

Andhra Pradesh Rural Water Supply and Sanitation (APRWSS) Project

Procurement Plan
December, 2009 - June, 2011



Rural Water Supply and Sanitation Department
Government of Andhra Pradesh

Procurement Plan

I. GENERAL

1. Project information:

Country	:	India
Borrower	:	GoAP
Project Name	:	Andhra Pradesh Rural Water Supply and Sanitation (APRWSS) Project
Loan /Credit No.	:	To be allotted.
Project Implementing Agency (PIA)	:	Rural Water Supply and Sanitation Department (RWSSD), Government of Andhra Pradesh

Bank's approval Date of the Procurement Plan

[Original] :-----2009

[Revision 1] :

2. Date of General Procurement Notice TO BE FILLED IN

3. Period Covered by this procurement plan : 18 months

II. Goods and Works and non-consulting services:

1. Procurement Methods and Prior Review Threshold:

- a) Goods estimated to cost US\$500,000 or more per contract and works estimated to cost US\$10,000,000 or more per contract will be procured following ICB procedures as per Section II of the Procurement Guidelines. Goods estimated to cost US\$50,000 or more but less than US\$500,000 per contract and works estimated to cost US\$50,000 or more but less than US\$10,000,000 per contract may be procured following NCB procedures in accordance with paragraph 3.3 and 3.4 of the Guidelines
- b) Goods and works estimated to cost less than US\$50,000 per contract may be procured following Shopping procedures in accordance with paragraph 3.5 of the Guidelines
- c) Petty purchase of goods and works upto US\$ 200 may be procured under direct contracting. Subject to Bank's clearance the Goods and works which meet the requirement of Paragraph 3.6 of the Bank Guidelines may be procured following Direct Contracting Procedures.
- d) Works estimated to cost less than \$15000 per contract may be procured following Community contracting Procedures in accordance with paragraph 3.17 of the guideline.

- e) Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement.

	Procurement Method	Prior Review Threshold	Comments
1	ICB (Goods)	All contracts	
2	NCB (Goods)	First contract from each district irrespective of the value and all subsequent contracts above US\$ 500,000.	All other contracts will be subject to Post Review
3	ICB (Works)	All contracts	
4	NCB (Works)	First two SVS contracts from each district and first MVS contract irrespective of the value and subsequent contract above \$1,000,000	All other contracts will be subject to Post Review
5	Shopping		All shopping contracts will be subject to post review
6	Community Contracting		Post Review
7	Direct contracting (Goods)	Petty purchases upto \$200.	
8	Direct Contracting (Goods & Works)	Goods and works which meet the requirement of Paragraph 3.6 of the Bank Guidelines with prior Bank Agreement	All procurement shall be with Bank's prior clearance. Contracts >\$10000 will be prior reviewed.
9.	Force Account	All contracts with Bank's prior clearance.	

- .2 **Procurement Packages with Methods and Time Schedule**
Procurement Plan for Works and Goods is attached at Annexure-1A and Annexure -1B respectively.

III. Selection of Consultants

1. Selection Methods and Prior Review Threshold:

- a) Consultancy Services will be procured following Least Cost Selection, CQ, Single Source, QBS and QCBS methods in accordance with the Bank's Guidelines. Contracts estimated to cost USD 100,000 and below, may be procured following CQ method of selection in accordance with the Consultant Guidelines. The services of individual consultants will be procured in accordance with Section V of Bank's Guidelines.

- b) Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	Contracts estimated to cost USD 100,000 or more	All other contracts will be subject to Post Review
2	Single Source (Firms/individuals).	All Sole source contracts requires appropriate justification and prior clearance of the Bank. Sole Source contracts more than \$50000 for firm and more than \$30000 for individual will be prior reviewed	All other contracts will be subject to Post Review
3	Individual consultants (competitive) In accordance with the Para 5.1 to 5.4 of the Bank Guidelines.	Contracts estimated to cost USD 10,000 or more	All other contracts will be subject to Post Review

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$USD 500,000equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
3. **Procurement Plan for Consultancies and Capacity Building is attached at Annexure 1C**
- IV. **Implementing Agency Capacity Building Activities with Time Schedule**
1. **The agreed Capacity Building Activities are listed below with the agreed time schedule**

No.	Expected outcome/ Activity Description	Year 1	Year 2	Comments
1.	Training on procurement for all staff of PSU/DPSU/DWSC associated with procurement activities prior to project effectiveness.	X		
2	Staff who have earlier received procurement training will be deputed to ASCI, Hyderabad or .NIFM, Faridabad for a refresher course		X	

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18 Months Procurement Plan for Works (Dec 09-June 11)

