

# NVIDIA<sup>®</sup> OptiX<sup>™</sup> Ray Tracing Engine

**Programming Guide** 

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# Chapter 1. Introduction

# 1.1. OptiX Overview

GPUs are best at exploiting very high degrees of parallelism, and ray tracing fits that requirement perfectly. However, typical ray tracing algorithms can be highly irregular, which poses serious challenges for anyone trying to exploit the full raw computational potential of a GPU. The NVIDIA OptiX ray tracing engine and API address those challenges and provide a framework for harnessing the enormous computational power of both current- and future-generation graphics hardware to incorporate ray tracing into interactive applications. By using OptiX together with NVIDIA's CUDA<sup>TM</sup> architecture, interactive ray tracing is finally feasible for developers without a Ph.D. in computer graphics and a team of ray tracing engineers.

OptiX is not itself a ray tracer. Instead, it is a scalable framework for building ray tracing based applications. The OptiX engine is composed of two symbiotic parts: 1) a host-based API that defines ray-tracing based data structures, and 2) a CUDA C-based programming system that can produce new rays, intersect rays with surfaces, and respond to those intersections. Together, these two pieces provide low-level support for "raw ray tracing". This allows user-written applications that use ray tracing for graphics, collision detection, sound propagation, visibility determination, etc.

#### 1.1.1. Motivation

By abstracting the execution model of a generic ray tracer, OptiX makes it easier to assemble a ray tracing system, leveraging custom-built algorithms for object traversal, shader dispatch and memory management. Furthermore, the resulting system will be able to take advantage of future evolution in GPU hardware and OptiX SDK releases – similar to the manner that OpenGL and Direct3D provide an abstraction for the rasterization pipeline.

Wherever possible, the OptiX engine avoids specification of ray tracing behaviors and instead provides mechanisms to execute user-provided CUDA C code to implement shading (including recursive rays), camera models, and even color representations. Consequently, the OptiX engine can be used for Whitted-style ray tracing, path tracing, collision detection, photon mapping, or any other ray tracing-based algorithm. It is designed to operate either standalone or in conjunction with an OpenGL or DirectX application for hybrid ray tracing-rasterization applications.

#### 1.1.2. Programming model

At the core of OptiX is a simple but powerful abstract model of a ray tracer. This ray tracer employs user-provided programs to control the initiation of rays, intersection of rays with surfaces, shading with materials, and spawning of new rays. Rays carry user-specified payloads that describe per-ray variables such as color, recursion depth, importance, or other attributes. Developers provide these functions to OptiX in the form of CUDA C-based functions. Because ray tracing is an inherently recursive algorithm, OptiX allows user programs to recursively spawn new rays, and the internal execution mechanism manages all the details of a recursion stack. OptiX also provides flexible dynamic function dispatch and a sophisticated variable inheritance mechanism so that ray tracing systems can be written very generically and compactly.

# 1.2. Ray tracing basics

"Ray tracing" is an overloaded term whose meaning can depend on context. Sometimes it refers to the computation of the intersection points between a 3D line and a set of 3D objects such as spheres. Sometimes it refers to a specific algorithm such as Whitted's method of generating pictures or the oil exploration industry's algorithm for simulating ground wave propagation. Other times it refers to a family of algorithms that include Whitted's algorithm along with others such as distribution ray tracing. OptiX is a ray tracing engine in the first sense of the word: it allows the user to intersect rays and 3D objects. As such it can be used to build programs that fit the other use of "ray tracing" such as Whitted's algorithm. In addition OptiX provides the ability for users to write their own programs to generate rays and to define behavior for when rays hit objects.

For graphics, ray tracing was originally proposed by Arthur Appel in 1968 for rendering solid objects. In 1980, Turner Whitted pursued the idea further by introducing recursion to enable reflective and refractive effects. Subsequent advances in ray tracing increased accuracy by introducing effects for depth of field, diffuse inter-reflection, soft shadows, motion blur, and other optical effects. Simultaneously, numerous researchers have improved the performance of ray tracing using new algorithms for indexing the objects in the scene.

