration networks. The management at Yoyodyne wants to make sure these systems are not used to access inappropriate sites on the Internet. The trusted network group at Yoyodyne allows fairly wide access to the Internet. This is an appropriate time to create a new network group.

To implement the new network group, the firewall administrator:

- 1. Creates a new network group called Demonstration.
- 2. Adds the networks that will be used for the demonstration to the Demonstration network group.
- 3. Creates a Demonstration service group that permits only the services they want visitors to use.
- 4. Creates a rule that indicates that the Demonstration network group uses the Demonstration service group.
- 5. Orders the rules so that the firewall reads the Demonstration rule before it reads the trusted rule.

## **Accessing Network Configuration**

To access network configuration:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Click the Networks tab.

The Networks window displays.

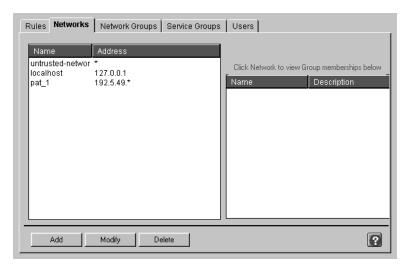


Figure 5-1 Networks Window

# **Configuring Networks**

Configuring a network allows you to refer to the network by name when configuring the Gauntlet Firewall Manager. This section discusses planning, creating, modifying, and deleting networks.

## **Planning Networks**

When planning networks, specify networks by IP address, if at all possible.

## **Creating Networks**

Creating networks identifies various elements in your network.

To create a network:

In the Networks window, click Add.
 The Add Network Definition window displays.

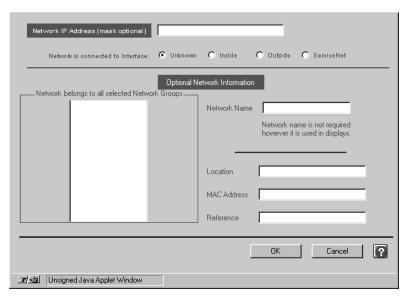


Figure 5-2 Add Network Definition Window

2. Provide the following required information about your network:

Network IP Address of the network. Specify individual systems, entire networks, Address or subnets. Enter by IP address or by IP address and mask. The wildcard \* is valid in IP addresses.

Interface Specifies to which interface of the firewall the network is connected.

If all the hosts on this network are inside the firewall, click *Inside*. If all the hosts on this network are outside the firewall, click *Outside*. If all the hosts on this network are on the service network of the

firewall, click ServiceNet.

If the hosts in this network could be on networks inside, outside, or on

the service net of the firewall, click Unknown.

3. Provide additional descriptive information about your network.

Group Click on a network group to make this network a part of a

network group.

Description Description for the network.

Location Reserved for future use.

MAC Address MAC address for this host. This setting is valid only if you have

specified an individual host.

Reference Reserved for future use.

4. Click OK.

### **Modifying Networks**

When one or more changes need to be made to an existing network, you can modify the settings for that network.

**Note:** You cannot modify the IP address of the network. Instead, create a new network and remove the existing network.

To modify a network:

- 1. In the Networks window, select the network you wish to modify.
- 2. Click *Modify*.

The Modify Network Definition window displays.

- 3. Change the settings for the network.
- 4. Click OK.

## **Deleting Networks**

When an existing network is no longer needed, you can delete that network.

To delete a network:

- 1. In the Networks window, select the network you wish to delete.
- 2. Click Delete.

# **Accessing Network Group Configuration**

To access network group configurations:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Click the Network Groups tab.

The Network Groups window displays.

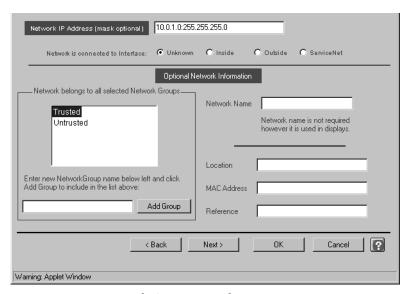


Figure 5-3 Network Groups Window

## **Configuring Network Groups**

This section discusses the following topics:

- "Planning Network Groups" on page 54
- "Creating Network Groups" on page 54
- "Modifying Networks" on page 52
- "Deleting Networks" on page 53

# **Planning Network Groups**

When planning network groups:

- Determine how you wish to group your networks. Consider the types of services you need for various networks.
- Remember not to add the same network to two different network groups.

# **Creating Network Groups**

To create a network group:

In the Network Groups window, click Add.
 The Add Network Group window displays.

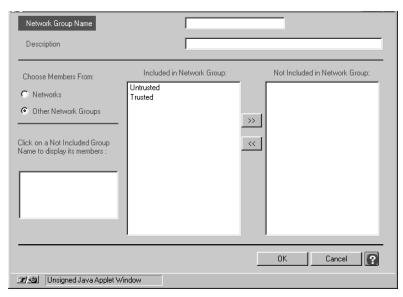


Figure 5-4 Add Network Group Window

2. Provide information about your new network group.

Network Group Name Name of the new network group. Names of network groups are case sensitive.

Description Description for the network group.

- 3. Select the networks or network groups you want to include in (make members of) the new network group:
  - Click the network you would like to add from the Not Included list.
  - Click >> to add the network or network group to the Included list.
- 4. Click OK.

## **Modifying Network Groups**

When one or more changes need to be made to an existing network group, you can modify the settings for that network group.

**Note:** You cannot modify the name of a network group. Instead, create a new network group and remove the existing network group.

To modify a network group:

- 1. In the Network Groups window, select the network group you wish to modify.
- 2. Click *Modify*.
  - The Modify Network Group window displays.
- 3. Change the settings for this network group.
- 4. Click OK.

## **Deleting Network Groups**

When an existing network group is no longer needed, you may delete that group.

To delete a network group:

- 1. In the Network Groups window, select the network group you wish to delete.
- 2. Click Delete.

# **Users and User Groups**

The Gauntlet Firewall can permit or deny access based not just on the host name, but also on the user name. In addition, your security policy may require that users use some form of strong authentication each time they access a particular host or service within their perimeter. To ease the integration of users, strong authentication, and the firewall, the Gauntlet Firewall provides a user authentication management system.

Use of the authentication management system is optional. You must use it any time you have configured the FTP, TELNET, rlogin, POP3, authenticating HTTP, and authenticating circuit proxies to require authentication, which is the default configuration for requests from untrusted networks.

This chapter describes the concepts behind the user authentication management system, and some common administrative tasks in the following sections:

- "Understanding the User Authentication Management System" on page 57
- "Understanding Strong Authentication" on page 60
- "Configuring Users" on page 63
- "Managing Groups" on page 69

## **Understanding the User Authentication Management System**

As part of the security policy, many sites may require some form of strong authentication, which requires users to enter a one-time password or use an authentication token. There are many systems available that can be integrated into a networking environment, each with its own programming and management interface. These are described in more detail in "Understanding Strong Authentication" on page 60 and in Appendix B, "Initializing Strong Authentication Tokens."

The Gauntlet user authentication management system allows you to easily integrate several different strong authentication systems into your general firewall administration.

You can create, modify, disable, delete, and examine users. The authentication system maintains a database for this information.

#### **How the Firewall Uses Authentication Information**

The various proxies use the information in the user authentication management system any time you configure the proxies to require authentication. Using the default Gauntlet service groups and rules, the authentication is required any time a user from an untrusted network tries to access a service inside the perimeter. Recall that untrusted networks are those from which the firewall will accept requests only after authentication by the user.

Remember that using the default service group and rules, the proxies do not authenticate requests from trusted networks. The proxies operate under the assumption that users coming from trusted networks are who they say they are.

Consider the situation of a user, Jane, working at a client site (blaze.clientsite.com) who needs information stored on a system at work (dimension.yoyodyne.com). When Jane tries to TELNET to dimension, which is within the security perimeter, she must first connect to and authenticate at the firewall (fire-out.yoyodyne.com).

When fire-out.yoyodyne.com receives the information, the TELNET proxy determines that the connection request is from an untrusted network, and that blaze.clientsite.com can access inside systems.

The TELNET proxy then prompts Jane for her authentication information (user name and password), which it verifies against the information in the user authentication database. If Jane provided the proper information, and her account is not disabled, the proxy provides a prompt. Jane can then connect to the dimension system on the inside network.

#### **How Other Services Use Authentication Information**

The *login-sh* program accesses the user authentication server to authenticate users logging into the firewall itself. This login shell authenticates the user before starting the user's normal shell (for example, csh, ksh or tcsh).

The *authmgr* program also uses the user authentication database to authenticate users. This program authenticates the user before allowing the user to do administration from a remote host.

## **Understanding Users and Groups**

This section explains what users and groups mean inside the authentication management system.

#### **Users in the Authentication Management System**

User names you create in the user authentication management system are used only for strong authentication.

The user names in the user authentication management system do not need to match user names on the firewall itself because you do not create user accounts on the firewall by default. The exception to this rule is the *login-sh* authentication wrapper program. The *login-sh* program authenticates users before logging them into the firewall. Then, the information in the user authentication management system must match the standard IRIX user information (in */etc/passwd*) for these users.

The user names in the user authentication management system do not need to match any user names on your internal network. For example, John Whorfin might use john as his user name on internal networks. He could use whorfin for strong authentication at the firewall. You may wish to use the same names for the convenience of your users.

#### **Groups in the Authentication Management System**

The Gauntlet user authentication management system also makes use of groups. Groups allow you to permit or deny services based on groups of user names, rather than individual user names. For example, you can configure the FTP proxy to permit service to everyone in group sales.

Just as is the case with user names, the groups that you create in the Gauntlet user authentication management system are not the same as the groups you create on the firewall or on the internal network. You can of course use the same names, for easier administration. Each user can be a member of only one group at a time.

## **Understanding Strong Authentication**

The Gauntlet Firewall supports a variety of strong authentication options. The Gauntlet authentication management system understands the types of passwords these systems use, and provides a consistent user interface to these systems.

**Note:** Your Gauntlet system does not actually include the software or hardware for these products (except as noted below). You must purchase, install, and configure these systems separately.

Currently supported systems are described below.

#### **Access Key II Authentication**

This system, from VASCO Data Security, uses a random-challenge password. When the firewall prompts for authentication Access Key II provides a challenge. The user enters the PIN (if one is required) and the challenge into the Access Key II. The Access Key II responds with a password. The user enters this value at the Gauntlet prompt, and the Gauntlet authentication server verifies this value.

You must purchase the Access Key II tokens from VASCO (http://www.vasco.com) or their authorized reseller.

See "Access Key II Authentication" on page 333 for more information.

#### **APOP**

This system, included with APOP-compliant applications, uses an MD5 secure hash algorithm. The application generates a random challenge and includes it as part of the initial banner.

This option is currently only used by the POP3 proxy.

You must use an APOP-compliant POP3 server and an APOP-compliant POP3 client. Check with the vendors of your POP3 server and client software to determine if their software understands APOP authentication.

#### **CRYPTOCard RB-1**

This system, from CYPTOCard, uses a random-challenge password. When the firewall prompts for authentication it provides a challenge. The user enters their PIN and the challenge into the CRYPTOCard RB-1. The CRYPTOCard RB-1 encrypts this information to produce a response. The user enters this value at the Gauntlet prompt, and the Gauntlet authentication server verifies this value.

You must purchase the CRYPTOCard RB-1 tokens from CRYPTOCard (http://www.cryptocard.com) or their authorized reseller.

## **Digipass Authentication**

This system, from Digipass, uses a time-based password. The Digipass card generates a passcode. When the firewall prompts for authentication, the user selects the appropriate authentication application and enters a personal identification number (PIN) on the card. The card displays a passcode, which the user enters at the Gauntlet prompt. The Gauntlet authentication server verifies this value.

You must purchase the Digipass tokens from Digipass (http://www.digipass.com) or their authorized reseller.

See "Digipass Authentication" on page 341 for more information.

#### SafeWord Authentication Server

This system, from Secure Computing, provides an interface to the SafeWord Authentication Server for Gauntlet authentication. The SafeWord Authentication Server works with a variety of different tokens. The Gauntlet authentication server uses the authentication information registered for a user with the SafeWord Authentication Server.

You must purchase the SafeWord Authentication Server and tokens from Secure Computing (http://www.securecomputing.com/) or their authorized reseller.

#### **SecurID Authentication**

This system, from Security Dynamics, uses a time-based password. The SecurID card generates a passcode. When the firewall prompts for authentication, the user enters a PIN, if one is required, and the passcode shown on the card. The Gauntlet authentication server verifies this value with the Security Dynamics ACE/Server.

You must purchase the ACE/Server and SecurID tokens from Security Dynamic (http://www.securitydynamics.com) or their authorized reseller.

See "SecurID System Authentication" on page 348 for more information.

#### S/Key Authentication

This system, from Bellcore, uses a one-time password. Users generate a set of passwords based on a "seed" word or phrase. Each time they need to authenticate, they use a different password. When the firewall prompts for authentication, it provides a challenge value. The user enters the appropriate password for that challenge. The Gauntlet authentication server verifies this value.

The Gauntlet Firewall distribution includes a portion of the S/Key package. You can purchase the full S/Key package, which supports both MD4 and MD5 authentication, from Bellcore (http://www.bellcore.com). You can also download a freeware version of the full S/Key package that supports only MD4 authentication from Bellcore (ftp://ftp.bellcore.com/pub/nmh/skey).

You can also use the Naval Research Lab One-Time Password in Everything (OPIE), which is downward-compatible with Bellcore's S/Key Version 1 software. The OPIE package is available from the Naval Research Lab (ftp://ftp.nrl.navy.mil/pub/security/opie).

See "S/Key System" on page 353 for more information.

#### **RADIUS Authentication**

RADIUS stands for Remote Authentication Dial-In User Service, an authentication protocol specified by the IETF. RADIUS authentication can be used with your Gauntlet Firewall in conjunction with a strong authentication method such as Safeword or CRYPTO, or by itself using a plain RADIUS password.

See "RADIUS Authentication" on page 358 for more information.

#### **Reusable Passwords**

The Gauntlet Firewall includes a reusable password as part of its authentication system. Reusable passwords are intended for administrator testing only. Every time users need to authenticate; they use the same password.

**Caution:** Do not use the reusable passwords option for authentication from untrusted networks. Reusable passwords are discouraged. Reusable passwords are vulnerable to password sniffers and are easy to crack. This feature is provided for convenience and audit capability only.

See "Reusable Passwords" on page 362 for more information.

# **Configuring Users**

Configuring users consists of the following potential tasks:

- "Accessing User Configuration" on page 63
- "Creating Users" on page 64
- "Modifying Users" on page 64
- "Enabling Users" on page 68
- "Disabling Users" on page 68
- "Deleting Users" on page 69

### **Accessing User Configuration**

To access user configuration:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Click the Users tab.

The Users window displays.

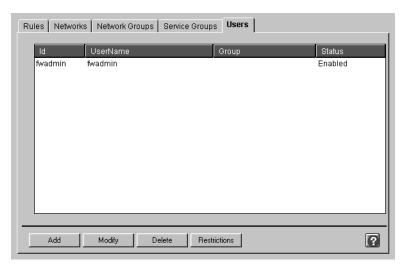


Figure 6-1 Users Window

## **Creating Users**

The process for creating users varies depending on the authentication scheme you are using. Remember that the users that you create in the Gauntlet system are not necessarily the same as the users you create on the firewall or on your internal network. You can of course use the same names, for easier administration. Refer to Appendix B, "Initializing Strong Authentication Tokens," on page 333 for specific instructions on creating users using your authentication system.

## **Modifying Users**

Modifying users can mean one of the following activities, which are all discussed in this section:

- "Changing User Names" on page 65
- "Changing User IDs" on page 65
- "Changing Authentication Method" on page 66
- · "Changing Passwords" on page 66

- "Changing Group Membership" on page 65
- "Allowing Users to Change Their Password" on page 67

#### **Changing User Names**

To change a user name:

- 1. Select the name of the user you wish to modify.
- 2. Click Modify.

The Modify User window displays.

- 3. Enter a new user name for the user.
- 4. Click OK.

#### **Changing User IDs**

You cannot change a user ID. Instead, create a new user ID with the appropriate information and delete the old user ID.

#### **Changing Group Membership**

Remember that a user can be a member of only one group.

To change the group of which the user is a member:

- 1. Select the name of the user you wish to modify.
- 2. Click Modify.

The Modify User window displays.

- If the group already exists, select the name of the group to which you want to assign the user.
- If the group does not exist, enter a new group name and click *Add*. Then select that group name.
- 3. Click OK.

#### **Changing Authentication Method**

To change authentication methods:

- 1. Make sure that you have configured your Gauntlet Firewall to work with the authentication system. Refer to Appendix B, "Initializing Strong Authentication Tokens," on page 333 for specific instructions.
- 2. Select the name of the user you wish to modify.
- 3. Click Modify.

The Modify User window displays.

- 4. Select the new authentication method.
- 5. Enter the new password in the password field.
- 6. Click OK.

#### **Changing Passwords**

Several of the strong authentication systems allow passwords to be set (and reset) by the user:

- CRYPTOCard
- S/Key
- Reusable password

To change passwords as an administrator:

- 1. Select the name of the user you wish to modify.
- 2. Click Modify.

The Modify User window displays.

- 3. Enter the new password in the Password field.
- 4. Reenter the same new password in the Verify field.
- 5. Click OK.
- 6. Provide the user with the new password.

#### **Allowing Users to Change Their Password**

Because users are generally not allowed to log directly into the firewall, they need to change their password from another system. The default configuration allows users connecting to the firewall from the inside (trusted) network to change their passwords.

Users can change their passwords through either the TELNET or rlogin proxies. Users should be allowed to change their password only when accessing the firewall from the trusted network.

To change passwords as a user:

- 1. From a system on the inside network, connect to the firewall using TELNET or rlogin.
- 2. Use the *password* command.
- 3. Authenticate to the proxy.
- 4. Enter the new password.
- 5. Verify the new password.

The following example shows a sample S/Key password change from the TELNET proxy:

## **Enabling Users**

Enabling users allows users who have been disabled to use the system again.

To enable a user:

- 1. Select the name of the user you wish to modify.
- 2. Click Modify.
  - The Modify User window displays.
- 3. To enable the user for multiple logins, select Enabled. To enable the user ID to login once, select Enable One Time Only.

## **Disabling Users**

Disabling users allows you to keep the user information in the system, but does not allow the user to use the system. The user authentication system disables users after a set number (configurable by the administrator) of failed login attempts.

To disable a user:

- 1. Select the name of the user you wish to modify.
- 2. Click Modify.

The Modify User window displays.

3. Click Disabled.

You can also configure the number of consecutive incorrect attempts before the authentication system disables a user's account and you can set the amount of time the account is disabled.

To configure the number of consecutive incorrect attempts, add the maxbad attribute to the netperm table. Refer to the *Gauntlet Netperm Table Reference Guide* for more information.

To configure the amount of time disabled, add the badsleep attribute to the netperm table. Refer to the *Gauntlet Netperm Table Reference Guide* for more information.

## **Deleting Users**

Deleting users removes them from the user authentication management system. It does not remove users from your firewall or from your internal network.

To delete a user:

- 1. Select the name of the user you wish to delete.
- 2. Click Delete.

## **Managing Groups**

As with IRIX systems, the Gauntlet user authentication management system makes use of groups. Groups allow you to permit or deny services based on groups, rather than individual user names. For example, you can configure the TELNET proxy to deny access to a certain destination for everyone in the group Sales.

Remember that the groups that you create in the Gauntlet system are not necessarily the same as the groups you create on the firewall or on your internal network. You can, of course, use the same names for easier administration.

## **Creating Groups**

To create a group:

Assign a user to a group that did not exist before.
 Remember that you may want to make group names the same as existing IRIX groups.

# **Disabling Groups**

You cannot disable entire groups. You must disable usage based on individual users.

# **Deleting Groups**

Currently, to delete a group, you must reassign all users in that group to another group.

# **User Restrictions**

Assume that you have configured your firewall to allow users to access the Internet without restriction. Because of a change in corporate security policy, you must now place some restrictions on the services employees can use and the times during which they can use them. The Gauntlet Firewall includes the ability to restrict certain services by user, time of day, and destination.

This chapter discusses user restrictions concepts and explains how to set up user restrictions in the following sections:

- · "Understanding User Restrictions" on page 71
- "How User Restrictions Work" on page 72
- "Accessing User Restriction Configuration" on page 73
- "Configuring User Restriction Rules" on page 75

# **Understanding User Restrictions**

The Gauntlet Firewall allows you to control access by:

- user
- group
- time of day
- destination

The following proxy services support creating user restrictions:

- Circuit
- FTP
- Rlogin
- Rsh
- TELNET

Using the user restrictions, you can control which users can access a particular proxy service. For example, you can deny access to the rlogin or rsh proxy to a particular user. You can also control access by time of day. For example, you can permit access to FTP and TELNET only between 11:00 am and 1:00 pm.

#### **How User Restrictions Work**

When the proxy services receive the request from the firewall, they check the user restriction rules. The proxy services read the user restriction rules from top to bottom. The firewall uses the first rule that matches. If the user name in the request matches one of the user restriction rules, and that rule indicates the service is denied, the firewall denies the request and logs the attempt. If the user name matches a rule that explicitly permits a proxy service, the firewall passes the request on to the destination host.

User restriction rules follow the rule "That which is not expressly permitted is denied." This is an important concept to remember, especially when creating access rules that deny access. For example, suppose you want to deny access to the FTP proxy service for Robert, so you create one user restriction rule that denies this access for him. This rule has the effect of denying access to the FTP proxy to everyone, not just Robert. You have not created a rule that expressly permits access to the FTP proxy for everyone else, so the firewall denies these requests.

To have the firewall use user restriction rules, you must enable authentication. You must also create user IDs in the Gauntlet user authentication system.

#### **Order of Precedence**

Order of precedence is extremely important when dealing with user restriction rules. The proxy services read tables from top to bottom. They use the first rule that applies for a particular attribute. If there are multiple rules in the table that could apply for an attribute, the first one found is the one used. Any subsequent conflicting rules are ignored.

Rules that are higher in the list have a higher order of precedence than rules that are lower in the list. In other words, a higher rule will be interpreted by the firewall before rules further down, and if two rules are encountered that match a given situation but contradict each other, the first one encountered will apply. In general, the more specific rules need to be listed first.

For example, consider the following list of user restriction rules in Table 7-1.

**Table 7-1** User Restriction Rules Example 1

Rule	Name	Access	Service	Start Time	End Time
1	Robert	Deny	ftp-gw		
2	*	Permit	ftp-gw		

Rule 1 denies access to the *ftp-gw* configuration of the FTP proxy service for Robert. Rule 2 permits access to this service for everyone else.

If rule 2 were not included, access to the *ftp-gw* configuration of the FTP proxy service would also be denied to every other user. There would be no other rule that explicitly permits this access.

Consider what happens if the rules are reversed (see Table 7-2).

**Table 7-2** User Restriction Rules Example 2

Rule	Name	Access	Service	Start Time	End Time
1	*	Permit	ftp-gw		
2	Robert	Deny	ftp-gw		

Everyone has access to the *ftp-gw* configuration of the FTP proxy service. Because the firewall reads the rules from top to bottom, and stops when it reaches the first match, it would never use rule 2 in this situation.

## **Accessing User Restriction Configuration**

You can access destination restriction configuration from two areas of the Gauntlet Firewall Manager.

To access user restriction rules configuration:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Click the Users tab.

The Users window displays.

3. Click Restrictions.

The User Restrictions window displays.

#### Here is an alternative procedure:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Select the tab for one of the services that supports user restrictions.
- 3. Click *Add* to create a new configuration set or click *Modify* to modify an existing configuration set.
- 4. Click Restrictions.

The User Restrictions window displays.

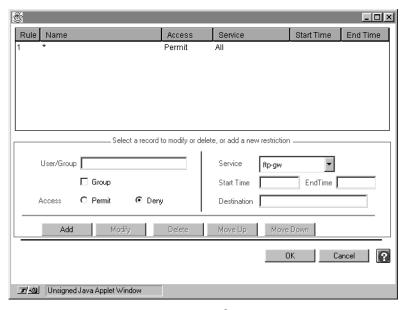


Figure 7-1 User Restrictions Window

#### **Configuring User Restriction Rules**

This section discusses configuring user restriction rules in the following sections:

- "Planning User Restriction Rules" on page 75
- "Creating User Restriction Rules" on page 75
- "Modifying User Restriction Rules" on page 76
- "Deleting User Restriction Rules" on page 76
- "Changing Order of Precedence" on page 77

#### **Planning User Restriction Rules**

When planning user restriction rules:

- Remember that user restriction rules follow the rule "That which is not expressly permitted is denied." Once you create a user restriction rule for a service, make sure you are not unintentionally denying that service to other users.
- Be sure to turn on authentication for the service groups or proxies that you want to follow user restriction rules.

#### **Creating User Restriction Rules**

To create user restriction rules:

- 1. In the User Restriction window, enter information about the user for whom this rule applies.
  - Name Name of the user or group for whom this rule applies. Use the wildcard \* to indicate all users.
- 2. Indicate whether you are creating a rule to permit or deny use.
  - Permit Specifies that you are explicitly *permitting* access through this service, during these hours, to this destination.
  - Deny Specifies that you are explicitly *denying* access through this service, during these hours, to this destination.

3. Enter information about the service, time, and destination.

Service Name of the service for which this rule applies. If you want the rule to

affect all services that support user restrictions, select All.

Start Time Time at which the proxy begins using this rule. Specify in hours and

minutes (between 00:00 and 23:59). The wildcard \* is valid.

End Time Time at which the proxy stops using this rule. Specify in hours and

minutes (between 00:00 and 23:59). The wildcard \* is valid.

Destination Hosts to which the service can or cannot send requests. Specify

individual systems, entire networks, or subnets. Use IP addresses or

hostnames. The wildcard \* is valid.

4. Click Add.

5. Order the new user restriction rule, as described below, so the firewall uses the new rule in the right order.

#### **Modifying User Restriction Rules**

To modify user restriction rules:

- 1. Select the user restriction rule that you want to modify.
- 2. Modify the information.
- 3. Click Modify to change the rule.
- 4. Reorder the rules if necessary.

#### **Deleting User Restriction Rules**

To delete user restriction rules:

- 1. Select the user restriction rule you want to delete.
- 2. Click Delete.
- 3. Reorder the remaining rules if necessary.

## **Changing Order of Precedence**

Remember that the order in which you place user restriction rules is very important. The firewall reads them from top to bottom and applies the first one that matches. You generally want to place the most restrictive rules first.

To change the order of precedence:

- 1. Select the user restriction rule you want to move.
- 2. Click *Move Up* or *Move Down* as many times as necessary to move the rule to the desired position.

#### PART THREE

# **Configuring and Using Proxy Services**

Chapter 8

**Managing Proxy Services** 

Chapter 9

Managing FTP Services

Chapter 10

Managing LDAP Services

Chapter 11

Managing Microsoft SQL Services

Chapter 12

Managing Network Management Services

**Chapter 13** 

Managing Network Management Services

Chapter 14

Managing News Services

Chapter 15

**Managing Print Services** 

Chapter 16

Managing rsh Services

### **Chapter 17**

Managing Sybase Services

## Chapter 18

Managing Terminal Services

#### Chapter 19

Managing WWW and Gopher Services

## Chapter 20

Managing X Window Services

### Chapter 21

**Managing Custom Services** 

## Chapter 22

Managing Custom Services With Authentication

## Chapter 23

Managing MediaBase Services

## **Managing Proxy Services**

Although the Gauntlet Firewall provides a wide variety of proxy services, there are a number of common elements to configuring these services.

This chapter explains the concepts of proxy services and provides general information on how to configure proxy services. The chapter consists of the following sections:

- "Understanding Proxy Services" on page 81
- "Configuring Proxy Services" on page 82

#### **Understanding Proxy Services**

The proxy services on the Gauntlet Firewall are application-level proxies. The services are called proxies because they relay (or proxy) information from one side of the firewall to the other. This prevents a program on one side of the firewall from talking directly to a system on the other side of the firewall.

They are considered application-level proxies because each proxy handles a different protocol, and thus, a different type of application. For example, the FTP proxy service understands the FTP protocol and handles requests for passing FTP traffic through the firewall. Several of the proxies understand more than one protocol, and can communicate with several different applications. For example, the multimedia proxy understands the protocols used by NetShow, RealAudio, RealVideo, and VDOLive.

The firewall also includes a generic proxy service, called the plug proxy. This proxy service understands the TCP protocol and can work with a variety of different applications.

The description of each proxy service, found in the remaining chapters of this section, provides more information on how the proxy services work.

#### **Configuring Proxy Services**

You can configure a variety of parameters for each proxy service. For each proxy, you can enable and disable the proxy service. This allows you to indicate whether or not the proxy should offer a particular service.

Other configurable parameters vary for each proxy service. For some proxies you can set the number of processes the proxy can start (the child limit) while for others you cannot set that number. Refer to the online help for explanations of the parameters. If you are in doubt about changing a parameter, use the default value shown in the Gauntlet Firewall Manager.

The main configuration window for each proxy service in the Gauntlet Firewall Manager shows the parameters that apply to all instances of that proxy.

#### **Creating Multiple Configurations for a Proxy**

You may need to have multiple configurations for a proxy service. For example, assume that company security policy requires you to limit the destinations that the hosts on the sales networks can visit on the World Wide Web. You could modify the default configuration in the HTTP proxy to restrict access to these destinations. This, however, affects every host on your trusted network, not just the hosts on the sales network. Instead, you want to have two different configurations; the default configuration and a configuration that is more restrictive than the default.

The firewall allows you to create multiple configuration sets for some proxies. Configuration sets allow you to have multiple configurations for the same proxy. You can then add the configuration sets to different service groups, and create different service group rules to use these service groups.

In our example above, you would leave the default configuration (http-gw) for the HTTP proxy in the trusted service group. You would then create a separate configuration set (http-gw-restrictive) for the HTTP proxy that restricts the destinations that the HTTP proxy can visit. You would create another service group that includes this configuration set. You would then create a rule that forces the hosts on the sales network to use the service group that contains the restrictive configuration set.

In fact, it is configuration sets that allow you to create custom proxy services (plugs) and custom proxy services with authentication (circuit). For the plug proxy, you can create one configuration set that runs on port 17 and listens for Quote-of-the-Day requests and another that listens on port 3572 for requests from internal accounting software.

The following proxies support creating multiple configuration sets:

- circuit
- rlogin
- FTP
- StreamWorks
- Gopher
- Sybase
- HTTP
- TELNET
- plug

## **Working With Configuration Sets**

Adding configuration sets involves planning, then creating the configuration sets. Both activities are discussed in this section.

### **Planning Configuration Sets**

When planning configuration sets:

- Determine whether you need to create a new configuration set or whether you can simply modify the default configuration set.
- Determine which service groups will use this configuration set.

#### **Creating Configuration Sets**

When you create a configuration set, the firewall uses the information specified in the default configuration set as a template.

To create a configuration set:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the tab for one of the services that supports configuration sets.
- 3. In the main configuration window for the proxy service, provide information that applies to all configuration sets for that proxy.
- 4. Click Add.

The Add Services window for the selected proxy displays.

5. Provide information about the configuration set.

You must provide the following information.

Name Name of the configuration set. The firewall uses this value in a

variety of places, including the list of available services for a service group. Use a descriptive name, preferably one that includes the name of the service. This name must be unique among all

configuration sets on this firewall.

Description Description for the configuration set. This description helps you

track various configuration sets.

6. Configure other settings for the configuration set, such as messages and restrictions. Refer to the online help for descriptions of the options available for each proxy service.

#### 7. Click OK.

Be sure to add your configuration set to one of the service groups. If you do not add the configuration set to a service group, the firewall does not use that set.

## **Modifying Configuration Sets**

**Note:** You cannot change the name of a configuration set. Instead, create a new configuration set that has the name and properties you want, and delete the old configuration set.

To modify a configuration set:

- 1. Select the configuration set you wish to modify.
- 2. Click Modify.

The Modify Services window for the selected proxy displays.

- 3. Change the settings for this configuration set.
- 4. Click OK.

#### **Deleting Configuration Sets**

To delete a configuration set:

- 1. Select the configuration set you wish to delete.
- 2. Click Delete.

## **Managing FTP Services**

Sometimes the easiest way to transfer information from one system to another is to actually transfer the relevant files. The File Transfer Protocol (FTP) is one of several protocols that make this possible. The Gauntlet Firewall includes a proxy that allows secure file transfer between the outside network and the inside network.

This chapter explains the concepts behind the FTP proxy and how it works, how to configure it, and how to use FTP services. One section discusses considerations for running anonymous FTP servers. The chapter consists of the following sections:

- "Understanding the FTP Proxy" on page 87
- "How the FTP Proxy Works" on page 88
- "Accessing FTP Proxy Configuration" on page 89
- "Configuring the Firewall for FTP Services" on page 89
- "Using FTP Services" on page 91
- "Running an Anonymous FTP Server" on page 94

#### **Understanding the FTP Proxy**

The FTP proxy is an application-level proxy that provides configurable access control, authentication, and logging mechanisms.

The FTP proxy, which runs on the firewall, passes FTP requests through the firewall, using rules you supply. You can configure the FTP proxy to allow file transfer activity based on:

- source IP address
- source hostname
- · destination IP address
- destination hostname
- FTP commands (for example, STOR and RETR)

Using these options, you can configure your firewall to allow specific hosts on outside networks to transfer files to and from inside hosts. Employees working at specific customer sites can access files on their workstations. Similarly, you can configure your firewall to permit users on the inside network to copy files (using the FTP daemon RETR command) from hosts on the outside network, but not place files (using the FTP daemon STOR command) on these outside hosts.

The FTP proxy allows administrators to require users to authenticate before transferring files. The FTP proxy logs all successful and unsuccessful file transfer attempts, and the number of bytes transferred.

The FTP proxy's access controls allow you to have more control over the files entering and leaving your system than you would by using the standard IRIX FTP daemon. The logging capabilities are also more extensive.

#### **How the FTP Proxy Works**

The firewall runs the network access control daemon (*netacl*) as a daemon listening for requests on the standard FTP port (TCP port 21). Whenever the daemon receives an FTP request on this port, the *netacl* daemon checks its configuration information and determines whether the initiating host has permission to use FTP. If the host has permission, the *netacl* daemon starts the standard FTP server (*ftpd*) or the FTP proxy (*ftp-gw*). If the host does not have permission, the daemon displays an error message.

The default trusted service group and rules allow all inside hosts to initiate FTP sessions and transfer files without authenticating. The inside host passes FTP requests to the firewall, which starts the *netacl* daemon. The *netacl* daemon checks its permissions, and determines that the inside host can use FTP. The *netacl* daemon starts *ftp-gw*. The proxy logs the transaction and passes the request to the outside host. *ftp-gw* remains active until either side terminates the connection. The default untrusted service group and rules also allow outside hosts to initiate FTP sessions. They must, however, authenticate before accessing inside hosts.

The default configuration does not allow either inside or outside hosts to FTP directly to the firewall itself. If you configure your Gauntlet Firewall to allow FTP to the firewall, hosts connect to the firewall with an FTP request. The firewall starts the *netacl* daemon. The *netacl* daemon checks its permissions, and determines that outside hosts can use FTP to the firewall itself. The *netacl* daemon starts the standard FTP daemon (in a chrooted environment).

This configuration using *netacl* allows a fair amount of flexibility in configuring FTP services. Users inside the perimeter can continue to interact with outside hosts, generally without authentication. Users outside the perimeter can interact with inside hosts, generally with authentication.

### **Accessing FTP Proxy Configuration**

To access the FTP proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the FTP tab.

The FTP window displays.

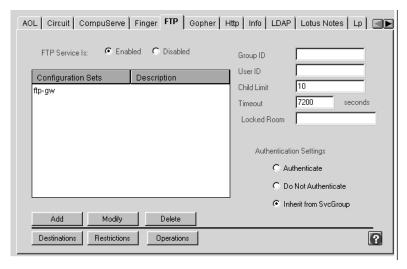


Figure 9-1 FTP Window

#### **Configuring the Firewall for FTP Services**

Configuring the Gauntlet Firewall for FTP services involves planning, configuring the FTP proxy to enforce company security policy, enabling the proxy, and creating user accounts for users who will need to authenticate.

#### **Planning FTP Proxy Settings**

When planning FTP proxy settings, determine policies for:

- Requiring authentication.
- Allowing specific FTP commands (for example, RETR and STOR).
- Permitting or denying specific sources and destinations.

#### **Configuring FTP Proxy Settings**

Configure the FTP proxy to enforce company security policies.

To configure FTP proxy settings, you can provide optional information about time-out values and other configuration settings for the FTP proxy. Refer to the online help for specific information about the available settings. Refer to Chapter 30, "Managing Content Scanning," on page 285 for information on configuring the content scanning features for the FTP proxy.

#### **Enabling FTP Proxy Services**

To enable the FTP proxy service:

- 1. In the FTP configuration tab, click Enabled.
- 2. Add the FTP configuration to the service groups you want to use the FTP proxy.
- 3. Before exiting the Gauntlet Firewall Manager, Save and Apply your changes. The firewall enables the FTP proxy.

#### **Creating Authentication User Entries**

Use the authentication management system to create authentication user entries for any users who must authenticate when using FTP services. See Chapter 6, "Users and User Groups," on page 57 for more information.

#### **Verifying Your Setup**

Verify your configuration by transferring files to an inside host from an outside host. For example, connect to your favorite FTP site and download their *README* file. See the section below for instructions.

#### **Using FTP Services**

The idea behind the FTP proxy is that most users working on the trusted networks behind the firewall will not see a change in their daily FTP activities. The default configuration allows users on trusted networks to FTP to untrusted networks without authenticating. Users on the trusted networks do not need to change their FTP procedures.

#### **Using Authentication**

If you have configured any FTP activities to require authentication, users will need to follow different procedures to use FTP.

To FTP using authentication:

- 1. FTP to the firewall itself.
- 2. Authenticate to the proxy.
- 3. Connect to the desired FTP server.
- 4. Continue as before.

A common security policy for the FTP proxy is to authenticate all requests from untrusted networks to or through the firewall. The example below shows a sample FTP session from an untrusted network to a trusted network, using S/Key authentication at the firewall:

```
blaze.clientsite.com-27: ftp fire-out.yoyodyne.com
Connected to fire-out.yoyodyne.com
220-Proxy first requires authentication
220 fire-out.yoyodyne.com FTP proxy (Version 4.0a) ready.
Name (fire-out.yoyodyne.com:clancy): clancy
331 Skey Challenge: s/key 653 fi19289
Password:password does not display
```

```
230 User authenticated to proxy
ftp> user clancy@dimension
331- (-----GATEWAY CONNECTED TO dimension----)
331- (220 dimension FTP server ready.)
331 Password required for clancy.
Password: ########
230 User clancy logged in.
ftp>
```

In this example, Clancy, working at a client site (blaze.clientsite.com), needs FTP access to a system behind the firewall (dimension.yoyodyne.com). He first FTPs to the outside address of the firewall for Yoyodyne (fire-out.yoyodyne.com). The FTP proxy on fire-out prompts him to authenticate. Clancy provides his authentication user ID (clancy). When the proxy prompts, he enters the response to the authentication challenge, which does not display. The proxy authenticates clancy.

Clancy indicates the host he needs to access and his user name for that host (clancy@dimension). The FTP proxy connects Clancy to dimension and prompts him for his password on dimension. Clancy enters his password for dimension. The FTP server on dimension verifies Clancy's user name and password, and logs him in. Clancy can now transfer files.

#### **Using Authentication With Some GUI FTP Tools**

The FTP proxy can require you to authenticate twice. Some GUI FTP tools for Microsoft Windows and the Macintosh require you to specify the user name and password in a dialog box. These tools assume that once you supply this information, you are connected. The FTP proxy displays the challenge and response information for authentication in FTP comments.

Some Microsoft Windows and Macintosh FTP tools do not display FTP comments. Unless the user sees the comment, they will have a really difficult time trying to guess the current challenge. You can still use these FTP tools with S/Key authentication, by combining the authentication and FTP host information.

To authenticate using some GUI tools:

- 1. For the hostname, supply the name of the firewall.
- 2. For the user name, supply the firewall authentication user name, the FTP host user name, and the name of the FTP host in this form:
  - authentication-username@ftp-host-username@ftp-host
- 3. For the password, supply the authentication response and FTP host password: authentication-response@ftp-host-password

You may need to TELNET to the firewall to see what the next challenge is.

The example below shows the information a user enters in their FTP tool when going from an untrusted network to a trusted network, using S/Key authentication for the firewall:

host: fire-out.yoyodyne.com username: clancy@clancy@dimension

password: elk elba iris odd skim lee@########

Because you cannot tell what the next challenge will be when using an authentication system that uses random challenges (such as the AssureNet Pathways SecureNet Key), you may not be able to use these instructions with some GUI FTP tools.

#### **Running an Anonymous FTP Server**

By its very nature, an anonymous FTP server requires easy access by the public. If you place the anonymous FTP server behind the firewall, you are allowing an additional type of access within your security perimeter. If you place the FTP server on the firewall itself, you are allowing additional access to your firewall.

Gauntlet for IRIX allows you to run the standard IRIX FTP server (*ftpd*) in an isolated (chrooted) environment as an anonymous FTP server (but you give up the ability to allow authenticated users from untrusted networks to use *ftp-gw* to access trusted networks).

The best solution is generally to place your anonymous FTP server on a system outside the perimeter. Follow good security practices for this system:

- Turn off all other services.
- Create the minimum number of user accounts.
- Use strong authentication.
- Patch the operating system and applications.
- Use checksums to watch for file changes.
- Back up frequently.

## Managing LDAP Services

The employees of your company want to engage in secure communications with other people. The mail, file encryption, and other types of applications they are using use certificates as part of the authentication and encryption process. Before communicating with someone, they need the appropriate certificate. The Lightweight Directory Access Protocol (LDAP) is a commonly used protocol for providing this sort of information. The Gauntlet Firewall includes a proxy that allows connections between LDAP clients and servers.

This chapter explores the concepts behind the LDAP proxy and explains how it works, how to configure the proxy, and how to use LDAP services. The chapter consists of these sections:

- "Understanding the LDAP Proxy" on page 95
- "How the LDAP Proxy Works" on page 96
- "Configuring LDAP Clients" on page 97
- "Configuring LDAP Proxy Settings" on page 97
- "Planning the LDAP Proxy" on page 97
- "Enabling LDAP Services" on page 99

#### **Understanding the LDAP Proxy**

The LDAP proxy is an application-level proxy that provides configurable access control and logging mechanisms. The LDAP proxy, which runs on the firewall, passes LDAP requests through the firewall (at the application level), using rules you supply.

You can configure the proxy to allow connections based on:

- source IP address
- source hostname

- source port
- destination IP address
- destination hostname
- destination port

Using these options, you can configure the firewall to allow LDAP clients on certain trusted hosts to access an LDAP server on an untrusted host. Employees working behind the firewall can access LDAP servers at customer sites.

You can configure the LDAP proxy to allow LDAP clients on untrusted hosts to access LDAP servers on the trusted networks. According to most security policies, it is not a good idea to allow unlimited access to the inside network. If you have to offer LDAP services to other hosts on the Internet, consider running the LDAP server on the outside network.

The proxies log all successful and unsuccessful connection attempts, and the amount of data transferred. These access controls allow you to have much more control over the connections to and from your system than you would without a firewall. The logging capabilities are also much more extensive.

#### **How the LDAP Proxy Works**

The firewall runs the LDAP proxy as a service listening for requests on the standard LDAP port. Whenever the firewall receives an LDAP request on this port, the LDAP proxy checks its configuration information and determines whether the initiating host has permission to initiate this type of request. If the host does not have permission, the LDAP proxy logs the unsuccessful connection.

If the host has permission, the proxy logs the transaction and passes the request to the destination host. The LDAP proxy remains active until either side closes the connection or the connection times out.

The recommended configuration allows trusted hosts to access LDAP servers on untrusted networks. The recommended configuration does not allow untrusted hosts to access LDAP servers on trusted networks.

#### **Planning the LDAP Proxy**

When planning the LDAP proxy:

- Determine which LDAP servers users need to access. Determine whether you want to limit access to a particular server or not. Obtain host name or IP address information for each server.
- For each server, determine the port(s) on which the server accepts connections.
- Determine which internal hosts can use these services.

#### **Configuring LDAP Clients**

You can configure LDAP clients with or without transparency.

#### **Configuring LDAP Clients With Transparency**

If you are using transparency on the firewall (the default configuration) and you have installed the LDAP proxy on the default port (TCP port 389), you do not have to change the way that inside hosts access LDAP servers on the outside network.

#### **Configuring LDAP Clients Without Transparency**

If you are not using transparency, configure the LDAP client to know about the LDAP proxy. Consult the documentation included with your mail reader or other LDAP client for instructions.

## **Configuring LDAP Proxy Settings**

To configure LDAP settings:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the LDAP tab.