Sr.No.	Package No.	Description of works			Total Estimated Cost (RS Lakhs)	Method of procurement	Design investigation completed (Date)	Estimate prepared and sanctioned (Date & Vale)	Preparation of bid document (Date)	Banks no objection to bidding document	Bids		Contract award decided (Date/Value/Currency)	Banks no objection contract award (Date)	Contract signend (Date/ Value/ Currency)	Date of completion fo contract	Expenditure incurred to date	Implementing agency
		Description of works	No of Schemes (Contracts)	unit cost (Rs Lakhs)							Invitation (Date)	Opened on (Date)						
1	2	3	4	6	7	8	9	10	11	12	13	14	15	16	18	21	22	23
Year 1 (Dec 09-Nov 10)																		
New Investments																		
1		SVS in Six districts*	347	16.60	5760	NCB Shopping	1-Mar-10	16-Mar-10	31-Mar-10		15-Apr-10	15-May-10	9-Jun-10		16-Jun-10			DWSC
2		MVS(Common & intra village facilities) in Six districts	3	470.00	1410	NCB	1-Apr-10	16-Apr-10	1-May-10		16-May-10	15-Jun-10	10-Jul-10		17-Jul-10			DWSC
3		Roof top Rain water harvesting	300	0.22	66	Shopping												DWSC
4		Innovative technology	6	22.00	132	NCB Shopping	1-Apr-10	16-Apr-10	1-May-10		16-May-10	15-Jun-10	10-Jul-10		17-Jul-10			DWSC
Rehabilitation and Augmentation																		
5		Improving ground water sources	434	0.720	312	Shopping												DWSC
6		Augmentation of Existing SVSchemes	300	7.200	2160	Shopping												DWSC
Environmental sanitation																		
7		Pavements, drains and SLWM in major GPs	10	33.00	330	NCB/Shopping	1-Apr-10	16-Apr-10	1-May-10		16-May-10	15-Jun-10	10-Jul-10		17-Jul-10			DWSC
8		Soak pits in habitations to be provided with water supply under the Project	472	0.41	194	CC(through beneficiary)	1-Mar-10	16-Mar-10							15-Apr-10			DWSC
9		ISL in BPL Households	472	1.22	576	CC(through beneficiary)	1-Mar-10	16-Mar-10							15-Apr-10			DWSC
Year 2 - (Dec 10- June 11)																		
New Investments																		
1		SVS in Six districts	407	18.50	7,530	NCB Shopping	1-Jan-11	16-Jan-11	31-Jan-11		15-Feb-11	17-Mar-11	11-Apr-11		18-Apr-11			DWSC
2		MVS(Common & intra village facilities) in Six districts	5	612.00	3060	NCB	1-Feb-11	16-Feb-11	3-Mar-11		18-Mar-11	17-Apr-11	12-May-11		19-May-11			DWSC
3		Roof Top Rain water harvesting	450	0.24	108	Shopping												DWSC
4		Innovative technology	12	24.20	290	NCB Shopping	1-Jan-11	16-Jan-11	31-Jan-11		15-Feb-11	17-Mar-11	11-Apr-11		18-Apr-11			DWSC
Rehabilitation and Augmentation																		
5		Improving ground water sources	509	0.79	402	Shopping												DWSC
6		Augmentation of Existing SVSchemes	300	7.80	2,340	Shopping												DWSC
Environmental sanitation																		
7		Pavements, drains and SLWM in major GPs	15	36.30	545	NCB/Shopping	1-Jan-11	16-Jan-11	31-Jan-11		15-Feb-11	17-Mar-11	11-Apr-11		18-Apr-11			DWSC
8		Under Ground Drainage(UGD)	3	303.00	909	NCB	1-Mar-11	16-Mar-11	31-Mar-11		15-Apr-11	15-May-11	9-Jun-11		16-Jun-11			DWSC
9		Soak pits in habitations to be provided with water supply under the Project	584	0.45	263	CC(through beneficiary)	1-Jan-11	16-Jan-11							15-Feb-11			DWSC
10		ISL in BPL Households	584	1.22	712	CC(through beneficiary)	1-Jan-11	16-Jan-11							15-Feb-11			DWSC
					Total													
27,099																		

Note: 1- Estimated Cost of the work and agreed threshold shall determine selection of method of procurement

All shopping contracts shall be within the agreed threshold of \$ 50000.