Realistic rendering algorithms based on ray tracing have been used to accurately simulate light transport. Some of these algorithms simulate the propagation of photons in a virtual environment. Others follow adjoint photons "backward" from a virtual camera to determine where they originated. Still other algorithms use bidirectional methods. OptiX operates at a level below such algorithmic decisions, so can be used to build any of those algorithms.

Ray tracing has often been used for non-graphics applications. In the computer-aided design community, ray tracing has been used to estimate the volume of complex parts. This is accomplished by sending a set of parallel rays at the part; the fraction of rays that hit the part gives the cross-sectional area, and the average length that those rays are inside the part gives the average depth. Ray tracing has also often been used to determine proximity (including collision) for complex moving objects. This is usually done by sending "feeler" rays from the surfaces of objects to "see" what is nearby. Rays are also commonly used for mouse-based object selection to determine what object is seen in a pixel, and for projectile-object collision in games. OptiX can be used for any of those applications.

The common feature in ray tracing algorithms is that they compute the intersection points of 3D rays (an origin and a propagation direction) and a collection of 3D surfaces (the "model" or "scene"). In rendering applications, the optical properties of the point where the ray intersects the model determine what happens to the ray (e.g., it might be reflected, absorbed or refracted). Other applications might not care about information other than where the intersection happens, or even if an intersection occurs at all. This variety of needs means it is desirable for OptiX to support a variety of ray-scene queries and user-defined behavior when rays intersect the scene.

One of ray tracing's nice features is that it is easy to support any geometric object that can be intersected with a 3D line. For example, it is straightforward to support spheres natively with no tessellation. Another nice feature is that ray tracing's execution is normally "sub-linear" in the number of objects---doubling the number of objects in the scene should less than double the running time. This is accomplished by organizing the objects into an acceleration structure that can quickly reject whole groups of primitives as not candidates for intersection with any given ray. For static parts of the scene, this structure can be reused for the life of the application. For dynamic parts of the scene, OptiX supports rebuilding the acceleration structure when needed. The structure only queries the bounding box of any geometric objects it contains, so new types of primitives can be added and the acceleration structures will continue to work without modification, so long as the new primitives can provide a bounding box.

For graphics applications, ray tracing has advantages over rasterization. One of these is that general camera models are easy to support; the user can associate points on the screen with any direction they want, and there is no requirement that rays originate at the same point. Another advantage is that important optical effects such as reflection and refraction can be supported with only a few lines of code Hard shadows are easy to produce with none of the artifacts typically associated with shadow maps, and soft shadows are not much harder. Furthermore, ray tracing can be added to more traditional graphics programs as a pass that produces a texture, letting the developer leverage the best of both worlds. For example, just the specular reflections could be computed by using points in the depth buffer as ray origins. There are a number of such "hybrid algorithms" that use both z-buffer and ray tracing techniques.

For further information on ray tracing in graphics, see the following texts:

- The classic and still relevant book is "An Introduction to Ray Tracing" (Edited by A. Glassner, Academic Press, 1989).
- A very detailed beginner book is "Ray Tracing from the Ground Up" (K. Suffern, AK Peters, 2007).
- A concise description of ray tracing is in "Fundamentals of Computer Graphics" (P. Shirley and S. Marschner, AK Peters, 2009).
- A general discussion of realistic batch rendering algorithms is in "Advanced Global Illumination" (P. Dutré, P. Bekaert, K. Bala, AK Peters, 2006).
- A great deal of detailed information on ray tracing and algorithms that use ray tracing is in "Physically Based Rendering" (M. Pharr and G. Humphreys, Morgan Kaufmann, 2004).
- A detailed description of photon mapping is in "Realistic Image Synthesis Using Photon Mapping" (H. Jensen, AK Peters, 2001).
- A discussion of using ray tracing interactively for picking and collision detection, as well as a detailed discussion of shading and ray-primitive intersection is in "Real-Time Rendering" (T. Akenine-Möller, E. Haines, N. Hoffman, AK Peters, 2008).

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# Chapter 2. Programming Model Overview

The OptiX programming model consists of two halves: the host code and the GPU device programs. This chapter introduces the objects, programs, and variables that are defined in host code and used on the device.