The LDAP window displays.

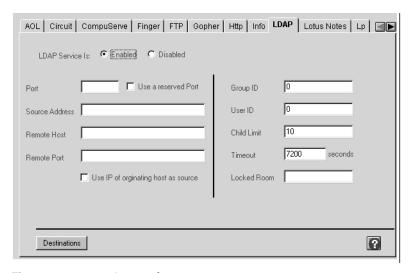


Figure 10-1 LDAP Window

3. Configure the LDAP proxy settings.

LDAP Service Is	Select Enabled or Disabled.
Port	The default port number will work if you are using a standard LDAP port. If your LDAP services are using a non-standard port, enter the port number.
Use a reserved Port	Check if you are using a reserved port, one with a port number less than 1023.
Source Address	Enter the IP addresses of hosts from which connections can originate. Specify single hosts, entire networks, or subnets. Specify by IP address or host name. The wildcard $^{\ast}$ is valid in hostnames.
Remote Host	Enter the IP addresses of the host to which the LDAP proxy connects. Specify single hosts, entire networks, or subnets. Specify by IP address or host name. The wildcard * is valid.
Remote Port	Enter the port on the remote host to which the LDAP proxy connects.
Use IP of originating host source	Check to use the IP address of the originating host as the source address. Leave blank otherwise.

Group ID Enter the group ID (on the operating system of the computer

the firewall is on) that the LDAP proxy uses when running.

User ID Enter the user ID (on the operating system of the computer

the firewall is on) that the LDAP proxy uses when running.

Child Limit Enter the maximum number of child processes the LDAP

proxy allows to run at a given time.

Timeout Enter the number of minutes the connection can be idle

before it is disconnected.

Locked Room Enter the path to the directory that the LDAP proxy makes

its root directory before providing service.

## **Enabling LDAP Services**

To enable the LDAP proxy:

- 1. In the LDAP window make sure LDAP service is enabled.
- 2. Add the LDAP configuration to the service groups you want to use the LDAP proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the LDAP proxy.

## Managing Microsoft SQL Services

Database services are essential in most organizations. As with other services you offer, you want to securely configure database access. Microsoft SQL is a relational database management system in use in many organizations. The Gauntlet Firewall includes a proxy that securely allows connections between Microsoft SQL clients on the inside network and servers on the outside network.

This chapter discusses the concepts behind the SQL Server proxy and explains how it works, how to configure it, and how to use Microsoft SQL services. The chapter consists of these sections:

- "Understanding the SQL Server Proxy" on page 101
- "How the SQL Server Proxy Works" on page 102
- "Accessing SQL Server Proxy Configuration" on page 103
- "Configuring the Firewall for Microsoft SQL Services" on page 104
- "Enabling SQL Server Proxy Services" on page 107
- "Configuring Microsoft SQL Clients" on page 108
- "Verifying Your Setup" on page 108

#### **Understanding the SQL Server Proxy**

The SQL Server proxy is an application-level proxy that provides configurable access control, authentication, and logging mechanisms. The SQL Server proxy, which runs on the firewall, passes Microsoft SQL requests through the firewall (at the application level), using rules you supply. You can configure instances of the SQL Server proxy to service:

- Microsoft SQL client-to-server communications
- Microsoft SQL server-to-server communications

For each instance of the SQL Server proxy, you can configure the proxy to allow connections based on:

- source IP address
- · source hostname
- source port
- destination IP address
- destination hostname
- destination port

Using these options, you can configure the firewall to allow Microsoft SQL clients on certain trusted hosts to access a Microsoft SQL server on an untrusted host. Employees working behind the firewall can access Microsoft SQL databases at customer sites. You can also configure the firewall to allow Microsoft SQL servers on opposite sides of the firewall to communicate. A Microsoft SQL replication server can communicate with another Microsoft SQL replication server on the other side of an intranet firewall.

You can configure the SQL Server proxy to allow Microsoft SQL clients on untrusted hosts to access Microsoft SQL servers on your trusted networks. According to most security policies, including the Gauntlet Firewall default, allowing untrusted hosts such access is not a good idea. If you must allow this sort of service, consider using client-side password encryption. Consider limiting the databases and data to which users have access, because all of the data is transferred unencrypted.

The proxies log all successful and unsuccessful connection attempts and the amount of data transferred.

These access controls allow you to have much more control over the connections to and from your system than without a firewall. The logging capabilities are also much more extensive.

#### **How the SQL Server Proxy Works**

The firewall runs different instances of the SQL Server proxy (*mssql-gw*) as daemons on different ports for different Microsoft SQL applications. Whenever the firewall receives a Microsoft SQL request on one of these ports, the SQL Server proxy checks its configuration information and determines whether the initiating host has permission to

initiate this type of request. If the host does not have permission, the Microsoft SQL daemon logs the connection attempt and displays an error message.

If the host has permission, the proxy logs the transaction and passes the request to the destination host. The SQL Server proxy remains active until either side closes the connection.

The default service groups do not allow either inside or outside hosts to use the SQL Server proxy. The recommended configuration allows trusted hosts to access Microsoft SQL servers on untrusted networks. The recommended configuration does not allow untrusted hosts to access Microsoft SQL servers on trusted networks.

While the SQL Server proxy does perform checks to make sure the packets appear to be Microsoft SQL packets, someone could spoof this protocol. The SQL Server proxy does not perform any user authentication. You are relying on the authentication mechanisms of the Microsoft SQL server to control access to your Microsoft SQL server and its data.

#### **Accessing SQL Server Proxy Configuration**

To access the SQL Server proxy configuration:

- 1. From within the Gauntlet Firewall Manager, click Services.
- 2. Click the SQL Server tab.

The SQL Server window displays.

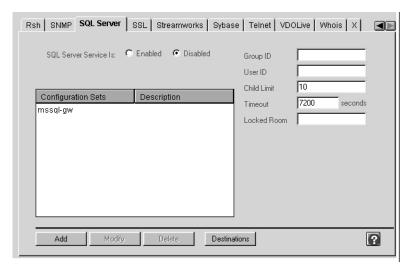


Figure 11-1 SQL Server Window

## Configuring the Firewall for Microsoft SQL Services

Configuring the Gauntlet Firewall involves planning, configuring the proxies to enforce company security policy, and enabling the proxy.

#### **Planning SQL Server Proxy Settings**

When planning the Microsoft SQL service proxy:

- Determine which Microsoft SQL servers users need to access. Determine whether
  you want to limit access to a particular server or not. Obtain host name or IP
  address information for each server.
- For each server, determine the port(s) on which the server accepts connections.
- Determine which external hosts can use these services.
- Determine which internal hosts can use these services.

## **Configuring SQL Server Proxy Settings**

Configure the SQL Server proxy to enforce company security policies.

To configure SQL Server proxy settings:

1. In the SQL Server window, configure the SQL Server proxy settings.

SQL Server Service is	Select Enabled or Disabled.
Group ID	Group ID (on the operating system of the computer the firewall is on) that the SQL Server proxy uses when running.
User ID	User ID (on the operating system of the computer the firewall is on) that the SQL Server proxy uses when running.
Child Limit	Maximum number of child processes the SQL Server proxy allows to run at a given time.
Timeout	Number of minutes the connection can be idle before it is disconnected.
Locked Room	Path to the directory the SQL Server proxy makes its root directory before providing service.

2. Add SQL Server configuration sets as appropriate.

To add an SQL Server proxy configuration set:

In the SQL Server window, click Add.
 The Add SQL Server Services window displays.

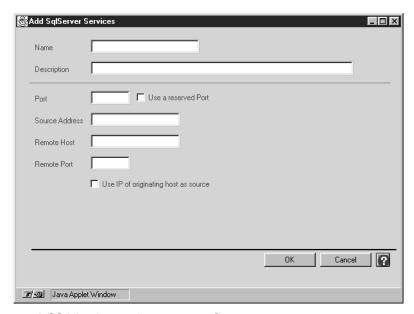


Figure 11-2 Add SQL Server Services Window

Name

Remote Port

2. Provide information about the hosts on which you are running the Microsoft SQL service.

Name for this SQL Server configuration set.

Description Description for this SQL Server configuration set. Port Port number on which the proxy runs. The default is 1612. Use a reserved Port Check if you are using a reserved port, one with a port number less than 1023. Source Address IP addresses of hosts from which connections can originate. Specify single hosts, entire networks, or subnets. Specify by IP address or host name. The wildcard \* is valid in hostnames. Remote Host IP addresses of the host to which the SQL Server proxy connects. Specify single hosts, entire networks, or subnets. Specify by IP address or host name. The wildcard \* is valid.

Port on the remote host to which the SQL Server proxy

connects.

Use IP of originating Check to use the IP address of the originating host as the host source address. Leave blank otherwise.

3. Click OK.

The SQL Server window re-displays.

To modify an existing configuration set:

- 1. In the SQL Server window, select the configuration set you wish to modify.
- 2. Click Modify.

The Modify SQL Server Services window displays.

- 3. Make the desired modifications to the configuration set.
- 4. Click OK.

The SQL Server window re-displays.

To delete a configuration set:

- 1. In the SQL Server window, select the configuration set you wish to delete.
- 2. Click Delete.

The configuration set disappears from the list of configuration sets.

## **Enabling SQL Server Proxy Services**

To enable the SQL Server proxy service:

- 1. In the SQL Server window, make sure Enabled is selected.
- 2. Add the SQL Server proxy configuration to the service groups you want to use the Microsoft SQL proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes.

The firewall enables the SQL Server proxy.

#### **Configuring Microsoft SQL Clients**

Add or modify the interfaces file on the client to provide information about the Microsoft SQL server:

To configure Microsoft SQL clients:

- 1. Specify the port number you selected for the SQL Server proxy.
- 2. If you are using transparency (the default configuration), specify the hostname as the hostname of the actual system running the Microsoft SQL server. If you are not using transparency, specify the hostname as the IP address of the firewall.

If you are using server-to-server communications, configure all servers as clients. Consult the Microsoft SQL administration documentation for further information on configuring clients for accessing servers.

#### **Verifying Your Setup**

To verify your setup, use the Microsoft SQL client on a trusted host to run a simple query against the Microsoft SQL server on the untrusted host. Watch the logs on the firewall for error messages.

# Managing Multimedia Services

More and more people are listening to audio and watching video files found at sites on the Internet. As with other protocols, access to these files is not without risk. They require logging and access control, as with other services.

There are a number of protocols that allow people to listen to audio material and view video material. The Gauntlet Firewall includes multimedia proxies that securely handle requests for the following formats:

- NetShow
- RealPlayer (RealAudio and RealVideo)
- StreamWorks
- VDOLive

This chapter discusses the concepts behind the multimedia proxies and explains how they work and how to configure and use them. The chapter contains the following sections:

- "Understanding the Multimedia Proxy" on page 110
- "Accessing Multimedia Proxy Configuration" on page 111
- "Configuring the Firewall for Multimedia Services" on page 112
- "Using the NetShow Proxy" on page 114
- "Using the RealPlayer Proxy" on page 115
- "Using the StreamWorks Proxy" on page 117
- "Using the VDOLive Proxy" on page 118

## **Understanding the Multimedia Proxy**

The Gauntlet multimedia proxies are application level proxies that provide configurable access control. The proxy, which runs on the firewall, passes client requests through the firewall, using rules you supply. You can configure the multimedia proxies to allow connections based on:

- · source hostname
- source IP address
- destination hostname
- · destination IP address
- service port number

Using these options, you can configure the firewall to allow clients on the inside network to access audio or video servers on the outside network. You can also limit the sites users can access from systems on the inside network. The multimedia proxies also log all successful and unsuccessful connection attempts and the amount of data transferred.

You cannot configure the multimedia proxies to allow access to servers on the inside network.

These access controls allow you to have more control over the connections to and from your system than without a firewall. The logging capabilities are also more extensive.

# **How the Multimedia Proxy Works**

The firewall runs different instances of the multimedia proxy on the appropriate ports for NetShow (TCP port 1755), RealAudio and RealVideo (TCP port 7070), and VDOLive (TCP port 7000). The firewall also runs the StreamWorks proxy as a daemon listening for requests on the StreamWorks XDMA port (UDP port 1558).

When the firewall receives requests for services on this port, the proxy checks its configuration information and determines whether the initiating host has permission to use the requested service. If the host has permission, the proxy logs the transaction and passes the request to the outside host. The proxy remains active until either side closes the connection.

The default service group and rules do not allow either inside or outside hosts to use the multimedia proxies. Multimedia services are included in the trusted service group, allowing users on the trusted network to view and access multimedia content from outside hosts.

This prohibits running multimedia servers on the firewall itself. Because the proxies are running on the default ports for these services on the firewall, all requests to these ports access the proxy. There is no way to start the server daemons needed for these multimedia requests.

# **Accessing Multimedia Proxy Configuration**

To access the NetShow proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the NetShow tab.

The NetShow window displays.

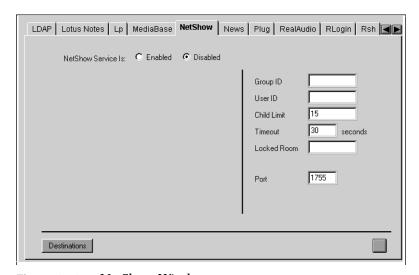


Figure 12-1 NetShow Window

To access the proxy configuration for RealAudio and RealVideo (RealPlayer):

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the RealAudio tab.

The RealPlayer window displays.

To access the StreamWorks proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the StreamWorks tab.

The StreamWorks window displays.

To access the VDOLive proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the VDOLive tab.

The VDOLive window displays.

# **Configuring the Firewall for Multimedia Services**

Configuring the Gauntlet Firewall involves planning, configuring the proxies to enforce company security policy, and enabling the proxy.

### Planning the Firewall for Multimedia Services

When planning the Firewall for multimedia services:

- Determine which of the multimedia services you wish to allow.
- Determine if individual access controls will be applied to specific hosts or subnets.
   Obtain the hostname or IP address information of the allowed or restricted clients.
   If you choose to do this, plan to create specific service groups and rules for these activities. Alternatively, unrestricted access may be allowed.
- Determine if individual access controls to external servers will be applied, limiting
  those external sources users may access. Obtain hostname or IP address information
  for each server. If you choose to do this, plan to create destination access rules to
  deny these sites. Alternatively, unrestricted external server access may be allowed.

- Determine if you need to use non-default ports on the firewall for handling requests.
- Determine how many users of each proxy service are allowed to go through the firewall at the same time.

### **Configuring Multimedia Proxy Services**

Configure the multimedia proxies to enforce company security policies.

To configure Multimedia proxy settings, you can provide optional information about configuration settings for the multimedia proxy. Refer to the online help for specific information about the available settings.

# **Enabling Multimedia Proxy Services**

Even though the multimedia proxy services understand several different protocols, you do not need to enable all of them. For example, you can enable the RealPlayer proxy, and leave the NetShow and VDOLive proxies disabled.

To enable the proxy service:

- 1. In the appropriate multimedia configuration tab, click Enabled.
- 2. Add the proxy configuration to the service groups that you want to allow use of the proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the proxy.

### **Verifying Your Setup**

Verify the installation by using the player to listen to audio files or view video files from hosts on the outside network. See the section below for instructions:

- "Using the NetShow Proxy" on page 114
- "Using the RealPlayer Proxy" on page 115
- "Using the StreamWorks Proxy" on page 117
- "Using the VDOLive Proxy" on page 118

# **Using the NetShow Proxy**

Most users and most sites do not need to change the way they access NetShow files after installing the proxy.

### **Using the NetShow Proxy With Transparency**

If the firewall uses transparency (the default configuration), you do not need to change the way you access NetShow files. As you did before, simply access the site and listen to audio files and view video files.

### **Using the NetShow Proxy Without Transparency**

If the firewall does not use transparency, you can still access NetShow files. The NetShow player does not support NetShow proxies. However, it does support HTTP proxies. If you are not using transparency, you can access NetShow files by passing these files through the HTTP proxy.

To configure the NetShow player:

- 1. From within the NetShow player, select File.
- 2. Select Properties.
- 3. Select the Advanced tab.

The Advanced tab displays.

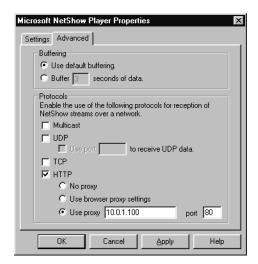


Figure 12-2 Microsoft NetShow Player Advanced Properties Window

4. Enter information about the HTTP proxy:

Protocol Select HTTP so that the NetShow player uses HTTP to transfer NetShow files.

Use Proxy Host name or IP address of the inside interface of the firewall.

Port Port number on which HTTP proxy is running (usually 80).

5. Click OK.

### **Using the RealPlayer Proxy**

Most users and most sites do not need to change the way they access RealPlayer files after installing the proxy.

# **Using the RealPlayer Proxy With Transparency**

If you are using transparency on the firewall (the default configuration) and you have installed the RealPlayer proxy on the default player port (TCP port 7070), you do not need to change the way you access RealPlayer (RealAudio and RealVideo) files. As you did before, simply access the site and listen to audio files and view video files.

# Using the Proxy for Real Audio and Real Video Proxy Without Transparency

If you are not using transparency, you need to configure RealPlayer to know about the proxy.

To configure the RealPlayer:

- 1. From within the RealPlayer, click View.
- 2. Select Preferences.
- Click *Proxy*.
   The Proxy tab displays.

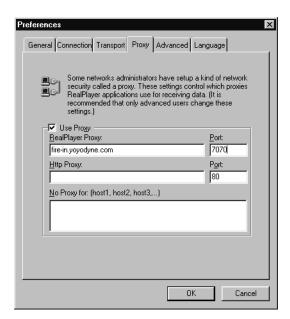


Figure 12-3 RealPlayer Proxy Preferences Window

4. Check the Use Proxy box.

5. Enter information about the RealPlayer proxy.

 $Real Player \qquad Host \ name \ or \ IP \ address \ of \ the \ inside \ interface \ of \ the \ firewall.$ 

**Proxy** 

Port number on which the proxy is running. This is usually 7070.

6. Click OK.

### **Using the StreamWorks Proxy**

The StreamWorks proxy does not support transparency; therefore, you need to configure the StreamWorks player to know about the proxy.

To configure the StreamWorks player:

- 1. From within the StreamWorks player, select Setting.
- 2. Select Network.

The StreamWorks Player Network Settings window displays.

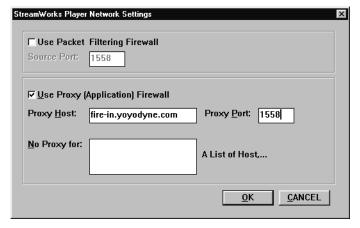


Figure 12-4 StreamWorks Player Network Settings Window

3. Check Use Proxy (Application) Firewall.

4. Enter information about the StreamWorks proxy:

Proxy Host Host name or IP address of the inside interface of the firewall.

Port Port number on which the proxy is running. This is generally 1558.

5. Click OK.

Now, when you point your Web browser or StreamWorks player at a StreamWorks file, it will use the proxy.

## **Using the VDOLive Proxy**

Most users and most sites do not need to change the way they access VDOLive files after installing the proxy.

If you are using transparency on the firewall (the default configuration) and you have installed the VDOLive proxy on the default player port (TCP port 7000), you do not need to change the way you access VDOLive files. Access and use the VDOLIve files as you did before.

If you are not using transparency, you need to configure the VDOLive player to know about the proxy.

To configure the VDOLive player:

- 1. From within the player, click Setup.
- 2. Click Settings.

The Setup tab displays.

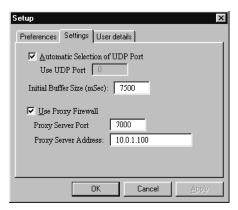


Figure 12-5 VDOLive Setup Settings Window

- 3. Check Use Proxy Firewall.
- 4. Enter information about the VDOLive proxy:
   Proxy Server Port Port number on which the proxy is running; usually 7000.
   Proxy Server Host name or IP address of the inside interface of the firewall. Address
- 5. Click OK.

# Managing Network Management Services

Network management and monitoring are crucial in today's increasingly complex and heterogeneous network environment. Swift detection and response to a failing mission-critical networked resource can prevent considerable financial losses. Most of today's network management platforms use the industry-standard Simple Network Management Protocol (SNMP) to communicate with resources being managed. The Gauntlet Firewall includes an SNMP proxy that allows the network management station to communicate securely with the managed resources across the firewall.

The Gauntlet Firewall also includes SNMP agent software. Refer to Chapter 32, "Managing the Network Management Agent," on page 305 for more information about the SNMP agent.

This chapter discusses the concepts behind the SNMP proxy and explains how it works, how to configure it, and how to start it. The chapter consists of these sections:

- "Understanding the SNMP Proxy" on page 121
- "How the SNMP Proxy Works" on page 122
- "Accessing SNMP Proxy Configuration" on page 123
- "Configuring the Firewall for SNMP Services" on page 124
- "Configuring SNMP Agents" on page 127

# **Understanding the SNMP Proxy**

The SNMP proxy is an application-level proxy that provides configurable access control and logging of SNMP traffic. The SNMP proxy passes network management station (manager) requests to the managed resources (agents), and accepts trap requests from agents using rules you supply.

Using the SNMP configuration rules, you can configure the firewall to allow a manager to query specific agents, and accept traps from selected agents. You can configure the proxy to allow connections based on:

- agent
- operation

The proxies log all successful and unsuccessful connection attempts and the amount of data transferred.

These access controls allow you to have more control over the connections to and from your system than you had without a firewall. The logging capabilities are also much more extensive.

### **How the SNMP Proxy Works**

The firewall runs the SNMP proxy (*snmp-gw*) as a daemon listening for requests on the default SNMP port (UDP port 161) and agent trap port (UDP port 162). These ports are configurable.

#### **SNMP Requests**

When the firewall receives SNMP messages on the SNMP port, the proxy assumes the request is from the SNMP network manager. The proxy compares the name of the requesting host with the name configured on the firewall as the network manager. If the request came from a different host, the SNMP proxy will log the request and drop the packet. If the name of the requesting host matches the name of the firewall manager, the proxy will check its configuration to see if the requesting manager is allowed to use the operation to the requested agent.

If the request is for an operation or agent that is not permitted, the SNMP proxy will log the request and drop the packet. If the request is for an operation and agent that are permitted, the proxy will forward the request to the SNMP agent.

When the agent responds, the proxy verifies that the response came from the agent and that the packet is a valid SNMP response packet. The proxy then forwards the response back to the SNMP manager.

Because the SNMP proxy forwards the manager's request to the agent (after permission checks) and doesn't proxy the request on behalf of the SNMP manager, the SNMP request can work only when the firewall is using transparency. Therefore, the SNMP proxy works only when the SNMP manager is on the inside network and agents are on the outside or service network with the default firewall configuration.

### **SNMP Trap Requests**

When the firewall receives an SNMP trap on the agent trap port, the proxy checks its configuration information to determine whether the agent is allowed to send traps through the firewall. If the agent is not allowed to send traps through the firewall, the firewall logs the trap request and drops the packet. If the agent is allowed to send traps through the firewall, the firewall sends the trap onto the SNMP network manager.

If the proxy is operating transparently, the firewall sends the trap to the SNMP network manager specified in the trap. If the proxy is not operating transparently, the firewall sends the trap to the SNMP network manager specified on the firewall. In the default configuration, the SNMP manager is on the trusted network and can transparently access the agents, which are on the untrusted networks.

# **Accessing SNMP Proxy Configuration**

To access the SNMP proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the SNMP tab.

The SNMP window displays.

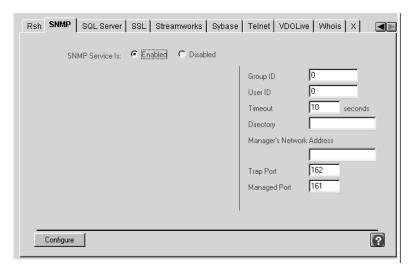


Figure 13-1 SNMP Window

# **Configuring the Firewall for SNMP Services**

Configuring the Gauntlet Firewall involves planning, configuring proxy settings, and enabling the proxy.

### **Planning the Firewall for SNMP Services**

When planning the firewall for SNMP services:

- Identify the network manager that will use the proxy.
- Determine the hostname or IP address of the resources (agents) that the network manager will manage across the firewall and the SNMP operation restrictions on each agent.
- Determine the agent response time-out that the network manager will use. The firewall uses a default value of 10 seconds. Consider setting the time-out to the same value used by the SNMP manager.
- Determine the SNMP Port and agent trap port that will be used.

# **Configuring SNMP Proxy Settings**

Configure the SNMP proxy to enforce company security policies.

To configure SNMP proxy settings:

- 1. In the SNMP window, provide information about the proxy and the network manager that can use the proxy.
  - Manager's IP address or host name of the system that is the network Network Address manager. Wildcards \* are not valid.
- 2. Click Configure.

The Add SNMP Agents window displays.

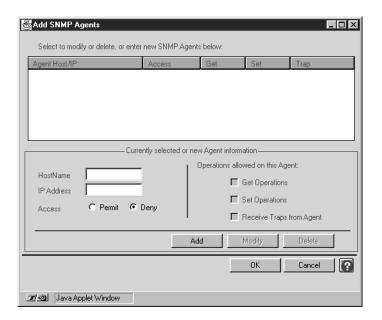


Figure 13-2 Add SNMP Agents Window

3. Provide information about the agents the network manager can contact and the types of operations the manager can perform.

Hostname  $\;\;$  Host name of an agent. Specify by host or network. The wildcard \* is

valid. If you enter a host name, you do not need to enter an IP address.

IP Address EIP address of an agent. Specify by host, subnet, or network. The

wildcard \* is valid. If you enter an IP address, you do not need to enter

a host name.

Access Specify whether these rules permit or deny access to and from this

agent. Remember, "That which is not explicitly permitted is denied." Once you create a deny rule for one agent, you must create explicit

permit rules for other agents.

Operations Types of operations that the network manager can exchange with this

agent.

4. Click Add.

5. Click OK.

**Note:** Some network management software (such as HP OpenView and CA Unicenter TNG) use the *ping* program to verify that an agent is reachable before they send the actual SNMP request. By default, the Gauntlet Firewall does not allow *ping* traffic, or any ICMP traffic through the firewall. If your network management software uses the *ping* program, you must add packet screening rules to the firewall. These rules must allow ICMP between the network manager and the agent.

#### **Enabling SNMP Proxy Services**

To enable the SNMP proxy service:

- 1. In the SNMP window, click Enabled.
- 2. Add the SNMP proxy configuration to the service groups you want to use the SNMP proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the SNMP proxy.

# **Configuring SNMP Agents**

If the agent cannot transparently reach the network manager, you must configure the agent so that it accepts requests from the firewall. This is the default when the agent is outside the firewall and the network manager is inside the firewall.

# Managing News Services

Usenet news continues to be one of the most widely used Internet applications. Many sites rely on Usenet news for information on the latest technology. Although the Network News Transfer Protocol (NNTP) does little in comparison to other network protocols, you must configure it carefully to protect internal news groups that may contain sensitive proprietary information.

The plug proxy included with the Gauntlet Firewall allows administrators to tunnel NNTP-based news feeds through their firewall. The NNTP connections come from known sites (as opposed to the multitude of sites that may connect via SMTP to deliver mail). NNTP is also a very straightforward protocol. For these reasons, it can be proxied using the generic plug proxy.

The following sections discuss the concepts behind the News proxy and explain how it works and how to configure the proxy for NNTP-based news:

- "Understanding the News Proxy" on page 130
- "How the News Proxy Works" on page 130
- "Configuring the Firewall for News Services" on page 131
- "Configuring Your News Server" on page 134
- "Using News" on page 135

### **Understanding the News Proxy**

The Gauntlet plug proxy is a TCP gateway that provides configurable access control and logging mechanisms. The plug proxy, which runs on the firewall, passes NNTP or other application requests through the firewall, using rules you supply. It essentially tunnels information from a port on the firewall to a specific port on another system.

You can configure the News proxy to allow connections based on:

- source IP address
- source hostname
- source port
- destination IP address
- destination hostname
- destination port

Using these options, you can configure the firewall to allow your service provider's host on the outside to connect to the firewall and pass news via NNTP to your news system on the inside network. You can also configure the firewall to allow a set of news clients on your internal system to connect to news servers on hosts outside your firewall.

The News proxy logs all successful and unsuccessful connection attempts and the amount of data transferred.

These access controls allow you to have more control over the connections to and from your system than without a firewall. The logging capabilities are also more extensive.

### **How the News Proxy Works**

The firewall runs the News proxy as a daemon listening for requests on the standard NNTP port (TCP port 119). When the News proxy receives a request, the proxy checks its configuration information and determines whether the initiating host has permission to initiate this type of request. If the host has permission, the proxy passes the connection to the specified port on the specified system. This News proxy remains active until either side terminates the connection.

The default configuration for the Gauntlet Firewall allows requests to and from one internal news server and one external news server. The firewall itself cannot run an NNTP news server, or any other service that you are passing through the plug proxy, because the plug proxy is using the standard port for these services.

Hosts on both the inside and outside think the firewall is servicing requests. The external news server thinks it is feeding news to the firewall, and the internal news server thinks that it is receiving news from the firewall. The firewall is simply acting as the tunnel, via the plug proxy.

Another common configuration allows request from internal news clients to multiple news server. Again, the firewall is simply acting as a tunnel.

# **Configuring the Firewall for News Services**

Configuring the Gauntlet Firewall for news services involves planning, configuring the firewall, configuring the proxy to enforce company security policy, and enabling the proxy.

### **Planning News Settings**

When planning news settings:

- Do not use the firewall as a news server.
- Restrict or disallow automatic group creation and deletion.
- Allow external NNTP connections from known servers only.

#### **Configuring News Settings**

To configure News Feed settings:

- 1. From within the Gauntlet Firewall Manager, select Environment.
- 2. Click the News tab.

The News window displays.

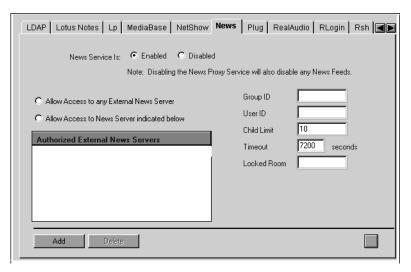


Figure 14-1 News Window

- Provide information about your internal news server.
   Internal News Server IP address or host name for your internal NNTP news server.
- 4. Click Add.

The News Feed Server Identification Screen displays.



Figure 14-2 News Feed Server Identification Screen

5. Provide information about your external news feeds.

External News Feed IP address or host name for the system that provides your external NNTP news server.

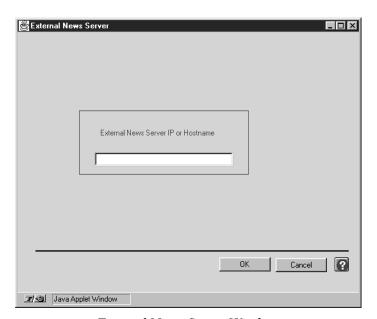
#### 6. Click OK.

To configure News Reader settings:

- 1. From within the Gauntlet Firewall Manager, click Services.
- 2. Click the News tab.

The News window displays.

- 3. Indicate whether news readers can connect to any external news server or only to specific servers.
- 4. If you wish to limit the external news servers, click Add. The External News Server window displays.



**Figure 14-3** External News Server Window

- Provide information about allowed external news servers.
   News Server IP address or host name of an external NNTP news server.
- 6. Click OK.

### **Enabling News Proxy Services**

Enabling the News reader proxy service also enables the News feed proxy service. If you want to use only one of these services, do not configure settings for the other service. Because the firewall follows the rule "That which is not expressly permitted is denied," you are not leaving the firewall vulnerable to attack if you only configure one type of News service.

To enable the News proxy service:

- 1. On either of the News configuration tabs, click Enabled.
- 2. Add the News proxy configuration to the service groups that you want to use the News proxy.
- Before exiting the Gauntlet Firewall Manager, Save and Apply your changes.
   The firewall enables the News proxy.

### Informing Your News Feed

If you are using the News feed configuration of the proxy, inform your external news feed provider (often your Internet service provider) that tall NNTP news should be sent to the firewall (instead of the internal news server). Be sure to provide them with the outside IP address for your firewall.

# **Configuring Your News Server**

If you are using the News feed configuration of the proxy, configure your internal news server software to transfer and receive articles from the firewall, rather than your external news server. Specify the inside IP address for the firewall.

# **Using News**

The firewall and the plug proxy for NNTP traffic are transparent to the user. If you are using the News feed configuration, users should continue to point their news readers (*rn*, *trn*) or other news-aware tools (Netscape Navigator, Microsoft Internet Explorer) towards your internal news server.

If you are using the News Reader configuration, users should continue to point their news readers or other news-aware tools towards the appropriate news server on the outside of the firewall.

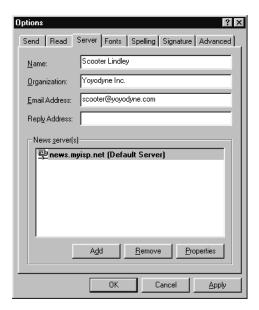


Figure 14-4 News Reader Window

# **Managing Print Services**

Printing continues to be a widely used feature of most computer networks. In some circumstances, users need to print information using printers connected to other systems on other networks. Users behind a firewall might want to print to printers on systems on the outside, or behind other firewalls. Others might want to be able to print from a remote system, for example from a mobile PC to a printer behind a firewall. The Gauntlet Firewall includes an *lp* proxy that securely handles the transfer of print requests.

This chapter discusses the concepts behind the *lp* proxy and explains how *lp* works, how to configure the proxy, and how to use *lp* services. The chapter consists of these sections:

- "Understanding the lp Proxy" on page 137
- "How the lp Proxy Works" on page 138
- "Configuring the Print Client" on page 140
- "Configuring the Print Server" on page 140
- "Accessing lp Proxy Configuration" on page 141
- "Configuring the Firewall for lp Services" on page 142
- "Using lp Services" on page 144

### Understanding the Ip Proxy

The lp proxy is an application-level gateway that provides configurable access control and logging mechanisms. The lp proxy, which runs on the firewall, passes lp requests through the firewall using rules you supply. You can configure the lp proxy to allow file transfer activity based on:

- · source IP address
- source hostname
- destination IP address

- destination hostname
- *lp* commands (for example, number and priority)
- printer queue

Using these options, you can configure your firewall to allow specific hosts on the inside network to print files on outside hosts. Employees working behind the firewall can send print jobs to printers at customer sites. Similarly, traveling employees can send print jobs to printers at corporate headquarters inside the defense perimeter. You can deny access to some *lp* commands, allowing users to print, but not allowing them to restart or remove print jobs.

The *lp* proxy logs all successful and unsuccessful file transfer attempts and the number of bytes transferred. These access controls allow you to have much more control over the files entering and leaving your system than using the standard IRIX *lp* program. The logging capabilities are also much more extensive.

### **How the Ip Proxy Works**

The firewall runs the lp proxy (lp-gw) as a daemon listening for requests on the standard printer port (TCP port 515). When the firewall receives requests for services on this port, the lp proxy checks its configuration information and determines whether the initiating host has permission to use lp. If the host has permission, the proxy logs the transaction and passes the request to the printer server. The lp-gw proxy remains active until either side closes the connection.

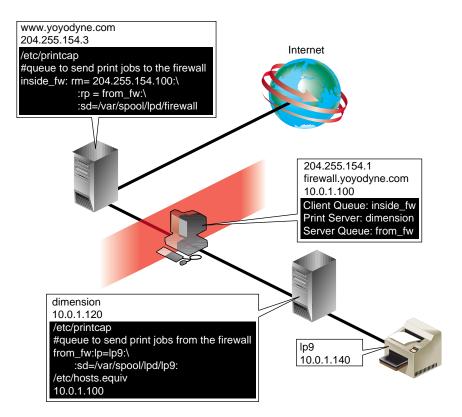
The default configuration allows inside hosts to use *lp*. Users on inside hosts can continue to print to outside hosts as they did before the firewall was put into place. The default configuration does not allow outside hosts to connect to inside hosts for printing.

This configuration prohibits running an lp server on the firewall itself. Because the lp proxy is running on the standard lp port on the firewall, there is no way to start the lp daemon needed to service lp requests. Thus, you cannot print from the firewall itself.

However, a common configuration is to allow outside hosts to print to inside printers. Consider Yoyodyne's network, as shown in Figure 15-1. The Web server is on the outside network. When working on the web, the Webmaster needs to print files. It is possible to print files to the printer inside the firewall if the system outside the firewall, the firewall, and the system controlling the printer inside the firewall are all properly configured.

To configure this service, the administrator at Yoyodyne creates a remote print queue (called inside\_fw) on the Web server that indicates that files to the print queue inside should be sent to the outside address of the firewall (204.255.154.1). On dimension, the system inside the firewall that controls the printer, the administrator creates a print queue (called from\_fw) that sends requests to the printer (10.0.1.40). The administrator also configures dimension to accept requests from the inside address of the firewall (10.0.1.100) On the firewall, the administrator configures the *lp* proxy to take requests sent to the queue inside\_fw and to send the requests to the queue from\_fw on dimension.

Now, the Webmaster sends print jobs to the print queue inside\_fw. The firewall acts as a relay and passes the print job to the print queue from\_fw on dimension. The print queue from\_fw sends the file on to the printer, which prints the job.



**Figure 15-1** Example Firewall lp Configuration

# **Configuring the Print Client**

Configuring the print client involves creating a remote print queue to send print jobs to the firewall. You create a print queue using Print Manager.

**Note:** Consult your IRIX system documentation for additional information.

To create a remote print queue using Print Manager, follow these steps:

- 1. Define the remote print queue using the Printer Manager in the Toolchest.
  - If you are not using transparency (as when printing from outside the firewall to inside the firewall), specify the host name of the firewall as the remote host.
  - If you are using transparency (the default configuration when printing from inside the firewall to outside the firewall), specify as the remote host the hostname of the system where the printer is connected.
- 2. Instruct users to print to the client queue name (inside\_fw) to print to the remote printer queue.

# **Configuring the Print Server**

Configuring the print server involves instructing the host running the print server to accept jobs from the firewall.

To configure the print server, follow these steps:

- 1. On the host running the print server, add the firewall to the lists of hosts that can print to the desired printer using *lpadmin*.
  - If the print server is inside the firewall, specify the IP address of the inside interface of the firewall:

```
10.0.1.100
```

■ If the print server is outside the firewall, specify the IP address of the outside interface of the firewall:

```
204.255.154.100
```

- 2. Create a print queue to send requests to the printer. You can create a separate print queue to handle requests from the firewall, or you can continue to use one of your standard print queues. Be sure that the print queue name matches the name you specified as the remote printer name on the client (from\_fw).
- 3. Consult your IRIX system documentation for other standard steps in creating and starting print servers. Ensure that you create a print queue that actually will send print jobs to the printer.

# **Accessing Ip Proxy Configuration**

To access the *lp* proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Lp tab.

The Lp window displays.

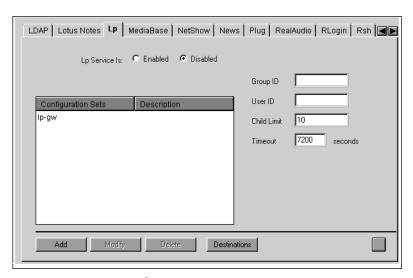


Figure 15-2 Lp Window

# **Configuring the Firewall for Ip Services**

Configuring the Gauntlet Firewall involves planning, configuring the *lp* proxy to enforce your security policy, and enabling the proxy.

# **Planning Ip Proxy Settings**

When planning *lp* proxy settings:

- 1. Determine which internal users and hosts can use these services.
- 2. Determine which external users and hosts can use these services.

# **Configuring Ip Proxy Settings**

Configure the *lp* proxy to enforce your security policies.

To configure *lp* proxy settings:

- 1. In the Lp window, select the lp-gw configuration to modify the default settings.
- 2. Click Modify.

The Modify Printer Services window displays.

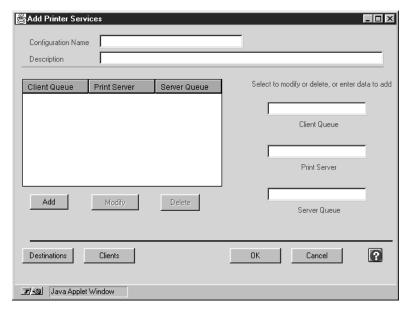


Figure 15-3 Modify Printer Services Window

3. Provide information about the printer queue to which the *lp* proxy sends requests.

Client Queue Name of the remote print queue that you defined on the print

client. For example, Yoyodyne enters inside\_fw.

Print Server IP address of the system running the *lp* daemon that will handle

the print request. Specify by IP address or host name. If you are using transparency, this value is optional. For example, Yoyodyne

enters "dimension."

Server Queue Name of the print queue on the print server. For example,

Yoyodyne enters "from\_fw."

- 4. Click *Add* to add this printer information.
- 5. Click OK.

### Enabling the *lp* Proxy

To enable the proxy:

- 1. In the Lp window, click Enable.
- 2. Add the *lp* proxy configuration to the service groups that you want to use the *lp* proxy.
- 3. Before exiting the Gauntlet Firewall Manager, Save and Apply your changes. The firewall enables the *lp* proxy.

# **Using Ip Services**

The firewall and the lp proxy are transparent to users. Ask them to print to the desired print queue as before.

# Managing rsh Services

Administration and support activities can be easier when you can just execute a shell on a remote system. The *rsh* service allows users to do this. The *rsh* program is not without risks: it runs programs on another system and requires some privileges to log in. The Gauntlet Firewall includes a proxy that securely handles the execution of *rsh* requests from systems inside the network to systems outside the network.

The following sections explain the concepts behind the *rsh* proxy and how it works, how to configure the proxy, and how to use *rsh* services:

- "Understanding the rsh Proxy" on page 145
- "How the rsh Proxy Works" on page 146
- "Accessing rsh Proxy Configuration" on page 147
- "Configuring the Firewall for rsh Services" on page 147
- "Using rsh Services" on page 149

### Understanding the rsh Proxy

The *rsh* proxy is an application-level gateway that provides configurable access control, authentication, and logging mechanisms. The *rsh* proxy, which runs on the firewall, passes *rsh* requests through the firewall, using rules you supply. You can configure the *rsh* proxy to allow remote shell activity based on:

- source IP address
- source hostname
- · destination IP address
- destination hostname

Using these options, you can configure your firewall to allow specific hosts on the inside network to start remote shells on outside hosts. Employees working behind the firewall can start remote shells on outside hosts at a customer site. The *rsh* proxy logs all successful and unsuccessful remote shell attempts, and the number of bytes transferred.

These access controls allow you to have much more control over the *rsh* requests entering and leaving your system than using the standard IRIX *rsh* program. The logging capabilities are also much more extensive.

### **How the rsh Proxy Works**

In this default configuration, the firewall runs the *rsh* proxy (*rsh-gw*) as a daemon listening for requests on the standard *rsh* port (TCP port 514). Whenever the system receives an *rsh* request on this port, the *rsh* proxy checks its configuration information and determines whether the initiating host has permission to use *rsh*. If the host has permission, the proxy logs the transaction and passes the request to the outside host. The *rsh-gw* remains active until either side closes the connection

The default trusted service group does not include the *rsh* proxy. If you add the *rsh* proxy to the trusted service group and enable the proxy, users on inside hosts can continue to use *rsh* as they did before the firewall was put into place. The default untrusted service group and rules does not allow outside hosts to use *rsh* to hosts inside the perimeter.

The default configuration using just the *rsh* proxy prohibits running an *rsh* server on the firewall itself. Because the *rsh* proxy is running on the standard *rsh* port on the firewall all *rsh* requests start the proxy. There is no way to start the *rsh* daemon needed to service *rsh* requests.

### **Accessing rsh Proxy Configuration**

To access the *rsh* proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Rsh tab.

The Rsh window displays.

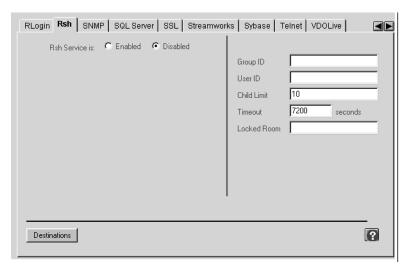


Figure 16-1 Rsh Window

# **Configuring the Firewall for rsh Services**

Configuring the Gauntlet Firewall involves planning, configuring the *rsh* proxy to enforce your security policy, and enabling the proxy.

# **Planning rsh Proxy Settings**

When planning *rsh* proxy settings, determine whether you wish to allow inside hosts to *rsh* through the firewall to outside hosts.

# **Configuring rsh Proxy Settings**

Configure the *rsh* proxy to enforce your security policies.

To configure *rsh* proxy settings, you can provide optional information about time-out values and other configuration settings for the *rsh* proxy.