First two SVS contracts from each District irrespective of the value and first MVS contract shall be prior reviewed by the Bank

All contracts >=\$1,000,000 shall be prior reviewed by the Bank

Direct Contractig : All direct contracting will require Bank prior clearance

CC: Community Contracting

Abbreviations:SVS - Single village Scheme, MVS -, MVS-Multi Village Scheme

*Six Districts : Vishakhapatnam,Prakasam, Kadapa,Karimnagar, Adilabad and Mahabubnagar

18 Months Procurement Plan For Consultancy (Dec 09-June 11)

Sl. No.	Package No.	Description of Services	No of contracts	Unit cost (Rs. Lakhs)	Estimated Cost (Rs. Lakhs)	Methods of Selection	Advertising for Short listing (Date)	TOR/Shortlist Finalised (Date)	RFP Final Draft to be forwarded to the Bank (Date)	No objection from Bank for TOR/Shortlist /Final RFP (Date)	RFP Issued (Date)	Proposals to be Received by the Project Authorities	Evaluation to be Fianalised (Technical/#Combined/ Draft Contract/ Final Contract) (Date) **	No Objection by the Bank (Technical/Dr #Combined/Dr aft Contract/ Final Contract) (Date) **	Contract Numbers, Value and Currency	Name of Consultant, Nationality	Services to be Completed (Date)	Exp. Incurred to Date	Implementing agency
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1		Monitoring & Evaluation ¹	1	150	150	QCBS	15-Oct-09	30-Oct-09			6-Nov-09	6-Dec-09	21-Dec-09	28-Dec-09					PSU
2		Support organisation ²	30	5	150	QCBS/CQ/Single Source	1-Nov-09	16-Nov-09			23-Nov-09	23-Dec-09	7-Jan-10	14-Jan-10					DWSC
3		Training & Capacity Building to Community ²	45	4	158	CQ/SS	15-Dec-09	30-Dec-09			6-Jan-10	5-Feb-10	20-Feb-10	27-Feb-10					DWSC
4		Engineering Support ³	18	29.3	528	CQ	15-Dec-09	30-Dec-09			6-Jan-10	5-Feb-10	20-Feb-10	27-Feb-10					DWSC
5		Independent constructio quality surveillance consultants	1	40	40	QCBS	15-Dec-09	30-Dec-09			6-Jan-10	5-Feb-10	20-Feb-10	27-Feb-10					PSU
6		Training and Capacity Building ⁴																	
a		Training on World Bank Procurement procedures and systems	1	25	25	Single source	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
b		Training on monitoring and evaluation and MIS	1	25	25	CQ	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
c		Training on financial management procedures, accounting etc.	1	50	50	QCBS	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
d		Training on contract management	1	25	25	CQ	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
e		Training of trainers on CLTS, community action plan, community empowerment and monitoring	3	40	120	CQ	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
f		ToT on feasibility studies, engineering survey and design	2	35	70	CQ	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
g		Training on change management, leadership, motivation	1	50	50	QCBS	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU
h		Training on planning and design of SVS/MVS and establishment of O&M Systems, and O & M of schemes	3	50	150	QCBS	1-Dec-09	16-Dec-09			23-Dec-09	22-Jan-10	6-Feb-10	13-Feb-10					PSU

18 Months Procurement Plan for goods (Dec 09-June 11)																			
Sr.No	Package No.	Description of goods	No of contracts	Unit cost (RS Lakhs)	Estiamted total Cost (RS Lakhs)	Method of procurement	Design investigation completed (Date)	Estimate prepared and sanctioned (Date & Vale)	Preparation of bid document (Date)	Banks no objection to bidding document (Date)	Bids		Contract award decided (Date/Value /Currency)	Banks no objection contract award (Date)	Contract sigend (Date/ Value/ Currency)	Contr act No.	Nam eof contrac tor, Nation aliy	Date of complet ion fo contrac t	Implementing agency
											Invitation (Date)	Opened on (Date)							
1	2	3		4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1		Purchase of Office equipments and furniture of PSU	Several#	-	30	Shopping	15-Dec-09	30-Dec-09					25-Jan-00		7-Jan-00				PSU
2		Purchase of Office equipments and furniture of DPSU	Several#	-	90	Shopping	15-Dec-09	30-Dec-09					24-Jan-10		31-Jan-10				DWSC

Total 120

#- Equipments likely to be purchased for Office Establishment at PSU are- Server(1 No) Computers (Apprx.-10), Printers(Apprx.-5), UPS(Apprx.-3), Projectors -1, Photocopy machines-2, fax machines-1 and air conditioners-2

##-Equipments likely to be purchased for Office Establishment at each DPSU are- Computers (Apprx.-5), Printers(Apprx.-3), UPS(Apprx.-1), Projector -1, Photocopy machines-1, fax machines-1 and air conditioners-1

* Furniture likely to be purchased for Office Establishment at PSU are - Executive table and Chair (1NO), Office Tables & Chairs (12 Nos),Visitor chairs (20 Nos), Computer tables& chars (10 Nos),Almarahs(8 Nos), Toners , Office Stationery etc.,

** Furniture likely to be purchased for Office Establishment at each DPSU are - Executive table and Chair (1NO), Office Tables & Chairs (8 Nos),Visitor chairs (10 Nos), Computer tables& chars (5 Nos),Almarahs(5 Nos), Toners , Office Stationery etc.,

#No Contract will exceed threshold limit of US \$ 50,000.