# 2.1. Object Model

OptiX is an object-based C API that implements a simple retained mode object hierarchy. This object-oriented host interface is augmented with programs that execute on the GPU. The main objects in the system are:

- Context An instance of a running OptiX engine
- Program A CUDA C function, compiled to NVIDIA's PTX virtual assembly language
- Variable A name used to pass data from C to OptiX programs
- **Buffer** A multidimensional array that can be bound to a variable
- TextureSampler One or more buffers bound with an interpolation mechanism
- **Geometry** One or more primitives that a ray can be intersected with, such as triangles or other user-defined types
- **Material** A set of programs executed when a ray intersects with the closest primitive or potentially closest primitive.
- GeometryInstance A binding between Geometry and Material objects.
- **Group** A set of objects arranged in a hierarchy
- **GeometryGroup** A set of GeometryInstance objects
- **Transform** A hierarchy node that geometrically transforms rays, so as to transform the geometric objects
- Selector A programmable hierarchy node that selects which children to traverse
- Acceleration An acceleration structure object that can be bound to a hierarchy node
- RemoteDevice A network connection for optional remote rendering

These objects are created, destroyed, modified and bound with the C API and are further detailed in Chapter 3. The behavior of OptiX can be controlled by assembling these objects into any number of different configurations.

# 2.2. Programs

The ray tracing pipeline provided by OptiX contains several programmable components. These programs are invoked on the GPU at specific points during the execution of a generic ray tracing algorithm. There are eight types of programs:

- Ray Generation The entry point into the ray tracing pipeline, invoked by the system in parallel for each pixel, sample, or other user-defined work assignment
- Exception Exception handler, invoked for conditions such as stack overflow and other errors
- Closest Hit –Called when a traced ray finds the closest intersection point, such as for material shading
- Any Hit Called when a traced ray finds a new potentially closest intersection point, such as for shadow computation
- Intersection Implements a ray-primitive intersection test, invoked during traversal
- **Bounding Box** Computes a primitive's world space bounding box, called when the system builds a new acceleration structure over the geometry
- Miss Called when a traced ray misses all scene geometry
- Visit Called during traversal of a Selector node to determine the children a ray will traverse

The input language for these programs is PTX. The OptiX SDK also provides a set of wrapper classes and headers for use with the NVIDIA C Compiler (nvcc) that enable the use of CUDA C as a way of generating appropriate PTX.

These programs are further detailed in Chapter 4.

# 2.3. Variables

OptiX features a flexible and powerful variable system for communicating data to programs. When an OptiX program references a variable, there is a well-defined set of scopes that will be queried for a definition of that variable. This enables dynamic overrides of variable definitions based on which scopes are queried for definitions.

For example, a closest hit program may reference a variable called *color*. This program may then be attached to multiple Material objects, which are, in turn, attached to GeometryInstance objects. Variables in closest hit programs first look for definitions directly attached to their Program object, followed by GeometryInstance, Material and Context objects, in that order. This enables a default *color* definition to exist on the Material object but specific instances using that material to override the default *color* definition.

See Section 3.4 for more information.

## 2.4. Execution Model

Once all of these objects, programs and variables are assembled into a valid context, ray generation programs may be launched. Launches take dimensionality and size parameters and invoke the ray generation program a number of times equal to the specified size.

Once the ray generation program is invoked, a special semantic variable may be queried to provide a runtime index identifying the ray generation program invocation. For example, a common use case is to launch a two-dimensional invocation with a width and height equal to the size, in pixels, of an image to be rendered. See Section 4.3.2 for more information on launching ray generation programs from a context.