### **Enabling rsh Proxy Services**

To enable the *rsh* proxy service:

- 1. In the Rsh window, click Enabled.
- 2. Add the *rsh* configuration to the service groups that you want to use the *rsh* proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the *rsh* proxy.

### **Verifying Your Setup**

Verify your configuration by accessing a system outside the perimeter from a system inside the perimeter.

### **Using rsh Services**

Following some initial configuration, the firewall and the *rsh* proxy are transparent to the user. Users can continue to use *rsh* to connect to outside hosts as they did before.

### **Configuring the Remote System**

Before using *rsh*, users must configure the remote host to accept *rsh* requests from the firewall.

To configure the remote host, have each user edit their .*rhosts* file on the remote system and add their user name and the name of the firewall to their .*rhosts* file on the remote system:

firewall [user]

- *firewall* is the name (including domain if necessary) of the firewall. This name should be the name of the interface on the firewall closest to the remote system.
- *user* is their user name within the domain from which the request comes. The user does not actually need to have an account on the firewall itself. The *rsh* request simply appears to be coming from the firewall.

For example, Penny, who works at Yoyodyne, needs to execute something remotely using her account at Big University. She adds this line to the *.rhosts* file in her account at Big University:

fire-out.yoyodyne.com penny

# Managing Sybase Services

Database services are essential in most organizations. As with other services you offer, you want to configure database access securely. Sybase is a relational database management system used by many organizations. The Gauntlet Firewall includes a proxy that securely allows connections between Sybase clients on the inside network and servers on the outside network.

This chapter discusses the concepts behind the Sybase proxy and explains how it works, how to configure the proxy, and how to use Sybase services in the following sections:

- "Understanding the Sybase Proxy" on page 151
- "How the Sybase Proxy Works" on page 152
- "Accessing Sybase Proxy Configuration" on page 153
- "Configuring the Firewall for Sybase Services" on page 154
- "Configuring Sybase Clients" on page 156
- "Verifying Your Setup" on page 156

### **Understanding the Sybase Proxy**

The Sybase proxy is an application-level proxy that provides configurable access control, authentication and logging mechanisms. The Sybase proxy, which runs on the firewall, passes Sybase requests through the firewall (at the application level), using rules you supply. You can configure instances of the Sybase proxy to service:

- Sybase client-to-server communications
- Sybase server-to-server communications

For each version of the Sybase proxy, you can configure the proxy to allow connections based on:

· source IP address

- source hostname
- source port
- destination IP address
- destination hostname
- destination port

Using these options, you can configure your firewall to allow Sybase clients on certain trusted hosts to access a Sybase server on an untrusted host. Employees working behind the firewall can access Sybase databases at customer sites. You can also configure your firewall to allow Sybase servers on opposite sides of the firewall to communicate. A Sybase replication server can communicate with another Sybase replication server on the other side of an intranet firewall.

You can configure the Sybase proxy to allow Sybase clients on untrusted hosts to access Sybase servers on your trusted networks. According to most security policies, including the Gauntlet Firewall default, it is not a good idea to allow such access to untrusted hosts. If you must allow this sort of service, consider using client-side password encryption. Consider limiting the databases and data to which users have access, because all of the data is transferred unencrypted.

The proxies log all successful and unsuccessful connection attempts and the amount of data transferred.

These access controls allow you to have more control over the connections to and from your system than you have without a firewall. The logging capabilities are also more extensive.

# **How the Sybase Proxy Works**

The firewall runs different instances of the Sybase proxy (*syb-gw*) as daemons on different ports for different Sybase applications. Whenever the firewall receives a Sybase request on one of these ports, the Sybase proxy checks its configuration information and determines whether the initiating host has permission to initiate this type of request. If the host does not have permission, the Sybase daemon logs the connection attempt and displays an error message.

If the host has permission, the proxy logs the transaction and passes the request to the destination host. The Sybase proxy remains active until either side closes the connection.

The default service groups do not allow either inside or outside hosts to use the Sybase proxy. The recommended configuration allows trusted hosts to access Sybase servers on untrusted networks. The recommended configuration does not allow untrusted hosts to access Sybase servers on trusted networks. While the Sybase proxy does perform checks to ensure that the packets appear to be Sybase packets, someone could spoof this protocol. The Sybase proxy does not perform any user authentication. You are relying on the authentication mechanisms of the Sybase server to control access to your Sybase server and its data.

### **Accessing Sybase Proxy Configuration**

To access the Sybase proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- Click the Sybase tab.The Sybase window displays.

Rsh SNMP SQL Server SSL Streamworks Sybase Telnet VDOLive Whois X

Sybase Service Is: Enabled Disabled Group ID
User ID
Configuration Sets Description
Syb-gw

Add Modify Delete Destinations

Figure 17-1 Sybase Window

### **Configuring the Firewall for Sybase Services**

Configuring the Gauntlet Firewall involves planning, configuring the proxies to enforce your security policy, and enabling the proxy.

### **Planning Sybase Proxy Settings**

When planning Sybase proxy settings:

- 1. Determine which Sybase servers users need to access. Determine whether you want to limit access to particular a server or not. Obtain hostname or IP address information for each server.
- 2. For each server, determine the port(s) on which the server accepts connections.
- 3. Determine which external hosts can use these services.
- 4. Determine which internal hosts can use these services.

### **Configuring Sybase Proxy Settings**

Configure the Sybase proxy to enforce your security policies.

To configure Sybase proxy settings:

- 1. Select the syb-gw configuration to modify the default settings.
- 2. Click Modify.

The Modify Sybase Services window displays.

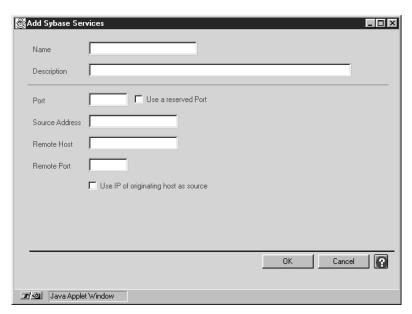


Figure 17-2 Modify Sybase Services Window

- Provide information about the port on which you are running the Sybase service.
   Port Enter the port number on which the proxy runs.
- 4. Provide other optional information about the source and hosts for the Sybase proxy.
- 5. Click OK.

### **Enabling Sybase Proxy Services**

To enable the Sybase proxy service:

- 1. On the Sybase window, click Enabled.
- 2. Add the Sybase proxy configuration to the service groups that you want to use the Sybase proxy.
- Before exiting the Gauntlet Firewall Manager, save and apply your changes.
   The firewall enables the Sybase proxy.

### **Configuring Sybase Clients**

Add or modify the interfaces file on the client (using a tool like *sybinit* or *SQLEdit*) to provide information about the Sybase server.

To configure Sybase clients:

- 1. Specify the port number you selected for the Sybase proxy.
- 2. If you are using transparency (the default configuration), specify the hostname as the hostname of the actual system running the Sybase server. If you are not using transparency, specify the hostname as the IP address of the firewall.

If you are using server-to-server communications, configure your servers as clients. Consult your Sybase administration documentation for further information on configuring clients for accessing servers.

### **Verifying Your Setup**

Use your Sybase client on a trusted host to run a simple query against the Sybase server on the untrusted host. Watch the logs on the firewall for error messages.

# Managing Terminal Services

Terminal service access to other computers can be a vital part of many network activities. The TELNET and rlogin protocols are used for making these terminal connections, but they are not without risk. The Gauntlet Firewall includes proxies for both the TELNET and rlogin protocols, which securely handle terminal services between the inside and outside networks.

This chapter discusses the concepts behind the TELNET and rlogin proxies and explains how they work, how to configure the proxies, and how to use terminal services. The chapter consists of these sections:

- "Understanding the TELNET and rlogin Proxies" on page 158
- "How the TELNET and rlogin Proxies Work" on page 159
- "Accessing TELNET and rlogin Proxy Configuration" on page 160
- "Configuring the Firewall for Terminal Services" on page 161
- "Using Terminal Services" on page 163

### Understanding the TELNET and rlogin Proxies

The TELNET and rlogin proxies are application-level proxies that provide configurable access control, authentication, and logging mechanisms. The TELNET and rlogin proxies, which run on the firewall, pass TELNET and rlogin requests through the firewall, using rules you supply. The TELNET proxy also passes TN3270 requests through the firewall. You can configure the proxies to allow connections based on:

- source IP address
- source hostname
- destination IP address
- destination hostname

Using these options, you can configure your firewall to allow specific hosts on outside networks to connect to inside hosts or vice versa. Employees working at customer sites can access their workstations inside the perimeter.

The proxies allow administrators to require users to authenticate before connecting. The proxies log all successful and unsuccessful connection attempts and the amount of data transferred.

These access controls allow you to have much more control over the connections to and from your system than using the standard IRIX TELNET and rlogin programs. The logging capabilities are also much more extensive.

**Note**: You can use the TELNET proxy without the rlogin proxy, or rlogin without TELNET. You can configure different policies for hosts and authentication as well.

### How the TELNET and rlogin Proxies Work

The firewall runs the network access control daemon (*netacl*) as a daemon listening for requests on the standard TELNET port (TCP port 23). Whenever the firewall receives a TELNET request on this port, the *netacl* daemon checks its configuration information and determines whether the initiating host has permission to use TELNET. If the host has permission, the *netacl* daemon starts the standard TELNET program (*telnetd*) or the TELNET proxy (*tn-gw*) depending upon the originating host. If the host does not have permission, the daemon displays an error message. Similarly, the *netacl* daemon running on the standard login (TCP port 513) starts either the rlogin program (*rlogind*) or the rlogin proxy (*rlogin-gw*).

The default trusted service group and rules allow all inside hosts to initiate TELNET or rlogin sessions without authenticating. The inside host passes TELNET requests to the firewall, which starts the *netacl* daemon. The *netacl* daemon checks its permissions, and determines that the inside host can use TELNET. The *netacl* daemon starts the proxy. The proxy logs the transaction and passes the request to the outside host. The proxy remains active until either side closes the connection. The default untrusted service group allows outside hosts to initiate TELNET or rlogin sessions after authenticating. The outside host passes TELNET requests to the firewall, which starts the *netacl* daemon. The *netacl* daemon checks its permissions, and determines that the outside host can use TELNET. The *netacl* daemon starts the proxy. The proxy prompts the user for authentication. If it is successful, the proxy prompts the user for the inside host, logs the transaction, and passes the request to the inside host. The proxy remains active until either side closes the connection.

Note that users are not logging into the firewall directly. While users use the proxy on the firewall for authentication, the proxy simply passes the user's TELNET or rlogin session on to the appropriate host.

A configuration using *netacl* allows administrators on either inside or outside hosts to initiate TELNET requests to the firewall. The *netacl* daemon checks its permissions and determines that the host can use TELNET. The *netacl* daemon starts the proxy. The proxy prompts the user for authentication. If it succeeds, the proxy prompts the user for the host and logs the transaction. When users indicate they want to connect to the firewall itself, the *netacl* daemon reviews the source and starts the actual TELNET daemon.

# **Accessing TELNET and rlogin Proxy Configuration**

To access the TELNET proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the TELNET tab.

The TELNET window displays.

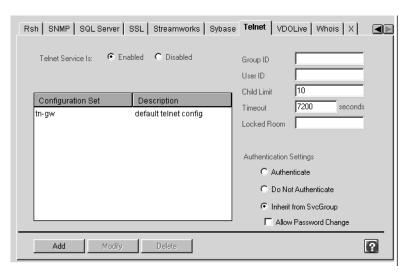


Figure 18-1 TELNET Window

To access the rlogin proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Rlogin tab.

The Rlogin window displays.

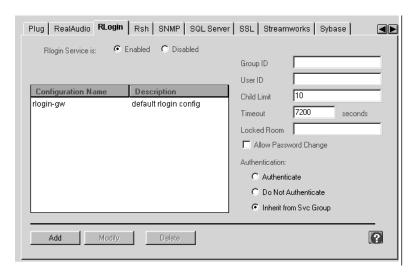


Figure 18-2 rlogin Window

### **Configuring the Firewall for Terminal Services**

Configuring the Gauntlet Firewall involves planning, configuring the proxies to enforce your security policy, enabling the proxy, and creating user accounts for users who will need to authenticate.

### Planning TELNET and rlogin Proxy Settings

When planning TELNET and rlogin proxy settings:

- 1. Determine whether you wish to allow TELNET and TN3270 connections through the firewall.
- 2. Determine whether you wish to allow rlogin connections through the firewall.
- 3. Determine your policies for authentication.

### **Configuring TELNET and rlogin Proxy Settings**

Configure the TELNET or rlogin proxy to enforce your security policies.

To configure TELNET or rlogin proxy settings, provide optional information about time-out values and other configuration settings for the TELNET and rlogin proxy if desired. Refer to the online help for specific information about the available settings.

**Note:** The settings for the TELNET proxy (*tn-gw*) affect both TELNET and TN3270 access through the firewall. If you need different settings for these two types of access, consider creating a separate configuration for TN3270 access.

### **Enabling TELNET and rlogin Proxy Services**

To enable the TELNET or rlogin proxy service:

- 1. On the TELNET or rlogin windows, select Enabled.
- 2. Add the TELNET or rlogin proxy configuration to the service groups you want to use the proxies.
- 3. Before exiting the Gauntlet Firewall Manager, Save and Apply your changes. The firewall enables the TELNET or rlogin proxies.

#### **Creating Authentication User Entries**

Use the authentication management system to create authentication user entries for any users who authenticate when using TELNET and rlogin services. Refer to Chapter 6, "Users and User Groups," on page 57 for more information.

### **Verifying Your Setup**

Verify your configuration by connecting to an inside host from an outside host. See the next section, "Using Terminal Services" on page 163, for instructions.

### **Using Terminal Services**

This section discusses how to use different configurations of TELNET, rlogin, and TN3270.

### TELNET, rlogin, and TN3270 Without Authentication

You can configure the proxies so that they are transparent to your users. Common policies (including the Gauntlet Firewall default policies) configure the proxies so that users working on the trusted networks behind the firewall will not see a change in their daily TELNET and rlogin activities.

For example, Scooter, working on his workstation at Yoyodyne headquarters, wants to continue to connect to his account at Big University using TELNET. Because the Yoyodyne firewall is setup with transparency and does not require authentication, he simply uses TELNET to access the remote host directly. He does not need to explicitly mention the firewall at all.

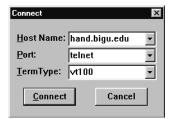


Figure 18-3 TELNET Connect Window

#### TELNET and rlogin with Authentication

If you have configured terminal services to require authentication, users will need to follow different procedures to use TELNET or rlogin.

To TELNET using authentication:

- 1. Use TELNET to connect to the firewall itself.
- 2. Authenticate to the proxy.
- 3. Connect to the desired host.
- 4. Continue as before.

A common security policy for the TELNET proxy is to authenticate all requests from untrusted networks to or through the firewall. The example below shows a sample TELNET session from an untrusted network to a trusted network, using S/Key authentication at the firewall:

In this example, Scooter, working at a client site (blaze.clientsite.com), needs TELNET access to a system behind the firewall (dimension.yoyodyne.com). He first connects to the outside interface of the firewall (fire-out.yoyodyne.com) using TELNET. The TELNET proxy on fire-out prompts him to authenticate. Scooter provides his authentication user ID (scooter). When the proxy prompts, he enters the response to the authentication challenge. The proxy authenticates scooter.

Scooter indicates the host he needs to access (dimension). The TELNET proxy connects Scooter to dimension, and the TELNET daemon running on that system. The TELNET daemon on dimension prompts Scooter for his user name and password on dimension. The TELNET daemon on dimension verifies Scooter's user name and password, then logs him in.

#### **TN3270 With Authentication**

If you have configured terminal services to require authentication, users need to follow different procedures to use TN3270.

To use TN3270 with authentication:

- 1. TN3270 to the firewall itself, disabling true TN3270 support for the initial handshake.
- 2. Authenticate to the proxy.
- 3. Connect to the desired 3270 host.
- 4. Continue as before.

The corporate security policy that requires authentication before using TELNET from untrusted hosts to trusted hosts also applies to using TN3270. Generally, the only difference is in starting the TN3270 client:

blaze-55: X3270 -model 2 -efont 3270-12 a: fire-out.yoyodyne.com

# Managing WWW and Gopher Services

There is a wealth of information stored on systems connected to the Internet. Because of this, your users probably argue that they really need access to the World Wide Web to do their jobs. The graphical interfaces of browsers and Web pages make it easy to access this information, but, along with this ease can come problems. Web services allow for the transfer of a wide variety of file types and for running a number of different programs. This complexity means a greater potential for security problems. Web services are essentially generic file transfer mechanisms and require logging and access control consistent with FTP and terminal services.

The HTTP proxy and authenticating HTTP proxy included with the Gauntlet Firewall securely handles requests for information via hypertext, Gopher, and file transfer. The proxy supports hypertext transfer via the HTTP, SHTTP, and SSL protocols; Gopher transfer via Gopher and Gopher+ protocols; and file transfer via FTP.

This chapter discusses the concepts behind the HTTP proxy and authenticating HTTP proxies and explains how they work; how to configure the proxies for Web, Gopher, and file transfer services; and how to configure these services to run through the firewall. In addition, it includes information on running HTTP servers. The chapter consists of the following sections:

- "Understanding the HTTP and Gopher Proxies" on page 168
- "How the HTTP, Gopher, and SSL Proxies Work" on page 168
- "Accessing HTTP, SSL, and Gopher Proxy Configuration" on page 171
- "Configuring the Firewall for Web and Gopher Services" on page 173
- "Using Web Services" on page 175
- "Using Gopher Services" on page 180
- "Running a Web Server" on page 180

### **Understanding the HTTP and Gopher Proxies**

The HTTP proxy is an application-level proxy that provides configurable access control and logging mechanisms. The HTTP proxy, which runs on the firewall, passes HTTP, SHTTP, SSL, and Gopher requests, and FTP URLs and selectors through the firewall, using rules you supply. You can configure the proxy to allow connections based on:

- · source IP address
- source hostname
- destination IP address
- destination hostname

Using these options, you can configure your firewall to allow clients on the inside network to access Gopher sites on the outside network. You can also limit the Web sites your employees can access from systems on the inside network. The proxies log all successful and unsuccessful connection attempts and the amount of data transferred.

The authenticating HTTP proxy works in conjunction with the HTTP proxy to authenticate users. Using the authenticating HTTP proxy, you can configure the proxy to allow connections based on user name. You can require all users to use strong or weak authentication before accessing information on the outside network.

You can configure the HTTP proxy to allow outside hosts to access Web and Gopher servers behind your firewall on inside networks. According to most security policies (including the Gauntlet Firewall default), this access is not a good idea. By design, these services require easy access by people all over the Internet.

### How the HTTP, Gopher, and SSL Proxies Work

The firewall runs the HTTP proxy (*http-gw*) as a daemon listening for requests on the standard HTTP port (TCP port 80). When the firewall receives requests for services (via HTTP, SHTTP, SSL, Gopher, Gopher+, or FTP) on this port, the proxy looks at the request and places it in one of several categories. The proxy then checks the appropriate configuration information and determines whether the initiating host has permission to use the desired service to the desired destination. If the host does not have permission, the proxy logs the connection and displays an error message.

If the host has permission, the HTTP proxy passes the request on to the desired host using the standard port (or the port specified in the request). As the outside host returns data to the firewall, the firewall translates the data into the form the client expects and returns the data to the client. The proxy remains active until either side terminates the connection.

The default configuration for HTTP requests allows all inside hosts to access any Web sites. In this scenario, the Web browser (configured to know about the HTTP proxy) on the inside host passes a request with a URL for a particular Web page to the firewall on port 80. The firewall calls the HTTP proxy. The proxy examines the request and determines that it is a basic request for HTTP service. The proxy checks the source and destination ports in its configuration information. It then sends the request on to the Web server specified in the URL. When it receives the requested data, it passes the data back to the requesting Web browser.

If you have not configured or cannot configure the Web browser to know about the HTTP proxy, the firewall still calls the HTTP proxy for requests on port 80. However, it does not handle requests for services on other ports (for example, 8080). You can, however, run a second or even a third HTTP proxy on popular alternate ports.

#### **Authenticated HTTP**

If you want to authenticate users before allowing them to access information, the firewall runs the authenticating HTTP proxy (ahttp-gw) as a daemon listening for requests on the standard HTTP port (TCP port 80). When the firewall receives requests for service on this port, it performs the normal configuration checks to make sure the initiating host has permission to use the desired service to the desired destination.

If the host has permission, the authenticating HTTP proxy prompts the user to authenticate. It verifies the information with the Gauntlet authentication database. If the user provided proper authentication, the authenticating HTTP proxy passes processing over to the HTTP proxy.

The proxy remains active as long as a persistent connection between the source and destination remains. Each time the connection breaks (due to inactivity, pressing the stop button, selecting a link before the initial page finishes loading, or any other reason), the authenticating HTTP proxy reauthenticates you. If you are using reusable passwords, your browser remembers this information and reauthenticates on your behalf. If you are using strong authentication, you must reauthenticate each time the connection breaks.

### **Gopher and FTP Services**

If the request is for Gopher services (from a Web or Gopher client), the firewall calls a second copy of the HTTP proxy, running as *http-gw* on TCP port 70.

If the request is for FTP services (from a Web client), the firewall still calls the HTTP proxy and uses the HTTP rules if you have your FTP proxy set to the HTTP proxy. If you have not set an FTP proxy in your Web browser, the FTP proxy (*ftp-gw*) handles requests for FTP service.

### **SHTTP and SSL Services**

If the request is for some sort of secure HTTP transaction using either the SHTTP protocol (on TCP port 80) or SSL protocol (on TCP port 443), the proxy performs the appropriate hand-off with the secure server at the other end of the connection.

If you have not configured or can not configure the Web browser to know about the HTTP proxy as the security proxy, the firewall calls the SSL plug proxy for all requests on port 443.

# Accessing HTTP, SSL, and Gopher Proxy Configuration

To access the HTTP proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the HTTP tab.

The HTTP window displays.

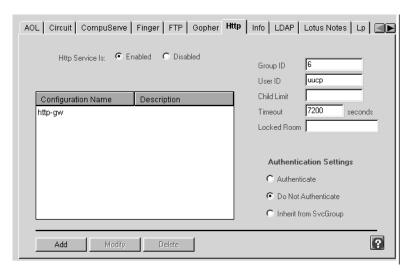


Figure 19-1 HTTP Window

To access the SSL proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the SSL tab.

The SSL window displays.

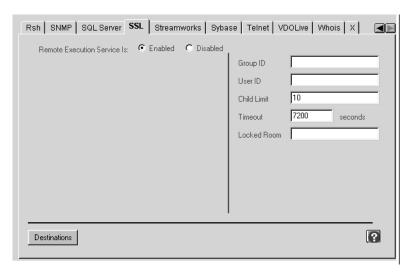


Figure 19-2 SSL Window

To access the Gopher proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Gopher tab.

The Gopher window displays.

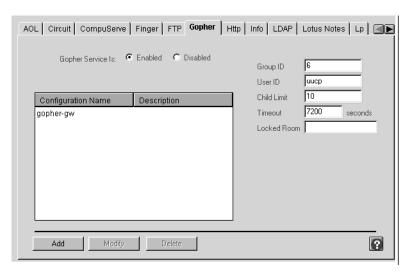


Figure 19-3 Gopher Window

# **Configuring the Firewall for Web and Gopher Services**

Configuring the Gauntlet Firewall involves planning, indicating which daemons the system will run, configuring the proxies to enforce your security policy, turning on the proxies, creating authentication user entries, and rebooting your firewall.

### **Planning Web and Gopher Service Proxy Settings**

When planning proxy settings for Web and Gopher services:

- 1. Determine which services you will allow.
- 2. Determine your policies for source and destination sites.
- 3. Determine whether you wish to require authentication.

### **Configuring Web and Gopher Service Proxy Settings**

Configure the proxies to enforce your security policies.

#### **Configuring HTTP Proxy Settings**

To configure HTTP proxy settings:

- 1. You do not need to configure any settings to use the HTTP proxy service. If you wish, you can use some of the optional settings, or create configuration sets. Refer to the online help for specific information about the available settings. Some commonly used settings include:
  - Enabling Java, JavaScript, or ActiveX blocking, available in the configuration set screen in the Gauntlet Firewall Manager. Refer to the online help for information on this feature.
  - Content Scanning, available in the configuration set screen in the Gauntlet Firewall Manager. Refer to Chapter 30, "Managing Content Scanning," on page 285 for more information.

#### **Configuring Authenticated HTTP Proxy Settings**

To configure Authenticated HTTP proxy settings:

- 1. On the HTTP configuration tab, click Authenticate. If you are placing the HTTP proxy into a service group that requires authentication, click Inherit from SrvcGrp.
- 2. If you wish, you can use some of the optional settings. Refer to the online help for specific information about the available settings.

#### **Configuring SSL Proxy Settings**

You do not need to configure any settings to use the SSL proxy service. If you wish, you can use some of the optional settings. Refer to the online help for specific information about the available settings.

#### **Configuring Gopher Proxy Settings**

You do not need to configure any settings to use the Gopher proxy service. If you wish, you can use some of the optional settings, or create configuration sets. Refer to the online help for specific information about the available settings.

### **Enabling Proxy Services**

To enable the HTTP, SSL, or Gopher proxy service:

- 1. On the HTTP, SSL, or Gopher configuration tab, click Enabled.
- 2. Add the HTTP, SSL, or Gopher proxy configuration to the service groups that you want to use the HTTP, SSL, or Gopher proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the HTTP, SSL, or Gopher proxy.

### **Creating User Authentication Entries**

If you are using authenticated HTTP, you must create entries in the user authentication system. Refer to Chapter 6, "Users and User Groups," on page 57 for more information.

### **Verifying Your Setup**

Verify your setup by connecting to some of your favorite Web, Gopher, and FTP sites. Connect to secure Web sites as well.

### **Using Web Services**

Depending upon your configuration, your users may need to modify their activities to access sites using their Web browsers or Gopher tools.

#### **Transparent Access**

If you have configured your firewall transparently (the default configuration), the HTTP proxy transparently handles all requests on the standard HTTP port (80). The SSL proxy handles all requests on the standard SSL port (443). Users do not need to modify their activities.

#### **Non-Standard Ports**

URLs and references to non-standard ports (for example, port 8080) do not work. In order to handle requests to non-standard ports, users must configure their browsers to know about the proxies, as explained below. Once you have configured your Web browser, the firewall and the HTTP and SSL proxy are transparent.

#### **Authenticated HTTP**

If you have enabled authentication for the HTTP proxy, users must use a proxy-aware browser. It must support persistent connections if you wish to use strong authentication. Once you have configured their Web browser, they are aware of the proxy because they must authenticate to access outside sites.

### **Non-Transparent Access**

When using a browser that does not support proxies with a firewall that is not configured for transparency, users need to modify their activities.

### **Using Proxy-Aware Browsers**

Many Web browsers, such as Netscape Navigator and Microsoft Internet Explorer, are aware of application proxies for different types of Web services. Once you configure these browsers, the browser sends the request to the appropriate proxy.

If you are using the authenticating HTTP proxy, make sure the browser supports proxy authentication and persistent connections.

#### **Configuring Web Browsers**

The steps vary depending upon the browser, operating system, and version. Some allow you to indicate the information using a dialog box from a preferences menu, while others require you to edit a configuration file, and others use environment variables.

### To configure the browser:

1. Open the settings window for the Web browser you are using.

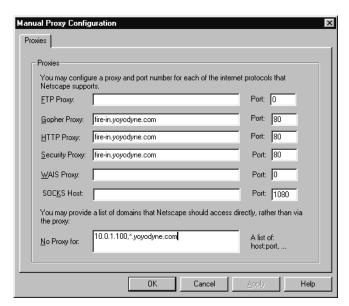


Figure 19-4 Browser Settings Window

2. Provide information about the various proxies.

FTP	Leave name and port for the FTP proxy blank. This allows the FTP proxy to do the processing.		
Gopher	Enter name of the inside of the interface of the firewall and port $80$ .		
HTTP	Enter name of the inside of the interface of the firewall and port 80.		
Security	Enter name of the inside of the interface of the firewall and port 80.		
No Proxy For	Enter names of hosts for which you do not want to access the HTTP proxy in the No Proxy section. These are generally hosts on your trusted networks. These include:		
	- inside IP address of your firewall (if you plan to use the graphical user interface to configure your firewall)		
	- hostnames of any internal or corporate HTTP servers		
	– localhost (127.0.0.1)		

**Note**: If you use the IP address instead of the hostname in any of these settings, you must use the IP address of the inside interface of the firewall.

#### **Accessing Web Services without Authentication**

Once configured, the proxy is transparent to the user. Users can continue to access the Web as they did before. If you have configured the proxies to block certain types of services (for example, no Gopher services) or to block certain destinations (for example, no educational—.edu—sites), users will see your denial messages.

#### **Accessing Web Services with Authentication**

Once configured, users are aware of the proxy. In a particular session, the proxy prompts for authentication the first time you attempt to access a site on the outside network.

To use Web service using weak authentication:

- 1. Open a URL.
- 2. Authenticate to the proxy.
- 3. Continue as before.

If you are using weak authentication, enter your user name and password when your browser prompts you. The proxy remembers this information and reauthenticates you if the connection breaks.



Figure 19-5 Network Password Window

If you are using strong authentication, enter your user name when your browser prompts you. The proxy uses your user name to determine the type of authentication you are using. It prompts you a second time with the appropriate challenge. Enter your user name and your response. Be prepared to reauthenticate each time your connection breaks.

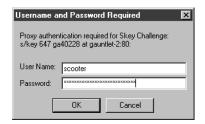


Figure 19-6 User Password Window

### **Using Non-Proxy-Aware Browsers**

Some older Web browsers are not aware of proxies. When using these browsers, you must explicitly send your requests through the firewall.

#### **Configuring Web Browsers**

Configuration steps vary depending upon the browser, operating system, and version.

To configure the browser, set up the default home page as the name of the firewall, using the inside address:

http://fire-in.yoyodyne.com

#### **Accessing Web Services**

For regular use of a Web browser, if you cannot create a default home page, prefix each URL you enter with the name of the firewall. For example,

http://www.clientsite.com

#### becomes

http://fire-in/http://www.clientsite.com

where *fire-in* is the hostname of the inside interface of the firewall (fire-in.yoyodyne.com). You must also prepend all saved URLs in bookmarks and hotlists.

### **Using Gopher Services**

The default firewall configuration for the HTTP proxy for Gopher services is transparent to the user. Users can continue to point their Gopher clients to Gopher servers as they did before.

If you have configured the HTTP proxy to be non-transparent for Gopher access, then users will need to rewrite each Gopher address. If a user has a set of bookmarks for Gopher servers that were created before you installed the firewall, the user must modify the bookmark information to include the name of the firewall. For example:

```
name Big University Gopher Server host gopher.bigu.edu port 70 type 1 path
```

#### becomes

```
name Big University Gopher Server
host fire-in.yoyodyne.com
port 70
path gopher://gopher.bigu.edu:70/11/
```

# Running a Web Server

By its very nature, a Web server requires easy access by the public. If you place the Web server behind the firewall, you are allowing an additional type of access within your security perimeter. If you place the Web server on the firewall itself, you are allowing additional access to your firewall.

The best solution is generally to place your Web server on a system outside the perimeter. Follow good security practices for this system: turn off all other services, create the minimum number of user accounts, use strong authentication, patch your operating system and applications, use checksums to watch for file changes, and back up frequently.

You can also use the Info Server included with the Gauntlet Firewall as a Web server on the firewall itself. Refer to Chapter 29, "Managing Web and Gopher Servers," on page 275 for more information.

# Managing X Window Services

The X Window System provides many features and functions that allow systems to share input and output devices. A user running the X Window System on one system can display the results of a graphical program on another system running an X Window client. This flexibility is also the source of a number of well-known security problems.

When you allow access to your display, you are essentially allowing access to your screen, mouse and keyboard. Most sites do not want to provide this sort of free access to their systems, but administrators recognize that these services can be useful. The X11 proxy included with the Gauntlet Firewall allows administrators to selectively allow X11 services through their firewall.

This chapter explores the concepts behind the X11 proxy and explains how it works, how to configure the proxy, and how to use X11 services through the firewall. The chapter consists of the following sections:

- "Understanding the X11 Proxy" on page 181
- "How the X11 Proxy Works" on page 182
- "Accessing X11 Proxy Configuration" on page 183
- "Configuring the Firewall for X11 Services" on page 183
- "Using X11 Services" on page 185

### **Understanding the X11 Proxy**

The X11 proxy is an application-level proxy that provides configurable access control. The proxy, which runs on the firewall, passes X11 display requests through the firewall, using rules you supply. You can configure the proxy to allow display requests based on the display name and user name.

Using these rules, you can configure your firewall to allow only certain systems on the inside network to display information from systems on an outside network. Employees working on the inside network can configure their system to display information from a

program on a client's system on the outside network. Or, an employee working at a client site can display output from a program running on a system inside the company's firewall. Similarly, you can configure your firewall to permit only certain users to use the X11 proxy.

The X11 proxy also requires that users confirm each new request for a connection to their display. Because of the lack of strong authentication systems for X11, this reconfirmation provides an additional opportunity to confirm that you really want to accept the connection.

Because the X11 proxy works in conjunction with the TELNET and Rlogin proxies, you can still configure access based on the source or destination hostname or IP address. The strong authentication feature is also available. The TELNET and Rlogin proxies also log X requests and connections.

#### **How the X11 Proxy Works**

Unlike some of the other Gauntlet proxies, the firewall does not start the X11 proxy when it receives display requests. Instead, users must explicitly start the X11 proxy from either the TELNET or Rlogin proxy. The firewall logs and denies all requests for services from hosts other than the firewall on the standard X port (TCP port 6000).

A user connects to the firewall, which runs the TELNET proxy. After checking permissions and authenticating users, the TELNET proxy (*tn-gw*) displays a prompt for the user. At the prompt, the user indicates she or he wishes to allow X displays across the firewall. The TELNET proxy starts the X11 proxy (*x-gw*) on port 6010 or higher. The X11 proxy checks its configuration information and determines whether the initiating user has permission to use X11 services related to the desired display.

If the user has permission, the proxy creates a "virtual display" on the firewall for the requesting client. When the outside X client requests access to the user's display, the virtual display server passes a query window request to the X server on the display system. This X server displays the query window on the real display, prompting the user to confirm the request. After the user confirms the request, the real X server receives the display information from the virtual X server. The proxy remains active until either end closes the connection.

The default service groups do not include the X11 proxy. The firewall itself can run an X server because the X server runs on the standard X port (TCP port 6000) and the X11 proxy runs on other ports (TCP ports 6010 or higher).

# **Accessing X11 Proxy Configuration**

To access the X11 proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the X tab.

The X window displays.

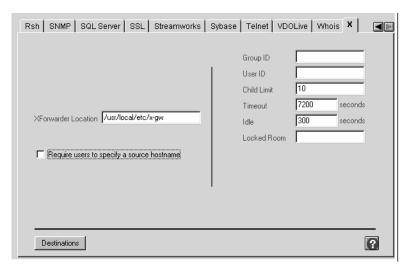


Figure 20-1 X Proxy Configuration Window

#### **Configuring the Firewall for X11 Services**

Configuring the Gauntlet Firewall involves planning and configuring the proxy to enforce your security policy.

#### **Planning X11 Proxy Settings**

When planning X11 proxy settings:

- 1. Determine whether you wish to allow X11 display connections through the firewall.
- 2. Determine which users and which displays can issue and receive display requests.
- 3. Ensure that your settings for X11 services and TELNET and Rlogin are compatible.

#### **Configuring X11 Proxy Settings**

Configure the X11 proxy to enforce your security policies:

- Click the TELNET or Rlogin tab.
   The window for the selected proxy displays.
- 2. Select the configuration set for which you wish to allow X11 services.
- 3. Click Modify.

The Modify window for the selected proxy displays.

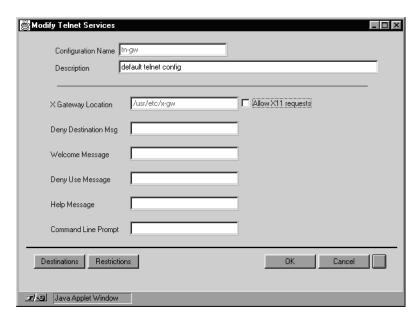


Figure 20-2 Modify TELNET Proxy Configuration Window

- 4. Click Allow X11 Requests to indicate that you want to allow X11 requests for this configuration set.
- 5. Click OK.

#### **Enabling X11 Proxy Services**

To enable the X11 proxy service:

- 1. In the X11 window, click Enabled.
- 2. Add the X11 proxy configuration to the service groups that you want to use the X11 proxy.
- 3. Make sure you have added the TELNET or Rlogin configuration set to the same service group.
- 4. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the X11 proxy.

#### **Verifying Your X11 Proxy Setup**

Use TELNET to connect to a system outside the perimeter and display an X11 client on your system inside the perimeter. See "Using X11 Services" for instructions.

### **Using X11 Services**

Users need to follow slightly different procedures to use X11 services through a firewall. The minimal time needed for these additional steps outweighs the time and money to recover after someone hijacks your display. The procedure is the same from either side of the firewall.

To use X11 services:

- 1. Allow the appropriate interface of the firewall to access your display (remember, it is the firewall you permit to access your display, not the client)
- 2. TELNET or Rlogin to the firewall.
- 3. Authenticate to the proxy if necessary.

- 4. Start the X proxy.
- 5. TELNET or Rlogin to the desired host.
- 6. Inform the client of the host and display information that the proxy provides.
- 7. Start the X client application.
- 8. Confirm the display request on the real display.

The following example shows a user working on the inside network who needs to display information from a program running on a system on an outside network. The user starts the X11 proxy and establishes a TELNET connection with the outside host:

```
dimension-27: xhost +fire-in
dimension-28: telnet fire-in
Trying 204.255.154.100...
Connected to fire-in.yoyodyne.com
Escape character is '^]'.
Fire-in.yoyodyne.com telnet proxy (Version 4.1) ready:
tn-gw> x
tn-gw> display is fire-in.yoyodyne.com: 10
tn-gw> c blaze.clientsite.com
Connecting to blaze.clientsite.com .... connected
BSDI BSD/OS 2.1 Kernel #0: Wed Mar 27 20:22:33 MST 1996
login: crawhide
Password: ########
Please wait...checking for disk quotas
You have mail.
blaze.clientsite.com-1:
```

Cindy Rawhide, working at her system (dimension) on the inside network, needs to run an X program on a client system (blaze.clientsite.com) on an outside network, and display the results on her display. She performs these actions:

- 1. Cindy gives the firewall access to display.
- 2. She uses TELNETs to connect to the inside interface of the firewall for Yoyodyne (fire-in.yoyodyne.com).
  - The security policy for her site does not require authentication for inside requests, so the firewall connects her to the TELNET proxy.
- Cindy indicates that she wants to start an X proxy.
   The firewall displays an X status window on Cindy's display, showing the port.



Figure 20-3 X Status Window

- 4. Cindy uses TELNET to connect to the client system (blaze.clientsite.com).
  - The TELNET daemon on blaze prompts Cindy for her user name (crawhide) and password on blaze. The TELNET daemon on blaze verifies Cindy's user name and password, and logs her in.
- 5. Cindy provides the X display information to the client system (blaze) and starts the client application. She uses the display information that the X proxy provided when she started the X proxy:

```
blaze.clientsite.com-1: setenv DISPLAY fire-out.yoyodyne.com:10
blaze.clientsite.com-2: xclock &
blaze.clientsite.com-3:
```

- 6. Cindy uses the information the proxy provided to tell X where to display information.
- 7. Cindy starts the program, and confirms the display request on her system.



Figure 20-4 X Connection Confirmation Window

8. Cindy views the results on her screen inside the firewall.

# **Managing Custom Services**

Many sites rely on applications such as America Online, CompuServe, Lotus Notes, or custom applications written specifically for their company. Each of these services uses a proprietary protocol. To support these services, you would need a multitude of application-specific proxies. Instead, administrators can use the Plug proxy to tunnel these applications through the firewall because the protocols they use are TCP-based. Other common programs, such as *whois* and *webster*, run over TCP. You can also tunnel these TCP-based services through the firewall with the Plug proxy.

Caution: Allowing proprietary protocols through your firewall can potentially have serious consequences. Because the protocols are proprietary, the firewall and the proxy have no information on the data or requests the applications are sending. It is also unknown how safe the application itself is. Always perform a risk assessment before using the plug proxy for proprietary protocols.

The Plug proxy does not support UDP-based services. UDP is not a connection-oriented protocol. Because there is no connection, there are no sequence numbers. This makes it much easier for someone to create a UDP packet that appears valid but contains fabricated source and destination information.

This chapter explains the concepts behind the Plug proxy and how it works, how to configure the proxy for other services, and how to configure these services to run through the firewall. The chapter consists of the following sections:

- "Understanding the Plug Proxy" on page 190
- "How the Plug Proxy Works" on page 191
- "Accessing Plug Proxy Service Configuration" on page 192
- "Configuring the Firewall for Plug Proxy Services" on page 192
- "Configuring Your Service" on page 195

#### **Understanding the Plug Proxy**

The Plug proxy is a TCP gateway that provides configurable access control and logging mechanisms. The Plug proxy, which runs on the firewall, passes application requests through the firewall, using rules you supply. It essentially tunnels information from a port on the firewall to a specific port on another system.

The firewall includes instances of the Plug proxy for:

- America Online
- CompuServe
- finger
- Lotus Notes
- NNTP News Client
- NNTP News Server
- whois

The Plug proxy is protocol neutral, so you can tunnel a variety of other applications. Weigh the risks carefully for each application. For each version of the Plug proxy, you can configure the proxy to allow connections based on:

- source IP address
- source hostname
- source port
- destination IP address
- · destination hostname
- destination port

Using these options, you can configure your firewall to allow your travel department to use their custom reservations system through the firewall. Clients on the inside network can communicate with servers on the outside network.

The proxies log all successful and unsuccessful connection attempts and the amount of data transferred.

These access controls allow you to have more control over the connections to and from your system than you have without a firewall. The logging capabilities are also more extensive. However, you may be allowing proprietary protocols into your network, which can be dangerous.

#### **How the Plug Proxy Works**

The firewall runs different instances of the Plug proxy (*plug-gw*) as daemons on different ports for different applications, based on information you supply. This information indicates which services the firewall should run on which ports. For example, you can configure the firewall to run an instance of the Plug proxy on TCP port 5190 to handle America Online requests.

When the Plug proxy receives a request on one of these ports, it checks its configuration information and determines whether the initiating host has permission to initiate this type of request. If the host has permission, the Plug proxy passes the connection on to the specified port on the specified system. This instance of the Plug proxy remains active until either side terminates the connection.

Hosts on both the inside and outside think the firewall is servicing requests. The firewall is simply acting as the tunnel, via the Plug proxy.

#### **Accessing Plug Proxy Service Configuration**

To access the Plug proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Plug tab.

The Plug window displays.

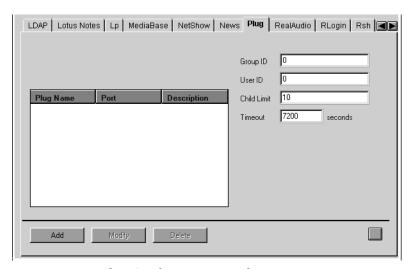


Figure 21-1 Plug Configuration Window

#### **Configuring the Firewall for Plug Proxy Services**

Configuring the Gauntlet Firewall involves planning, indicating which daemons the system will run, configuring the proxies to enforce your security policy, and enabling your proxy.

This section uses the Quote of the Day (*qotd*) service as an example. Of course, you must carefully determine if the benefits of something like a quote of the day service outweigh the risks of allowing that type of service within your defense perimeter.

#### **Planning**

Caution: Allowing proprietary protocols through your firewall can potentially have serious consequences. Because the protocols are proprietary, the firewall and the proxy have no information on the data or requests the applications are sending. It is also unknown how safe the application itself is. Always perform a risk assessment before using the plug proxy for proprietary protocols.

When planning plug proxy settings:

- 1. Determine which protocols and which applications you wish to proxy through your firewall.
- 2. Verify that the protocol is TCP-based.
- 3. Determine what port these services use. Verify that the service uses the same port for sending and receiving.
- 4. Determine which external hosts can use these services.
- 5. Determine which internal hosts can use these services.

### **Configuring Plug Proxy Settings**

As you configure Plug proxy settings, you are actually creating configuration sets. You simply create a different configuration set for each proxy.

To configure a Plug proxy:

1. On the Plug window, click Add.

The Add Plug Services window displays.