# Chapter 3. Host API

#### 3.1. Context

An OptiX context provides an interface for controlling the setup and subsequent launch of the ray tracing engine. Contexts are created with the rtContextCreate function. A context object encapsulates all OptiX resources -- textures, geometry, user-defined programs, etc. The destruction of a context, via the rtContextDestroy function, will clean up all of these resources and invalidate any existing handles to them.

rtContextLaunch {1,2,3}D serves as an entry point to ray engine computation. The launch function takes an entry point parameter, discussed in Section 3.1.1, as well as one, two or three grid dimension parameters. The dimensions establish a logical computation grid. Upon a call to rtContextLaunch, any necessary preprocessing is performed and then the ray generation program associated with the provided entry point index is invoked once per computational grid cell. The launch precomputation includes state validation and, if necessary, acceleration structure generation and kernel compilation. Output from the launch is passed back via OptiX buffers, typically but not necessarily of the same dimensionality as the computation grid.

```
RTcontext context;
rtContextCreate( &context );
unsigned int entry_point = ...;
unsigned int width = ...;
unsigned int height = ...;
// Set up context state and scene description
...
rtContextLaunch2D( entry_point, width, height );
rtContextDestroy( context );
```

While multiple contexts can be active at one time in limited cases, this is usually unnecessary as a single context object can leverage multiple hardware devices. The devices to be used can be specified with rtContextSetDevices. By default, the highest compute capable set of compatible OptiX-capable devices is used. The following set of rules is used to determine device compatibility. These rules could change in the future. If incompatible devices are selected an error is returned from rtContextSetDevices.

- All SM 3.5 devices can be run in multi-GPU configurations with other SM 3.5 devices.
- All SM 3.0 devices can be run in multi-GPU configurations with other SM 3.0 devices.
- All SM 2.X devices can be run in multi-GPU configurations with other SM 2.X devices.
- All SM 1.2 and 1.3 devices can be run in multi-GPU configuration with other SM 1.2 and 1.3 devices.

All SM 1.1 and 1.0 devices can only be run in single-GPU configurations.

#### 3.1.1. Entry Points

Each context may have multiple computation entry points. A context entry point is associated with a single ray generation program as well as an exception program. The total number of entry points for a given context can be set with rtContextSetEntryPointCount. Each entry point's associated programs are set and queried by

rtContext{Set|Get}RayGenerationProgram and rtContext{Set|Get}ExceptionProgram. Each entry point must be assigned a ray generation program before use; however, the exception program is an optional program that allows users to specify behavior upon various error conditions. The multiple entry point mechanism allows switching between multiple rendering algorithms as well as efficient implementation of techniques such as multi-pass rendering on a single OptiX context.

#### 3.1.2. Ray Types

OptiX supports the notion of ray types, which is useful to distinguish between rays that are traced for different purposes. For example, a renderer might distinguish between rays used to compute color values and rays used exclusively for determining visibility of light sources (*shadow rays*). Proper separation of such conceptually different ray types not only increases program modularity, but also enables OptiX to operate more efficiently.

Both the number of different ray types as well as their behavior is entirely defined by the application. The number of ray types to be used is set with rtContextSetRayTypeCount.

The following properties may differ among ray types:

- The ray payload
- The closest hit program of each individual material
- The any hit program of each individual material
- The miss program

The ray payload is an arbitrary user-defined data structure associated with each ray. This is commonly used, for example, to store a result color, the ray's recursion depth, a shadow attenuation factor, and so on. It can be regarded as

the result a ray delivers after having been traced, but it can also be used to store and propagate data between ray generations during recursive ray tracing.

The closest hit and any hit programs assigned to materials correspond roughly to shaders in conventional rendering systems: they are invoked when an intersection between a ray and a geometric primitive is found. Since those programs are assigned to materials per ray type, not all ray types must define behavior for both program types. See Sections 4.5 and 4.6 for a more detailed discussion of material programs.

The miss program is executed when a traced ray is determined to not hit any geometry. A miss program could, for example, return a constant sky color or sample from an environment map.