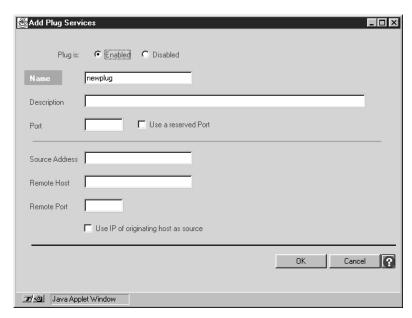


Figure 21-2 Add Plug Services Window

2. Provide information about your service.

Name Name for the Plug proxy. The firewall uses this name in

displaying the list of available services.

Description Description for this Plug proxy.

Port TCP port on which this proxy runs. Enter the number of the

TCP port.

Use Reserved Port Specifies that the proxy uses a reserved port number when

connecting.

Source Address Hosts from which connections can originate. Specifies single

hosts, entire networks, or subnets. Specify by IP address or

host name. The wildcard \* is valid.

Remote Host Hosts to which the Plug proxy connects. Specifies single hosts,

entire networks, or subnets. Specify by IP address or hostname. The wildcard \* is valid. If you are using

transparency, this setting is optional.

Remote Port Port on which the Plug proxy connects on the remote host. If

this option is not specified, the firewall uses the Port value you

specified above.

Use IP of Originating Host As Port Specifies that the Plug proxy uses the IP address of the originating host as the source address of the packet when sending the request on to the destination host. If this option is not specified, the firewall uses its IP address as the source address of the packet, causing all packets to look like they originated on the firewall.

You must be using officially registered, routable addresses on

your trusted networks in order to use this option.

#### **Enabling Plug Proxy Services**

To enable the Plug proxy service:

- 1. On the Plug window, click Enabled.
- 2. Add the appropriate configuration set to the service groups that you want to use the this configuration set of the Plug proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the Plug proxy.

#### **Configuring Your Service**

You may need to configure your service and application to connect to the firewall instead of directly to the server. Consult the documentation included with your plugged service for information on possible configurations.

# Managing Custom Services With Authentication

Many sites rely on groupware or other interconnected applications, such as accounting packages and database applications. Each of these services uses a proprietary protocol, which would require its own application-specific proxy. The Plug proxy might be an ideal candidate for many of these applications. However, administrators also want to control who can access the service (by user name), which the plug proxy cannot do. Administrators can use the circuit proxy instead to allow certain users to tunnel these proprietary applications through the firewall.

Caution: Allowing proprietary protocols through your firewall can potentially have serious consequences. Because the protocols are proprietary, the firewall and the proxy have no information on the data or requests the applications are sending. It is also unknown how safe the application itself is. Always perform a risk assessment before using the circuit proxy for proprietary protocols.

This chapter discusses the concepts behind the circuit proxy and explains how it works and how to configure and use the circuit proxy. The chapter consists of these sections:

- "Understanding the Circuit Proxy" on page 198
- "How the Circuit Proxy Works" on page 199
- "Accessing Circuit Proxy Configuration" on page 200
- "Configuring the Firewall for Circuit Proxy Services" on page 201
- "Using the Circuit Proxy" on page 204

#### **Understanding the Circuit Proxy**

The circuit proxy is an authenticated TCP gateway that provides configurable access control and logging mechanisms. The proxy, which runs on the firewall, authenticates users and passes TCP-based application requests through the firewall, using rules you supply. It essentially tunnels information from a port on the firewall to a specific port on another system, after authenticating the user.

You can configure the circuit proxy to service:

- database applications
- financial applications
- groupware

This is not an exhaustive list. The circuit proxy is protocol neutral, so you can tunnel a variety of other TCP-based applications. Weigh the risks carefully for each application.

You can configure the circuit proxy to allow connections based on:

- user name
- source hostname
- source IP address
- source port
- destination hostname
- destination IP address
- destination port

Using these options, you can configure your firewall to allow certain users to access a database server on a system outside the defense perimeter. Employees working outside the perimeter can access important services inside the perimeter.

The strong authentication features of the circuit proxy require users to authenticate before connecting. The circuit proxy also logs all successful and unsuccessful connection attempts, and the amount of data transferred.

These access controls allow you to have more control over the connections to and from your system than you have without a firewall. The logging capabilities are also more extensive.

#### **How the Circuit Proxy Works**

The firewall runs the circuit proxy (*ck-gw*) as a daemon on a user-specified port (generally on a TCP port above 1024). The user initiates the connection using TELNET to the port where the circuit proxy is listening (which is a different port than the port on which the service runs). When the proxy receives a request on this port, it checks its configuration information and determines whether the initiating host has permission to initiate this type of connection. If the host has permission, the circuit proxy authenticates the user with the authentication server specified in the configuration information.

If the authentication is successful, the proxy uses its configuration information to create a menu listing the available services for this user. The user selects the service they want to start. The proxy then waits at the port specified for this service for a connection from the user's system.

The user then starts the client application, which connects to the firewall on the service's port. The proxy accepts the connection and displays a confirmation request in the user's original TELNET window. After the user confirms the request for the connection, the circuit proxy starts a child process to handle the service request. The child process creates a connection from the client to the application server on the other side of the defense perimeter. The proxy then passes requests back and forth between the application client and server. The child process of the circuit proxy remains active until either side terminates the connection. The original TELNET window also remains active until either side terminates the connection.

# **Accessing Circuit Proxy Configuration**

To access the circuit proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Circuit tab.

The Circuit window displays.

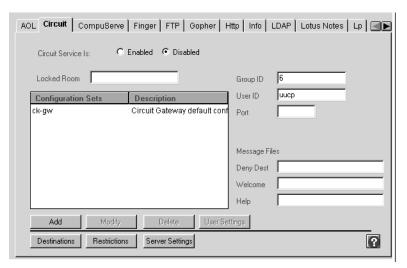


Figure 22-1 Circuit Configuration Window

#### **Configuring the Firewall for Circuit Proxy Services**

Configuring the Gauntlet Firewall involves planning, indicating which daemons the system will run, configuring the proxies to enforce your security policy, and enabling the proxy.

#### **Planning**

Caution: Note again that allowing proprietary protocols through your firewall can potentially have serious consequences. Because the protocols are proprietary, the firewall and the proxy have no information on the data or requests the applications are sending. It is also unknown how safe the application itself is. Always perform a risk assessment before using the circuit proxy for proprietary protocols.

- 1. Verify that the protocol uses TCP.
- 2. Verify that the protocol is TCP-based by consulting your protocol documentation and trying it with the plug proxy.
- 3. Verify that the protocol uses one port for all server connections.
- 4. Verify that the protocol opens only one connection for communicating between the client application and server.
- 5. Determine which external hosts can use these services.
- 6. Determine which internal hosts can use these services.

#### **Configuring Circuit Proxy Settings**

To configure circuit proxy settings:

- In the Circuit window, provide information about the port you are using.
   Port Enter the port number on which the circuit proxy runs.
- 2. Click Server Settings.

The Circuit Service Definition window displays.

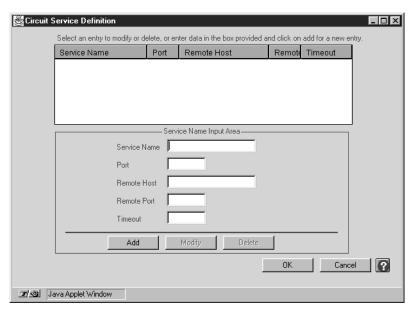


Figure 22-2 Circuit Service Definition Window

3. Provide information about the services you are offering.

Service Name Name of the available service. Used by the proxy to create the

menu of available services.

Port on the remote host to which the circuit proxy connects. Specify

by port number.

Remote Host Name of the remote host to which the circuit proxy connects.

Specify an individual system. Use IP addresses or hostnames. This

option is required if you are not using transparency.

- 4. Click *Add* to add this service to the list of available services.
- 5. Click *OK* to return to the Circuit window.
- Click Add to create a new circuit proxy configuration set.
   The Add Circuit Gateway Configuration window displays.

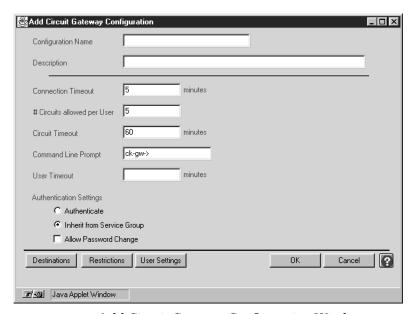


Figure 22-3 Add Circuit Gateway Configuration Window

- 7. Provide information about your configuration.
  - Configuration Name Name for the circuit proxy configuration set.
  - Description Description for this circuit proxy configuration set.
- 8. Click OK.

#### **Enabling Circuit Proxy Services**

To enable the circuit proxy service:

- 1. On the Circuit window, click Enabled.
- 2. Add the appropriate configuration set to the service groups that you want to use the this configuration set of the circuit proxy.
- 3. Before exiting the Gauntlet Firewall Manager, Save and Apply your changes. The firewall enables the circuit proxy.

#### **Verifying Your Setup**

Verify your installation by using your application through the circuit proxy. Watch the logs on the firewall for error messages.

#### **Using the Circuit Proxy**

Users follow slightly different procedures to use their application through the circuit proxy:

- 1. Use TELNET to connect to the circuit proxy.
- 2. Authenticate to the circuit proxy, if required.
- 3. Select the desired service.
- 4. Start your client application.
- 5. Confirm the client application connection.
- 6. Use your application.

The example below shows a user working on the trusted network inside the defense perimeter. The company has a policy to authenticate the use of some outside services. He is accessing an Oracle database on a system outside the perimeter at a client site.

First, the user connects to the port on the firewall where the circuit proxy is running. He authenticates using S/Key password:

```
dimension-59: telnet fire-in ck-gw
Trying 10.0.1.100...
Connected to fire-in.yoyodyne.com
Escape character is '^]'.
Username: hikita
Skey challenge: s/key 502 fi34762 SILK SCAR DES DON JOEY RUNT
Login Accepted
fire-in.yoyodyne.com ck-gw proxy (Version 4.1) ready:
ck-gw->
```

In this example, Robert Hikita, working at a system (dimension) inside the perimeter needs to access an Oracle database on a system outside the perimeter. He follows these steps:

1. He first uses TELNET to connects to the port (ck-gw) on the firewall for Yoyodyne (fire-in.yoyodyne.com) on which the circuit proxy is running.

The circuit proxy prompts Robert for his authentication user ID, which he provides (hikita).

2. When the proxy responds with a challenge, Robert enters his S/Key response.

The proxy authenticates him using the appropriate authentication server and provides him with a circuit proxy prompt.

3. Next, Robert selects the service he wants to use:

```
ck-gw->services
Valid services are:
oracle
finance
reservations
ck-gw-> oracle
waiting for oracle client to be started (type 'q<return>' to abort)
```

4. Robert uses the services command to view a menu of available services. He indicates he wants to connect to the Oracle service (c oracle).

The circuit proxy waits as he starts his client application.

- 5. Robert then starts his client application. Because Yoyodyne is using transparency (the default configuration), he indicates that the database server is on the remote host (db.clientsite.com). If Yoyodyne was not using transparency, Robert would tell the client that the database server was the inside address of the firewall (fire-in.yoyodyne.com), allowing the firewall to connect to the database server on his behalf.
- 6. Robert confirms the connection in his original TELNET authentication session:

```
waiting for oracle client to be started (type 'q<return>' to abort). oracle client started okay to proceed (answer yes only if you started a oracle client)? {\bf y} ck-gw->
```

- 7. Robert returns to the original TELNET window in which he connected to the circuit proxy. He notes that the circuit proxy has received a request for service. He confirms the request. He leaves this TELNET window active while he works so that the circuit proxy remains active.
  - The proxy connects to the remote application server, and begins passing information between the client and server.
- 8. When Robert no longer needs to use the application, he closes the application and the original TELNET window.

# Managing MediaBase Services

MediaBase is a collection of multimedia and hypertext that allows users to select and play videos using their Web browser. The Gauntlet Firewall includes a MediaBase proxy that securely handles outside user requests to view video data on a MediaBase server inside the firewall. This proxy also allows users inside the firewall to access MediaBase servers on outside networks.

This chapter explains the concepts behind the MediaBase proxy and how it works.

**Note:** For additional information on setting up the Gauntlet firewall for MediaBase, see "Configuring a MediaBase Proxy for the Gauntlet Internet Firewall" in the *WebFORCE MediaBase Administrator's Guide*.

# **Understanding the MediaBase Proxy**

The Gauntlet MediaBase proxy is an application level proxy that provides configurable access control. The proxy, which runs on the firewall, passes MediaBase client and server requests through the firewall, using rules that you supply. You can configure the MediaBase proxy to allow connections based on:

- source host name
- · source IP address
- destination host name
- destination IP address

Using these options, you can configure the firewall to allow MediaBase clients on the inside network to access MediaBase servers on the outside network. You can also limit the MediaBase sites your users can access from machines on the inside network.

Used together, these access controls and log files give you much more control over the MediaBase connections to and from your system than you would have without the firewall.

#### **How It Works**

The firewall runs the MediaBase proxy (*mbase-gw*) as a daemon listening for requests on a series of ports: ports 6301, 6309, 6310, 6312, and 6313 handle control information; ports 6320 through 6323 and 6340 handle data information. When the firewall receives requests for those ports, the MediaBase proxy checks its configuration information (in the *netperm-table* file) and determines whether the initiating client has permission to use MediaBase. If the client has permission, the proxy logs the transaction and passes the request to the appropriate host.

The *mbase-gw* daemon is always active. This daemon requires that MediaBase players also be configured to use a proxy.

The default policy allows clients inside the network to connect to MediaBase servers; it does not allow outside clients such access, however. Because the firewall runs the MediaBase proxy on all MediaBase ports, all requests from outside clients access the MediaBase proxy rather than the server. This configuration prohibits running a MediaBase server on the firewall itself—there is no way to start a MediaBase server to accept such requests.

# Configuring the Firewall to Use the MediaBase Proxy

Configuring the Gauntlet firewall involves planning, indicating which servers may be accessed, and configuring the MediaBase proxy to enforce your policy.

#### **Planning**

When planning MediaBase prosy settings:

- Determine which MediaBase servers your users need to access. Obtain hostname or IP address for each server.
- For each user, determine whether you want to limit access to a particular server.
- Determine which external hosts can use these services.
- Determine which internal hosts can use these services.

#### **Configuring Network Services**

You do not need to modify the IRIX configuration files on the firewall to support the MediaBase server. This is a standard service, included in the default versions of these configuration files on the Gauntlet firewall.

#### **Configuring MediaBase Proxy Settings**

To configure MediaBase proxy settings:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the MediaBase tab.

The MediaBase window displays.

3. Configure the MediaBase proxy settings:

Source Address IP addresses of hosts from which connections can originate.

Specifies single hosts, entire networks, or subnets. Specify by IP address or hostname. The wildcard \* is valid in hostnames.

MediaBase Server IP addresses of the host to which the proxy connects. Specifies

single hosts, entire networks, or subnets. Specify by IP address

or hostname. The wildcard \* is valid.

#### **Enabling MediaBase Services**

To enable the MediaBase proxy:

- 1. In the MediaBase window, make sure MediaBase service is enabled.
- 2. Add the MediaBase configuration to the service groups you want to use the MediaBase proxy.
- 3. Before existing the Gauntlet Firewall Manager, save and apply your changes. The firewall enables the MediaBase proxy.

# **Using the MediaBase Proxy**

Users must set up the client-side configuration files to enable the MediaBase client to communicate with a MediaBase firewall proxy.

# **Verifying Your Setup**

Verify your installation by using your MediaBase Player to connect to MediaBase servers on the outside network.

## PART FOUR

# **Managing the Firewall Environment**

Chapter 24

Mail Services

Chapter 25

Managing Packet Screening

# **Mail Services**

For many people, electronic mail is an integral tool for conducting business. Exchanging electronic mail (e-mail) is often the reason sites decide they need to connect to the Internet. Such connections are not without risks, however.

The main protocol for transferring e-mail around the Internet is the Simple Mail Transport Protocol (SMTP). The transfer requests are handled by a message transfer agent, such as the *sendmail* program used on many IRIX systems. The *sendmail* program is large and requires many privileges. The Gauntlet design of reductionism does not allow the use of *sendmail* as a critical security component of the Gauntlet Firewall. The Gauntlet Firewall includes a two-part proxy that securely handles the transfer of SMTP mail between the inside and outside networks.

Employees and companies are expanding the places in which and the types of systems on which they need to read their electronic mail. For a variety of reasons, it is not convenient to run a full mail transfer system using SMTP on these systems. The Post Office Protocol Version 3 (POP3) is one of the protocols that allow a workstation to access a mail server. The POP3 proxy included with the Gauntlet Firewall allows administrators to selectively allow outside hosts to exchange mail with a POP3 mail server through the firewall. The POP3 server should use APOP for authenticating the user.

This chapter discusses the concepts behind the SMTP and POP3 proxies and explains how they work, how to configure the proxy for mail transfer, and how to configure these services to run through the firewall. The chapter consists of the following sections:

- "Understanding the SMTP Proxy" on page 214
- "Accessing SMTP Proxy Configuration" on page 215
- "Configuring the Firewall for SMTP Services" on page 216
- "Configuring Other Settings" on page 218
- "Using Mail" on page 219
- "Understanding the POP3 Proxy" on page 219
- "Accessing POP3 Proxy Configuration" on page 220

- "Configuring the Firewall for POP3 Services" on page 221
- "Configuring Your Internal POP3 Mail Server" on page 223
- "Using POP3 to Exchange Mail" on page 223

#### **Understanding the SMTP Proxy**

The proxy for SMTP is actually two different processes: a client (*smap*) and daemon (*smapd*). Together, they provide configurable access control and logging mechanisms. The processes, which run on the firewall, transfer mail between internal and external mail servers, based on rules you supply. You can also configure the message transfer agent that the firewall uses to deliver the messages to other hosts.

The proxies also prevent versions of *sendmail* on the inside network from talking with versions of *sendmail* on the outside network. The proxies log all successful and unsuccessful mail connections, and the number of bytes transferred.

#### **How the SMTP Proxy Works**

The firewall runs the client proxy (*smap*) as a daemon listening for requests on the standard SMTP port (TCP port 25). When the firewall receives requests for SMTP services on this port, the SMAP client collects the mail from the sender, logs the message, and places the mail in a temporary directory. Periodically, based on a configurable value (by default, every 60 seconds), the daemon (*smapd*) wakes up and checks if there is any new mail. The *smapd* daemon checks the headers of the mail for formatting problems. It then calls the configured message transfer agent (usually *sendmail* in delivery mode) for final delivery.

Both the *smap* client and the *smapd* daemon run using a user ID you specify, such as uucp. Rather than running as a root process as *sendmail* often does, the *smap* and *smapd* processes run with as few or as many privileges as you assign. In addition, both programs change their root directory to the transfer directory you specify.

A common configuration is to have one mail hub for the inside network. In this scenario, outside networks know (via DNS) that they should send all mail for the domains (yoyodyne.com) on the inside networks to the firewall (fire-out.yoyodyne.com) itself for processing. An outside host informs the firewall that it has mail. The firewall calls the

*smap* client to handle the request. The *smap* client collects the mail from the outside host and writes it to a directory (*/var/spool/smap*) on the firewall.

At some interval (configurable by the system administrator), the *smapd* daemon awakens and looks for new mail on the firewall. It parses the mail headers and calls *sendmail* to deliver the messages to the SMTP mail hub on the trusted network. *sendmail* checks its configuration information, which indicates that it should deliver all internal mail to the internal mail hub (mail.yoyodyne.com). The *sendmail* program on the firewall transfers the mail to the SMTP service on the mail hub.

#### **Accessing SMTP Proxy Configuration**

To access the SMTP proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Environment.
- 2. Click the Mail tab.

The Mail window displays.

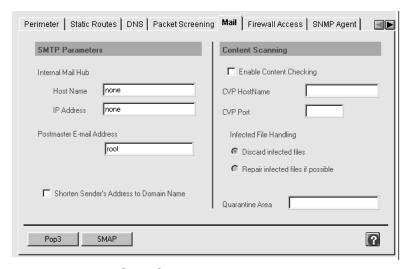


Figure 24-1 Mail Window

## **Configuring the Firewall for SMTP Services**

Configuring the Gauntlet Firewall involves planning, configuring the firewall, configuring the proxies to enforce your security policy, and enabling the proxy.

#### **Planning SMTP Proxy Services**

When planning SMTP proxy services:

- 1. Understand your existing mail configuration: hosts, hubs, and so on.
- 2. Plan early to make your DNS changes for mail records. This may require contacting an outside organization providing DNS service, such as an internet service provider (ISP).

Note: This step is very important.

#### **Configuring SMTP Proxy Services**

If you wish to allow SMTP traffic through the firewall, you must configure the firewall. The admin menus write this information to the *sendmail.cf* file, which contains information the *sendmail* program uses to deliver mail to internal systems.

To configure SMTP proxy settings:

1. In the Mail window, provide information about your SMTP mail settings.

Hostname Name of the host to which the firewall forwards all e-mail for

your domain. Enter a fully qualified host name to forward

e-mail to a host inside your firewall for processing.

Enter None if you want the firewall to process and deliver the mail itself. Make sure the aliases file on the firewall contains

information about internal systems.

IP Address IP address of the internal mail hub.

Postmaster E-Mail Address to which users and systems can send e-mail about

Address mail.

Name of a user, group, or alias who regularly reads this e-mail.

Shorten Sender's Specifies whether the firewall rewrites outgoing e-mail address To addresses to remove system names from certain lines (such as

Domain Name From: and cc:) in the mail headers.

Select enabled if you want your firewall to modify e-mail

addresses in outgoing mail from

penny@dimension.yoyodyne.com to penny@yoyodyne.com.

2. If desired, provide optional information about time-out values and other configuration settings for the SMTP proxy. Refer to the online help for specific information about the available settings. Refer to Chapter 30, "Managing Content Scanning," for information on configuring content scanning.

#### **Enabling SMTP Proxy Services**

To enable the SMTP proxy service:

- 1. In the SMTP window, click Enabled.
- 2. Add the SMTP configuration to the service groups that you want to use the SMTP proxy.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes.

The firewall enables the SMTP proxy the next time you reboot.

# **Configuring Other Settings**

Configuring settings consists of these activities:

- "Advertising the Firewall as a Mail Exchanger" on page 218
- "Configuring Your Internal Mail Hub" on page 218
- "Verifying Your Setup" on page 218

#### Advertising the Firewall as a Mail Exchanger

Advertise the firewall as the mail exchange host for your domain. This is normally a part of DNS configuration. Consult the section on DNS configuration in the *Gauntlet Firewall Installation and Upgrade Guide* for specific instructions.

## **Configuring Your Internal Mail Hub**

As long as you are using transparency to pass all packets for outside networks to the firewall (the default Gauntlet configuration), you do not need to configure your internal mail hub or mail agents. Because of the transparency, these systems forward all requests to the firewall.

If you are not using transparency, configure your internal mail hub to use the firewall as a mail forwarder, and to direct clients to the internal mail hub. If you do not have an internal mail hub, configure the clients to use the firewall directly as a mail forwarder.

# **Verifying Your Setup**

To verify your mail setup:

- 1. Verify your configuration by sending mail from an inside host to an outside host. Watch the logs on the firewall for error messages.
- Run mail in verbose mode and send mail to a bouncing service, which will automatically generate a reply:

```
dimension-23: mail -v bouncer@bbnplanet.com
Subject: Test After Configuring Mail and the Gauntlet Firewall
This is a test.
```

The verbose mode ensures that you see the details of the delivery. The bouncer service sends you a return message shortly.

3. If you need to test header rewriting or other custom configurations, start *sendmail* in debug mode using the -bt option.

## **Using Mail**

The firewall and the *smap* and *smapd* proxies for SMTP traffic are transparent to the user.

# **Understanding the POP3 Proxy**

The POP3 proxy is an application-level gateway that provides configurable access control, authentication, and logging mechanisms. The POP3 proxy, which runs on the firewall, transfers mail between external workstations and internal mail servers, based on rules you supply:

- · source IP address
- source hostname
- · destination IP address
- destination hostname
- user name

Using these options, you can configure your firewall to allow specific hosts on outside networks to exchange mail with an internal mail server via POP3. An employee working with a laptop PC running Windows needs to read mail while traveling. They can use a mail user agent (such as Eudora Pro) on the laptop to collect their mail from the mail server inside the perimeter. The proxy uses the APOP command (part of the POP3 protocol) for strong authentication. The proxy logs all successful and unsuccessful mail connections, and the number of bytes transferred.

You can configure the POP3 proxy to allow inside workstations to exchange mail with POP3 servers outside the perimeter. However, according to most security policies (including the Gauntlet Firewall default), this is not considered a good idea. The POP3 protocol assumes that the SMTP proxy has already checked the formatting in the headers of incoming mail messages. In addition, allowing POP3 clients to communicate with outside mail servers adds another level of complexity. It bypasses the central control

center of the inside mail hub, which rewrites addresses and enforces other company policies. Your mail server should be behind the firewall on the inside network. All POP3 clients on the inside network can collect their mail from this mail server.

# **How the POP3 Proxy Works**

The firewall runs the POP3 proxy (*pop3-gw*) as a daemon listening for requests on the standard POP3 port (TCP port 110). When the firewall receives requests for POP3 services on this port, the proxy checks its configuration information and determines whether the initiating host has permission to use POP3 services. If the host does not have permission, the proxy logs the connection and displays an error message.

If the host has permission, the POP3 proxy authenticates the user using APOP and logs the connection. The proxy then passes the message on to the POP3 server on the internal mail hub, and authenticates on behalf of the user using APOP. The proxy remains active until either side terminates the connection.

The default configuration allows outside hosts to connect to an internal mail server to collect mail. The firewall itself cannot run a POP3 server, because the POP3 proxy is running on the standard POP3 port.

# **Accessing POP3 Proxy Configuration**

To access the POP3 proxy configuration:

- 1. From within the Gauntlet Firewall Manager, select Environment.
- 2. Click the Mail tab.
- 3. Click POP3.

The POP3 Mail and Proxy Configuration window displays.

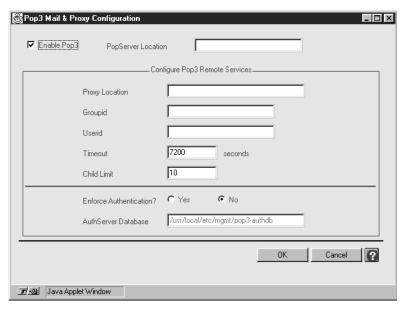


Figure 24-2 POP3 Mail and Proxy Configuration Window

# **Configuring the Firewall for POP3 Services**

Configuring the Gauntlet Firewall involves planning, configuring the proxy to enforce your security policy, creating APOP accounts for users who will need to authenticate, and enabling the proxy.

# **Planning**

When planning POP3 proxy settings, determine your policies for:

- · source and destination addresses
- user access to POP3

## **Configuring POP3 Proxy Settings**

To configure POP3 proxy settings, provide information about your POP3 mail settings in the POP3 Mail and Proxy Configuration window.

POP3 Server Location IP address of the system running your POP3 server.

## **Creating User Authentication Entries**

If you are using APOP authentication, which is the recommended and default configuration, you must create a user authentication entry for each user who will access the POP3 proxy.

To create user authentication entries:

- Create user authentication entries for each user. You can use the same user ID and have different passwords for different types of authentication. For example, you can use a strong authentication mechanism for other access, and APOP authentication for POP3 access.
- 2. Provide information about the APOP password.

Set POP3 password Specifies that you want to create an APOP password.

POP3 Password The APOP password.

Verification The same value you entered for the POP3 Password.

3. Make a note of the POP3 password as you need to enter this value on the POP3 server and provide it to the user.

#### **Enabling POP3 Proxy Services**

To enable the POP3 proxy service:

- 1. On the POP3 window, click Use POP3.
- 2. Add the POP3 configuration to the service groups you want to use the POP3 proxy.
- Before exiting the Gauntlet Firewall Manager, Save and Apply your changes.
   The firewall enables the POP3 proxy the next time you reboot.

# **Configuring Your Internal POP3 Mail Server**

You must configure your internal POP3 mail server so that it accepts requests for service from the firewall.

To configure your internal POP3 mail server:

- Configure your POP3 mail server to accept POP3 requests from the firewall. If you need to specify an IP address, remember to use the internal IP address for the firewall.
- 2. Ensure that the POP3 mail server is using the POP3 port (110).
- Configure your POP3 mail server to support APOP.
   Configure the APOP password for each user. Use the same APOP password that you specified when creating user authentication entries on the firewall.

# **Using POP3 to Exchange Mail**

Because the POP3 proxy requires authentication, users need to follow different procedures to use POP3 services.

To retrieve electronic mail using POP3 with authentication:

- 1. Configure the mail user agent and set the name of the POP3 server to the firewall.
- 2. Retrieve mail, causing the user agent to connect to the firewall.
- 3. Authenticate to the proxy by supplying your APOP password.
- 4. Continue as though the firewall were not there.

**Note:** The order of these steps may differ for different user agents.

The example below shows a user working on an outside network who needs to retrieve mail from the mail server on the inside network.

First, the user configures the mail reader to get mail via POP3 from the firewall. The following figure shows the configuration window for Eudora Pro for Windows, a popular mail application.

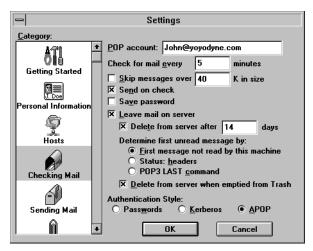


Figure 24-3 Eudora Pro Configuration for APOP

John, working on his laptop (cavalier.yoyodyne.com) at home, configures his mail reader to connect to the firewall (fire-out.yoyodyne.com) to get his mail.

Next, John retrieves his mail. As part of the connection, the proxy requests authentication information from the user agent, which prompts the user. After authenticating, the proxy transfers the request to the internal POP3 mail server (mail.yoyodyne.com), authenticates using the value stored on the firewall, and retrieves his mail.

#### Using the POP3 Proxy with Multiple POP3 Servers

You have multiple POP3 servers within your organization, all behind the same firewall. If you want to direct different users to each POP3 server, use the POP3 proxy in this configuration. It is not necessary to modify the configuration on the firewall

To access different POP3 servers, enter your user name when prompted to authenticate. Use this format:

#### user%pop3server@firewall

- *user* specifies the user name in the firewall's authentication system.
- pop3server specifies the name of the POP3 server.
- *firewall* specifies the name of the firewall.

# Managing Packet Screening

Some sites make use of network monitoring tools to manage their networks. These tools often use protocols such as UDP and ICMP. You cannot use the plug or authenticated circuit proxies to allow these protocols through the Gauntlet firewall because these proxies support only TCP-based traffic. You may also want to allow a TCP-based application through the Gauntlet Firewall. However, the application makes use of multiple ports for communicating and is unsuitable for use with either the plug or authenticated circuit proxies.

The Gauntlet Firewall includes a packet screening facility. Use the packet screening facility to allow various types of traffic, such as UDP and ICMP packets, through the Gauntlet Firewall.

**Caution:** Allowing packets through the Gauntlet Firewall is a risk. Traffic that goes through the Gauntlet Firewall via the packet screen does not also pass through the proxies. Therefore, the Gauntlet Firewall cannot provide logging or authentication of this traffic as it does on traffic that goes through the proxies. Use of packet screening is optional. Configure packet screening rules only after performing a careful risk analysis. Only advanced firewall systems administrators who can judge the risks and consequences of rules they create should configure packet screening rules. A mistake in configuring packet screening can severely compromise the security of your protected network!

The following sections explain how the packet screening facility works, how packet screening rules work, and how to configure packet screening rules:

- "Understanding Packet Screening" on page 226
- "How Packet Screening Works" on page 227
- "How Packet Screening Rules Work" on page 227
- "Accessing Packet Screening Configuration" on page 232
- "Adding Packet Screening Rules" on page 233
- "Modifying Packet Screening Rules" on page 235

- "Deleting Packet Screening Rules" on page 235
- "Changing Order of Precedence" on page 236
- "Verifying Your Configuration" on page 236

# **Understanding Packet Screening**

The packet screening facility, implemented as part of the Gauntlet Firewall kernel, reads rules you create (and default Gauntlet Firewall rules) and processes packets accordingly. Use the packet screening facility to discard packets, pass packets on to the proxies, or bypass the proxies entirely. You can configure the Gauntlet firewall to screen packets based on these options:

- source IP address
- destination IP address
- interface
- protocol
- source port
- destination port

Using these options, you can configure the Gauntlet Firewall to allow a UDP-based service through on a particular port. For example, a host on one side of your Gauntlet Firewall could use *ntpdate*, which uses a UDP-based protocol, to set the time on a host on your service network.

The Gauntlet Firewall default packet screening rules implement IP-spoofing checks. These rules deny any packets that appear on the outside interface of the firewall with source IP addresses that match IP addresses of hosts on the inside, trusted network.

The Gauntlet Firewall logs packets denied by the packet screening facility. These logging capabilities are more extensive than those provided without the Gauntlet firewall in place. However, the packet screening facility does *not* normally log packets permitted through the screen. You do not receive as much logging information when using packet screening as when using an application proxy.

## **How Packet Screening Works**

The packet screening facility is part of the Gauntlet Firewall kernel. When the boot process for the firewall begins, the packet screening rules are empty. This causes the firewall to refuse all traffic. As part of the boot process, the packet screening facility loads its screening rules from configuration files. Each time the firewall receives a packet, the kernel compares header information in the packet to appropriate screening rules and determines what to do with the packet.

If header information does not match any of the rules, the firewall drops the packet. This is in keeping with the "that which is not permitted is denied" philosophy of the Gauntlet Firewall.

## **How Packet Screening Rules Work**

The packet screening facility understands two different sets of rules: local and forward. Together, these rules cover any packets the Gauntlet Firewall receives.

Local rules apply to any packet destined for the Gauntlet Firewall itself. For example, if the Gauntlet Firewall receives a *ping* request from an untrusted host for the outside interface of the firewall (*ping* fire-out.yoyodyne.com), the packet screening facility uses local rules to determine what to do with *ping* packets.

Forward rules apply to any packets destined for hosts other than the Gauntlet Firewall. For example, if the Gauntlet Firewall receives a TELNET request from a trusted host to connect with an untrusted host (*telnet* dialin.bigu.edu), the packet screening facility uses forward rules to determine what to do with TELNET packets.

#### **Packet Screening Action Rules**

Each packet screening rule specifies one of three actions: deny, permit, or absorb.

# **Deny Rules**

A deny rule instructs the Gauntlet Firewall to drop the packet and log information. The kernel does not notify the packet sender that it dropped the packet. The default Gauntlet Firewall forward and local screening rules include rules to detect IP spoofing. These rules deny packets that appear on the outside interfaces of the Gauntlet Firewall pretending to

come from inside by presenting source IP addresses that match the IP addresses of hosts on the inside. trusted network.

#### **Permit Rules**

A permit rule instructs the Gauntlet Firewall to process the packet. If the packet is destined for the Gauntlet Firewall itself, the firewall accepts the packet. The kernel passes handling of the packet to the appropriate program, such as one of the proxies. If the packet is destined for another host, the firewall routes the packet to the destination host, bypassing the proxies.

Local screening rules include a rule that allows the Gauntlet Firewall to accept packets destined for the IP address of each interface. For example, the firewall is listed in DNS as the mail exchanger for your domain. Local screening rules on the firewall include rules that permit the Gauntlet Firewall to accept these packets.

If your security policy permits, you could create a screening rule for the forward rules that permits the firewall to forward ICMP packets. This screening rule allows you to use the *ping* and *traceroute* networking tools to monitor hosts on your internal network on either side of the firewall.

#### **Absorb Rules**

An absorb rule instructs the Gauntlet Firewall to process the packet as if the packet were destined for the firewall itself. Absorb rules are generally included as part of the forward rules. The local rules affect only those packets that are destined for the Gauntlet Firewall itself. Including an absorb rule in the local rules is redundant.

The Gauntlet Firewall includes default absorb rules that implement transparency from the inside to the outside. These absorb rules tell the packet screening facility to absorb the packet and process the packet locally, even though the destination IP address is some other system.

For example, a user on a trusted host wants to access her account at Big University directly (*telnet* dialin.bigu.edu). The default route for the trusted network goes through the Gauntlet Firewall. If there is no absorb rule in place, the packet screen uses the permit and deny rules in the forward rules to determine what to do with the packet. The kernel never passes the packet to the proxies.

And, if the forward rules contain an absorb rule, the packet screen accepts the packet for delivery locally. The packet screening facility processes the packet according to the local rules, and passes the packet onto the appropriate proxy service on the firewall itself. The TELNET proxy uses its own rules to determine if the trusted host can TELNET to the untrusted host.

## **Packet Screening Field Rules**

The packet screening rules allow you to permit or deny network traffic, based on several values in packet headers:

- · source IP addresses
- destination IP addresses
- network interface
- protocol
- · source ports
- destination ports

#### Source IP Address and Destination IP Address Rules

Rules indicate the source IP address and mask and the destination IP addresses and mask. The address mask indicates how much of the IP address is significant. For example, an IP address of 10.12.1.0 with a netmask of 255.255.255.0 matches all IP addresses beginning with 10.12.1. The IP address 0.0.0.0:0.0.0 matches every packet's IP address.

The packet screening utility applies the address mask in the screening rule to the IP address in the packet in a bitwise AND operation. If the result matches the IP address in the screening rule, then the packet screening facility considers the rule a match.

While the packet screening facility can detect attempts to spoof trusted IP addresses, the packet screening facility cannot detect one untrusted network host masquerading as another. Be careful when configuring rules that rely on source IP addresses on an untrusted network.

#### Interface Rules

Rules indicate the interface on which the packet arrives at the Gauntlet Firewall. These match the interface names on your firewall, for example ec0, ec1, and ec2. When using the packet screening options in the administration tools, you can use symbolic names for the interfaces: inside, outside, third (for your service net), and any (for any network).

The packet screening facility applies the rule as packets arrive at the firewall. This ensures that the packets adhere to the defined network configuration, including the name of the network interface that received the packet.

#### **Protocol Rules**

Rules indicate the type of protocol the IP packet uses. Valid values are in RFC 1700. Common values include 6 (TCP) and 17 (UDP). Use the asterisk wildcard (\*) to match any protocol.

You can also specify subtypes of the ICMP protocol. Valid subtypes are:

- ECHO
- ECHOREPLY
- IREQ
- IREQREPLY
- MASKREPLY
- MASKREQ
- PARAMPROB
- REDIRECT
- ROUTERADVERT
- ROUTERSOLICIT
- SOURCEQUENCH
- TIMXCEED
- TSTAMP
- TSTAMPREPLY
- UNREACH

For example, Yoyodyne wants to allow only ECHO and ECHOREPLY packets. When creating the packet filtering rule, they use the following syntax as the protocol:

icmp: ECHO | ECHOREPLY

On another firewall, Yoyodyne wants to allow all ICMP packets *except* ECHO and ECHOREPLY. When creating the packet filtering rule, they use the following syntax as the protocol:

icmp:!ECHO&!ECHOREPLY

#### **Source Port and Destination Port Rules**

Rules indicate the source port and destination ports. These rules match ports on which the particular service communicates. Valid values are any number between 1 and 65,534. Use the asterisk wildcard (\*) to match any protocol.

If the protocol is other than TCP or UDP, the packet screening facility considers the port to be the wildcard \*, matching any port.

## **Order of Precedence of Packet Screening Rules**

As the packet screening facility reads through the screening rules, the sequence of the rules is significant. The packet screening facility reads rules from top to bottom, stopping when it finds a match. When the interface, source IP address, source port, destination IP address, destination port, and protocol match a rule, the packet screen returns the appropriate permission or denial.

The packet screening rules are loaded into the kernel in this sequence:

- 1. User-defined rules specified manually in the netperm-table using the **authenIP** keyword.
- 2. IP spoofing rules and other user-defined rules specified manually in the netperm table using the **netconfig** keyword.
- 3. User-defined rules from the packet screening configuration option in the administration tools.
- 4. VPN rules that implement virtual private networks, trusted networks, and passthrough networks.
- 5. User-defined rules specified manually in the netperm table.
- 6. Transparency rules.

# **Accessing Packet Screening Configuration**

To access packet screening configuration:

- 1. From within the Gauntlet Firewall Manager, select Environment.
- 2. Click the Packet Screening tab.

The Packet Screening window displays.

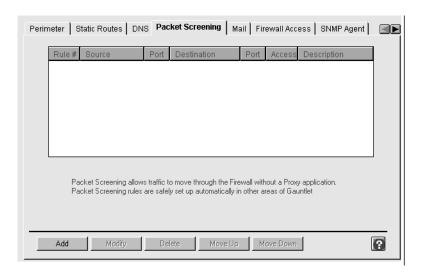


Figure 25-1 Packet Screening Window

# **Adding Packet Screening Rules**

Creating packet screening rules involves planning, creating actual rules, and loading screening rules into the kernel.

## **Planning Packet Screening Rules**

Warning: Allowing packets through the Gauntlet Firewall is a risk. Traffic that goes through the Gauntlet Firewall via the packet screen does not also pass through the proxies. Therefore, the Gauntlet Firewall cannot provide logging or authentication of this traffic as it does on traffic that goes through the proxies. Use of packet screening is optional. Configure packet screening rules only after performing a careful risk analysis. Only advanced firewall systems administrators who can judge the risks and consequences of rules they create should configure packet screening rules. A mistake in configuring packet screening can severely compromise the security of your protected network!

When planning packet screening rules:

- 1. Determine the type of traffic you want to pass through the Gauntlet Firewall.
- 2. Perform a careful risk analysis and ensure that this traffic is an acceptable risk.
- 3. If you plan to create forward rules that allow access to your inside network, make sure that you are using registered, routable IP addresses for your inside networks. You cannot use one of the reserved (RFC 1918) addresses for your networks.

#### **Creating Packet Screening Rules**

The packet screening rules editor in the Gauntlet Firewall Manager is appropriate for most configurations and is recommended for creating screening rules. You can also create packet screening rules manually. Use this manual method only if you have an unusual configuration, such as four interfaces. Refer to the *Gauntlet Netperm Reference Guide* for more information on manually adding packet screening rules.

To create packet screening rules:

In the Packet Screening window, click Add.
 The Add Packet Screening Rule window displays.

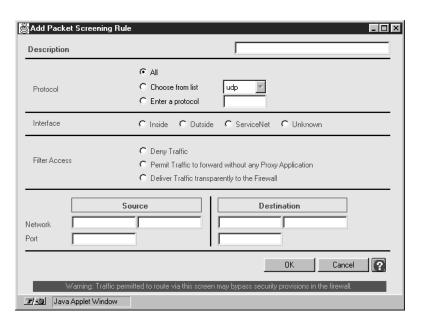


Figure 25-2 Add Packet Screening Rule Window

2. Provide information about your packet screening rule.

Description Description for this packet screening rule.

Protocol Protocol for which this rule applies. If you want to specify a subtype

of the ICMP protocol, use the syntax detailed in "Protocol Rules" on

page 230.

Access

Interface Interface for which this rule applies.

Filter Action the packet screening rule takes. If you want the firewall to

deny this type of traffic, select "Deny Traffic." If you want the firewall

to absorb this type of traffic, select "Deliver Traffic transparently to the Firewall." If you want the firewall to forward this traffic to the

destination host, select "Permit Traffic" to forward without any Proxy

Application.

Source IP IP address and mask of the source host for this packet screening rule.

Address Use 0.0.0.0:0.0.0.0 to indicate any host.

Source Port Port number for source traffic.

Destination IP address and mask of the destination host for this packet screening IP Address rule. Use 0.0.0.0:0.0.0.0 to indicate any host.

Destination Port number for destination traffic.

- 3. Click OK.
- 4. Define the opposite rule on the opposite interface, if necessary.
- 5. Order your new user restriction rule, as described below, so that the firewall uses your new rule in the right order.

#### **Loading Packet Screening Rules**

The Gauntlet Firewall kernel contains the packet screening rules.

To load packet screening rules, apply your changes before exiting the Gauntlet Firewall Manager.

The next time you reboot your firewall, the firewall begins to use the new packet screening rules.

# **Modifying Packet Screening Rules**

To modify packet screening rules:

- 1. Select the rule you want to modify.
- 2. Change the properties about the rule you want to modify.
- 3. Repeat the preceding steps for related rules.

# **Deleting Packet Screening Rules**

To delete packet screening rules:

- 1. Select the rule you want to delete.
- 2. Click Delete.
- 3. Repeat the same steps for related rules.

# **Changing Order of Precedence**

Remember that the order in which you place your packet screening rules is very important. The firewall reads them from top to bottom and applies the first one that matches. You generally want to place the most restrictive rules first.

To change the order of precedence:

- 1. Select the packet screening rule you want to move.
- 2. Click *Move Up* or *Move Down* as many times as necessary to move the rule to the desired position.