As an example of how to make use of ray types, a Whitted-style recursive ray tracer might define the ray types listed in Table 1:

Ray Type Purpose	Payload	Closest Hit	Any Hit	Miss
Radiance	RadiancePL	Compute color, keep track of recursion depth	n/a	Environment map lookup
Shadow	ShadowPL	n/a	Compute shadow attenuation and terminate ray if opaque	n/a

Table 1 Example Ray Types

The ray payload data structures in the above example might look as follows:

```
// Payload for ray type 0: radiance rays
struct RadiancePL
{
  float3 color;
  int   recursion_depth;
};

// Payload for ray type 1: shadow rays
struct ShadowPL
{
  float attenuation;
};
```

Upon a call to rtContextLaunch, the ray generation program traces radiance rays into the scene, and writes the delivered results (found in the color field of the payload) into an output buffer for display:

```
RadiancePL payload;
payload.color = make_float3( 0.f, 0.f, 0.f );
payload.recursion_depth = 0; // initialize recursion
depth
```

```
Ray ray = ...  // some camera code creates the
ray
ray.ray_type = 0; // make this a radiance ray
rtTrace( top_object, ray, payload );
// Write result to output buffer
writeOutput( payload.color );
```

A primitive intersected by a radiance ray would execute a closest hit program which computes the ray's color and potentially traces shadow rays and reflection rays. The shadow ray part is shown in the following code snippet:

```
ShadowPL shadow payload;
shadow payload.attenuation = 1.0f; // initialize to
visible
Ray shadow ray = ... // create a ray to light
source
shadow ray.ray type = 1; // make this a shadow ray
rtTrace( top object, shadow ray, shadow payload );
// Attenuate incoming light ('light' is some user-
defined
// variable describing the light source)
float3 rad = light.radiance *
shadow payload.attenuation;
// Add the contribution to the current radiance
ray's
// payload (assumed to be declared as 'payload')
payload.color += rad;
```

To properly attenuate shadow rays, all materials use an any hit program which adjusts the attenuation and terminates ray traversal. The following code sets the attenuation to zero, assuming an opaque material:

```
shadow_payload.attenuation = 0; // assume opaque
material
rtTerminateRay(); // it won't get any darker, so
terminate
```

#### 3.1.3. Global State

Aside from ray type and entry point counts, there are several other global settings encapsulated within OptiX contexts.

Each context holds a number of attributes that can be queried and set using rtContext{Get|Set}Attribute. For example, the amount of memory an OptiX context has allocated on the host can be queried by specifying RT CONTEXT ATTRIBUTE USED HOST MEMORY as attribute parameter.

```
RTcontext context = ...;
RTsize used_host_memory;
```

```
rtContextGetAttribute( context,
RT_CONTEXT_ATTRIBUTE_USED_HOST_MEMORY,
sizeof(RTsize), &used host memory);
```

Currently, rtContextGetAttribute supports the following attributes:

RT\_CONTEXT\_ATTRIBUTE\_MAX\_TEXTURE\_COUNT,

RT\_CONTEXT\_CPU\_NUM\_THREADS,

RT\_CONTEXT\_USED\_HOST\_MEMORY,

RT\_CONTEXT\_AVAILABLE\_DEVICE\_MEMORY,

RT\_CONTEXT\_ATTRIBUTE\_GPU\_PAGING\_FORCED\_OFF,

RT\_CONTEXT\_ATTRIBUTE\_GPU\_PAGING\_ACTIVE.

rtContextSetAttribute allows for setting the number of CPU threads used for various tasks such as acceleration structure builds via

RT\_CONTEXT\_CPU\_NUM\_THREADS and allows disabling large memory paging via RT\_CONTEXT\_ATTRIBUTE\_GPU\_PAGING\_FORCED\_OFF. All other attributes are read-only.

To support recursion, OptiX uses a small stack of memory associated with each thread of execution. rtContext{Get|Set}StackSize allows for setting and querying the size of this stack. The stack size should be set with care as unnecessarily large stacks will result in performance degradation while overly small stacks will cause overflows within the ray engine. Stack overflow errors can be handled with user defined exception programs.

The rtContextSetPrint\* functions are used to enable C-style printf printing from within OptiX programs, allowing these programs to be more easily debugged. The CUDA C function rtContextSetPrintEnabled turns on or off printing globally while

rtContextSetPrintLaunchIndex toggles printing for individual computation grid cells. Print statements have no adverse effect on performance while printing is globally disabled, which is the default behavior.