# **Verifying Your Configuration**

Try to send traffic that uses your new packet screening rule through the Gauntlet Firewall. Watch the log for errors. Use the *ipfs* utility trace option to display detailed information about each packet processed:

```
# ipfs -t on
```

When you are done testing, confirm that the trace option is off. The trace option creates large logs:

```
# ipfs -t off
```

#### PART FIVE

# **Managing Additional Firewall Services**

# Chapter 26

Managing Logging and Reporting

Chapter 27

Verifying Integrity

Chapter 28

Managing Virtual Private Networks

Chapter 29

Managing Web and Gopher Servers

Chapter 30

**Managing Content Scanning** 

**Chapter 31** 

Managing URL Filtering

Chapter 32

Managing the Network Management Agent

Chapter 33

Login Shell

# Managing Logging and Reporting

Logging (the creation of logs by the firewall) is an important part of a properly configured firewall. Gauntlet Firewall system administrators use information in logs to analyze usage statistics, monitor activities, check for problems, and investigate potential attacks. The logging features of the Gauntlet Firewall provide system administrators with information about activities to and through the firewall. The logging features present information in several formats. Configure the Gauntlet Firewall's logging and reporting features to match your organization's security policies.

This chapter describes the concepts behind logging and reporting systems, configuring these systems, and understanding the logs and reports. The chapter consists of the following sections:

- "Understanding Logging and Reporting" on page 239
- "Creating Logs" on page 240
- "Configuring Logs" on page 241
- "Creating Reports" on page 243
- "Configuring Reports" on page 245
- · "Reading Logs and Reports" on page 249

# **Understanding Logging and Reporting**

The Gauntlet Firewall follows the philosophy that it is easy to compress, consolidate, summarize, and delete log information; it is impossible to retroactively gather log information on an event that has already occurred. Disk space is a lot cheaper than spending many hours debugging a problem that a program would have written to the logs. For these reasons, the components of the Gauntlet Firewall log a wide variety of activities and attributes.

These are the components of the Gauntlet Firewall:

- firewall kernel
- proxies
- authentication management system
- DNS
- sendmail

These are the attributes logged:

- source IP address
- destination IP address
- source port
- destination port
- user name
- session date and time
- number of bytes transferred
- individual commands (for some activities)
- successful access attempts
- unsuccessful access attempts
- errors in configuration

# **Creating Logs**

The proxies, kernel, and authentication management system automatically write information to the logs. These programs call the standard IRIX system log command (syslog) to write information to the standard IRIX log file in /var/adm/SYSLOG. You do not need to do anything special to create the logs. Even if you choose not to do anything with the information in the logs, the programs still write the information. You never know when you might need it.

The message log file also contains information from other programs, such as *bind*, *cron* and other IRIX utilities that use the *syslog* command.

As with any other information that the *syslog* function writes, the firewall log information is ASCII text. People and shell scripts can easily parse the information.

The Gauntlet Firewall uses other standard IRIX tools to manage logs. Every night, the *cron* daemon runs a shell script that rotates, compresses, and removes log files. The Gauntlet Firewall stores the last seven days of logs in ASCII format. By default, the firewall also stores the most recent 14 days in compressed format.

# **Configuring Logs**

The default logging options included with the Gauntlet Firewall meet the needs of most security policies. You do not need to set or modify any options if you wish to use the default configuration, which logs all of the information described above, and retains the logs for 14 days. You can, however, customize the contents and retention of the log.

## **Customizing Kernel Alerting and Logging**

The Gauntlet kernel issues security alerts for:

- packets sent to TCP and UDP ports without active listeners
- · source-routed packets
- ICMP redirects
- packets dropped by the local or forward packet screen

By default, the Gauntlet kernel displays messages on the console and logs the messages to /var/adm/SYSLOG. If you disable kernel alerting, the firewall does not log messages for these events or write these messages to the console.

To modify the types of alerts the Gauntlet kernel generates and logs:

- 1. From within the Gauntlet Firewall Manager, select Reports.
- 2. Click the Alerts tab.

The Alerts window displays.

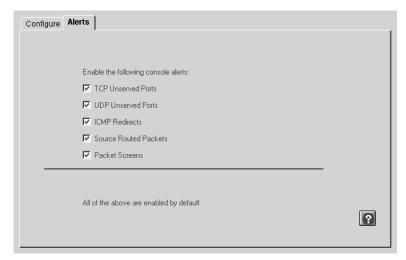


Figure 26-1 Alerts Window

3. Select the options for which you want the Gauntlet Firewall to issue security alerts.

# **Configuring Additional Logging**

A few of the proxies (FTP, HTTP, lp, and the Info Server) can log specific commands. For example, the FTP proxy can create a log entry for each command (STOR, RETR, CWD, LIST) it receives.

To modify commands that the proxies log:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Select the tab for the proxy you wish to configure.
- 3. Turn on the logging options for that proxy.

# **Configuring Log Retention Time**

The Gauntlet Firewall allows you to configure the number of days of logs you want to keep on the firewall. Be sure that you have sufficient disk space on your firewall for the logs. Even compressed log files can be large files.

To set log retention time:

- 1. From within the Gauntlet Firewall Manager, select Reports.
- 2. Click the Configure tab.

The Configure window displays.

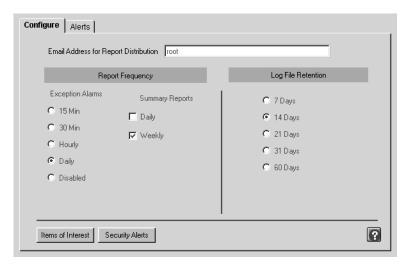


Figure 26-2 Configure Window

3. Under Log File Retention, select the number of days you want the firewall to keep the log files.

# **Creating Reports**

The Gauntlet Firewall contains several reporting mechanisms that sort through the log files and summarize information. The Gauntlet Firewall automatically generates reports. The *cron* daemon runs a set of shell scripts that parse the information in /var/adm/SYSLOG. You do nothing to create reports; the Gauntlet Firewall does it automatically.

The Gauntlet Firewall includes two types of reports:

- Service Summary reports
- Exception reports

#### **Creating Service Summary Reports**

The Service Summary reports include usage and user information on a per service basis. For example, the default report for the TELNET gateway indicates the top 100 clients by connections, the top 100 clients by amount of traffic, and the top 100 denied clients.

To create the Service Summary reports, each night the *cron* daemon on the Gauntlet Firewall runs the scripts to summarize the logs for each service.

When you turn on this option (by default, it is off), the Gauntlet Firewall e-mails the Service Summary reports to root each night. You can modify the e-mail recipient and disable the mail notification. The Gauntlet Firewall does not store the daily Service Summary Report.

Each week, the *cron* daemon on the Gauntlet Firewall runs scripts to summarize services for the past week. By default, each week the Gauntlet Firewall e-mails the Service Summary reports to root. You can modify the mail recipient and disable e-mail notification, but the Gauntlet Firewall still creates the Service Summary Report. The Gauntlet Firewall does not store the weekly Service Summary Report.

# **Creating Exception Reports**

Exception reports include noteworthy items. The Gauntlet Firewall defines a list of items that are not noteworthy and ignores those entries in the reports. The Gauntlet Firewall treats all other events as possible security events. Thus, any item that you do not specifically tell the Gauntlet Firewall to ignore, it reports. Exception reports include information that could indicate a possible attack or other problems.

For example, the Gauntlet Firewall default is to ignore successful authentications when parsing the log file. Successful authentication attempts are a normal part of Gauntlet Firewall activity. However, unsuccessful authentication attempts could be a sign of a potential attack. Therefore, the Exception Report includes all unsuccessful authentication attempts.

To create Exception reports, the *cron* daemon periodically (the default is once a day) runs a reporting script. This script scans the log files for patterns in messages it can ignore, as defined in a configuration file. The script scans log files for security alerts it can ignore, as defined in a different configuration file.

The script summarizes all noteworthy items since the last time the script created a report. By default, the Gauntlet Firewall e-mails Exception reports to root. You can modify the e-mail recipient, disable the e-mail notification, and set the frequency interval. The script does not store the Exception Report.

## **Creating Other Reports**

Because the Gauntlet Firewall uses standard IRIX logging mechanisms, the logs it creates are in an easily accessible format. You can write your own scripts or programs to create reports to provide additional information.

## **Configuring Reports**

The Gauntlet Firewall default reporting options meet or exceed the needs of most organization's security policies. To use the reporting default configuration, you do not need to set or modify options. The Gauntlet Firewall e-mails weekly Service Summary reports to root. The firewall uses a set of default activities as items that the reporting scripts ignore.

You can customize the report recipient, and enable or disable daily or weekly Service Summary reports. You can customize the events that the Gauntlet Firewall ignores in the Exception reports, enable and disable Exception reports, and customize the Exception reports reporting interval.

#### **Accessing Report Configuration**

To access the report configuration:

- 1. From within the Gauntlet Firewall Manager, select Reports.
- 2. Click the Configure tab.

The Configure window displays.

# **Configuring Report Recipients**

You can configure the report recipients for Exception and Service Summary reports.

**Note:** You must send these reports to the same people. You cannot send the Service Summary reports to one person and the exception reports to another.

If you do not specify report recipients, the firewall e-mails the reports to root on the firewall.

To configure report recipients, type the name of the recipient in the e-mail address for Report Distribution field on the Configure window,

The report recipient can be one person, several people, one e-mail alias, or several e-mail aliases.

## **Configuring Report Frequency**

If you have made changes to your firewall's configuration, you may wish to receive reports more often. This can help you to detect a misconfiguration more quickly. If you suspect that someone is trying to attack your firewall, you may also wish to increase the report frequency.

To configure the frequency of Exception reports, click on one of the intervals under Exception Alarms. After each interval, the firewall scans the log, creates a report, and e-mails the report.

To configure the frequency of Service Summary reports:

- If you want the firewall to create and e-mail Service Summary reports every day, under Summary Reports, select Daily.
- If you want the firewall to create and e-mail Service Summary reports every week, under Summary Reports, select Weekly.

#### Configuring Events to Ignore in Exception Reports

You can configure the patterns and security alerts that the event reporting scripts ignore when parsing the logs. This allows you to configure the Gauntlet Firewall to ignore patterns and routine security alerts.

The firewall still records all events in the log and displays all events on the console, even if events do not appear on an Exception Report.

You must use regular expressions to configure events to ignore. If you are not familiar with regular expressions, consult your operating system documentation or the programming reference section of a technical bookstore.

As an example, the Gauntlet Firewall creates information messages about the number of bytes transferred by the *lp* proxy. You do not find this information exceptional and do not want to display this information in the Exception reports. The regular expression

```
lp-gw.*bytes.*xferred
```

causes the reporting script to ignore messages in the logs about the number of bytes the lp proxy transfers.

To modify items of interest:

1. Click Items of Interest.

This starts an editor that displays the current list of items of interest.

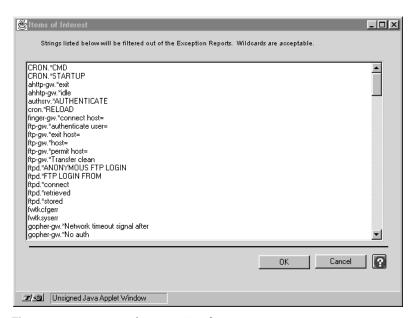


Figure 26-3 Items of Interest Window

- 2. Enter regular expressions for items you do not wish to see in your reports.
- 3. Click OK.

#### **Security Alerts**

A system on your trusted network often sends UDP requests on port 53 that cause security alerts on the Gauntlet Firewall. You know this is because of inadequate settings for time-outs in the DNS code that your system is running. You do not find this information exceptional and do not want to see it in your Exception reports. The regular expression

kernel: securityalert: udp from 10.0.1.126:53 to

causes the reporting script to ignore messages from the kernel indicating UDP errors on port 53 from the problematic system.

To modify security alerts:

1. Click Security Alerts.

This starts an editor that displays the current list of security alerts.

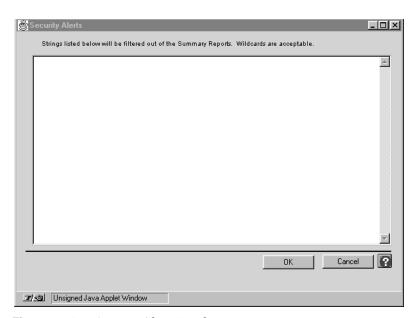


Figure 26-4 Security Alerts Window

- 2. Enter regular expressions for security alerts you do not wish to see in your exception reports.
- 3. Click OK.

## **Reading Logs and Reports**

The Gauntlet Firewall writes logs and reports as ASCII text. People and shell scripts can easily use the logged information.

This section presents a brief overview of what the logs and reports look like and what each entry indicates.

#### Reading Logs

The log file (/var/adm/SYSLOG) contains a chronological list of events written by the kernel, proxies, authentication management system, and other processes. The example below shows events the Gauntlet Firewall logged in a two-minute period between 10:47:00 and 10:48:59.

```
Oct 30 10:47:22 fire-in http-gw[12079]: permit host=unknown/10.0.1.17 use of gateway (Ver g4.1a / 0)
Oct 30 10:47:22 fire-in http-gw[12079]: log host=unknown/10.0.1.17 protocol=HTTP cmd=dir
dest=www.tis.com path=/
Oct 30 10:47:23 fire-in http-gw[12079]: content-type= text/html
Oct 30 10:47:23 fire-in http-gw[12079]: exit host=unknown/10.0.1.17 cmds=1 in=2392 out=0 user=unauth
duration=6
Oct 30 10:47:23 fire-in http-gw[12080]: permit host=unknown/10.0.1.17 use of gateway (Ver g4.1a / 0)
Oct 30 10:47:23 fire-in http-gw[12080]: log host=unknown/10.0.1.17 protocol=HTTP cmd=get
dest=www.tis.com path=/art/actual/title.gif
Oct 30 10:47:25 fire-in http-gw[12080]: content-type= image/gif
Oct 30 10:47:27 fire-in http-gw[12080]: exit host=unknown/10.0.1.17 cmds=1 in=5581 out=0 user=unauth
duration=4
Oct 30 10:47:28 fire-in http-gw[12081]: permit host=unknown/10.0.1.17 use of gateway (Ver g4.1a / 0)
Oct 30 10:47:28 fire-in http-gw[12081]: log host=unknown/10.0.1.17 protocol=HTTP cmd=get
dest=www.tis.com path=/art/buttons/2.netsec.gif
Oct 30 10:47:28 fire-in http-gw[12081]: content-type= image/gif
Oct 30 10:47:28 fire-in http-gw[12081]: exit host=unknown/10.0.1.17 cmds=1 in=135 out=0 user=unauth
duration=0
Oct 30 10:48:24 fire-in smap[12082]: connect host=cosmo.clientsite.com/192.94.214.96
Oct 30 10:48:24 fire-in smap[12082]: host=cosmo.clientsite.com/192.94.214.96 bytes=1005
from=<br/>bob@clientsite.com> to=<@fire-out.trusted.com:clancy@yoyodyne.com >
Oct 30 10:48:24 fire-in smap[12082]: exiting host=cosmo.clientsite.com/192.94.214.96 bytes=1005
Oct 30 10:48:39 fire-in sendmail[12084]: KAA12084: from=<br/>bob@clientsite.com>, size=921, class=0,
pri=30921, nrcpts=1, msgid=<9510301544.AA04030@clientsite.com>, relay=uucp@localhost
Oct 30 10:48:39 fire-in smapd[12083]: delivered file=sma012082
Oct 30 10:48:40 fire-in sendmail[12086]: KAA12084: to=<@firewall.yoyodyne.com:clancy@yoyodyne.com>,
ctladdr=<bobeclientsite.COM> (6/0), delay=00:00:01, mailer=smtp, relay=mail.yoyodyne.com. [10.0.1.126],
stat=Sent (Ok)
```

# **Reading Service Summary Reports**

Service Summary reports contain a concise overview of events by service (proxy). The example shows weekly information for TELNET activity through the Gauntlet Firewall.

Telnet/Rlogin Proxy Usage Top 100 telnet gateway clients (total: 308)							
287	dimension.yoyodyne.com/	267484	11412	278896			
6	eight.yoyodyne.com/10.0	495575	2249	497824			
6	<pre>jersey.yoyodyne.com/10.</pre>	291915	3608	295523			
3	lizardo.yoyodyne.com/10	4204	318	4522			
2	john.yoyodyne.com/10.0.	472366	4719	477085			
2	planet10.yoyodyne.com/1	123	64	187			
1	blaze.clientsite.com/20	169588	1473	171061			
1	unknown/204.254.155.2	0	0	0			
Top 100 tel	net gateway clients in terms	s of traffi	.C				
Connects	Host/Address	Input	Output	Total			
287	dimension.yoyodyne.com/	267484	11412	278896			
2	john.yoyodyne.com/10.0.	472366	4719	477085			
6	jersey.yoyodyne.com/10.	291915	3608	295523			
6	eight.yoyodyne.com/10.0	495575	2249	497824			
1	blaze.clientsite.com/20	169588	1473	171061			
3	lizardo.yoyodyne.com/10	4204	318	4522			
2	planet10.yoyodyne.com/1	123	64	187			

unknown/204.254.155.2

# **Reading Exception Reports**

1

Exception reports contain a chronological summary of security alerts and potential items of interest. Exception reports contain several sections: Security Alerts, System Warnings, and Possible Items of Interest.

0

0

#### **Exception Reports: The Security Alerts Section**

The security alerts section includes information about potential security violations. Common items in this section include:

- Requests for unserved ports. These requests come from other systems looking for a particular service on your firewall. For example, if someone tries to access print services on your firewall, and you are not running the lp proxy, the firewall creates a log message indicating that it received a request for service on port 515.
- DNS spoofing problems. These problems may be malicious attempts by a host on the outside network to act as a host on your inside network. More commonly, the firewall receives DNS requests from misconfigured DNS servers.

# **Exception Reports: The System Warnings Section**

The system warnings section includes information about possible configuration errors. Common items in this section include:

- Incorrect lines in the netperm table. Check the spelling and capitalization. Make sure that you have also used the correct syntax.
- System resource problems.

#### **Exception Reports: Possible Items of Interest Section**

The possible items of interest section includes information from other components of the firewall, which may indicate a problem or concern. Common items in this section include:

- Notices about disk space
- · Configuration problems in the sendmail program
- Users becoming root

#### **Exception Reports: Example**

The example shows information captured and logged over a one-day interval by the Gauntlet Firewall about security alerts, system warnings, and possible areas of interest.

```
Security Alerts
Aug 5 00:01:41 fire-in kernel: securityalert: udp from 10.0.1.68:1140
to 129.241.131.10 on unserved port 29659
Aug 5 09:01:16 fire-in kernel: securityalert: tcp from
10.0.1.128:3616 to 10.0.1.159 on unserved port 14464
Aug 5 09:01:29 fire-in kernel: securityalert: tcp from
10.0.1.128:3621 to 10.0.1.159 on unserved port 14464
Aug 5 12:32:01 fire-in kernel: securityalert: tcp from 10.0.1.68:2089
to 199.201.68.101 on unserved port 8888
System Warnings
Aug 5 08:41:49 fire-in authsrv[17675]: fwtksyserr: srvhear: read
error: Connection reset by peer
Aug 5 08:41:49 fire-in authsrv[17677]: fwtksyserr: srvhear: read
error: Connection reset by peer
Possible Items of Interest
Aug 5 16:09:39 fire-in authsrv[18432]: administrator LISTAug
16:10:38 fire-in su: BAD SU crawhide to root on /dev/ttyp0Aug
16:10:44 fire-in su: crawhide to root on /dev/ttyp0Aug 1 17:02:31
fire-in gui[13316]: permit host=fire-in.trusted.com/10.0.1.159 use of
server(5)Aug 1 17:02:32 fire-in gui[13316]: protocol=http
file=/usr/local/etc/guidb/D/Dgui/Hstartup0htmlAug 1 17:02:32 fire-in
gui[13316]: exit in=22 out=3320Aug 1 17:02:32 fire-in
ahttp-gw[15822]: exit id=283 in=3416 out=240Aug 1 17:02:32 fire-in
gui[13316]: permit host=fire-in.trusted.com/10.0.1.159 use of
server(5)Aug 1 17:02:32 fire-in gui[13316]: protocol=http
file=/usr/local/etc/guidb/D/Dgui/Dimages/Hbackground00gifAug 1
17:02:32 fire-in gui[13316]: exit in=32 out=3090Aug 1 17:02:32
fire-in gui[13316]: permit host=fire-in.trusted.com/10.0.1.159 use of
server(5)Aug 1 17:02:32 fire-in gui[13316]: protocol=http
file=/usr/local/etc/guidb/D/Dgui/Dimages/Hiconbar-startup0gifAug 1
17:02:32 fire-in gui[13316]: exit in=36 out=2999Aug 1 17:02:32
fire-in gui[13316]: permit host=fire-in.trusted.com/10.0.1.159 use of
server(5)Aug 1 17:02:32 fire-in gui[13316]: protocol=http
file=/usr/local/etc/guidb/D/Dgui/Dimages/Hbanner-startup0gifAug 1
fire-in ahttp-gw[15822]: exit id=285 in=3095 out=245Aug 1 17:02:32
fire-in ahttp-gw[15822]: exit id=284 in=3186 out=241
```

# **Verifying Integrity**

Even if you have followed all the appropriate precautions to make your firewall secure, you may still want an extra level of assurance that no person or process has modified your system. To provide this assurance, the Gauntlet Firewall includes facilities to assist you in verifying the integrity of your system.

The following sections describe the concepts behind system integrity and some common administrative tasks:

- "Understanding System Integrity" on page 253
- "How System Integrity Works" on page 254
- "Configuring Integrity Checks" on page 254
- "Creating an Integrity Database" on page 256
- "Updating the Integrity Database" on page 257
- "Verifying System Integrity" on page 257

## **Understanding System Integrity**

The Gauntlet integrity database is a collection of cryptographic checksums or message digests for most files on your filesystem. The database contains a checksum for each file, and includes information about the user ID, group ID, and mode.

To verify the integrity of the system, you can create a new database of checksums, and compare the values with the existing database. Changes in the checksums for a file indicate that someone or something has modified the file in some manner.

The database does not contain information about files that can change often, such as the mail spool, the log files, and system aliases. You expect these files to change, so the checksums would always be different. You can modify the list of files that the integrity checker ignores. Unless you specifically tell the Gauntlet Firewall to ignore a particular item, it provides information on that item.

# **How System Integrity Works**

The Gauntlet Firewall allows you to create integrity databases. When you create an integrity database, the firewall runs a scan program (*scan*) that walks the directory tree and creates MD5 message digest checksums for each file. It ignores the files listed in a *scan* configuration file. The *scan* program writes the checksums to the integrity database.

Updating the database reinitializes the integrity database to reflect the current state of your firewall.

# **Configuring Integrity Checks**

Configuring integrity checks consists of accessing the Integrity Check window and selecting files to ignore.

# **Accessing Integrity Checking**

To access integrity checking:

- 1. From within the Gauntlet Firewall Manager, select Maintenance.
- 2. Click the Integrity Check tab.

The Integrity Check window displays.

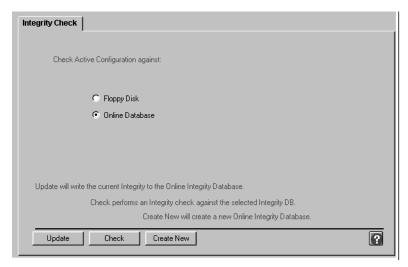


Figure 27-1 Integrity Check Window

# **Configuring Files to Ignore**

You can modify the list of files and directories that the *scan* program ignores when creating and checking databases. This allows you to ignore directories and files that you know are volatile.

To configure the files to ignore:

- 1. Log in to the firewall and become root.
- 2. Use your favorite text editor to edit /usr/local/etc/checksums/scan.conf.
- 3. Modify the list of directories and files the integrity checker ignores.

The next time you create or update an integrity database, the firewall does not create database entries for the files you added.

# **Creating an Integrity Database**

You can create an integrity database anytime you wish. You should create one after you first configure your firewall. Generally, you create a new database when you have made major modifications to your firewall. Of course, you can do this more or less often as your security policy dictates.

You can create an integrity database on the firewall. An online database is easy to access, and does not require someone to physically place media into the firewall. However, an online database can be accessed by others who have access to the firewall.

**Note:** Creating an integrity database scans your entire directory tree. On systems with large disks, this may take as long as 10 or 20 minutes.

#### Planning an Integrity Database

When planning an integrity database:

- 1. Make sure you are satisfied with the current state of your Gauntlet Firewall.
- 2. Make sure you have sufficient space for your database. The database file is usually between 2 MB and 5 MB in size, but it can be larger.

#### **Creating the Database**

To create an integrity database:

- 1. On the Integrity Check window, click *Online Database*.
- 2. Click Create New.

The firewall creates the integrity database.

## **Protecting the Integrity Database**

You use the integrity database to verify that nothing has modified your system. Therefore you must protect the database itself from tampering. Consider the following options to protect your integrity database (/usr/local/etc/checksums/gauntlet.sum):

- Copy the integrity database to removable media that you keep off line for safekeeping. Store this copy of the database according to your security policies.
- If you leave the database online, protect the database file by removing write permission for groups and others.
- Store the copy of the initial integrity database that you created during your installation with your original distribution media.

## **Updating the Integrity Database**

Updating the integrity database creates a small database file that contains only the updates.

#### **Updating the Database**

Before you start, make sure you have already created an integrity database.

To update the integrity database:

- 1. On the Integrity Check window, click Online Database.
- 2. Click Update.

The firewall updates the integrity database.

# **Verifying System Integrity**

When you wish to check the integrity of the files on your system, you can verify the current filesystem checksums against the known, good filesystem checksum information stored in the integrity database. This process runs the scan program (*scan*), which walks the directory tree and creates MD5 checksums for each file. It ignores the files listed in a *scan* configuration file. The *scan* program compares the checksums for each file with the information in the integrity database, and writes any differences to a temporary file (/tmp/scan.processID). The *scan* program leaves the changes list in the /tmp directory, so you can view them later.

The firewall can compare checksums with information in a database stored online. It can also compare with a database on a floppy diskette.

You can verify your system integrity anytime you wish. Administrators often perform these types of tasks monthly. You may also wish to check system integrity any time you notice unusual behavior on your firewall. You can, of course, define what you consider unusual according to your security policies.

#### **Check Integrity**

To check integrity against an online database:

- 1. On the Integrity Check window, click *Online Database*.
- 2. Click Check Integrity.

The firewall creates a new integrity database and compares it with the old database.

#### Viewing and Understanding the Results

Review the changes noted in the changes file and make sure they are acceptable changes. For example, you may have added users to your authentication database since you last created your integrity database. The authentication database information is different, and the integrity check notes this. This is an acceptable difference.

The *scan* program does not tell you what has changed about the file. It only tells you that the file has changed.

To view the results of an integrity check:

- 1. Log in to the firewall and become root.
- 2. Use your favorite text editor to view the file (/tmp/scan.processID) that contains the list of changes.

# Managing Virtual Private Networks

Packets on the Internet flow through a variety of wires and fibers owned and managed by a variety of organizations. The opportunities for someone or something to monitor these packets are large.

The Gauntlet Firewall can be used to create a Virtual Private Network (VPN). VPNs use encryption to allow secure communication between various points within the network.

The following sections explain how you can use your Gauntlet Internet Firewall to exchange encrypted traffic with other Gauntlet Firewalls:

- "Understanding VPNs" on page 260
- "How Virtual Private Networks Work" on page 264
- "Accessing Encryption Key Configuration" on page 265
- "Working With Encryption Keys" on page 265
- "Accessing the VPN Configuration" on page 268
- "Working With the VPN Configuration" on page 268

**Note:** This feature is available only in the Unites States domestic version of the Gauntlet product.

## **Understanding VPNs**

When using a single firewall, the defense perimeter includes the network of systems that sit behind the firewall, inside the perimeter. Communication with any other systems or networks outside the perimeter is over some untrusted network, such as the Internet. A VPN extends the defense perimeter to include other networks and systems.

For example, Yoyodyne has offices in Maryland and California, each protected by a Gauntlet Internet Firewall. When these offices communicate, they use the Internet. Yoyodyne can create a VPN and extend the defense perimeter from its corporate headquarters in Maryland to include the network of systems behind the defense perimeter in its California office, as shown in Figure 28-1.

A VPN is considered private because all traffic that passes through the firewall to another part of the VPN is encrypted. Any program watching the packets flow by would simply see a stream of encrypted data. Without the key used to encrypt the data, the information isn't useful to snoopers. Because the remote host or network shares a key with the firewall, it can decrypt and process the encrypted packets that it receives. In Figure 28-1, all traffic between the firewall in the Maryland office and the firewall in the California office is encrypted.

A VPN is considered a virtual network because you are extending the network from the systems that are physically within the defense perimeter to include other systems or networks that are not. A VPN may or may not trust the other network.

You can also create a VPN between a firewall and a personal computer running Gauntlet PC Extender. This extends the perimeter to include the personal computer. Refer to the PC Extender documentation for more information.

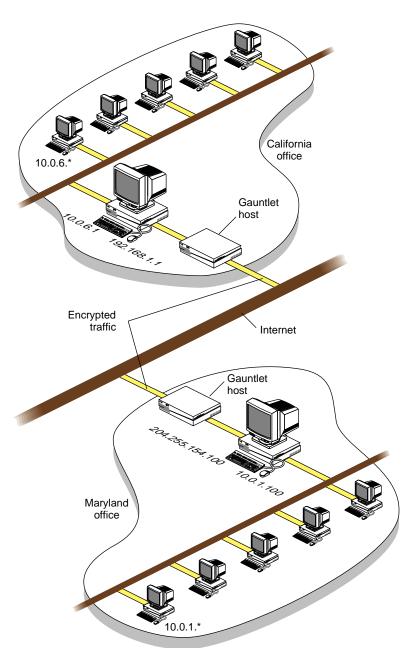


Figure 28-1 Example VPN

#### **Privacy With Trust (Trusted Link)**

A VPN with trust expands the concept of trust (as in trusted networks) to include not only the systems within your defense perimeter but also all of the systems within the remote defense perimeter. For all intents and purposes, all of these systems are part of the same network within the same defense perimeter. Any activities that you allow within your network can be used with systems on the remote network.

For example, Yoyodyne allows users in the Maryland office to use the network time protocol (NTP) within the network to set the clocks on their systems. If Yoyodyne sets up a VPN with the California office using a trusted link, they can now use *ntp* with systems in the California office.

You can create trusted links for host-to-host, network-to-network, or host-to-network communications. This allows you to trust individual hosts or entire networks.

A VPN also allows any IP services you desire to pass between the two firewalls. The services simply need to be IP-based. You can allow applications that use the user datagram protocol (UDP) or the transmission control protocol (TCP).

In addition to sharing a defense perimeter against the rest of the world, sites that create a VPN must share the security perimeter in other ways. These sites should share the same policies, procedures, and administrative control. If the security policy for the Maryland office does not allow TELNET from remote locations, then the security policy for the California office should match this. If the policies differ, someone can simply come in through the California office and then connect directly to a system in the Maryland office, which is part of the same VPN.

#### **Privacy Without Trust (Private Link)**

A VPN without trust does not expand the concept of trust to include the systems within the remote defense perimeter. In this case, the traffic between the two networks is encrypted, providing the privacy. Once it decrypts the traffic, the remote firewall still considers the request as being from an untrusted network. The request is the same as any other that comes from an untrusted network, but with the additional benefit of encryption.

For example, Yoyodyne sets up an untrusted VPN between the Maryland and California offices. Traffic between the two offices is still encrypted. When the firewall for the California office receives and decrypts a TELNET request from a system at the Maryland office, it treats the request as it would any other untrusted network. They cannot send UDP packets between the two networks or trust NTP from the other site as they could using a VPN with privacy with trust.

You can create private links for host-to-host, network-to-network, or host-to-network communications. The most common use of privacy without trust creates a private link between two networks.

Sites that create a VPN without trust must of course share the encryption key that gives them the privacy. However, they can now use different policies and procedures and have different administrative control.

#### **Encryption Through Multiple Firewalls (Passthrough Link)**

A VPN can use encryption through a series of firewalls. In this case, the traffic between the outer firewalls is encrypted, but the firewalls in between simply pass the encrypted data through. They do not decrypt the data nor do they have the encryption key.

For example, Yoyodyne sets up a VPN (with or without trust) between the firewall for the accounting department in Maryland and the firewall for the accounting department in California. On the firewall for the entire Maryland office (which includes the accounting department), Yoyodyne creates a passthrough link. This link simply passes the encrypted traffic from the accounting firewall in Maryland to the accounting firewall in California. The administrators in the California office must create a similar passthrough link on their firewall to pass encrypted traffic to the accounting firewall in the California office.

You can create passthrough links for host-to-host, network-to-network, or host-to-network communications. The most common use of a passthrough link specifies a host-to-host link for two firewalls.

#### **How Virtual Private Networks Work**

The firewall handles VPNs by examining all outbound traffic and encrypting any traffic between hosts that are marked as encrypted peers. The exact sequence of events varies depending on whether there is privacy with trust or just privacy.

When the firewall is about to send a packet, it checks to see if the source and destination are listed in a table of encrypted pairs. If the source and destination match an entry in the table, the firewall hands the packet to the swIPe driver for encryption.

# **Encrypting the Data**

The *swIPe* driver uses the Data Encryption Standard (DES) to encrypt the data using the key provided for this VPN during firewall-to-firewall configuration. The new packet contains encrypted data and a header that indicates this is a special encrypted protocol. The firewall then sends the encrypted packets across the Internet (or other untrusted network) to the firewall for the remote network.

When the remote firewall receives the packet on its outside interface, the IP input layer recognizes this as an encrypted packet because of the special protocol. This information indicates that the firewall should send any packets with this special protocol to the swIPe driver.

If the source and destination addresses in the packet indicate that it is part of a passthrough link, the swIPe driver forwards the packet without modifying it.

#### **Decrypting the Data**

The *swIPe* driver decrypts the data using the same key used to encrypt the data. The *swIPe* driver passes the now decrypted data back to the IP input layer. This now handles the packet as it would handle any other packet that it receives on the outside interface.

#### **Routing the Packet**

If the VPN between the two networks uses privacy with trust, the routing layer forwards the packet to the appropriate host on the inside network. If the VPN between the two networks uses just privacy with no trust, the routing layer hands the packet to the appropriate service or proxy. The proxies treat this packet as they would any other packet from any other untrusted network.

# **Accessing Encryption Key Configuration**

The first step to creating a VPN is to create a key.

To access VPN configuration:

- 1. From within the Gauntlet Firewall Manager, select VPNs.
- 2. Click the Keys tab.

The Keys window displays.

# **Working With Encryption Keys**

Before creating your VPN, you must create encryption keys that the firewall can use to encrypt and decrypt traffic.

# **Planning Encryption Keys**

Before you start adding encryption keys, determine whether you wish to use the same key for every VPN. You must use the same key at each end of a VPN. However, if you have one VPN to your California office and one VPN to your client in New York, you may not wish to use the same key for both networks.

## **Creating Encryption Keys**

To create an encryption key:

In the Keys window, click Add.
 The Add Swipe Key window displays.

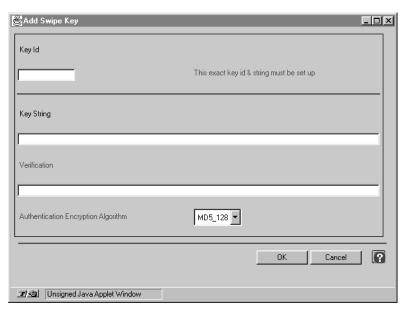


Figure 28-2 Add Swipe Key Window

2. Provide information about your encryption keys.

**KeyID** 

ID number for this key. When you create the trusted or private link, you specify the key you wish to use by Key ID.

If you wish to use this key to create a link with a Gauntlet Firewall running Version 4.0 or greater, enter a number between 0 and 999.

If you wish to use this key to create a link with a Gauntlet Firewall running a version preceding Version 4.0, enter 0.

Key String Alphanumeric string. The *swIPe* driver uses an MD5 hashing

algorithm to create a pseudo-random number. The swIPe driver

uses this value as the DES key.

Ensure that the key is not simply a word found in a dictionary.

For best security, use a long key that includes multiple non-alphabetic characters. For example, Yoyodyne uses the

phrase

Try&and\*guess(this)one.

Verification Enter the same value you entered for the Key String.

Authentication Encryption Algorithm Specifies the authentication algorithm that the swIPe driver uses to verify the contents and source of incoming encrypted packets. Select SWIPE\_A\_MD5\_128. The larger the hash size, the less

likely it is that someone can spoof the verification.

3. Click OK.

## **Modifying Encryption Keys**

To modify an encryption key:

- 1. Select the key you wish to modify.
- 2. Click Modify.
- 3. Change the settings for this encryption key.
- 4. Click OK.
- 5. Inform the administrator at the other end of the VPN of your changes.

#### **Deleting Encryption Keys**

Note: You cannot delete an encryption key that is currently defined as part of a VPN.

To delete an encryption key:

- 1. Click the encryption key you wish to delete.
- 2. Click Delete.

# **Accessing the VPN Configuration**

To access VPN configuration:

- 1. From within the Gauntlet Firewall Manager, select VPNs.
- 2. Click the VPNs tab.

The VPNs window displays.

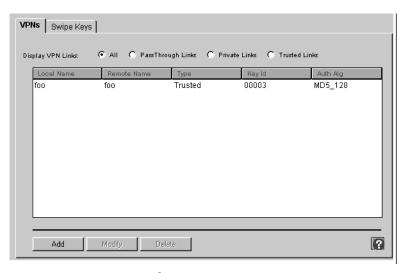


Figure 28-3 VPNs Window

# **Working With the VPN Configuration**

This section discusses how to work with VPNs, from the planning stage to the actual implementation and deletion.

## **Planning VPNs**

When planning the VPNs for your network:

- 1. Make sure your firewall is working as you would like before you create a VPN.
- 2. Determine whether you wish to use trusted links or private links.

- 3. Determine whether you need to create any passthrough links on firewalls that lie between your encrypted links.
- 4. Coordinate your efforts with the administrator of the remote network. Discuss your security policies and procedures. Prepare to synchronize the firewalls as you configure them.

# **Creating a Trusted VPN**

To create a trusted or private VPN:

On the VPNs window, click Add.
 The Add VPN Link Configuration window displays.

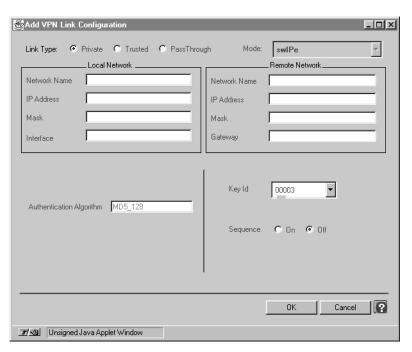


Figure 28-4 Add VPN Link Configuration Window

2. Provide information about your VPN.

Type of Link Select the type of link you are creating.

**Local Network** IP address for the local network or host. Specify the IP address

Address and Mask and subnet mask for the host or network.

If you are creating a trusted link between the networks inside two firewalls, enter the IP address and mask for your network. If you are creating a private link between two networks that are behind firewalls, enter the IP address of the outside

interface of the firewall.

Local Network Name for your local network or host. This name is for your Name

information only, to help you track the various addresses and

subnet masks.

Remote Network IP address for the remote network or host. Specify the IP

Address and Mask address and subnet mask for the host or network.

Remote Network Name for the remote network or host. This name is for your

information only, to help you track the various addresses and

subnet masks.

Remote Gateway IP address for the outside interface of the remote firewall. Address

After it encrypts the outgoing packets, the firewalls sends

them to this address.

Interface Name of the interface to which the local network is connected.

**KeyID** Select the Key ID for the encryption key you wish to use for

this link.

3. Click OK.

Name

#### Creating a Passthrough Link

To create a passthrough link:

1. In the VPNs window, click *Add*.

The Add VPN Link Configuration window displays.

2. Provide information about your passthrough link.

Local Network

IP address for the local network or host that is using the Address and Mask encrypted link. Specify the IP address and subnet mask for the

host or network.

This is often the IP address of the outside address of the

firewall that is using the encrypted link.

Local Network Name

IP address for the local network or host. Specify the IP address and subnet mask for the host or network. If you are creating a trusted link between the networks inside two firewalls, enter the IP address and mask for your network. If you are creating a private link between to networks that are behind firewalls, enter the IP address of the outside interface of the firewall.

Remote Network

IP address for the remote network or host that is using the Address and Mask encrypted link. Specify the IP address and subnet mask for the

host or network.

This is often the IP address of the outside address of the

firewall that is using the encrypted link.

Remote Network Name

Name for the remote network or host that is at the other end of the encrypted link. This name is for your information only, to help you track the various addresses and subnet masks.

3. Click OK.

#### **Modifying VPNs**

To modify a VPN:

- On the VPNs window, select the VPN you wish to modify.
- 2. Click Modify.

The Modify VPN Link Configuration window displays.

- Change the settings for this VPN.
- 4. Click OK.
- 5. Inform the administrator at the other end of the VPN of your changes.

## **Deleting VPNs**

To delete a VPN:

- 1. Select the VPN you wish to delete.
- 2. Click Delete.

## **Starting Your VPN**

To bring up your VPN:

- 1. Coordinate your settings with the administrator of the remote VPN.
- 2. When exiting the Gauntlet Firewall Manager, click Save, Apply, and Reboot to make your configuration take effect.

When your firewall reboots, your VPN is enabled.

## **Testing Your VPN**

After you have brought up your VPN, you may wish to confirm your configuration.

To verify your configuration:

- 1. Log in to the firewall and become root.
- 2. Use the trace option of the encryption policy configuration utility (/usr/local/etc/ipe) to display and log kernel diagnostic messages:

```
# cd /usr/local/etc
# ipe -t on
```

3. Watch the log for errors. Verify that the logs show information for each of your links.

The string Swipe\_input means the firewall encrypted a packet, while Swipe\_output means the firewall decrypted a packet.

4. Turn off the trace function when you are done to avoid the overhead of the logging feature and to avoid creating logs that are needlessly large:

```
# ipe -t off
```

To verify the configuration of a trusted link:

- 1. Log in to a host other than the firewall within the local network.
- 2. Use *ping* to reach a host within the remote network.

For example, the Yoyodyne administrator in Maryland pings the mail hub on the California office network:

```
% ping mail.ca.yoyodyne.com
```

Specify the remote host by IP address to make sure that it is not a DNS problem:

```
% ping 10.0.6.12
```

To verify the configuration of a private link:

- 1. Log in to a host other than the firewall within the local network.
- 2. Connect to a host within the remote network.

For example, the Yoyodyne administrator uses TELNET to connect to the mail hub on the California network:

```
% telnet 10.0.6.12
```

The remote firewall should pass this request to its TELNET proxy, as it would any request from an untrusted network. By default, the proxy should display the TELNET proxy prompt.

## **Stopping Your VPN**

You can disable your VPN by removing the configuration for the VPN. The next time you reboot your firewall, the firewall does not start the VPN. If you need to disable the VPN and leave your firewall running, use the encryption policy configuration utility (/usr/local/etc/ipe) to disable the VPN:

```
# ipe -c
```

# Managing Web and Gopher Servers

Sometimes it is not feasible to run a separate WWW or Gopher server outside your firewall. Because of hardware or other constraints, you cannot devote a separate system to be your WWW server. Or, you do not expect enough traffic to justify another system, but still want to offer WWW services to your customers. Instead, you want to run the WWW server securely on the firewall itself. Most WWW servers are large programs, making it harder to ensure that they do not have any security holes.

The Info Server included with the Gauntlet Firewall securely services requests for HTTP and Gopher services.

The following sections explain how the Info Server works, how to configure the server for the various protocols, and how to use the server:

- "Understanding the Info Server" on page 275
- "How the Info Server Works" on page 276
- "Accessing Info Server Configuration" on page 279
- "Configuring the Firewall to Run an Info Server" on page 280
- "Using the Info Server" on page 284

## **Understanding the Info Server**

The Gauntlet Info Server is a minimal Web server. The server, which runs on the firewall, works with a set of management tools to service HTTP and Gopher requests. You can configure the server to allow connections based on:

- source IP address
- source hostname

You would use the Gauntlet Info Server in place of another HTTP server (such as the CERN or Netscape HTTP servers) or Gopher server (such as the University of Minnesota Gopher Server).

The Info Server implements a minimalist design, in which the server handles only the file requests. A variety of management tools (on a per service basis) actually provide the data. These smaller programs are easier to analyze and verify that there are no holes. Simpler code is easier to verify.

#### **How the Info Server Works**

The Info Server (*info-gw*) runs on the firewall as a daemon listening for TCP-based requests on port 8000. When the firewall receives a request, it forks a child copy of the Info Server, leaving the parent Info Server to continue listening for requests.

The child Info Server process looks at the request and places it in one of several categories (such as Gopher or HTTP).

It checks the appropriate configuration information and determines whether the requesting host has permission to use the desired service. If the host does not have permission, the Info Server logs the connection and displays an error message.

If the host has permission to use the service, the Info Server uses its internal database (by default in /usr/local/etc/infodb) to find the requested file or to go to the requested directory. The client thinks it is talking to a regular HTTP or Gopher server, even though it is not.

#### **How the Database Works**

When the Info Server processes a request, it does not use standard directory commands to traverse the file hierarchy on the firewall. Instead, the Info Server uses a database manager, which translates the HTTP or Gopher request into the internal database structure. The database manager then tells the Info Server the actual name of the file, which the server displays or returns to the client. The database uses <code>/usr/local/etc/infodb</code> as the root directory for the database.

The database structure restricts the number of characters that can exist in a filename and translates others. It uses particular letters to designate particular types of files and directories. The database uses the first letter of file and directories to indicate the type of file or directory type.

#### Info Server Directories

The database structure recognizes only those directories with names that start with the letter D. When the Info Server receives an HTTP request for a file in the *images* directory, the database manager translates the request and looks in the *Dimages* directory.

The database structure also translates other characters in directory names. It translates the dot (.) character in file names to the zero (0) character. When the Info Server receives a request to go to the directory /../../etc, the database manager translates the request and looks for the directory D/D00/D00/Detc. Because the root directory of the database is actually /usr/local/etc/infodb, the Info Server is actually looking for /usr/local/etc/infodb/D/D00/D00/Detc.

**Note:** The Info Server always looks for files within its own directory tree. It does not and *cannot* move back out of its directory tree to other areas of the systems, as some HTTP or Gopher servers do.

#### Info Server Data Files

The database structure recognizes only those data files that start with the letter A. When the Info Server receives a request for the file *readme*, the database manager translates the request and look for the file *Areadme*. HTTP and Gopher requests both return these files.

The database structure looks for HTTP header files (for HTTP version 1.0) in files that start with H. These contain information about the document, such as content length and encoding, and are sent with each download.

The database structure also limits the characters that can exist in filenames. It translates the dot (.) character in filenames to the zero (0) character. When the Info Server receives a request for the file *latest.gz*, the database manager translates the request and looks for the file *Alatest0gz*.

In many cases, the files that start with A and H are actually symbolic links to the real text or binary file. For example, the file *Alatest0gz* would actually be a symbolic link to *latest.gz*. For text files, the A file is generally a copy of the actual data file with every line terminated with a carriage return/line-feed pair. You do not need to create files specifically for use with the Info Server. You merely need to create symbolic links or copies of the files that the database understands.

#### Info Server Queries and Executable Programs

The database structure recognizes only those query programs and executables with names that start with the letter Q. When the Info Server receives an HTTP request that contains a query, such as animals?dogs, the database manager translates the request and tries to run the program *Qanimals*. The database manager passes all the information after the query marker (? for HTTP requests and a Tab for Gopher requests) to the query program.

The Q files are usually symbolic links to the executable program. For example, the program *Qimagemap* could actually be a symbolic link to *imagemap*.

#### Info Server Gopher Menu Files

When the Info Server receives a request to display a Gopher menu, it instead returns a specific file that contains the list of files that you wish to display for that directory. For example, when the Info Server receives a Gopher request for the menu in a directory, the database manager translates this request and returns a file with a name that begins with G in the current directory. The client displays a menu of files that looks like the list of files you might see with any other Gopher server.

The G files are actual files that contain Gopher menus. You create the files, listing only those files that you wish to appear in the menu. For example, the *Gmenu* file could contain only the list of files that you want anyone to view, even though you have other files in the directory.

# **Accessing Info Server Configuration**

To access the Info Server configuration:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the Info tab.

The Info window displays.

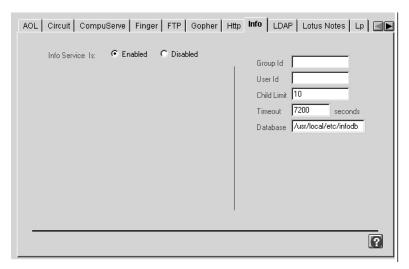


Figure 29-1 Info Window

# Configuring the Firewall to Run an Info Server

Configuring the Gauntlet Firewall to run an Info Server involves planning, creating files, placing files on the firewall, adding files to the database, and enabling the proxy.

#### Planning an Info Server

When planning an Info Server:

- 1. Determine which services (HTTP, or Gopher) you wish to offer.
- 2. Determine who will put the files onto the firewall. Remember that if you want your WWW or Gopher administrator to have access, you need to give them an account on the firewall, which is not recommended. Instead, make arrangements with your WWW or Gopher administrator to periodically transfer files for them.

#### Creating Files for an Info Server

Create your text and executable files as you would for use with any HTTP or Gopher server. You do *not* need to modify references to directories or to executables within your documents.

## Placing Info Server Files on the Firewall

To set up your files for use with the Info Server on the firewall:

- 1. Log into the firewall and become root.
- 2. Create your directory structure under /usr/local/etc/infodb/D.

Prefix each directory with the letter D when you create the directory. For example, if you want to keep all of your pictures in the images directory, use these commands:

- # cd /usr/local/etc/infodb/D
- # mkdir Dimages
- 3. Copy all your files (HTML, text files, executables, and pictures) to the appropriate directory.

## Adding Files to the Info Server Database

This process creates the A and H files for HTML files, the Q files for queries, and so forth. The process differs slightly for text and binary files.

#### Adding Text Files to the Info Server Database

Adding text files to the database creates the necessary A and H files in the database. Use the *addtext* program (/usr/local/etc/infodb/tools/addtext).

To add text files to the database:

1. Create the A and H files with these commands:

addtext file ctfiletype

*file* is the name of the text file. *ctfiletype* is one of the default header file types used to create an HTTP version 1.0 header file, it can be either of these:

- cthtml—HTML text header (default)
- · cttext—Text header

Consult /usr/local/etc/infodb/tools for a list of currently available sample headers. Use these files as templates to create your own header files, if necessary.

2. Repeat this process for every file you wish to have accessible via the Info Server.

#### Adding Binary Files to the Info Server Database

Adding binary files to the database creates the necessary A and H files for images. Use the *addfile* program (/usr/local/etc/infodb/tools/addfile).

To add binary files to the database:

1. Create the A and H files with these commands:

addfile file ctfiletype

*file* is the name of the binary file. *ctfiletype* is one of the default header file types used to create an HTTP version 1.0 header file, and can be one of the following:

- ctavi—AVI movie header
- · ctgif—GIF image header
- cthtml—HTML text header
- ctjpg—JPEG image header
- ctps—PostScript header
- ctqt—QuickTime movie header
- · cttext—Text header
- · ctzip—ZIP header

Consult /usr/local/etc/infodb/tools for a list of currently available sample headers. Use these files as templates to create your own header files, if necessary.

2. Repeat this process for each binary file you want to have accessible via the Info Server.

#### Adding Query Files to the Info Server Database

Adding query files to the database creates the necessary symbolic links for the query file.

To add query files:

- 1. Create the symbolic link:
  - # ln -s file Qfilename
  - *file* is the path and file of the actual query executable. *Qfilename* is the name of the executable prepended with a Q and any periods converted to the zero (0) character.
- 2. Repeat this process for each query file you wish to have accessible via the Info Server.

#### **Creating Info Server Gopher Menu Files**

Creating Gopher menu files actually creates the text file that the Info Server displays when it receives a request for a Gopher menu.

To create Gopher menus:

- 1. Execute the list command and redirect it to a file that starts with G. You may wish to restrict the files that the command displays, so that it looks like a normal Gopher menu. See the *makedirlist* script for examples of redirecting list files to text files for the Info Server.
- 2. Modify the resulting file and add the other standard Gopher menu fields.

#### **Enabling the Info Server**

To enable the Info Server:

- 1. On the Info window, click Enabled.
- 2. Add the Info Server configuration to the service groups you want to use the Info Server.
- Before exiting the Gauntlet Firewall Manager, save and apply your changes.
   The firewall enables the Info Server.

## **Verifying Your Info Server Setup**

Access your Info Server as you would any other HTTP or Gopher server, specifying port 8000 in the URL. Watch the log messages.

# **Using the Info Server**

Advertise your HTTP or Gopher Server to your customers or the world. Be sure to:

 Advertise the outside IP address of the firewall for customers outside your defense perimeter. For example, Yoyodyne tells customer to visit this web site:

http://fire-out.yoyodyne.com:8000/welcome.html

For added security, you should not advertise that the Info Server is running on the firewall. For example, Yoyodyne should create another record in its DNS database indicating that the name www.yoyodyne.com maps to the outside interface of the firewall. This would allow them to use this URL:

http://www.yoyodyne.com:8000/welcome.html

 Advertise the inside IP address of the firewall for your own users. For example, Yoyodyne tells its employees on the inside network to use this URL:

http://10.0.1.100:8000/welcome.html

• Specify which connections should use port 8000 for HTTP and Gopher requests.

# **Updating Info Server Files**

To update files on the Info Server:

- 1. Make changes to your original file, not the file that the Info Server creates.
- 2. Add the file to the database. This replaces the previous version of the file with your new file.

# **Managing Content Scanning**

Your security policy allows users to transfer files from other systems to their systems on the network. They can exchange mail with colleagues, view Web sites, and transfer files from other sites on the Internet. These activities are essential to your business. You want to make sure that the files that come into your network are not infected with viruses. The Gauntlet Firewall includes content scanning as a configurable option for transferring files (via FTP), viewing Web sites (via HTTP), and exchanging e-mail (via SMTP).

This chapter discusses the concepts behind content scanning and explains how it works, how to configure the proxies for content scanning, and how to configure your content scanning engine. The chapter consists of the following sections:

- "Understanding Content Scanning" on page 285
- "How Content Scanning Works" on page 287
- "Accessing Content Scanning Configuration" on page 288
- "Configuring the Firewall to Use Content Scanning" on page 289
- "Configuring the Content Scanner" on page 291

## **Understanding Content Scanning**

Several of the Gauntlet proxies provide content scanning. The content scanning option runs as part of the proxies. You can configure content scanning on the following protocols:

- FTP
- HTTP
- SMTP

Because content scanning is a configurable option in several different proxies, you can configure the firewall to scan transferred files for some hosts and not for others. For example, you could enable content scanning for e-mail only, if you were concerned about the types of files people are sending to your employees.

#### **FTP**

File transfers via FTP can contain threatening contents. You can use the content scanning capability to identify and block these transfers. Scanning can be configured independently for file retrieval (GET) and transmission (PUT). When you enable content scanning, the firewall inspects the file before it delivers the file.

#### **SMTP Mail**

It is important to note that the firewall scans only mail that enters the firewall via SMTP. The firewall does not scan mail that passes through the firewall using the POP3 protocol. Because the POP3 proxy is intended for use from outside to inside only, this is generally not a problem. In this recommended configuration, all of your company's e-mail arrives via SMTP protocol and is scanned at the firewall.

If you configure the POP3 proxy to allow employees on the inside network to connect to POP3 servers on the outside network, you cannot use content scanning on their e-mail.

#### **HTTP**

Web pages can contain malicious content and applications. When you enable content scanning, the firewall scans Web pages before it displays them. It scans files transferred via the HTTP proxy, whether they were retrieved via HTTP or FTP URLs.

**Note:** Even though the Gopher proxy is an implementation of the HTTP proxy, the firewall does not scan Gopher traffic.

## **Content Scanning Engine**

The firewall makes decisions on the data based on information from a content scanning engine running on another system. The types of content for which the firewall scans depends completely on the content scanning engine you are using. Common types of files that these engines can scan include the following:

- · e-mail messages
- attachments to e-mail messages (for example, PostScript documents)
- executable files
- compressed or encoded files (for example, ZIP, UUENCODE, MIME)
- Java applets

Consult the documentation included with your content scanning engine to see what types of files the engine can scan.

## **How Content Scanning Works**

Content scanning is another check that the firewall performs before passing packets from one side of the firewall to the other. When the firewall receives an SMTP, HTTP, or FTP packet, the appropriate proxy service performs the standard source and destination checks. If the proxy is configured to allow requests from the source to the destination, the proxy scans the files.

The proxies use the Content Vectoring Protocol (CVP) to communicate with the content-scanning engine. As it scans the file, the proxy uses the criteria you have set on the content-scanning engine to determine if the file is infected. If the file is not infected, the proxy passes the packet to the destination host just as it would have if content scanning were disabled. If the file is infected, the proxy checks its configuration settings and either discards the infected file or asks the scanning engine to attempt to repair the infected file.

When the proxy discards the infected file, it logs the error and drops the packet. The firewall does provide notification when it blocks a file. When blocking FTP traffic, the firewall provides status messages to the requesting client that notify the user that the firewall blocked the transfer. When blocking SMTP traffic, the firewall logs the attempt and sends e-mail to the recipient configured on the firewall to receive bad e-mail (by

default, root). When blocking HTTP traffic, the firewall provides information to the browser to display a page indicating that the firewall blocked the requested page.

If the proxy is configured to repair infected files, the firewall asks the content engine to provide a repaired file. If the content engine successfully repairs the file, it returns the repaired file. The firewall passes the file to the destination host. If the file is not infected and the proxy is not configured to repair infected files, the firewall passes the file to the destination host.

With the exception of status messages that the firewall displays when it blocks content, content scanning is transparent to the user. Users do not need to change their file transfer, mail, or browsing habits. However, users may see some small delays in both FTP and HTTP traffic, as the content scanning engine must also look at each packet.

# **Accessing Content Scanning Configuration**

You can access content scanning configuration from each of the proxy services that support content scanning.

To access content scanning configuration for mail:

- 1. From within the Gauntlet Firewall Manager, select Environment.
- 2. Click the Mail tab.

The Mail window displays.

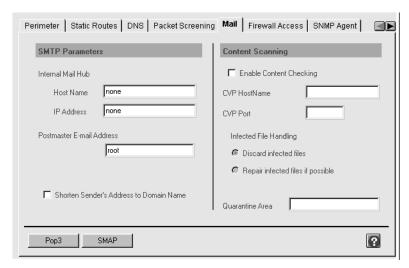


Figure 30-1 Mail Window

To access content scanning configuration for the FTP proxy service:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the FTP tab.
- 3. Select the configuration set for which you want to enable content scanning.
- 4. Click Modify.

To access content scanning configuration for the HTTP proxy service:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the HTTP tab.
- 3. Select the configuration set for which you want to enable content scanning.
- 4. Click Modify.

# **Configuring the Firewall to Use Content Scanning**

Configuring the firewall consists of planning, configuring, and enabling content scanning.

#### Planning the Firewall Configuration for Content Scanning

When planning configuration for content scanning:

- 1. Determine whether you wish to configure content scanning for FTP, HTTP, or e-mail.
- 2. If you plan to hold infected e-mail files in a quarantine area, make sure you have sufficient disk space.

# **Configuring and Enabling Content Scanning**

To configure and enable content scanning:

- 1. On the content scanning configuration page for the service, click *Enable Content Scanning*.
- 2. Provide information about content scanning.

	8
CVP Hostname	IP address or hostname of the system on which the content scanning engine is running.
CVP Port	Port number on which the content scanning engine is running.
Infected File Handling	Specifies what the firewall should do with files that the content scanner reports to be infected.
	If you are using Norton AntiVirus for Firewalls, click <i>Discard Infected Files</i> . When Norton AntiVirus finds a virus type it cannot repair, it replaces the contents of the file with a warning message and marks the file as clean. The firewall believes that the file was repaired and delivers it to the user, and the logs on the firewall state that the transfer was repaired. In reality, the content scanning engine did not repair the file, it replaced the file.
Quarantine Area	Directory where the firewall should place mail messages that the content scanner reports to be infected.

- 3. Enable the proxy service normally. Do not forget to add the service to a service group or create service group rules.
- 4. Before exiting the Gauntlet Firewall Manager, save and apply your changes.

  The firewall enables content scanning the next time you reboot your firewall.

# **Configuring the Content Scanner**

The Gauntlet Firewall uses the Content Vectoring Protocol (CVP) to communicate with content scanning engines. Currently, Symantec Norton AntiVirus for Firewalls is supported.

To configure your firewall:

- 1. If at all possible, install the content scanning engine on a system that is on the same network segment as the firewall, either the trusted or service net. Do *not* place the scanning engine on the outside network.
- 2. When configuring information about the host that will provide content to be scanned (that is, the firewall), enter the IP address of the interface that is closest to the content scanning engine. For example, if the content scanner is on the inside network, enter the IP address of the inside interface of the firewall.
- 3. For each of the protocols that you are scanning, set the scan policy to:
  - · scan all items
  - · accept all items

This ensures that the content-scanning engine looks at all traffic it receives.

# Managing URL Filtering

While there is a wealth of valuable information available on the Internet, not all of it is appropriate at all times and for all purposes. With the Gauntlet Firewall, you have the option of explicitly blocking or allowing specific URLs, and you can also use the Cyber Patrol filtering software from Microsystems Software to block access to objectionable material.

The following sections explain the concepts behind URL filtering and Cyber Patrol, describe how they work, and tell you how to configure them.

- "Understanding URL Filtering" on page 293
- "Configuring URL Filtering" on page 294
- "Understanding Cyber Patrol" on page 297
- · "Configuring Cyber Patrol" on page 299
- "Enabling Cyber Patrol Services" on page 304

#### **Understanding URL Filtering**

URL filtering works in two ways:

- By denying or allowing users inside the firewall access to specified URLs on the Internet
- By filtering out of URL headers specified xurl-encoded characters (usually used to filter information that you do not want the untrusted world to know about)

Both kinds of URL filtering are configured using the URL Filtering window of the HTTP proxy.

#### **Denying and Allowing Access to Specified URLs**

Using the URL Filter Configuration window, you can specify that certain URLs be denied to your users. If a user attempts to access a denied URL, they will receive a message indicating that access to this URL is denied. You can use this feature to deny access to any URL whether or not you are using Cyber Patrol.

If you are using Cyber Patrol, these denied sites are in addition to the sites denied by Cyber Patrol. You can also use the URL Filter Configuration window to allow access to URLs that would otherwise be blocked by Cyber Patrol.

**Note:** Any URL you permit or deny access to using URL filtering takes precedence over Cyber Patrol settings.

#### Filtering URL Headers

For security purposes, you may want to hide from the untrusted world certain xurl-encoded characters that are normally contained in outgoing URL headers.

Consult the HTML RFC or other HTML specification documents for more information about xurl-encoded characters.

# **Configuring URL Filtering**

Configuring URL filtering for your Gauntlet Firewall involves planning, configuring the settings, and enabling the new settings.

# **Planning URL Filtering**

When planning for URL filtering:

- 1. Determine which URLs you for which you wish to deny your users access, and which sites blocked by Cyber Patrol you wish to allow your users to access (if you are using Cyber Patrol).
- 2. Decide what xurl-encoded characters you wish to filter out of outgoing URL headers.

# **Configuring URL Filtering Settings**

To configure URL filtering settings:

- 1. From within the Gauntlet Firewall Manager, select Services.
- 2. Click the HTTP tab.

The HTTP window displays.

3. Click the *Add* button.

The Add HTTP Services window displays.

4. Click the *URL Filtering* button.

The URL Filtering window displays.

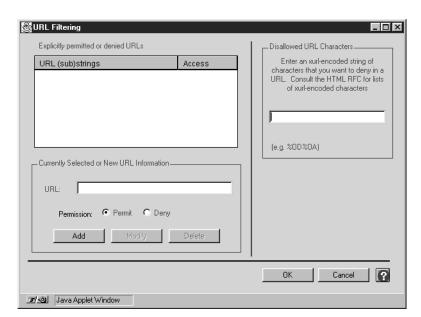


Figure 31-1 URL Filtering Window

To enter a URL to be denied or permitted:

- 1. Enter the entire URL in the URL field.
- 2. Select Permit or Deny from the Permission options.
- 3. Click Add.

The specified URL displays on the list of permitted or denied URLs.

To change the settings of a denied or permitted URL:

- 1. Select the URL whose settings you wish to change from the list of URLs.
- 2. Make the desired changes.
- 3. Click Modify.

To delete a permitted or denied URL:

- 1. Select the URL you want to delete from the list of URLs.
- 2. Click Delete.

The URL disappears from the list of URLs.

To enter disallowed xurl-encoded characters:

- 1. Click in the Disallowed URL Characters field.
- 2. Enter an xurl-encoded string of characters.

#### **Enabling URL Filtering Services**

To enable URL filtering settings:

- 1. On the URL Filtering window, click OK.
- 2. Add the HTTP proxy to the appropriate service groups.
- Before exiting the Gauntlet Firewall Manager, save and apply your changes.
   The firewall enables URL Filtering.

# **Understanding Cyber Patrol**

Cyber Patrol is filtering software from Microsystems Software that blocks access to sites on the Internet. The CyberNOT database, a listing of Web sites, is divided into content categories (for example, Violence/Profanity, Intolerance, and so on).

If a category is active, your users will be denied access to all Web sites (URLs) that are part of that category—unless a specific URL that is part of the category is specifically designated as permitted using the URL Filter Configuration window.

You can make a different set of categories active for work time hours and leisure time hours. This allows you to make categories such as Sports & Entertainment or Search Engines available to your users during leisure time hours.

The CyberNOT database is updated weekly by Microsystems Software. You can download and automatically install the most current version of the CyberNOT database at any time during the 30-day trial period or after you have obtained a valid Cyber Patrol license.

**Note:** To purchase a Cyber Patrol license, contact your local TIS sales representative or dealer, or call 1-888-FIREWALL.

The Cyber Patrol categories are:

- Violence/Profanity—Pictures or text exposing extreme cruelty, or physical or
  emotional acts against any animal or person that are primarily intended to hurt or
  inflict pain. It includes obscene words, phrases, and profanity, defined as text that
  uses, but is not limited to, George Carlin's seven censored words more often than
  once every 50 messages (newsgroups) or once a page (Web sites).
- Partial Nudity & Art—Pictures exposing the female breast or full exposure of either
  male or female buttocks except when exposing genitalia. Excludes all swimsuits,
  including thongs.
- Full Nudity—Pictures exposing any or all portions of the human genitalia. Excluded from the Partial Nudity and Full Nudity categories are sites containing nudity or partial nudity of a wholesome nature; for example, Web sites containing publications such as National Geographic or Smithsonian Magazine; or sites hosted by museums such as the Guggenheim, the Louvre, or the Museum of Modern Art.
- Sexual Acts/Text—Pictures or text exposing anyone or anything involved in explicit sexual acts and/or lewd and lascivious behavior, including masturbation, copulation, pedophilia, and intimacy involving nude or partially nude people in

- heterosexual, bisexual, lesbian, or homosexual encounters. Also includes phone sex ads, dating services, adult personals, CD-ROMs, and videos.
- Gross Depictions/Text—Pictures or descriptive text of anyone or anything that are
  crudely vulgar or grossly deficient in civility or behavior or that show scatological
  impropriety. Includes such depictions as maiming, bloody figures, or indecent
  depiction of bodily functions.
- Intolerance—Pictures or text advocating prejudice or discrimination against any race, color, national origin, religion, disability or handicap, gender, or sexual orientation. Any picture or text that elevates one group over another. Also includes intolerant jokes or slurs.
- Satanic or Cult—Pictures or text advocating devil worship, an affinity for evil, or wickedness, or the advocacy to join a cult. A cult is defined as a closed society headed by a single individual where loyalty is demanded and leaving is punishable.
- **Drugs & Drug Culture**—Pictures or text advocating the illegal use of drugs for entertainment. Includes substances used for other than their primary purpose to alter the individual's state of mind, such as glue sniffing. Does not include currently illegal drugs legally prescribed for medicinal purposes (for example, drugs used to treat glaucoma or cancer).
- Militant/Extremist—Pictures or text advocating extremely aggressive and
  combative behaviors or advocacy of unlawful political measures. Topics include
  groups that advocate violence as a means to achieve their goals. Includes "how to"
  information on weapons making, ammunition making, or the making or use of
  pyrotechnics materials. Also includes the use of weapons for unlawful reasons.
- Sex Education—Pictures or text advocating the proper use of contraceptives. This topic would include condom use, the correct way to wear a condom, and how to put a condom in place. Also included are sites relating to discussion about the use of the Pill, IUDs, and other types of contraceptives. Also includes discussion sites on how to talk to your partner about diseases, pregnancy, and respecting boundaries. Excluded from this category are commercial sites wishing to sell sexual paraphernalia.
- Gambling/Questionable/Illegal—Pictures or text advocating materials or activities of a dubious nature that may be illegal in any or all jurisdictions, such as illegal business schemes, chain letters, copyright infringement, computer hacking, phreaking (using someone's phone lines without permission), and software piracy. Also includes text advocating gambling relating to lotteries, casinos, betting, numbers games, online sports, or financial betting, including non-monetary dares.

- Sports & Entertainment—Internet resources considered appropriate for a leisure setting, but which may be deemed irrelevant, unproductive, or inappropriate to a work environment. Includes content in the following categories: automotive, entertainment (television and radio stations and schedules, and music information such as bands, record companies, and concert schedules), finance (stock reports, investment companies, college financial aid), interactive games, software companies, hobbies (collecting, gardening), newspapers and magazines, real estate and apartment guides, shopping, sports, theater and movies, and vacation planning.
- **Alcohol, Beer, Wine & Tobacco**—Pictures or text advocating the sale, consumption, or production of alcoholic beverages and tobacco products.
- Search Engines—Commercial Web sites used primarily as Internet search engines
  (Yahoo, Lycos, Alta Vista, and Excite, for example). Like the Sports/Leisure
  category, these sites may be deemed irrelevant, unproductive, or inappropriate to a
  work environment.

**Note:** Any online content with more than three instances in 100 messages or any easily accessible pages with graphics or text that fall within the definition of a category puts the source into the category. The categories do not pertain to sites containing opinion or educational material, such as the historical use of marijuana or the circumstances surrounding 1940s anti-Semitic Germany.

# **Configuring Cyber Patrol**

Configuring Cyber Patrol for your Gauntlet Firewall involves planning, configuring the settings, and enabling the new settings.

#### **Planning**

When planning your Cyber Patrol setup:

- 1. Determine whether you wish to use Cyber Patrol filtering.
- 2. Decide which CyberNOT categories you wish to be active and inactive.
- 3. Consider how you would like to define work time hours and leisure time hours.
- 4. Decide whether you want to purchase a Cyber Patrol license.
- 5. Determine whether or not you have the latest CyberNOT database (they are updated weekly).

# **Configuring Cyber Patrol Settings**

To configure Cyber Patrol settings:

- 1. From within the Gauntlet Firewall Manager, select Services.
- Click the HTTP tab.The HTTP window displays.
- Click Add.
   The Add HTTP Services window displays.

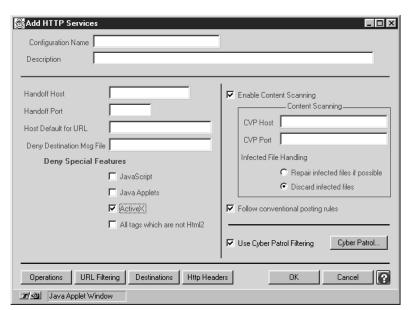


Figure 31-2 Add HTTP Services Window

- 4. Make sure there is a check mark in the Use Cyber Patrol Filtering box.
- 5. Click the *Cyber Patrol* button.

The Cyber Patrol Configuration window displays.

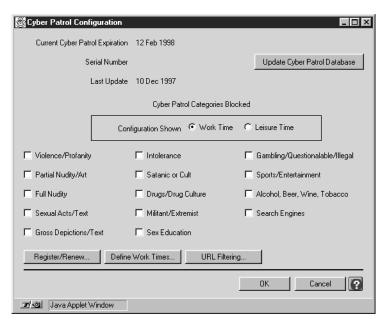


Figure 31-3 Cyber Patrol Configuration Window

To make CyberNOT categories active or inactive for Work or Leisure Time hours:

- 1. From the Configuration Shown options, click Work Time or Leisure Time.
- 2. Put a check mark next to the names of those categories you wish to be blocked for your users; remove the check mark from those categories you wish to be available to your users.

**Note:** You do not need to register your 30-day trial subscription; just start using it.

To register or renew your Cyber Patrol license:

On the Cyber Patrol Configuration window, click Register/Renew.
 The Cyber Patrol Renewal/Registration window displays.



Figure 31-4 Cyber Patrol Renewal/Registration Window

2. To register your Cyber Patrol license, enter your serial number in the Serial Number field and click *Register*.

The registration information at the top of the window displays the updated information.

3. To renew your Cyber Patrol license, enter your unlock code in the Unlock Code field and click *Renew*.

**Note:** If your original serial number isn't in the Serial Number field, you must re-enter it.

A new CyberNOT database is automatically downloaded and installed, and the Cyber Patrol Configuration window displays.

To define work and leisure time hours:

In the Cyber Patrol Configuration window, click *Define Work Times*.
 The Work Time Range Configuration window displays.

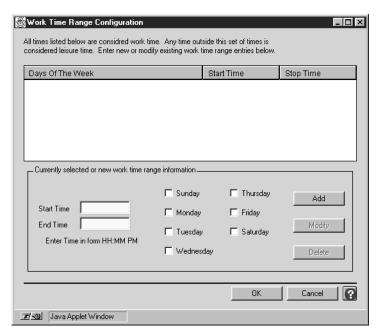


Figure 31-5 Work Time Range Configuration Window

2. Establish work time hours by entering start and end times, selecting the appropriate days, and clicking *Add*.

The time frame you established displays in the list. You do not need to configure leisure time hours; all hours outside of work time hours are considered leisure time hours.

3. Click OK.

The Cyber Patrol Configuration window redisplays.

To update the CyberNOT database with the current version:

1. In the Cyber Patrol Configuration window, click *Update Cyber Patrol Database*. The current CyberNOT database is automatically downloaded and installed.

# **Enabling Cyber Patrol Services**

To enable Cyber Patrol settings:

- 1. In the Cyber Patrol Configuration window, click OK.
- 2. Add the HTTP proxy to the appropriate service groups.
- 3. Before exiting the Gauntlet Firewall Manager, save and apply your changes. The firewall enables Cyber Patrol services.

# Managing the Network Management Agent

Network management and monitoring are crucial in today's increasingly complex and heterogeneous network environment. Swift detection and response to a failing mission-critical networked resource can prevent considerable financial losses. Most of today's network management platforms use the industry-standard Simple Network Management Protocol (SNMP) to communicate with resources being managed. The Gauntlet Firewall includes an SNMP agent that provides a limited amount of information to SNMP managers. The SNMP agent can be used to obtain information about the firewall such as its DNS name and the IP addresses of the firewall's interfaces.

**Note:** The Gauntlet Firewall also includes an SNMP proxy. Refer to Chapter 13, "Managing Network Management Services," on page 121 for more information on the SNMP proxy.

This chapter discusses the concepts behind the SNMP agent, explains how it works, how to configure and start the agent, and lists steps for configuring a selection of network management products to use the SNMP agent with Gauntlet Firewalls. The chapter consists of the following sections:

- "Understanding the SNMP Agent" on page 306
- "Accessing SNMP Agent Configuration" on page 307
- "How the SNMP Agent Works" on page 306
- "Configuring the Firewall as an SNMP Agent" on page 307
- "Configuring SNMP Network Managers" on page 309
- "Understanding SNMP Agent Replies" on page 310

# **Understanding the SNMP Agent**

The SNMP agent is a daemon running on the firewall that accepts queries from SNMP managers. These queries must be SNMP version 1, defined by RFC 1157. You can configure the agent to allow queries from SMNP managers based on:

- source IP address
- source hostname

# **How the SNMP Agent Works**

The firewall runs an instance of the SNMP agent (*snmpd*) as a daemon listening on a configurable port (by default, UDP port 1610). When the agent receives a query, it checks its configuration information and determines whether the initiating host has permission to access the agent. If the initiating host does not have permission to query the agent, the agent logs the attempt and drops the SNMP packet. If the host has permission, the agent responds using standard SNMP responses.

If the manager provides incorrect community information, the agent also logs the request and discards the packet. If the manager requests an unsupported MIB object, the agent provides the appropriate SNMP response.

The agent does not listen on the standard SNMP port (UDP port 161) because the Gauntlet Firewall also includes an SNMP proxy that uses the SNMP port. If you are not using the SNMP proxy, you can run the SNMP agent on the SNMP port.

# **Accessing SNMP Agent Configuration**

To access the SNMP agent configuration:

- 1. From within the Gauntlet Firewall Manager, select *Environment*.
- 2. Click the SNMP Agent tab.

The SNMP Agent window displays.

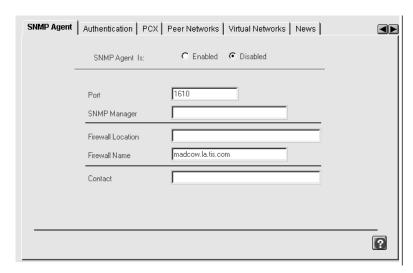


Figure 32-1 SNMP Agent Window

# Configuring the Firewall as an SNMP Agent

Configuring the Gauntlet Firewall involves planning, configuring proxy settings, and enabling the agent.

#### **Planning SNMP Agent Settings**

When planning the SNMP agent settings:

- 1. Determine which SNMP manager should receive the cold start manager sent by the agent. Ensure that you have the IP address of that host.
- 2. Decide which SNMP managers can use the SNMP agent and create the appropriate network groups.

# **Configuring SNMP Agent Settings**

To configure SNMP agent settings, provide information about the agent on the SNMP Agent window:

SNMP Manager IP address of the SNMP manager that can communicate with

the agent on the Gauntlet Firewall.

Firewall Name 
Name of the firewall that the SNMP agent reports to the SNMP

manager. By default, this is the host name of the firewall.

**Note:** Some network management software (such as HP OpenView and CA Unicenter TNG) use the *ping* program to verify that an agent is reachable before they send the actual SNMP request. Such management programs may also try to ping all interfaces on a system. By default, the firewall responds to pings of the directly connected interface, but does not respond to ping traffic for other interfaces. If your network management software uses the *ping* program to ping all interfaces, you may want to add packet screening rules to your firewall. These rules must allow ICMP between the network manager and the other interfaces of the firewall.

#### Enabling the SNMP Agent

To enable the SNMP agent:

- 1. In the SNMP Agent window, click *Enabled*.
- 2. Add the SNMP agent to the service group that controls access to the firewall for the network containing the SNMP network manager.
- Before exiting the Gauntlet Firewall Manager, save and apply your changes.The next time you reboot your firewall, the firewall enables the SNMP agent.

# **Configuring SNMP Network Managers**

To configure your network manager, configure the network manager so that it looks for the agent on the firewall on UDP port 1610 instead of 161.

#### **CA Unicenter Configuration Package**

The Gauntlet Firewall includes a configuration package to integrate Gauntlet Firewall management with CA Unicenter. This package provides CA Unicenter characteristics about Gauntlet Firewalls that enables CA Unicenter to display and distinguish discovered Gauntlet Firewalls. You can install and use this configuration package with versions of CA Unicenter running on Windows NT.

For information on installing and configuring this package under CA Unicenter, refer to the *readme* file on your Gauntlet Firewall CD-ROM.

Operating System Location

Windows NT /cdrom/unicenter/readme.txt

#### **HP OpenView**

The Gauntlet Firewall includes a configuration package to integrate Gauntlet Firewall management with HP OpenView Network Node Manager (NNM). This package provides NNM characteristics to Gauntlet Firewalls that enables the Network Node Manager to display and distinguish Gauntlet Firewalls. You can install and use this integration package with versions of NNM running on HP/UX or Solaris.

For information on installing and configuring this package on your NNM, refer to the *readme* file on your Gauntlet Firewall CD-ROM.

Operating System Location

BSD/OS /cdrom/NNM

Solaris /cdrom/gfw40/NNM

# **Understanding SNMP Agent Replies**

The Gauntlet Firewall uses standard SNMP responses. Refer to your SNMP documentation for more detailed explanations. This section lists the types of responses the Gauntlet Firewall agent provides.

#### **SNMP Agent and Management Information Base**

The SNMP agent currently supports a small subset of the management information base (MIB) defined in RFC 1213 (MIB-II). The agent provides the following MIB values:

- all the mib-2.system values
- all the mib-2.snmp values
- mib-2.interfaces.ifNumber
- mib-2.ip.ipAddrTable values

All of the values that the agent supports are read-only values. An SNMP manager cannot set these values using SNMP. Some of the mib-2.system values are configurable through the Gauntlet Firewall Manager. The agent provides all of the supported values regardless of the community the agent is in.

#### **SNMP Agent Community**

The agent can be a member of the following communities:

- public
- private
- regional
- proxy
- core

# **SNMP Agent Object ID**

The value provided for the mib-2.system.sysOjectID object depends on the operating system and the hardware on which the Gauntlet Firewall is running.

 Table 32-1
 Object ID Under Different Operating Systems

Operating System	Hardware	ObjectID
Windows NT Server	Intel	.1.3.6.1.4.1.602.1.1.1.1.1
BSD/OS	Intel	.1.3.6.1.4.1.602.1.1.1.2.1
Solaris	Sparc	.1.3.6.1.4.1.602.1.1.1.3.1
HP-UX	RISC	.1.3.6.1.4.1.602.1.1.1.4.1
IRIX	Indy	.1.3.6.1.4.1.602.1.1.1.5.1

#### Trap

The Gauntlet Firewall sends only one trap, the cold start trap.

# Login Shell

You need to log into the firewall occasionally to manage it. You may log in directly at the console or remotely via TELNET or Rlogin. Occasionally, you may also need to send files to or from the firewall using FTP. Whenever you access the firewall remotely, you are sending your password (and your root password) in the clear across your internal network to the firewall. While you would like to believe that this is secure, you want to be prudent.

One way of protecting your password is to log into the firewall using some form of strong authentication that uses one-time passwords or time-based responses. The login shell program included with the Gauntlet firewall allows you to use the same strong authentication scheme for logging into the firewall itself as you do for activity between opposite sides of your security perimeter.

This chapter discusses the concepts behind the login shell program and explains how it works, how to configure the program, and how to use it. The chapter consists of the following sections:

- "Understanding the Login Shell Program" on page 313
- "How the Login Shell Program Works" on page 314
- "Configuring the Firewall to Use the Login Shell Program" on page 314
- "Using the Login Shell Program" on page 317

# **Understanding the Login Shell Program**

The login shell program is a wrapper program that authenticates the user (using strong authentication) before passing control to the real login shell. It provides authentication and logging.

# **How the Login Shell Program Works**

A user logs into the firewall via the console, TELNET, or *rlogin*. This calls the standard login program to process the login. The login program asks for a user name. The login program reads the */etc/passwd* file and determines that this user does not require a password (because the password field is empty). It then passes the information to the program specified in the shell field, the login shell program (*/usr/etc/login-sh*).

The login shell program prompts the user for the appropriate response for their authentication method (S/Key password, and so on). The login shell program checks its configuration information (in the *netperm* table). It authenticates the user using the authentication server specified in the netperm table. The login shell program then reads the shell file configuration file (by default, /usr/local/etc/login-shellfile). It passes the login information to the executable specified for the user in the shell configuration file, which is normally the user's shell. The user is now logged into the firewall and ready to work.

The standard FTP daemon does not use */login*, so it will not invoke the login shell program for authentication. This is not usually a problem, because running the standard FTP daemon on the firewall is strongly discouraged.

# Configuring the Firewall to Use the Login Shell Program

Configuring the Gauntlet Firewall involves planning, enabling remote login, creating user accounts, configuring the proxy to enforce your policy, and securing other applications.

# **Planning Remote Login**

When planning for remote login, determine which users you want to allow to access the firewall remotely.

#### **Enabling Remote Login**

You must configure the firewall to allow remote login from other hosts.

Use the Gauntlet administration tools to turn on remote login to allow the firewall to run the TELNET daemon.

#### Adding Support for the Login Shell

You must add support for the login shell so that the operating system recognizes the login shell as a valid shell.

To add support for the login shell, edit /etc/shells and add a line indicating the location and path of the login shell:

/usr/etc/login-sh

# **Creating User Accounts**

To create user accounts:

1. Create or modify the user account on the firewall. Use *vipw* or another account creation program.

scooter::518:10:Scooter Lindley:/home/scooter:/usr/etc/login-sh

- Leave the password empty, because the login shell uses your strong authentication information.
- If you include a password, you are prompted to authenticate twice: once for the information you enter here, and once for your strong authentication information.
- 2. Specify *login-sh* as the shell.
- 3. Create the user's home directory, if necessary:

mkdir /home/scooter

4. Add the user to the appropriate group so that they can become root is possible by editing /etc/groups.

#### **Configuring the Proxy Rules**

If you are running the Gauntlet Firewall default configuration, you do not need to modify configuration rules for the login shell. If you have chosen a different authentication server or a different location for your shell file information, you must modify <code>/usr/local/etc/netperm-table</code> to reflect your configuration. Refer to the <code>Gauntlet</code> <code>Netperm Table</code> Reference <code>Guide</code> for more information about modifying the <code>netperm</code> table.

#### **Configuring the Shell**

You must provide information for each user indicating their final (real) shell. After the login shell authenticates the user, it starts the user's final shell.

To configure the shell, edit the *shellfile* file (/usr/local/etc/login-shellfile) and add information about the final shell for that user:

#### username executable parameters

username Same user name that you specified when you created the user's account

on the firewall.

executable Name of the executable that the login program executes after

authenticating the user. This is typically the user's shell.

parameters Parameters to the executable program. The first parameter is typically a

hyphen (-) and the shell name (csh, ksh, and so on).

#### For example:

scooter /usr/bin/tcsh -tcsh

#### **Creating User Authentication Records**

To create the record in the authentication database:

- Use the authentication management system to create authentication user entries for all users who will use the login shell on the firewall.
- Use the same user name that you specified when you created the user on the firewall.

# **Securing Other Applications**

To secure other applications:

1. Disable programs (such as *chsh*) that allow users to change their shells. Either remove the executable or change the file permissions to 700:

```
chmod 700 chsh
```

Create accounts on the firewall for only those people who need to administer the firewall. They will all have access to the root password. Changing file permissions will not prevent them from changing their shell. If you are creating accounts for other users on the firewall (which is not recommended), changing file permissions will prevent them from changing their shell.

2. Verify that the *su* command is not aliased to *su* -*m* in your account (*.cshrc*, *.login*, and so on) on the firewall. The -**m** option attempts to retain the current environment. This causes your login shell (in this case, *login-sh*) to be executed by user root. Because there is no entry for root in the *login-shellfile*, *su* -*m* does not work.

#### **Verifying Your Setup**

Verify your installation by TELNETing to the firewall and connecting to the firewall itself. Connect to the firewall directly:

```
tn-gw-> c localhost
```

After you enter your user name, you are prompted for your strong authentication information.

# **Using the Login Shell Program**

Using the login shell program can mean accessing the firewall from trusted or untrusted networks.

# Accessing the Firewall From Trusted Networks

Log into the firewall (via the console, TELNET, or rlogin) as you did before. After you enter your user name, you are prompted for the response or password specified for your authentication scheme. Become root (via *su*) to do work as needed.

# **Accessing the Firewall From Untrusted Networks**

Connect to the firewall as you did before, providing your strong authentication information to connect to the proxy. If you log into the firewall itself, you will need to authenticate again.

Logging into the firewall as root from an untrusted network is strongly discouraged. When you log in as root, you are sending your password across the untrusted network, making it vulnerable to detection.

# **Changing Password for User Account**

When you are using the login shell, the password is actually the strong authentication password, not the standard IRIX password.

Do not use the *passwd* or *chpass* programs on your IRIX system. To change your password, you must follow the instructions for changing your strong authentication information.

If you use the *passwd* or *chpass* programs, you will create an IRIX password. You will then need to provide both your IRIX password and your strong authentication information when you log into the firewall.

# PART SIX

# **Appendices, Glossary, and Index**

# Appendix A

Installing and Upgrading to Gauntlet 4.1

Appendix B

Initializing Strong Authentication Tokens

Glossary

Index

# Installing and Upgrading to Gauntlet 4.1

This appendix provides implementation-specific information on installing and upgrading to Gauntlet 4.1. This information supplements the Personal System Administration Guide and the Software Installation Administrator's Guide, which are both available online.

The appendix explains installation and upgrade in the following sections:

- "Gauntlet Execution Environment Subsystems" on page 322
- "Prerequisites for Installing Gauntlet" on page 323
- "Files In This Release" on page 326
- "Activating Your Gauntlet License" on page 327
- "Gauntlet Configuration" on page 326
- "Obtaining and Installing a Software License" on page 328
- "Upgrading to Gauntlet 4.1" on page 330

**Note:** If you are upgrading to Gauntlet 4.1 from a previous version of Gauntlet, you can, follow the same instructions as someone installing Gauntlet for the first time. At the end, install the license shipped with Gauntlet 4.1. Your previous license will not work.

# **Gauntlet Execution Environment Subsystems**

Gauntlet Execution Environment includes these subsystems:

 Table A-1
 Gauntlet Execution Environment Subsystems

Subsystem	Description
gauntlet_eoe.books.Gauntlet_AG	Gauntlet for IRIX Administrator's Guide.
gauntlet_eoe.books.Gauntlet_NG	Gauntlet for IRIX Netperm Table Reference Guide.
gauntlet_eoe.man.gauntlet	On-line manual pages for some Gauntlet components.
gauntlet_eoe.man.relnotes	Gauntlet release notes.
gauntlet_eoe.sw.ace	Software support for Security Dynamics ACE authentication server.
gauntlet_eoe.sw.apop	Software support for APOP (mail) user authentication.
gauntlet_eoe.sw.ccard	Software support for CRYPTOCard user authentication.
gauntlet_eoe.sw.gauntlet	Base Gauntlet software, required.
gauntlet_eoe.sw.gui	Gauntlet Firewall Manager software, required.
gauntlet_eoe.sw.mdauth	Software support for MD5 user authentication.
gauntlet_eoe.sw.safeword	Software support for Secure Computing SafeWord authentication server.
gauntlet_eoe.sw.skey	Software support for S/Key user authentication.
gauntlet_eoe.sw.vasco	Software support for VASCO Access Key II user authentication.
gauntlet_eoe.sw.radius	Software support for RADIUS authentication.
gauntlet_eoe.sw.digipass	Software support for Digipass authentication.

The Gauntlet distribution media also includes and some patches that are necessary for Gauntlet operation. The U.S. Domestic version also includes the gauntlet\_encrypt subsystems. The patches and the gauntlet\_encrypt subsystems have their own release notes.

# **Gauntlet Disk Space Requirements**

This section lists the subsystems of the Gauntlet Execution Environment and their sizes.

**Note:** The listed subsystem sizes are approximate. See the IRIS Software Installation Guide for information on finding exact sizes.

 Table A-2
 Gauntlet Subsystem Sizes

Subsystem Name	Subsystem Size (kilobytes)	
gauntlet_eoe.man.gauntlet	256	
gauntlet_eoe.sw.ace	300	
gauntlet_eoe.sw.apop	292	
gauntlet_eoe.sw.ccard	452	
gauntlet_eoe.sw.gauntlet	6828	
gauntlet_eoe.sw.gui	4064	
gauntlet_eoe.sw.mdauth	324	
gauntlet_eoe.sw.safeword	168	
gauntlet_eoe.sw.skey	500	
gauntlet_eoe.sw.vasco	440	

# **Prerequisites for Installing Gauntlet**

Users of Gauntlet for IRIX have to have a software license and meet certain software prerequisites listed in this section.

#### **Software License**

Before you start to install Gauntlet 4.1, obtain a software license for the product. See "Activating Your Gauntlet License" on page 327 for more information.

#### **IRIX Software Prerequisites**

Your Silicon Graphics system must be running IRIX release 6.2, 6.3, 6.4, or 6.5 and various software subsystems that are part of the IRIX system must be installed on your system before you can run Gauntlet software.

To check your IRIX release level:

- 1. From the Desktop toolchest, choose Desktop> Unix Shell.
- 2. Type: uname -r

This returns the operating system version.

3. To check whether the ipgate and named subsystems are installed type:

```
versions eoe.sw.ipgate eoe.sw.named
```

If these subsystems are installed, you will see these lines:

(Notice the "I" (installed) at the beginning of each line.)

If these subsystems are not installed, follow the IRIX installation instructions to install them on your system before continuing.

#### Installing the Software

All Gauntlet subsystems can be installed while your system is running. These installation instructions give an overview of how to install the software from a CD-ROM drive that is connected directly to your system. To install the software from a remote CD-ROM drive, or for more detailed information, see the online InSight administrator manuals.

To install the Gauntlet Execution Environment, follow these steps:

- 1. Log in as root, as follows.
  - In a shell window, type login root
  - Provide the root password if required.

- 2. Insert the Gauntlet 4.1 CD-ROM into your CD-ROM drive.
- 3. In the shell window, type:

```
inst -f /CDROM/dist
```

4. At the inst> prompt, type:

```
inst> install default
inst> install patch*
inst> go
```

You may see messages from inst(1M) that indicate that it cannot proceed because of conflicts. Some patch files included on the Gauntlet 4.1 CD-ROM may include updates to IRIX software subsystems which you do not have installed. You can safely resolve such conflicts by choosing to not install that part of that patch using the conflicts command.

Below is an example of the kind of conflict which can safely be resolved. Note that the conflict in this example is that the base subsystem is not installed:

```
Patch patchSG0000639.eoel_sw.svr4net does not have base subsystem eoel.sw.svr4net version 1021572036 to 1029999900 installed la. Do not install patchSG0000639.eoel_sw.svr4net (1029999906)
```

To resolve the above conflict, you would type at the inst> command line:

```
inst> conflicts 1a
```

See the *Software Installation Administrator's Guide* for help with other conflicts. After all conflicts are resolved, type go at the >inst prompt to try again.

The software is installed when you see this message:

```
Installation and/or removal succeeded. You can insert another tape or CD-ROM now. Type "quit" if you are ready to leave the installation tool.
```

5. At the inst> prompt, type:

```
inst> quit
```

You may see some exit messages.

6. Reboot your system.

The new software will be used.

7. After you've installed the software, you have to configure it. See "Configuring the Firewall" on page 19 for more information.

#### Files In This Release

To find out exactly which files were installed, use the showfiles (1M) command. To see all the files that were installed as part of the Gauntlet Execution Environment, type:

```
showfiles gauntlet_eoe
```

If you would like to see files of specific subsystems, for example, what files were installed for S/Key support, call showfiles(1M) with the subsystem, as follows:

```
showfiles gauntlet_eoe.sw.skey
```

See Table A-1 for a list of all subsystems.

To find out which files would be installed before installing the Gauntlet Execution Environment subsystems, follow these steps:

1. In a shell window, type

```
#inst -f /CDROM/dist
```

2. At the inst> prompt, type:

```
inst> admin At the admin> prompt, type:
```

```
admin > files gauntlet_eoe
```

- 3. To exit
  - At the admin> prompt, type return.
  - At the inst> prompt, type quit

### **Gauntlet Configuration**

You must configure Gauntlet before it works correctly. Gauntlet provides a text-based interface to help you configure your firewall for management via the Web. To get started, run the /usr/local/etc/gauntlet-admin command. When you finish setting up your firewall, reboot your machine. You can continue configurations using the Web-based Gauntlet Firewall Manager located at http://your\_host:8080/auth/gui.html.

"Upgrading to Gauntlet 4.1" on page 330 explains how to use the upgrade tool to automatically change most configuration information.

## **Activating Your Gauntlet License**

Your copy of Gauntlet for IRIX requires a software license in order to operate. This chapter defines some important software licensing terms, describes the basic procedure for obtaining, installing, and testing a software license for Gauntlet for IRIX, and lists addresses and phone numbers for contacting Silicon Graphics License Administration.

For more information about software licenses, see the *FLEXIm User's Guide*, which provides detailed information on using and administering software licenses. It is included in the system software documentation; the online version is in the subsystem license\_eoe.books.FlexLM\_UG.

#### **A Few Definitions**

A software license is a collection of information that, after installation, allows you to use a licensed software product on one or more systems. Software license information includes license type, license expiration date, a license password, system hostname, and host ID number (lmhostid), and additional information concerning the license and licensed software.

You can find the host name using the command <code>/sbin/uname-n</code>, and the host ID number using the command <code>/usr/sbin/lmhostid</code>. The license must be installed on the system that has the host name included in the software license information. If the host ID in the license is "ANY", the software license can be installed on any system.

There are two types of software licenses, node-locked and concurrent:

- *node-locked*—A node-locked license is installed on a particular system (node) and allows the licensed software to run on that system.
- concurrent—A concurrent license allows the licensed software to run on one or more
  systems in the network simultaneously. The number of systems (nodes) allowed is
  included in the software license information. The system on which a concurrent
  license is installed must be configured as a license server. (See Chapter 1 of the
  FLEXIm User's Guide for more information about license servers.)

There are two durations of software licenses, temporary and permanent:

- *temporary*—A temporary license allows you to use the licensed software for a short period, typically a week to several months. The expiration date tells you the last date on which you can use the licensed software. Temporary licenses are often shipped with software so that you can use the software before a permanent license is issued.
- *permanent*—A permanent license allows you to use this release of the licensed software for a long time. Permanent licenses are issued only for software that has been purchased.

## **Obtaining and Installing a Software License**

To obtain and install a software license, follow these steps:

- 1. Check whether you have received software license information.
  - Software license information is distributed in several ways: on labels attached to a Software License Registration card, on sheets of paper included with the product, or by mail, FAX, electronic mail, or via the World Wide Web.
- 2. Determine if you need to install a software license.

You may or may not need to install a software license for Gauntlet for IRIX:

- If you are updating your system to this release of Gauntlet for IRIX, you need to install a new license at this time.
- If you have received both a temporary license and a permanent software license, install the permanent license; do not install the temporary license.
- If you have received a permanent license, you should install it because it enables you to use the software that you have purchased.
- If concurrent licenses are used at your site and you plan to use an already-installed license, you can install and use the licensed software on your system without installing a license.
- If you have received a temporary software license but do not need to use the software immediately, you may choose to wait to install a license until you obtain the permanent license.

3. Request a software license if you don't have a software license at all, or if you have a temporary license and need a permanent license.

To obtain a software license, fill out the Software License Registration card that was included with the software (or the replica in the FLEXIm User's Guide). Send the information or the card by electronic mail (preferred), FAX, or mail to Silicon Graphics License Administration or your local service provider. After your request is received by Silicon Graphics or your local service provider, you should receive a software license within two business days.

4. Identify the system on which you will install the software license.

Because software license information usually must be installed on a particular system, follow these guidelines:

- Use the /sbin/uname -n command to identify the system on which the license is intended to be used.
- If the sysinfo is "ANY," you can install the license on any system you choose.
- If the host name included with the software license information doesn't match the host name of the system on which you want to install the license, contact Silicon Graphics License Administration.
- 5. Install the software license (temporary or permanent).

Check the license type listed in the software license information to find out whether the license is a node-locked license or a concurrent license. The installation procedure depends on the license type:

- If you are installing a node-locked license, use the LicenseManager(1M) tool. You can bring up the tool by choosing "License Manager" from the System toolchest.
- If the license is a concurrent license, you may need to configure the system on which you plan to install the license as a license server. (See Chapter 1 of the FLEXIm User's Guide for more information about license servers.)
- 6. Verify that the software license has been successfully installed.

If the software license is not working, running the command /etc/init.d/gauntlet start will result in a number of warnings about missing licenses.

**Note:** If you installed a temporary license and you are entitled to a permanent license, replace the temporary license with a permanent license as soon as possible to ensure uninterrupted use of Gauntlet for IRIX.

## **Upgrading to Gauntlet 4.1**

If you are upgrading to Gauntlet 4.1 from a previous version of Gauntlet, you can for the most part, follow the same installation instructions as a new user (see "Installing the Software" on page 324.)

However, the file formats used by Gauntlet 4.1 are in some cases quite different from those used by Gauntlet 3.2. This means that your old configuration files will not work with your new version of Gauntlet.

Gauntlet 4.1 for IRIX provides an upgrade program, /usr/local/etc/gauntlet-upgrade, which translates your old configuration files into the new formats wherever possible. This helps you avoid reentering all your configuration data,

### **Upgrade Instructions**

To use the upgrade program:

- 1. Log into your Gauntlet firewall as root.
- 2. Enter the command:

/usr/local/etc/gauntlet-upgrade

- 3. The *gauntlet-upgrade* program will inform you of its progress as it translates your files, concluding with the word "Done".
- 4. You are now ready to set up your firewall using the text-based gauntlet-admin interface, as described in previous chapters.

### **How the Upgrade Program Works**

The *gauntlet-upgrade* programs performs the following functions:

- Translates data stored by the Gauntlet 3.2 administrative interface to formats readable by the Gauntlet 4.1 Java-based Firewall Manager.
  - Variables previously stored in /usr/gauntlet/cgi-data/\*.g are now stored in /usr/local/etc/mgmt/gauntlet.conf.
- Copies files which are in new locations under Gauntlet 4.1, including the authorization database, info server database and integrity verification files.

- Translates Gauntlet 3.2 trusted and untrusted network configurations to Gauntlet 4.1 format.
- Translates Gauntlet 3.2 explicit routing setup to Gauntlet 4.1 static routes.
- Converts swIPe and PC Extender configuration files.
- Converts plug gateway configuration files.

### **Upgrade Considerations**

Keep in mind the following issues when using the *gauntlet-upgrade* program:

- Run *gauntlet-upgrade* immediately after you have installed Gauntlet 4.1, but before you have configured your firewall using *gauntlet-admin*. Changes made by *gauntlet-admin* may be lost if it is run before installation.
- Run gauntlet-upgrade only be run once.
- gauntlet-upgrade replicates your Gauntlet 3.2 configuration whenever possible. However, in some cases an automatic upgrade may not be feasible. When using the Gauntlet 4.1 Firewall Manager GUI for the first time, you carefully check each aspect of your configuration and make updates where needed.
- *gauntlet-upgrade* attempts to upgrade all aspects of your firewall which are configurable through the Gauntlet 3.2 administrative interface.
- Manual changes to the Netperm table are not automatically upgraded; you have to make these changes through the Gauntlet 4.1 Firewall Manager or by manually editing /usr/local/etc/netperm-table.
- Manual changes to ipfilterd rules are not automatically upgraded; you have to copy your changes to /usr/local/etc/mgmt/template.ipfilterd.conf.

# **Initializing Strong Authentication Tokens**

The Gauntlet Firewall supports several different strong authentication systems. The steps to create users is slightly different for each system.

The following sections explain how to configure the authentication systems supported by the Gauntlet Firewall:

- "Access Key II Authentication" on page 333
- "Digipass Authentication" on page 341
- "SafeWord Authentication Server" on page 344
- "SecurID System Authentication" on page 348
- "S/Key System" on page 353
- "RADIUS Authentication" on page 358
- "Reusable Passwords" on page 362

This appendix also explains how to configure your Gauntlet Firewall to use these systems. Refer to Chapter 6, "Users and User Groups," on page 57 for an explanation of how the Gauntlet Firewall user authentication system works.

## **Access Key II Authentication**

Your Access Key system includes a set of hand-held authenticators that you can use for authenticating to the Gauntlet Firewall.

#### **How Access Key II Authentication Works**

The Access Key II system, from VASCO Data Security, uses a random challenge password. When the firewall prompts for authentication, Access Key II provides a challenge. The user enters a PIN (if one is required) and the challenge into the Access Key II. The Access Key II responds with a password. The user enters this value at the Gauntlet prompt, and the Gauntlet authentication server verifies this value.

### Configuring the Access Key II

To configure the Access Key II:

- 1. Create a key for the Access Key II according to the documentation included with the key.
- 2. This creates a file (*keyfile.log*) that contains the key. Place this file into a location accessible from the firewall.

### Adding an Access Key II User

To add users, log into the firewall console or use TELNET to log in remotely.

To add an Access Key II user:

- 1. Log into the firewall and become root.
- 2. Copy the Access Key II keyfile (*keyfile.log*) to a temporary directory (such as /tmp/vasco) on the firewall.
- 3. Load the key information into the user authentication management system using the key initialization tool (/usr/local/etc/vasco\_init):

```
# cd /usr/local/etc
# ./vasco_init /tmp/vasco/keyfile.log
```

This tool creates a user in the authentication management system and loads the key for this user. It creates the user name by prepending the letter i to the serial number for that Access Key II. The user is initially disabled.

The key initialization tool reads only the first record in the file. If you need to create multiple Access Key II users, consider writing a script to create individual key files and run the key initialization tool.

4. Make a note of the user name that the initialization program displays so you can change it to something easier for the user to remember.

```
Record loaded for user: i2-0005899-4
```

5. Use the Authentication Manager tool to change the name of the user to something easier to remember:

```
# /usr/etc/authmgr
authmgr-> rename i2-0005899-4 jnolan 'John Nolan'
```

6. Enable the new user:

```
authmgr-> enable jnolan
```

- 7. Make the information active by exiting the authentication manager.
- 8. Provide the user with their Access Key II and user name.

### **Using Access Key II With the Gauntlet Firewall**

This section first lists the steps for authenticating with Access Key II, then provides an example.

#### **Authenticating With Access Key II**

To authenticate to the Gauntlet Firewall using Access Key II:

1. Access a proxy that requires authentication:

```
telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'
```

2. Enter your user name:

Username: jnolan

3. Note the challenge the proxy displays:

```
Challenge 9683-5263:
```

- 4. On the Access Key II, press P. Note that the Access Key II displays 0000.
- 5. On the Access Key II, enter your PIN to enable the Access Key II.
- 6. On the Access Key II, enter the challenge that the proxy displays (without the dash). Note that the proxy displays a response:

```
9683-5263
```

7. At the response prompt, on your keyboard enter the response that the Access Key II displays (with or without the dash) and press Enter.

```
Challenge: 9683-5263 eh5ce3
```

#### **Access Key II Example**

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall.

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jnolan
Challenge: 9683-5263 eh5ce3
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jnolan
Password: guess#me$now
                          (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

### **CRYPTOCard RB-I**

Your CRYPTOCard RB-I system includes a set of hand-held authenticators (called CRYPTOCards) that you can use for authenticating to the Gauntlet Firewall.

#### **How It Works**

The CRYPTOCard system uses a shared-secret key. You enter the key into the CRYPTOCard when you initialize it, and into the Gauntlet authorization database when you configure that user. When the proxies want to authenticate, they ask the authentication server. The authentication server uses the shared secret to generate a challenge, which the proxy displays. You enter the challenge into your CRYPTOCard, which uses the shared secret to create a response. You enter the response at the prompt. The proxy passes it back to the authentication server, which compares what you entered with what it expected and allows or denies access.

### Configuring the CRYPTOCard

Configuring the CRYPTOCard involves generating a shared secret and initializing the CRYPTOCard.

### **Generating the Shared Secret**

To generate the shared secret:

- 1. Log in to the firewall and become root.
- 2. Run the key program that generates random keys for CRYPTOCards (/usr/local/etc/ccardkey):

```
# cd /usr/local/etc
# ./ccardkey
```

3. Enter a random seed string and press Enter, as in this example:

```
Enter a line of random text as a seed: alid oe02 I -01 [2qpqdk 9 Use any random set of nonsense words or characters.
```

4. Make note of the set of eight three-character groups (the shared secret) and the checksum that the program displays (you need these to configure the CRYPTOCard and the authentication database), as in this example:

```
Enter into CRYPTOCard:044 346 000 315 035 171 045 011 Checksum: 412-7559
```

### Initializing the CRYPTOCard

To initialize the CRYPTOCard:

- 1. Turn on the brand new, unprogrammed unit.
- 2. Within half a second enter 225371 and press Enter. The display shows locked.
- 3. Press Enter. The display shows Options?
- 4. Enter 111 and press the right arrow key to set the Pin Entry Feedback to on, Decimal Display to on, and Telephone Display to on (consult your CRYPTOCard documentation for other options).
- 5. Enter 003 and press the right arrow key to set the UserID to none, Tries to unlimited, and Minimum PIN Length to 3 (consult your CRYPTOCard documentation for other options).

- 6. Enter oo1 and press the right arrow key to set the TimeOut Length to 30 seconds, Language choice to English, and Number of Keys to one (consult your CRYPTOCard documentation for other options).
- 7. Press Enter. The display shows Key1?
- 8. Enter the first group of three characters from the shared secret and press the right arrow key.
- 9. Enter the second through eighth set of characters, pressing the right arrow key after each set.

The display goes blank after the last set.

- 10. Press Enter. The display shows a number (the checksum).
- 11. Compare the checksum the CRYPTOCard created with the one the key initialization program on the firewall created.
  - If the checksums match, press Enter.
  - If the checksums *do not* match, press clear (note that display shows Key1?) and then reenter the shared secret. The display shows New PIN?
- 12. Enter a three- to eight-digit PIN and press Enter. The display shows Verify.
- 13. Enter the three- to eight-digit PIN again and press Enter. The display shows Card OK.

Make note of the PIN you entered so that the user can change the PIN once you give them the unit.

### Adding a CRYPTOCard User

Use the Gauntlet Firewall Manager to add users.

To add a CRYPTOCard user:

1. From the Users tab, click Add.

The Add User window displays.

2. Provide information about the user.

User ID User name. Remember that this user ID does *not* need to match

any other user IDs for this user.

Name Descriptive information about this user.

Status Select Enabled to activate the account.

Group If you want to make this user a member of a group, select the

name of the group.

Authentication Method Select CRYPTOCard as the authentication method.

Password Eight three-character groups (shared secret), including spaces,

you used for the CRYPTOCard:Passwd: 044 346 000 315 035 171

045 011The display uses XXXs to hide the password

Verification The same eight three-character groups, including spaces, that

you entered as the password.

3. Click OK to make your changes take effect.

4. Provide the user with their user name.

#### Using the CRYPTOCard with the Gauntlet Firewall

This section discusses using the CRYPTOCard in the following sections:

- "Using the CRYPTOCard for the First Time" on page 339
- "Authenticating With CRYPTOCard" on page 340
- "Changing Your CRYPTOCard PIN" on page 340
- "CRYPTOCard Example" on page 341

### Using the CRYPTOCard for the First Time

To use the CRYPTOCard for the first time:

- 1. Turn on the CRYPTOCard. Note that the display shows PIN?
- 2. Enter your PIN and press Enter. Note that the display shows New Pin?
- 3. Enter a new three to eight digit PIN and press Enter. Note that the display shows Verify.
- 4. Enter the new three to eight digit PIN again and press Enter. Note that the display shows Ready.

#### **Authenticating With CRYPTOCard**

To authenticate to the Gauntlet firewall using a CRYPTOCard:

1. Access a proxy that requires authentication.

```
telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'
```

2. Enter your user name.

```
Username: jbigboot
```

3. Note the challenge the proxy displays:

```
Challenge 817-8618:
```

- 4. Turn the CRYPTOCard on.
- 5. On the CRYPTOCard, enter your PIN and press **Enter**. Note that the display shows Ready.
- 6. On the CRYPTOCard, press Enter then enter the challenge the proxy displays (without the dash) and press Enter. Note that the proxy displays a response:

```
195-3454
```

At the response prompt, enter the response the CRYPTOCard displays (with or without the dash) and press Enter.

```
Challenge 817-8618: 195-3454
```

#### **Changing Your CRYPTOCard PIN**

Consult your CRYPTOCard documentation for information on changing the PIN on a CRYPTOCard. You do not need to make any changes to the Gauntlet Firewall authentication database when you change the PIN on an CRYPTOCard.

#### **CRYPTOCard Example**

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall.

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jbigboot
Challenge: 817-8618: 195-3454
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jbigboot
Password: guess#me$now
                           (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

## **Digipass Authentication**

Your Digipass system includes a set of hand-held authenticators (called Digipasses) that you can use for authenticating to the Gauntlet Firewall.

### **How Digipass Authentication Works**

The Digipass system uses a time-based password. The Digipass card generates a passcode. You enter a secret password into the Gauntlet user authentication system when you configure that user. When the firewall prompts for authentication, the user selects the appropriate authentication application and enters a PIN on the card. The card displays a passcode, which the user enters at the Gauntlet prompt. The Gauntlet authentication server verifies this value to allow or deny access.

### **Configuring the Digipass**

To configure the Digipass:

- 1. Create a key for the Digipass according to the documentation included with the key. This creates a file (*cinit\_a.dgp*) that contains the token secret for that key. It also creates a 14-digit decryption key.
- 2. Place this file in a location accessible from the firewall.

### Adding a Digipass User

To add users, log into the firewall console or use TELNET to log in remotely.

To add a Digipass user:

- 1. Log into the firewall and become root.
- 2. Copy the Digipass key file (*cinit\_a.dgp*) to the same directory on the firewall in which the initialization tool is located (*/usr/local/etc*).
- 3. View the key file and make note of the 14-digit encryption key.
- 4. Load the key information into the user authentication management system using the key initialization tool (/usr/local/etc/digi\_init) and the 14-digit decryption key:

```
# cd /usr/etc
# ./digi_init 12345678901234
```

This tool creates a user in the authentication management system and loads the key for this user. It creates the user name by prepending the letter i to the serial number for Digipass. The user is initially disabled.

**Note:** The key initialization tool reads only the first record in the file. If you need to create multiple Digipass users, consider writing a script to create individual key files and run the key initialization tool.

5. This tool also creates a PIN file (*ipin.txt*), which lists the user names and PINs for each Digipass. View the PIN file and note the user names in this file so that you can change it to something easier for the user to remember.

Token	PIN	
1000000	1234	
1000001	6789	

6. Use the Authentication Manager tool to change the name of the user to something easier to remember:

```
# /usr/local/etc/authmgr
authmgr-> rename i1000000 jgant 'John Gant'
```

7. Enable the new user:

```
authmgr-> enable jgant
```

- 8. Make the information active by exiting the authentication manager.
- 9. Provide the user with their Digipass and user name, and the PIN listed in the PIN file for that Digipass.

## **Using Digipass With the Gauntlet Firewall**

This section first lists the steps for authenticating with Digipass, then provides an example.

### **Authenticating With Digipass**

To authenticate to the Gauntlet Firewall using Digipass:

1. Access a proxy that requires authentication:

```
telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'
```

2. Enter your user name:

```
Username: jgant
```

- 3. Turn the Digipass on.
- 4. On the Digipass, press I. The display shows PIN?
- 5. On the Digipass, enter your PIN and press =.

Your PIN displays as asterisks (\*). The display shows "..." as it performs the calculation.

6. At the response prompt, enter the response that the Digipass displays and press Enter.

Code: 0190302588

#### **Digipass Example**

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jgant
Code: 0190302588
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jgant
Password: guess#me$now
                          (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

### **SafeWord Authentication Server**

The SafeWord Authentication Server is compatible with a group of hand-held authenticators that you can use for authenticating to the Gauntlet Firewall.

**Note:** SafeWord authentication is supported only by Gauntlet Firewalls running on Solaris systems.

SafeWord is compatible with:

- ActivCard
- CryptoCard
- DES Gold card
- · DES Silver card
- Digipass (outside the U. S. only)
- SafeWord AccessCard

- SafeWord MultiSync
- SecureNet Key
- Softoken
- WatchWord

#### **How SafeWord Authentication Works**

This system, from Enigma Logic, provides an interface to the SafeWord Authentication Server for Gauntlet authentication. The Gauntlet authentication server users the authentication information registered for a user with the SafeWord Authentication Server.

## **Configuring the SafeWord Authentication Server**

To configure the SafeWord Authentication Server, create user accounts for your users on the SafeWord Authentication Server.

### **Configuring the Firewall for SafeWord Authentication**

You must modify one file on the firewall so it knows where the SafeWord Authentication Server is (typically on a system other than the firewall).

To configure your firewall for use with a SafeWord Authentication Server:

- 1. Log into the firewall and become root.
- 2. Edit the SafeWord configuration file (/usr/local/etc/mgmt/swec.cfg). Set the SafeWord Authen. Server Name to the name of the system on which the SafeWord Authentication Server is running:

02 SafeWord Authen. Server Name:dimension 0 0 7482

#### Adding a SafeWord User

You can create two types of users in the Gauntlet Firewall for use with SafeWord Authentication Server: individual users or a default user. Individual users are unique user names for each user in your SafeWord Authentication Server system.

Creating a default user allows you to authenticate users without manually creating entries for every user in the Gauntlet authentication database. When a user logs in and the authentication server does not find the information in the Gauntlet authentication database, the authentication server sends the user information to the SafeWord Authentication Server. The authentication server also creates a record for that user in the Gauntlet authentication database.

For example, suppose you create an account for jparrot on your SafeWord Authentication Server. You create an account for default on the Gauntlet Firewall. When Jamie Parrot authenticates, she still uses the user name jparrot, and the authentication server sends the information to the SafeWord Authentication Server.

To add a user using SafeWord:

1. From the Users tab, click *Add*.

The Add User window displays.

2. Provide information about the user.

UserID Enter the user name. Remember that this user ID does *not* need

to match any other user IDs for this user.

Name Enter descriptive information about this user.

Status Click enable to activate the account.

Group If you want to make this user a member of a group, select the

name of the group.

Authentication Select SafeWord as the authentication method.

Method

Password Leave this field blank. The Gauntlet authentication system uses

the value registered with the SafeWord Authentication Server.

Verification Leave this field blank.

- 3. Click OK to make your changes take effect.
- 4. Provide the user with the selected token and user ID.

## **Using SafeWord Authentication Server With the Gauntlet Firewall**

This section first lists the steps for authenticating with SafeWord, then provides an example.

### **Authenticating With SafeWord Authentication Server**

To authenticate to the Gauntlet Firewall using SafeWord Authentication Server:

1. Access a proxy that requires authentication.

2. Enter your user name:

```
ID: jparrot
```

3. Note the challenge the proxy displays for example:

```
Challenge: 17
```

- 4. On the selected token, enter the challenge the proxy displays.
- 5. At the response prompt, enter the response the token displays and press Enter.

```
Enter Password: 12HAAF
```

#### SafeWord Authentication Server Example

The following example shows a sample TELNET session from a system outside the firewall to a system inside the firewall:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
      Safeword® Security Check V4.30.000
ID: jparrot
Challenge: 17
Enter Password: 12HAAF
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jparrot
Password: guess#me$now
                          (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

## **SecurID System Authentication**

The Gauntlet Firewall includes support for the SecurID system, which you can use for authenticating with your firewall.

### **How SecurID System Authentication Works**

The SecurID system is a synchronous authentication system. The Gauntlet Firewall includes client code that is capable of communicating with a separate SecurID ACE/Server to authenticate users.

The SecurID tokens generate and display unpredictable codes that change at a regular time interval (typically every 60 seconds). When a user attempts to log in using the SecurID system, the ACE/Server can independently verify the code that the user enters and either allow or deny login.

## Configuring the ACE/Server

To configure the ACE/Server:

- 1. Make sure that your ACE/Server is using DES encryption and not SDI encryption. Use the *sdinfo* or *sdsetup* programs on your ACE/Server to determine which type of encryption your ACE/Server is using.
- 2. Create user accounts for your users using the ACE/Server.
- 3. Register the firewall as a client system on your ACE/Server. Any users that will authenticate from the firewall must be registered on the ACE/ Server showing the firewall as one of their clients.
- 4. Be sure to use the IP address or hostname for the inside address of the firewall if your ACE/Server is running on a system on your inside network.
- 5. Look at the /etc/services file on the system running the ACE/Server and make note of the service name and port on which the ACE/Server is listening. For example:

```
securid 755/udp # securid ACE services securidprop 5510/tcp
```

6. Place the ACE/Server configuration file (/var/ace/sdconf.rec) onto a floppy diskette or into a location accessible from the firewall.

#### **Configuring the Firewall**

To configure the firewall:

- 1. Log in to the firewall and become root.
- 2. Look at the /etc/services file on the firewall. Make sure that the service name and port number specified for the SecurID service on the firewall are the same as the ones in the /etc/services file on the ACE/Server.
- 3. Copy the ACE/Server configuration file (/var/ace/sdconf.rec) to the same directory on the firewall (/var/ace/sdconf.rec).
- 4. Modify /usr/local/etc/config/netperm-table. At the bottom of the user-configurable portion of the netperm table, add information about the ACE/Server:

```
authsrv: securidhost firewall
```

*firewall* is the hostname or IP address of the Gauntlet Firewall that you registered as the client on the ACE/Server. For example:

```
authsrv: securidhost fire-in.yoyodyne.com
```

### **Adding SecurID Users**

You can create two types of users in the Gauntlet Firewall for use with SecurID: individual users or a default user. Individual users are unique user names for each user in your SecurID system. For example, if you create an account for jyaya on your ACE/Server, you must also create an account for jyaya on the Gauntlet Firewall.

Creating a default user allows you to authenticate users without manually creating entries for every user in the Gauntlet authentication database. When a user logs in and the authentication server does not find the information in the Gauntlet authentication database, the authentication server sends the user information to the ACE/Server. The authentication server also creates a record for that user in the Gauntlet authentication database.

For example, suppose you create an account for jyaya on your ACE/Server. You create an account for default on the Gauntlet Firewall. When John Yaya authenticates, he still uses the user name jyaya, and the authentication server sends the information to the ACE/Server.

#### **Adding Individual SecurID Users**

Use the Gauntlet Firewall Manager to add users.

To add a SecurID user:

- 1. From the Users tab, click Add.
- 2. Provide information about the user.

UserID User name. This must match the user name you have

registered with the ACE/Server.

Name User name.

Status Click Enable to activate the account.

Group If you want to make this user a member of a group, select the

name of the group.

Authentication

Method

Select SecurID as the authentication method.

Password Leave this field blank. The Gauntlet authentication system

uses the value registered with the ACE/Server.

Verification Leave this field blank.

- 3. Click OK to make your changes take effect.
- 4. Provide the user with the user name.

#### **SecurID Default Users**

You can only have one default user. If you are using the Defender Security Server of SafeWord Authentication Server, you can only use the default user for one of the three systems.

To add a default SecurID user, follow the steps listed under "Adding Individual SecurID Users." Enter default as the UserID.

## **Using SecurID with the Gauntlet Firewall**

This section first lists the steps for authenticating with SecurID, then provides an example.

#### **Authenticating With SecurID**

To authenticate to the Gauntlet firewall using SecurID:

1. Access a proxy that requires authentication:

```
telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
```

2. Enter your user name and press Enter:

```
Username: jyaya
```

- 3. At the response prompt, enter the response appropriate for the type of SecurID token you have:
  - Standard Token or Key Fob: Enter your PIN (if enabled) followed by the SecurID code displayed on your token, with no spaces in between. Then press Enter:

Enter PASSCODE: 1234481283

 PINPAD Token: With the PINPAD token, enter your PIN into the card itself, and press the diamond key. The PASSCODE is simply the SecurID code displayed on the token.

```
Enter PASSCODE: 429162
```

- Press the Enter key.
- 4. On occasion, the system prompts you to enter the next code that appears on your token to resynchronize your SecurID token with the ACE/Server:

```
Enter the next cardcode:
```

Wait until the code changes on your token, and then enter the new code (without your PIN) at the prompt and press Enter.

```
Enter the next cardcode: 617325
```

#### **SecurID Example**

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jyaya
Enter PASSCODE: 429162
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jyaya
Password: guess#me$now
                          (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

### S/Key System

The Gauntlet Firewall includes support for the S/Key system, which you can use for authenticating with your Gauntlet Firewall. You can use both S/Key and S/Key5 with the Gauntlet Firewall.

### How the S/Key System Works

The S/Key system is a one-time password authentication system. You enter a secret password into the Gauntlet authentication database when you configure that user. When the proxies want to authenticate, they use the secret password to generate a series of nonsense words and a sequence number. The proxy displays the sequence number. You enter the secret password and the sequence number into a key generation program, which provides a series of nonsense words. You enter the series of nonsense words at the proxy prompt. The proxy passes it back to the authentication server, which compares what you entered with what it expected and allows or denies access.

## Adding an S/Key User

Use the Gauntlet Firewall Manager to add users.

To add an S/Key user:

- 1. From the Users tab, click Add.
- 2. Provide information about the user:

UserID Enter the user name. Remember that this user ID does *not* need

to match any other user IDs for this user.

Name Enter descriptive information about this user.

Status Click Enable to activate the account.

Group If you want to make this user a member of a group, select the

name of the group.

Authentication If you are using S/Key or S/Key4, select Skey as the

Method authentication method. If you are using S/Key5, select Skey5 as

the authentication method.

Password Enter a random string, for example:

Passwd: try!and@guess#this\$one

The display uses XXXs to hide the password

Verification Enter the same random string again.

3. Click OK to make your changes take effect.

4. Provide the user with the user name. If you are creating the user's one-time passwords, provide a set of passwords. If you want users to create their own one-time access passwords, provide them with their random string and instructions on how to generate one-time access passwords.

## **Generating One-Time Access Passwords**

You can generate one-time access passwords yourself and provide them to users all together. You can also allow your users to generate their own one-time passwords themselves as they need them. Using tools on the firewall, you can generate lists of one-time passwords and give them to each user. This involves determining the key value and generating the one-time passwords.

#### **Determining the Key Value**

To determine the key value, search the key value file for the user's information:

```
fire-in# grep jparker /etc/skeykeys
```

This displays information about the user:

```
jparker 0664 fi19289 a6eb4adfeec9bad9 Jul 17,1996 15:57:49
```

The first number is the sequence number and the last string is the key. You need these numbers to generate the one-time passwords.

#### **Generating the One-Time Passwords**

This section discusses using the firewall to generate keys for users. You are urged to install the key program on another trusted host inside the firewall and generating the keys on that system.

To generate the one-time passwords:

- 1. Log in to the firewall and become root.
- 2. Run the key program (/usr/local/etc/key) to generate a limited number of one-time passwords, specifying the number of passwords, the sequence number, and the key. Redirect it to a file so that you can provide the passwords to the user.
  - # S/Key
    # cd /usr/local/etc
    # ./key -n 5 664 fi19289 > /tmp/jparker.key

    S/Key5
    # cd /usr/local/etc
    # ./key -m 5 -n 5 664 fi19289 > /tmp/jparker.key
- 3. Enter the secret password for the user for whom you are creating one-time passwords:

```
Reminder - Do not use key while logged in via telnet or dial-in. Enter secret password: try!and@guess#this$one
```

4. View the list of one-time passwords you have created:

```
660: RIME SLUM DRY MYRA GORE ELBA
661: LUCY DISK MOSS BACH TUSK BODE
662: JANE HURT SELF RING MILE HOB
663: GOWN BOLT YET BEAD LYON PIT
664: PAR HOOK FLUE BIAS TANK WEEK
```

5. Send this file to a system on your trusted network using FTP, print it and give it to the user. Be sure to delete all copies of this file (on the firewall and on the trusted host) as soon as you have the printout.

#### Allowing Users to Generate One-Time Passwords

There is an alternative to the administrator using the key program on the firewall to generate one-time passwords for each user. You can obtain the key program for a variety of platforms from the Bellcore FTP site (see ftp://ftp.bellcore.com/pub/nmh/skey for more information). You can install the key program on trusted hosts within your network, allowing users to generate their own passwords all at once or as they need them. There are also versions for the Microsoft Windows family and the Macintosh, allowing users to generate keys from their desktops or laptops.

#### Be sure to:

- 1. Provide each user with the secret password that you created when you created that user's entry in the Gauntlet authentication database.
- 2. Remind users not to use the key program from hosts on the untrusted networks.

### **Using S/Key With the Gauntlet Firewall**

This section first lists the steps for authenticating with the S/Key system, then provides an example.

#### **Authenticating With the S/Key System**

To authenticate to the Gauntlet Firewall using S/Key:

1. Access a proxy that requires authentication:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
```

2. Enter your user name:

```
Username: jparker
```

3. Note the challenge the proxy displays:

```
Skey Challenge: s/key 663 fi19289
```

4. Locate the one-time password with the corresponding sequence number from your list:

```
663: GOWN BOLT YET BEAD LYON PIT
```

Or use your key program to generate the one-time password.

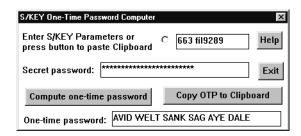


Figure B-1 One-Time Password Window

5. Respond with a one-time password:

```
Skey Challenge: s/key 663 fil9289GOWN BOLT YET BEAD LYON PIT Login Accepted fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready: tn-gw>
```

#### S/Key Example

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jparker
Skey Challenge: s/key 663 fi19289GOWN BOLT YET BEAD LYON PIT
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jparker
Password: guess#me$now
                          (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

#### **RADIUS Authentication**

The Gauntlet Firewall includes support for the RADIUS authentication protocol, which you can use to authenticate to your firewall.

#### **How RADIUS Authentication Works**

RADIUS stands for Remote Authentication Dial-In User Service, an authentication protocol specified by the IETF. Many different authentication vendors, including SecurID, Safeword, VASCO, and CRYPTO, support RADIUS.

**Note:** The Gauntlet Firewall uses RADIUS in a way that differs slightly from the standard use. RADIUS is normally used as a dial-in authentication service. The Gauntlet Firewall uses it as an authentication method.

RADIUS authentication can be used with your Gauntlet Firewall in conjunction with a strong authentication method such as Safeword or CRYPTO, or by itself using a plain RADIUS password.

**Note:** It is important that if you use RADIUS authentication in conjunction with a strong authentication method, you should explain to your users how your strong authentication method works and how to use it.

To use RADIUS with your Gauntlet Firewall, the user connects to the firewall in order to access the trusted network. The firewall prompts the user for a user name and RADIUS password, and then, acting as the RADIUS client, encrypts the authentication information with the RADIUS shared secret and sends it to the RADIUS authentication server.

**Note**: If you are using a strong authentication method, your use of RADIUS may be somewhat different.

The RADIUS authentication server decrypts the authentication information with the shared secret and begins the authentication process. The RADIUS authentication server authenticates the user, and sends this information to the Gauntlet Firewall, which grants access permission to the user.

**Note:** You must have a RADIUS authentication server up and running, know its shared secret, and have the user configured on the server before you can use the Gauntlet Firewall to support a RADIUS user.

### **Configuring the RADIUS Authentication Server**

To configure the RADIUS authentication server, create user accounts for your users on your RADIUS authentication server.

## **Enabling RADIUS Support**

Use the Gauntlet Firewall Manager to enable RADIUS support.

To enable RADIUS support:

- 1. From within the Gauntlet Firewall Manager, select Environment.
- 2. Click the Authentication tab.

The Authentication window displays.

3. Click the *RADIUS* button on the left side of the window.

The RADIUS Authentication Servers window displays.

4. Make the appropriate entries:

Shared Secret for Shared secret string for your primary RADIUS server.

the Primary **RADIUS Server** 

Host IP address or host name of your primary RADIUS server.

Port Port to be used to access your primary RADIUS server. The

default is 1645.

Shared Secret for Shared secret string for your secondary RADIUS server. Make an the Secondary entry in this field only if you are using a secondary RADIUS server.

**RADIUS Server** 

Host IP address or host name of your secondary RADIUS server.

Make an entry in this field only if you are using a secondary

RADIUS server.

Port to be used to access your secondary RADIUS server. Make Port

an entry in this field only if you are using a secondary RADIUS

server.

5. Click OK.

## Adding a RADIUS User

Use the Gauntlet Firewall Manager to add a RADIUS user.

To add a RADIUS user:

- 1. From within the Gauntlet Firewall Manager, select Firewall Rules.
- 2. Click the Users tab.

The Users window displays.

3. From the Users tab. click *Add*.

The Add/Modify window displays.

4. Provide information about the user.

User ID User name. Remember that this user ID does not need to match

any other user IDs for this user.

Name Descriptive information about this user.

Status Click *Enable* to activate the account.

Group If you want to make this user a member of a group, select the

name of the group.

Authentication

Method

Select RADIUS as the authentication method.

Plain Password Check this box to tell the RADIUS server to use a plain password

for this user; leave blank to use a strong authentication method.

- 5. Click OK.
- 6. Provide each user with a user ID.

### **Using RADIUS With the Gauntlet Firewall**

The examples shown in this section use plain RADIUS passwords. If you are using a strong authentication method, the text will vary depending on the method you are using.

### **Authenticating With RADIUS**

To authenticate to the Gauntlet Firewall using a plain RADIUS password:

1. Access a proxy that requires authentication:

```
telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'
```

2. Enter your user name and press Enter.

```
Username: jnolan
```

3. Enter your RADIUS password and press **Enter**. The password itself does not display; an X appears when each character is typed:

```
RADIUS Password: XXXXXXX
```

4. If the user is a valid user, the login is accepted and the Gauntlet Firewall permits access to the trusted network.

```
Login Accepted
```

### **RADIUS Authentication Example**

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall using a plain RADIUS password:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jnolan
RADIUS Password: #######
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
```

### **Reusable Passwords**

The Gauntlet Firewall includes support for reusable passwords. The reusable password system does *not* include support for password aging, minimum characters, or other security features of some reusable password systems.

**Caution:** Do not use the reusable passwords option for authentication from untrusted networks. You should not use reusable passwords. Reusable passwords are vulnerable to password sniffers and are easy to crack. This feature is provided for convenience and audit capability only.

### **How Reusable Passwords Work**

You enter a secret password into the Gauntlet user authentication system when you configure that user. When an application on the firewall needs to authenticate you, they ask the Gauntlet authentication system. You enter the secret password at the prompt. The application passes it back to the authentication system, which compares what you entered with what it expected and allows or denies access.

### Adding a User with Reusable Passwords

Use the Gauntlet Firewall Manager to add users.

To add a user using reusable passwords:

- 1. From the Users tab. click *Add*.
- 2. Provide information about the user.

UserID Enter the user name. Remember that this user ID does *not* need

to match any other user IDs for this user.

Name Enter descriptive information about this user.

Status Click Enable to activate the account.

Group If you want to make this user a member of a group, select the

name of the group.

Authentication

tication Select Password as the authentication method.

Method

Password Enter a random string as the password.

Verification Enter the same random string you entered as the password.

- 3. Click OK to make your changes take effect.
- 4. Provide each user with a user ID and password.

### **Using Passwords with Gauntlet**

This section first lists the steps for authenticating with reusable passwords, then provides an example.

### **Authenticating With Reusable Passwords**

To authenticate to the Gauntlet Firewall using reusable passwords:

1. Access a proxy that requires authentication:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
```

2. Enter your user name:

```
Username: jgomez
```

3. Enter your password:

```
Password: try!and@guess#this$one
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-qw>
```

### **Reusable Password Authentication Example**

This example shows a sample TELNET session from a system outside the firewall to a system inside the firewall:

```
blaze.clientsite.com-28: telnet fire-out.yoyodyne.com
Trying 204.255.154.100...
Connected to fire-out.yoyodyne.com
Escape character is '^]'.
Username: jgomez
Password: try!and@guess#this$one (password does not display)
Login Accepted
fire-out.yoyodyne.com telnet proxy (Version 4.0a) ready:
tn-gw> c dimension
Trying 10.0.1.120 port 23...
Connected to dimension.yoyodyne.com
IRIX 6.5 (dimension) (ttyp5)
login: jgomez
Password: guess#me$now
                       (password does not display)
Welcome to dimension.yoyodyne.com
3:57PM up 16 days, 5:35, 4 users, load averages: 0.03, 0.01, 0.00
dimension-26:
```

# Glossary

### address scanning

Searching network address space via DNS inverse queries.

### address spoofing

A host purporting to be another, usually trusted, host.

#### administrator

The individual responsible for a system or network or systems. The firewall administrator is responsible for the firewall.

### application gateway

A protocol-specific data forwarder.

### authenticated Post Office Protocol (APOP)

A version of POP that uses non-reusable passwords for authentication.

### address resolution protocol (ARP)

Allows a host to find the physical address of a target host on the same physical network, given only the target's IP address. The protocol is used to dynamically bind an IP Address to a physical hardware address. The use of ARP is restricted to a single physical network and is limited to networks that support hardware broadcast.

### authentication

Method to guarantee that the sender of information is who the sender purports to be.

### bastion host

A secure computer that forms part of a security firewall and runs applications that communicate with computers outside an organization.

### berkeley internet name domain (BIND)

In UNIX, DNS is implemented by the BIND.

#### cache

A portion of memory or storage that contains a "quick reference" to recently used information.

#### chroot

The *chroot* mechanism allows a program to irreversibly change its view of the filesystem by changing the where the root of the filesystem is. When a program *chroot*s to a particular portion of a given filesystem, that portion becomes the whole filesystem and, in effect, the rest of the filesystem ceases to exist, from the program's point of view.

### common gateway interface (CGI)

The piece of HTTP that specifies how user information is communicated to the server and from it to external programs.

### circuit level gateway

A protocol gateway for a specific service type.

### connection laundering

A (usually) FTP or Gopher request initiated by an untrusted client that appears to come from a trusted server.

### denial of service

An attack that is aimed entirely at preventing use of your own equipment.

### data encryption standard (DES)

The most widely used symmetric cryptosystem.

#### domain name system (DNS)

The online distributed database system used to map human-readable machine names into IP addresses. DNS servers throughout the Internet implement a hierarchical namespace that allows sites to assign machine names and addresses.

#### domain

A part of the DNS naming hierarchy. Domain names consist of a sequence of names (labels) separated by periods (dots).

### digital signature standard (DSS)

A mechanism for identifying a message source.

#### dual-homed

A dual-homed host has two network interfaces, and therefore two addresses, and acts as a router between the subnetworks to which those interfaces are attached.

### encryption

A mechanism for changing the appearance of data. Encryption allows the creation of secure connections over insecure channels. Encrypting network traffic provides both privacy and authentication

### finger

A service that looks up information about a user who has an account on the machine being queried. It tells whether or not that user is currently logged into the machine and may include the person's real name, login, phone number, office location, and other information.

#### firewall

A configuration of routers and networks placed between an organization's internal internet and a connection to an external internet to provide security.

### file transfer protocol (FTP)

The TCP/IP standard, high-level protocol for file transfer from one machine to another. FTP uses TCP.

### ftp daemon (ftpd)

One of the programs that implement FTP.

### gateway daemon (gated)

A program run on a host or router that collects routing information from within one autonomous system and advertises the information to another autonomous system.

### gateway

Dedicated host that interconnects two different services or applications.

### group

A collection of users with a common security concern.

### hardened

A term indicating that an operating system or application has been modified to eliminate

elements that make it vulnerable to attack or failure.

### hypertext markup language (HTML)

The language used to implement network resource pages.

### hypertext transfer protocol (HTTP)

The primary application protocol that underlies the World Wide Web.

#### inetd

The program that listens for requests for services specified in the /etc/inetd.conf configuration file. When it hears such a request, it starts the proper server to process the request.

#### inside network

The network of machines protected by the firewall (inside the security perimeter).

#### IP address

A 32-bit integer address assigned to each host on the Internet.

### internet security scanner (ISS)

When ISS is run from another system and directed at your system, it probes your system for software bugs and configuration errors commonly exploited by crackers.

### mail exchanger

A host that accepts e-mail; some mail exchangers forward the mail to other hosts.

### mail exploder

Part of an electronic mail system that accepts a piece of mail and a list of addresses as input and sends a copy of the message to each address on the list.

### mail gateway

A host that connects to two or more dissimilar electronic mail systems and transfers mail messages among them.

#### multicast route daemon (mrouted)

A program used with a multicast kernel to establish multicast routing.

#### name resolution

The process of mapping a name into a corresponding address. The domain name system provides a mechanism for naming hosts in which programs use remote name servers to resolve a host name into an IP address.

#### netacl

A program that provides the capability of a TCP Wrapper.

### netperm table

The network permissions table (/usr/local/etc/netperm-table) that contains Gauntlet Firewall configuration information used by the kernel, proxies, and other applications. The configuration information is in the form of policy or applications rules.

### network file system (NFS)

A protocol developed by Sun Microsystems, Inc. that uses IP to allow a set of cooperating computers to access each other's file systems as if they were local.

#### outside network

The network of machines not protected by the firewall (outside the security perimeter). When a firewall protects a network connected to the Internet, the outside network is the rest of the Internet.

### packet filter

A method to select or deselect traffic from given network addresses.

#### packet filtering gateway

A system that serves as a gateway for protocols using simple packet content rules.

### packet internet groper (ping)

The name of a program used with TCP/IP internets to test reachability of destinations by sending them an ICMP echo request and waiting for a reply.

### plug gateway

A general-purpose program implemented as a proxy which allows data to flow from an inside host to an outside host.

### post office protocol (POP)

A client-server protocol for handling user electronic mail boxes. The user's mailbox is

kept on the server, rather than on the user's personal machine.

### port

A specific pathway for data and control information.

### port scanning

To probe given ports to determine what type of data or control information is normally passed via the given ports.

### point to point protocol (PPP)

A protocol for framing IP across a serial line. A more recent protocol than SLIP.

### promiscuous mode

Most Ethernet and token ring interfaces can operate in this mode to view all packets on an Ethernet or token ring.

#### protocol

A formal description of message formats and the rules that must be followed to exchange those messages

#### proxy

Specialized applications or programs that run on a firewall host. These programs take users' requests for Internet services (such as FTP and TELNET) and forward them according to the site's security policy. Proxies are replacements for actual services and serve as application-level gateways to the services.

### proxy arp

The technique in which a host or router answers ARP requests intended for another by supplying its own physical address. The purpose is to allow a site to use a single IP network address with multiple physical networks.

### public key encryption

An encryption technique that generates encryption keys in pairs. One of the pair, used to decrypt, is kept secret, and the other, used to encrypt, is published.

### remote login (rlogin)

The remote login protocol developed for UNIX by the University of California in Berkeley. It offers a service similar to TELNET.

#### route daemon (routed)

A program devised for UNIX that implements the RIP protocol. Pronounced "route dee."

#### router

A special purpose, dedicated machine that attaches to two or more networks and forwards packets from one to the other. An IP router forwards IP datagrams among the networks to which it is connected. An IP router uses the destination address on the datagram to choose the next hop to which it forwards a datagram.

### S/Key

A one-time password mechanism that allows a system to authenticate a user reliably. The S/Key encodes each key into a series of short words, so they are easier for a user to read and type, rather than generating random characters.

### screening router

The type of router used in a packet filtering firewall.

### security perimeter

The mechanisms used to protect a network of machines.

### serial line internet protocol (SLIP)

A framing protocol used to send IP across a serial line. SLIP is popular when sending IP over dialup phone lines but has been largely replaced by PPP.

#### smap

A small program intended solely to handle incoming SMTP connections

#### smapo

A program that is invoked regularly (typically once a minute) to process the files queued in the queue directory, normally by handing them to *sendmail* for delivery.

### simple mail transfer protocol (SMTP)

The TCP/IP standard protocol for transferring electronic mail messages from one host to another. SMTP specifies how two hosts interact and the format of control messages they exchange to transfer mail.

### simple network monitoring protocol (SNMP)

A standard protocol used to monitor hosts, routers, and the networks to which they

attach.

#### socket

The abstraction provided by the UNIX operating system that allows an application program to access the TCP/IP protocols.

#### socks

The SOCKS package is an example of the type of proxy system that requires custom clients.

#### source route

A route that is determined by the source. In IP, a source route consists of a list of routers a datagram should visit; the route is specified as an IP option. Source routing is most often used for debugging but should be rejected by most hosts.

### strong authentication system

A system for verifying users which uses one-time, non-reusable passwords.

#### subnet

The portion of an IP address can be locally modified by using host address bits as additional network address bits. These newly designated network bits define a network within the larger network.

### subnet addressing

An extension of the IP addressing scheme that allows a site to use a single IP network address for multiple physical networks by dividing the destination address into a network portion and local portion.

### transmission control protocol/internet protocol (TCP/IP)

A suite of data communications protocols.

### **TCP** wrapper

The TCP wrapper package monitors incoming network traffic and controls network activity. It is a simple but effective piece of publicly available software set up to run whenever certain ports (corresponding to certain services) are connected.

#### transparency

A method for providing network access through a firewall without user interaction with the firewall. Access that is allowed at a site is done invisibly to the user.

#### trusted network

The network of machines protected by the firewall.

### user datagram protocol (UDP)

The TCP/IP standard protocol that allows an application program on one host to send a datagram to an application program on another. UDP uses IP to deliver datagrams but UDP includes a protocol port number, allowing the sender to distinguish between application programs on a given remote host.

### untrusted network

The network of machines not protected by the firewall, but from which the firewall accepts requests.

### uniform resource locator (URL)

A string that gives the location of a information. The string begins with a protocol type (for example, FTP, HTTP) followed by the domain name of a server and the path name to a file on that server.

### virtual private network

A physically disparate set of networks that share a common security perimeter through secured internetwork communication.

### web browser

A software program that lets you access the World Wide Web. Netscape Navigator and Microsoft Internet Explorer are well-known Web browsers.

### well-known port

Any of a set of protocol port numbers assigned for specific uses by transport level protocols, (for example, SMTP and UDP). Each server listens at a well-known port so clients can locate it.

### World Wide Web (WWW, the Web)

The large-scale information service that allows a user to browse through information. The Web offers a hypermedia system that can store information as text, graphics, audio, and so on.

## Index

Α

absorb rules, 228 access control, 71 access control (LDAP), 95 accessing circuit proxy configuration, 200 accessing content scanning configuration, 288 accessing destination access configuration, 43 accessing encryption configuration, 265 accessing FTP proxy configuration, 89 accessing Gauntlet Firewall Manager, 21 accessing Gopher proxy configuration, 172 accessing HTTP proxy configuration, 171 accessing Info Server configuration, 279 accessing lp proxy configuration, 141 accessing Microsoft SQL Server proxy, 103 accessing multimedia configuration, 111 accessing network configuration, 50 accessing network groups configuration, 53 accessing packet screening configuration, 232 accessing plug proxy configuration, 192 accessing POP3 proxy configuration, 220 accessing report configuration, 245 accessing rsh proxy configuration, 147 accessing service group configuration, 31 accessing service group rules, 36 accessing SMTP proxy configuration, 215 accessing SNMP agent configuration, 307 accessing SNMP proxy configuration, 123

accessing SSL proxy configuration, 171 accessing Sybase configuration, 153 accessing user configuration, 63 accessing web services non-proxy-aware browsers, 179 accessing X11 proxy configuration, 183 Access Key II, 60, 333 access See Also destination access access to destinations. 39 accounts creating user accounts, 16 on the firewall, 16 ACE/Serve, 62 activating license, 327 activities specifying allowed activities, 4 addfile program, 282 adding files to Info Server, 281 adding network groups, 49 adding new service groups, 30 adding packet screening rules, 233 adding service group rules, 37 addtext program, 281 addUserAccount command, 16 Administrator's Guide audience, xxix conventions, xxx A files. 277 Alcohol, Beer, Wine & Tobacco category, 299

alerts, 241, 248	authentication information, 59
allowed activities, 4	AVI movie header, 282
Allow Password Change option, 34	
America Online See AOL	_
anonymous FTP server, 94	В
AOL, 7, 189, 190, 191	backdoors, 2
APOP, 60, 219	backing up the firewall, 16
authentication, 213	Bellcore, 62
password, 222	Dencore, 02
application-level proxies, 81	
Assign Access option (security rules), 37	С
audience, xxix	
authenticated HTTP, 169	Cancel menu command, 23, 24
configuring, 174	CA Unicenter configuration, 309
creating authentication entries, 175	certificate management, 6
authentication	changing authentication methods, 66
and GUI FTP tools, 92	changing group membership, 65
APOP, 213	changing passwords, 66
authmgr program, 59	changing user IDs, 65
changing methods, 66	changing user names, 65
custom services, 197 entries, 175	checking source and destination, 13
overview, 57	checksums. 253
See Also strong authentication	
token, 57	choosing host, 19
weak authentication, 178	circuit proxy, 7, 197
authentication information	accessing configuration, 200
login-sh program, 58	configuring firewall, 201 configuring settings, 202
non-firewall services, 58	database applications, 198
used by firewall, 58	enabling, 204
authentication management system	financial applications, 198
and FTP proxy, 90	groupware, 198
groups, 59	how it works, 199
HTTP, 169	planning, 201
logs, 240	strong authentication, 198
rlogin, 162	understanding, 198
TELNET, 162	using, 204
users, 59	verifying setup, 204
authmgr program	vs. plug proxy, 197

ck-gw daemon, 199 clients configuring for Sybase proxy, 156 SMTP proxy client (smap), 214 starting TN3270 client, 165 X client, 182	URL filtering, 294 user restrictions, 73 users, 63 VNP, 268 X11, 183 configuration sets
service group, 31 service group rules, 36 SMTP proxy, 215 SNMP agent, 307 SNMP proxy, 123 SSL proxy, 171 Sybase proxy, 153 TELNET, 160	configuring News Feed settings, 131 configuring News Reader settings, 133 configuring news server, 134 configuring non-proxy-aware web browsers, 179 configuring plug proxy, 193 configuring print client, 140 configuring print server, 140 configuring proxies, 82

configuring remote system for rsh service, 149	creating authentication entries, 175
configuring report frequency, 246	creating configuration sets, 84
configuring report recipients, 245	creating destination access rules, 45
configuring rlogin proxy, 162	creating encryption keys, 266
configuring rsh proxy, 148	creating groups, 69
configuring SMPT proxy, 216	creating Info Server files, 280
configuring SMPT proxy settings, 216	creating integrity database, 256
configuring SNMP agent settings, 308	creating logs, 240
configuring SNMP proxy, 125	creating network groups, 54
configuring SSL proxy, 174	Network Group Name option, 55
configuring Sybase proxy firewall, 154	creating networks, 51
configuring Sybase proxy settings, 154	Interface option, 52
configuring TELNET proxy, 162	Network IP Address option, 51
configuring the firewall, 19	creating packet screening rules, 233
configuring URL filtering, 294	creating passthrough links, 270
configuring VNPs, 268	creating reports, 243
configuring web browsers, 21, 176	creating service groups, 33
configuring X11 proxy, 184	creating trusted VNPs, 269
configuring your system, 20	creating user accounts, 16
connfiguring reports, 245	creating user restriction rules, 75
content scanning	creating users, 64
accessing configuration, 288	CRYPTOCard RB-I, 61, 336
configuring, 290	ctavi, 282
configuring firewall, 289	ctgif, 282
configuring scanning engines, 291	cthtml, 282
enabling, 290 FTP, 286	ctjpg, 282
how it works, 287	ctps, 282
HTTP, 286	ctqt, 282
Infected File Handling, 290	cttext, 282
Java applets, 287	ctzip, 282
planning, 290	custom services, 189
quarantine area, 290	with authentication, 197
SMPT, 286 understanding, 285	CVP, 287, 291
	CyberNOT, 299
Content Vectoring Protocol, 287, 291 conventions, xxx	CyberNOT database, 297
COHVEHUOHS, XXX	Cyber Patrol, 293

Alcohol, Beer, Wine & Tobacco, 299	deleting service group rules, 38
configuring, 299	deleting service groups, 35
Drugs & Drug Culture, 298	deleting user restriction rules, 76
enabling, 304	deleting users, 69
Full Nudity, 297	deleting VNPs, 272
Gambling/Questionable/Illegal, 298	deny rules, 227
Gross Depictions/Text, 298 Intolerance, 298	DES, 264
license, 302	•
Militant/Extremist, 298	destination access
overview, 297	accessing configuration, 43 changing order, 46
Partial Nudity & Art, 297	creating rules, 45
Satanic or Cult, 298	default rules, 40
Search Engines, 299	deleting rules, 46
Sex Education, 298	how it works, 40
Sexual Acts/Text, 297	modifying rules, 46
Sports & Entertainment, 299	understanding, 39
Violence/Profanity, 297	unknown keyword, 42
Work Time, 303	destination access rules
	changing order of precedence, 46
D	order of precedence, 40
	planning, 45
database applications and circuit proxy, 198	destination addresses
databases	specifying, 42
Info Server, 276	destination IP address rules, 229
Data Encryption Standard, 264	destination port rules, 231
decrypting data, 264	destination restrictions for service groups, 34
default destination access rules, 40	destinations
default network groups, 48	checking, 13
default service groups, 28	See also destination access, 13
Defender Security Server, 341	Digipass, 61, 341
deleting configuration sets, 85	directories Info Server, 277
deleting destination access rules, 46	disabled services, 6
deleting encryption keys, 267	disabling groups, 70
deleting groups, 70	disabling users, 68
deleting network groups, 56	DNS configuration, 218
deleting networks, 53	dot (.) character and Info Server, 277
deleting packet screening rules, 235	(י) בייים מוכנים מוכ

Drugs & Drug Culture category, 298	encryption keys, 265
dual-homed bastion host, 10	creating, 266
	deleting, 267
	modifying, 267
E	planning, 265
100 0 0 47	encryption policy configuration utility, 273
editing configuration files, 17	encryption through multiple firewalls, 263
electronic mail, 6, 213	events to ignore in exception reports, 246
email, 6, 213	examining packets, 13
enabling circuit proxy, 204	exception reports, 243, 244
enabling content scanning, 290	events to ignore, 246
enabling Cyber Patrol, 304	example, 252
enabling FTP proxy services, 90	possible items of interest section, 251
enabling Gopher proxy, 175	reading, 250 security alerts section, 251
enabling HTTP proxy, 175	system warnings section, 251
enabling Info Server, 283	exchanging encrypted information, 12
enabling Java access, 21	executable programs and Info Server, 278
enabling LDAP proxy services, 99	exiting Gauntlet Firewall Manager, 22
enabling lp proxy, 144	
enabling Microsoft SQL Server proxy, 107	
enabling multimedia proxy, 113	F
enabling News proxy, 134	field rules, 229
enabling plug proxy, 195	
enabling POP3 proxy services, 222	files infected, 287
enabling rlogin proxy, 162	Info Server, 277
enabling rsh proxy, 148	file transfer activity and FTP proxy, 87
enabling SMTP proxy, 217	file transfer services, 6
enabling SNMP agent, 308	filterig URLs, 293
enabling SNMP proxy, 126	financial applications
enabling SSL proxy, 175	circuit proxy, 198
enabling Sybase proxy, 155	finger, 7, 190
enabling TELNET proxy, 162	firewall
enabling users, 68	back up and restore, 16
enabling X11 proxy, 185	circuit proxy, 201
encrypted information, 12	configuring, 19 configuring as SNMP agent, 307
encrypting data, 264	cominguing as sixivir agent, 307

configuring for multimedia proxy, 112	planning settings, 90
configuring for News proxy, 131	understanding, 87
configuring for plug proxy, 192	using authentication, 91
configuring for SNMP service, 124	verifying setup, 91
configuring for X11 proxy, 183	FTP server, 94
configuring rlogin proxy, 161	Full Nudity category, 297
configuring Sybase proxy, 154	fwadmin user ID, 20
configuring TELENT proxy, 161	Twadillili user 1D, 20
definition, 2	
Info Server, 280	G
Info Server files, 280	G
logging, 239	Gambling/Questionable/Illegal category, 298
lp proxy, 142	
mail exchange host, 218	gauntlet-admin command, 19
mail forwarder, 218	Gauntlet Firewall
planning, 19	concepts, 2
planning for SNMP service, 124	described, 1
rsh proxy, 147	design philosophy, 2
standard configuration, 9	dual-homed bastion host, 10
text-based interface, 17	hardware components, 5
transparency, 5	how it works, 8
trusted networks, 3	IP screening facility, 8
untrusted networks, 3	maintenance, 16
using authentication information, 58	management utilities, 8
visibility, 5	managing, 16
firewall account, 16	managing options, 17
firewall rules, 28	operating system, 5
FTP	processing packets, 12
and content scanning, 285, 286	proxies, 6
services, 6, 91	security perimeter, 2
	software components, 5
ftpd, 88	trap, 311
ftp-gw, 88	user accounts, 2
FTP proxy	Gauntlet Firewall Manager, 8
accessing configuration, 89	accessing, 21
and authentication management system, 90	configuring web browser, 21
configuring, 89, 90	configuring your system, 20
enabling, 90	described, 17
for Web services, 170	exiting, 22
GUI tools and authentication, 92	interface, 17
how it works, 88	online help, 24

saving your changes, 23 server, 18	Н
using, 18 using remotely, 17 gauntlet license activating, 327 gauntlet-upgrade, 331 G files, 278 GIF image header, 282 Gopher+, 6 Gopher menu files, 283	hardened operating system, 5 hardware components of Gauntlet Firewall, 5 Help button, 24 H files, 277 host name access, 39 unknown, 42 hosts choosing, 19
Gopher proxy accessing configuration, 172 configuring, 174 enabling, 175 how it works, 168 understanding, 168 using Gopher services, 180 gopher service proxy planning, 173 Gopher service, 6, 180 Info Service, 278 graphical interface to Gauntlet. See Gauntlet Firewall Manager Gross Depictions/Text category, 298 groups authentication management system, 59 creating, 69 deleting, 70 disabling, 70 managing, 69 understanding, 59 See Also service groups	HP OpenView Network Node Manager, 309 HTML text header, 282 HTTP, 6 and content scanning, 285 content scanning, 286 HTTP access for untrusted service group, 29 http-gw daemon, 168, 170 HTTP proxy accessing configuration, 171 and NetShow player, 115 authenticated HTTP, 169, 174 configuring, 174 default setup, 169 enabling, 175 how it works, 168 nontransparent access, 176 persistent connections, 176 planning, 173 strong or weak authentication, 168 transparent access, 175 understanding, 168
groups of systems, 47 groupware, circuit proxy, 198	I ICMP and network monitoring, 225 ICMP traffic, 126 IETF authentication protocol (RADIUS), 62

Infected File Handling option, 290	interface rules, 230
infected files, 287	interfaces
info-gw daemon, 276	using simultaneously, 17
Info Server	interface to Gauntlet, 17
accessing configuration, 279	internal mail hub, 218
adding files, 281	internal mail server, 223
addtext program, 281	Intolerance category, 298
A files, 277	IP address
creating files, 280	access, 39
database, 276	modifying (not permitted), 52
data files, 277 directories, 277	ipfs utility, 236
directories, 277 dot (.) character, 277	IP screening facility, 8
enabling, 283	IP-spoofing checks, 226
G files, 278	
Gopher menu, 278	IRIX for Gauntlet Firewall, 5
Gopher menu files, 283	IRIX sendmail program, 213
headers, 282	
H files, 277	J
placing files on firewall, 280	J
planning, 280	Java access, 21
Q files, 278	,
queries, 278	Java applets, content scanning, 287
query files, 283	Java-based interface
sample headers, 282	JPEG image header, 282
understanding, 275	
using, 284	V
Info server	K
configuring firewall, 280	keys for anomyption 265
how it works, 276	keys for encryption, 265
Info Server addfile program, 282	
integrity database, 253 creating, 256	L
disk space requirement, 256	LDAP proxy, 6
planning, 256	configuring clients, 97
protection, 257	configuring settings, 97
updating, 257	enabling services, 99
integrity See Also system integrity	how it works, 96
Interface option (creating networks), 52	planning, 97
	recommended configuration, 96

understanding, 95	enabling, 144
license	how it works, 138
activating, 327	planning, 142
links, creating passthrough links, 270	Print Manager, 140
loading packet screening rules, 235	transparency, 140
log file, 249	understanding, 137
logging, 239	using, 144
configuring additional logging, 242	using lp services, 144
configuring log retention time, 242	
configuring logs, 241	M
configuring reports, 245	
creating reports, 243	mail exchange host, 218
default, 241	mail forwarding, 218
LDAP, 95	mail setup,verifying, 218
log file, 249	maintenance of Gauntlet Firewall, 16
security alerts, 248 understanding, 239	management
9	backing up and restoring, 16
logging and reporting creating logs, 240	creating user accounts, 16
	tools, 17
Login shell configuring, 314	management information base (MIB), 310
how it works, 314	managing custom services, 189
understanding, 313	managing custom services with authentication, 197
using the program, 317	managing Gauntlet Firewall, 16
login-sh program and authentication information, 58	managment utilities, 8
log retention time, 242	manually adding packet screening rules, 233
logs	, e.
configuring, 241	MD5 secure hash algorithm, 60
creating, 240	MediaBase proxy, 207
reading, 249	membership in service groups, 30
Lotus Notes, 189, 190	MIB, 310
lp, 6	MIB-II, 310
lp proxy	Microsoft Internet Explorer, 135
accessing configuration, 141	proxy awareness, 176
configuring, 142	Microsoft SQL, 6
configuring firewall, 142	configuring clients, 108
configuring print server, 140	replication server, 102
configuring the print client, 140	Microsoft SQL Server proxy
default configuration, 138	accessing configuration, 103

configuring, 104, 105	N
configuring Microsoft SQL clients, 108 enabling services, 107 how it works, 102 recommented configuration, 103 understanding, 101 verifying setup, 108 Militant/Extremist category, 298 modifying configuration sets, 85 modifying destination access rules, 46 modifying encryption keys, 267 modifying network groups, 56 modifying networks, 52 modifying packet screening rules, 235 modifying service group rules, 38 modifying service groups, 34 modifying user restriction rules, 76 modifying users, 64	netacl daemon, 88, 159 Netscape Navigator, 135 proxy awareness, 176 NetShow, 6 TCP port 1755, 110 NetShow player and HTTP proxy, 115 configuring, 114 NetShow proxy configuring, 111 transparency, 114 using, 114 network access control daemon, 88, 159 Network Group Name option, 55 network groups accessing configuration, 53 creating, 54 default, 48
modifying VNPs, 271	
monitoring tools, 225 mssql-gw, 102 multimedia proxy accessing configuration, 111 configuring, 113 configuring firewall, 112 enableing, 113 how it works, 110 planning firewall, 112 understanding, 110 verifying setup, 113 multimedia services, 6 multiple configurations for a proxy, 82 multiple firewalls, 263 multiple POP3 servers, 224	definition, 48 deleting, 56 modifying, 56 planning, 54 understanding, 47 when to add new, 49 network interface cards, 5 Network IP Address option, 51 network management services (SNMP), 6 network management services, 121 network monitoring services, 121 network monitoring tools, 225 Network News Transfer Protocol, 129 networks accessing configuration, 50 adding, 50 creating, 51

definition, 47	0
deleting, 53 modifying, 52 planning, 50 trusted, 3 types, 3 understanding, 47 unknown, 4 untrusted, 3 wildcard character, 48 Network Source option (security rules), 37 news (Usenet), 129 news feed, informing, 134 News proxy configuring, 131 configuring firewall, 131 configuring news server, 134	one-time password, 57, 62 One-Time Password in Everything (OPIE), 62 online help, 24 operating system for Gauntlet Firewall, 5 OPIE, 62 order of precedence change for packet screening rules, 236 changing, 46 changing for service group rules, 38 changing for user restriction rules, 77 destination access rules, 40 packet screening rules, 231 service group rules, 35 user restriction rules, 72
enabling, 134 how it works, 130 informing news feed, 134 planning settings, 131 understanding, 130 using news, 135 news proxy, using, 135 News Reader settings, 133 news server, configuring, 134	packets examined by Gauntlet Firewall, 13 processing, 12 receiving, 12 spoofed packets, 8 packet screening, 225
NNTP News Client, 190 News Server, 190 port, 130 protocol, 129 non-standard ports for Web services, 176 nontransparent access Web services, 176 Norton AntiVirus for Firewalls, 290	absorb rules, 228 accessing configuration, 232 adding rules, 233 adding rules manually, 233 changing order of precedence, 236 changing rule order of precedence, 236 creating rules, 233 deleting rules, 235 deny rules, 227 destination IP address rules, 229 destination port rules, 231 field rules, 229 how it works, 227 how rules work, 227

planning LDAP proxy, 97
planning lp proxy, 142
planning network groups, 54
planning networks, 50
planning News settings, 131
planning packet screening rules, 233
planning plug proxy settings, 193
planning POP3 proxy settings, 221
planning rlogin proxy, 161
planning riogni proxy, 101 planning rsh proxy settings, 147
planning ran proxy settings, 147
planning SMTP proxy, 216
planning SNMP agent settings, 308
planning Sybase proxy settings, 154
planning TELENT proxy, 161
planning the firewall, 19
planning user restriction rules, 75
planning VPNs, 268
planning X11 proxy settings, 184
plug-board proxy, 7
plug-gw daemon, 191
plug proxy
accessing configuration, 192
and NNTP traffic, 130 and TCP protocol, 81
configuring, 193
configuring firewall, 192
enabling, 195
how it works, 191
planning settings, 193
SSL plug proxy for SSL or SHTTP services, 170
TCP-based protocols, 193
UDP-based services, 189 understanding, 190
vs. circuit proxy, 197
wildcard characters, 194
plug proxy risk assessment, 189

plug proxy versions	print queue for lp proxy, 140
AOL, 7	print server
CompuServe, 7	configuring, 140
finger, 7	print services, 6, 137
Usenet news, 7	privacy without trust, 262
Web services, 7	- •
whois, 7	privacy with trust, 262
POP3, 6, 213	private links, 262
APOP authentication, 213	processing packets
pop3-gw daemon, 220	calling appropriate program, 13
POP3 proxy	checking request type, 13
accessing configuration, 220	checking source and destination, 13
authentication, 60	overview, 12
configuring, 221	process the request, 14
configuring internal mail server, 223	receive packet, 12
configuring your internal POP3 mail server, 223	processing requests, 14
enabling services, 222	programs
exchanging mail, 223	called by firewall during processing, 13
how it works, 220	protecting integrity database, 257
internal mail server, 223	protocols
multiple servers, 224	and proxies, 81
password, 222	rules, 230
planning settings, 221	proxies
understanding, 219	and protocols, 81
user authentication entries, 222	circuit, 7
Port option for service groups, 34	circuit proxy, 197
possible items of interest section, 251	configuring, 82
Post Office Protocol Version 3, 213	creating configuration sets, 82
PostScript header, 282	described, 6
precedence	FTP proxy, 87
changing for service group rules, 38	Gopher, 168
packet screening rules, 231	logs created, 240
precedence for destination access rules, 40	MediaBase, 207
	multimedia, 109
precedence for service group rules, 35	plug, 7
precedence for user restriction rules, 72	plug proxy, 190
print client,configuring, 140	POP3, 219
printer port, 138	rlogin, 158
Print Manager, 140	SMPT, 213 SNIMD, 121
	SNMP, 121

strong user authentication, /	Reboot menu command, 23, 24
supported, 6	receiving packets, 12
Sybase, 151	Remote Authentication Dial-In User Service, 62
TELNET, 158	remote execution, 6
understanding, 81	remote print queue, 140
X11, 181	remote shell <i>See</i> rsh proxy
proxy-aware web browsers, 176	remote use of Gauntlet Firewall Manager, 17
proxy services	replication server, 102
user restrictions, 71	reporting
	security alerts, 248
Q	understanding, 239
	report recipients, 245
Q files, 278	reports
quarantine area, 290	accessing configuration, 245
queries to Info Server, 278	configuring, 245
query files, 283	configuring frequency, 246
QuickTime movie header, 282	configuring recipients, 245
Quit menu command, 23	creating, 243
Quote of the Day service (in example), 192	events to ignore, 246
quote of the 2 ty service (in entample), 102	exception reports, 243, 244
	frequency, 246 log file, 249
R	reading, 249
	service summary reports, 243, 244
RADIUS, 62, 358	requests
random-challenge password, 60, 61	checking type, 13
reading exception reports, 250	processing, 14
reading service summary reports, 250	request type, 13
RealAudio	resolution requirement, 20
TCP port 7070, 110	restoring the firewall, 16
RealAudio/RealVideo proxy	retention time for log, 242
RealPlayer, 116	reusable passwords, 63, 362
RealAudio/RealVideo See RealPlayer	RFC 1213 (MIB-II), 310
RealPlayer, 6, 116	risk assessment for plug proxy, 189
RealPlayer proxy	rlogin, 6, 159
configuring, 112	rlogin-gw, 159
transparency, 115	8 8
using, 115	rlogin proxy

accessing configuration, 160	S
authentication management, 162	
configuring, 161, 162	SafeWord, 61, 344
default rules, 159	sample headers, 282
enabling, 162	Satanic or Cult category, 298
how it works, 159	Save, Apply, and Reboot menu command, 23, 24
planning, 161	Save and Apply menu command, 23
understanding, 157, 158	Save menu command, 23
using terminal services, 163	
verifying setup, 162	saving changes, 23
with authentication, 163 without authentication, 163	scanning See content scanning
	screen resolution requirement, 20
routing VNP packets, 265	Search Engines category, 299
rsh, 6	SecureNet Key, 348
rsh-gw daemon, 146	SecurID, 62, 348
rsh proxy	security, per-application, 6
accessing configuration, 147	security alerts, 241, 248
configuring, 148	security alerts section, 251
configuring firewall, 147	•
configuring remote system, 149	security breaches, reasons, 2
default configuration, 146	Security Dynamics, 62
enabling, 148	security perimeter, 2
how it works, 146	example, 10
planning settings, 147 time-out values, 148	trusted networks, 3
understanding, 145	untrusted networks, 3
using, 149	sendmail program, 213
verifying setup, 148	-bt, 219
rules	servers
and service groups, 4	description, 18
creating destination access rules, 45	running web servers, 180
deleting destination access rules, 46	X server, 182
destination access, 40	service access, 39
modifying destination access rules, 46	Service Configurations option (security rules), 37
order of precedence, 35, 40, 72	service group access, 39
packet screening rules, 233	service group rules
understanding service group rules, 35	accessing configuration, 36
user restriction, 72	adding, 37
running web servers, 180	changing order, 38
<del>-</del>	deleting, 38

modifying, 38	SMTP proxy
order of precedence, 35	accessing configuration, 215
understanding, 35	client, 214
service groups	configuring, 216
accessing configuration, 31	configuring other settings, 218
Allow Password Change option, 34	enabling, 217
configuring, 32	how it works, 214
creating, 33	planning, 216
default, 4, 28	understanding, 214
deleting, 35	verifying, 218
described, 4	SNMP agent, 127
destination restrictions, 34	accessing configuration, 307
membership, 30	and MIB, 310
modifying, 34	CA Unicenter package, 309
planning, 32	community, 310
Port option, 34	configuring, 127
rules, 4	configuring firewall, 307
trusted, 29	configuring settings, 308
understanding, 27	configuring SNMP network managers, 309
untrusted, 29	enabling, 308
when to add new, 30	how it works, 306
services to be disabled, 6	object ID, 311
service summary reports, 243, 244	planning settings, 308
reading, 250	trap, 311
Sex Education category, 298	understanding, 306
Sexual Acts/Text category, 297	SNMP network managers
SHTTP services, 6, 170	CA Unicenter, 309
	configuring, 309
Simple Mail Transport Protocol, 213	HP OpenView, 309
S/Key, 62, 353	SNMP proxy
smap daemon, 214	accessing configuration, 123
smapd daemon, 214	configuring, 125
smap SMTP client, 214	configuring firewall, 124
SMPT proxy	configuring SNMP agent, 127
configuring, 216	configuring SNMP agents, 127
SMTP, 6, 213	enabling, 126
and content scanning, 285	how it works, 122
SMTP Mail	ICMP traffic, 126
content scanning, 286	ping, 126
content scanning, Lov	planning firewall, 124

trap requests, 123	circuit proxy, 198
understanding, 121	CRYPTOCard RB-I, 61, 336
SNMP requests, 122	Defender Security Server, 341
SNMP trap requests, 123	Digipass, 61, 341
software components of Gauntlet Firewall, 5	HTTP proxy, 168
source IP address rules, 229	RADIUS, 62, 358
source port rules, 231	reusable passwords, 63, 362
sources	SafeWord, 61, 344 SecureNet Key, 348
checking, 13	SecurID, 62, 348
specifying allowed activities, 4	S/Key, 62, 353
	understanding, 60
spoofing IP-spoofing checks, 226	web services, 178
spoofed packets, 8	supported proxies, 6
Sports & Entertainment category, 299	Sybase clients
	configuring, 156
SQL server proxy. See Microsoft SQL Server Proxy	Sybase proxy
SQL services, 6	accessing configuration, 153
SSL proxy	configuring, 154
accessing configuration, 171	configuring firewall, 154
configuring, 174	configuring Sybase clients, 156
enabling, 175	enabling, 155
how it works, 168	how it works, 152
transparent access, 175	planning settings, 154
SSL services, 170	recommended configuration, 153
standard firewall configuration, 9	understanding, 151
standard printer port, 138	verifying setup, 156
starting VNPs, 272	verifying your setup, 156
stopping VNPs, 273	Sybase SQL, 6
StreamWorks, 6	syb-gw, 152
configuring proxy, 112	Symantec Norton AntiVirus for Firewalls, 291
player, 117	system configuration, 20
proxy, transparency, 117	system integrity
StreamWorks, using proxy, 117	configuring checks, 254
StreamWorks XDMA port (UDP port 1558), 110	creating an integrity database, 256
strong authentication, 57	files to ignore in check, 255
Access Key II, 60, 333	how it works, 254
and proxies, 7	understanding, 253
APOP, 60	updating the integrity database, 257 verifying, 257

System Manager	understanding, 157, 158
creating user accounts, 16	using terminal services, 163
system warnings section, 251	verifying setup, 162
·	with authentication, 163
	without authentication, 163
Т	terminal services, 6, 157
TODA I A A	testing VNPs, 272
TCP-based protocols for plug proxy, 193	text-based interface to Gauntlet Firewall, 17
TCP port 110, 220	Text header, 282
TCP port 119, 130	time-based password, 62
TCP port 1755, 110	time-out values
TCP port 25, 214	rsh proxy, 148
TCP port 44, 170	TN3270
TCP port 513, 159	with authentication, 165
TCP port 514, 146	TN3270 requests, 158
TCP port 515, 138	tn-gw, 159
TCP port 6000, 182, 183	traceroute, 228
TCP port 7, 170	transparency
TCP port 7000, 110	default for Gauntlet Firewall, 5
TCP port 7070, 110	described, 5
TCP port 80, 168, 170	HTTP access, 175
TCP protocol and plug proxy, 81	LDAP clients, 97
TCP traffic	lp proxy, 140
circuit proxy, 7	NetShow proxy, 114 RealPlayer proxy, 115
plug-board proxy, 7	SMTP proxy, 218
TELNET, 6, 7	StreamWorks proxy (not supported), 117
and circuit proxy, 199	VODLive proxy, 118
and X11 proxy, 182	trap, 311
telnetd, 159	trap requests
TELNET proxy	SNMP proxy, 123
accessing configuration, 160	trusted links, 262
authentication management, 162	trusted network groups, 48
configuring, 162	trusted networks, 3
configuring firewall, 161	and unknown networks, 4
default rules, 159	transparency, 5
enabling, 162 how it works, 159	trusted service groups
planning, 161	default, 29
F	

FTP, 88	user restrictions, 71
trusted VNP	accessing configuration, 73
creating, 269	changing order of precedence, 77
	configuring rules, 75
	creating rules, 75
U	deleting rules, 76
	how they work, 72
UDP and network monitoring, 225	modifying rules, 76
UDP-based services and plug proxy, 189	order of precedence, 72
UDP port 1558, 110	supporting proxy services, 71
unknown host name, 42	users
Unknown keyword, 42	changing authentication methods, 66 changing group membership, 65
unknown networks, 4	changing group membership, 65
untrusted network groups, 49	configuring, 63
untrusted networks, 3	creating, 64
and unknown networks, 4	deleting, 69
transparency, 5	disabling, 68
untrusted service groups	enabling, 68
default, 29	in authentication management system, 59
HTTP access, 29	modifying, 64
password, 29	understanding, 59
updating integrity database, 257	using circuit proxy, 204
upgrade information, 331	using FTP services, 91
URL filtering	using Gauntle Firewall Manager, 18
configuring, 294	using Gopher services, 180
understanding, 293	using Info Server, 284
Usenet news, 7, 129	using lp proxy, 144
user accounts, 2	using news, 135
creating, 16	using RealPlayer proxy, 115
user authentication entries, 222	using rsh services, 149
user authentication management system, 20, 57	using StreamWorks proxy, 117
user configuration, accessing, 63	using VODLive proxy, 118
user IDs, changing, 65	using web services, 175
user names, changing, 65	using X11 services, 185
user restriction rules, 72	/usr/local/etc/infodb, 276
order of precedence, 72	/usr/local/etc/ipe, 273
planning, 75	utilities
wildcard, 76	dunucs

management utilities, 8	deleting keys, 267 encryption keys, 265 how they work, 264
v	modifying, 271 modifying keys, 267
/var/adm/SYSLOG, 240	passthrough link, 263
VASCO Data Security, 60	planning, 268
VDOLive TCP port 7000, 110	privacy without trust (private link), 262 privacy with trust (trusted link), 262
VDOLive proxy configuring, 112 using, 118	private link, 262 routing packets, 265 starting, 272 stopping, 273
verifying circuit proxy setup, 204	testing, 272
verifying FTP proxy setup, 91	trusted link, 262
verifying Microsoft SQL Server proxy setup, 108 verifying multimedia proxy setup, 113	understanding, 260
verifying packet screening configuration, 236 verifying rsh proxy setup, 148	w
verifying setup for rlogin proxy, 162 verifying setup for TELENT proxy, 162 verifying SMTP proxy, 218 verifying Sybase proxy setup, 156 verifying system integrity, 257 video MediaBase proxy, 207 Violence/Profanity category, 297 virtual private networks (VPN), 259 virtual X server, 182 virus checking See content scanning, 285 VODLive proxy transparency, 118	warnings packet screening, 225 packet screening rules, 233 using both interfaces, 17 using proprietary protocols, 189 weak authentication, 178 web browser configuring, 21 web browsers configuring, 176 configuring non-proxy-aware browsers, 179 proxy aware, 176 web servers, running, 180
VPN (virtual private networks), 259 VPNs accessing encryption configuration, 265 adding, 268 configuring, 268 deleting, 272	Gopher proxy web services, 6 accessing for non-proxy-aware browsers, 179 accessing with authentication, 178 accessing without authentication, 178 Info Server, 275 See Also HTTP proxy, 175

```
using, 175
Web services (SSL), 7
whois, 7, 190
wildcard
 in user restriction rules, 76
wildcard character, 48
wildcard characters
  plug proxy, 194
wildcards
 in SNMP proxy configuration, 125
Work Time, 303
X
X11, 6
X11 proxy
  accessing configuration, 183
 and TELNET, 182
 configuring, 184
 configuring firewall, 183
  enabling, 185
  how it works, 182
  planning, 184
  understanding, 181
  using X11 services, 185
 X port, 183
X client, 182
X port, 183
X server, 182
xurl-encoded characters
  filtering, 293
X Window services, 6
```

### Ζ

ZIP header, 282

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