Print requests are buffered in an internal buffer, the size of which can be specified with rtContextSetPrintBufferSize. Overflow of this buffer will cause truncation of the output stream. The output stream is printed to the standard output after all computation has completed but before rtContextLaunch has returned.

```
RTcontext context = ...;
rtContextSetPrintEnabled( context, 1 );
rtContextSetPrintBufferSize( context, 4096 );
```

Within an OptiX program, the rtPrintf function works similarly to C's printf. Each invocation of rtPrintf will be atomically deposited into the print output buffer, but separate invocations by the same thread or by different threads will be interleaved arbitrarily.

The context also serves as the outermost scope for OptiX variables. Variables declared via rtContextDeclareVariable are available to all OptiX

objects associated with the given context. To avoid name conflicts, existing variables may be queried with either rtContextQueryVariable (by name) or rtContextGetVariable (by index), and removed with rtContextRemoveVariable.

rtContextValidate can be used at any point in the setup process to check the state validity of a context and all of its associated OptiX objects. This will include checks for the presence of necessary programs (e.g., an intersection program for a geometry node), invalid internal state such as unspecified children in graph nodes and the presence of variables referred to by all specified programs. Validation is always implicitly performed upon a context launch.

rtContextCompile can be used to explicitly request a compilation of the computation kernel associated with a context object. Use of rtContextCompile is not strictly necessary since any changes to a context's scene specification or programs will cause a compilation upon the next invocation of rtContextLaunch.rtContextCompile does allow the user to control the timing of the compilation, but the context should normally be finalized before compilation because any subsequent changes will cause a recompile within rtContextLaunch.

rtContextSetTimeoutCallback specifies a callback function of type RTtimeoutcallback that is called at a specified maximum frequency from OptiX API calls that can run long, such as acceleration structure builds, compilation, and kernel launches. This allows the application to update its interface or perform other tasks. The callback function may also ask OptiX to cease its current work and return control to the application. This request is complied with as soon as possible. Output buffers expected to be written to by an rtContextLaunch are left in an undefined state, but otherwise OptiX tracks what tasks still need to be performed and resumes cleanly in subsequent API calls.

```
// Return 1 to ask for abort, 0 to continue.
// An RTtimeoutcallback.
int CBFunc()
{
   update_gui();
   return bored_yet();
}
...
// Call CBFunc at most once every 100 ms.
rtContextSetTimeoutCallback( context, CBFunc, 0.1 );
```

rtContextGetErrorString can be used to get a description of any failures occurring during context state setup, validation or launch execution.

## 3.2. Buffers

OptiX uses buffers to pass data between the host and the device. Buffers are created by the host prior to invocation of rtContextLaunch using the rtBufferCreate function. This function also sets the buffer type as well as optional flags. The type and flags are specified as a bitwise OR combination.

The buffer type determines the direction of data flow between host and device. Its options are enumerated by RTbuffertype:

- RT\_BUFFER\_INPUT Only the host may write to the buffer. Data is transferred from host to device and device access is restricted to be read-only.
- RT\_BUFFER\_OUTPUT The converse of RT\_BUFFER\_INPUT. Only the device may write to the buffer. Data is transferred from device to host.
- RT\_BUFFER\_INPUT\_OUTPUT Allows read-write access from both the host and the device.
- □ RT\_BUFFER\_PROGRESSIVE\_STREAM The automatically updated output of a progressive launch. Can be streamed efficiently over network connections.

Buffer flags specify certain buffer characteristics and are enumerated by RTbufferflags:

□ RT\_BUFFER\_GPU\_LOCAL - Can only be used in combination with RT\_BUFFER\_INPUT\_OUTPUT. This restricts the host to write operations as the buffer is not copied back from the device to the host. The device is allowed read-write access. However, writes from multiple devices are not coherent, as a separate copy of the buffer resides on each device.

Before using a buffer, its size, dimensionality and element format must be specified. The format can be set and queried with rtBuffer{Get|Set}Format and format options are enumerated by the RTformat type. Formats exist for C and CUDA C data types such as unsigned int and float3. Buffers of arbitrary elements can be created by choosing the format RT\_FORMAT\_USER and specifying an element size with the rtBufferSetElementSize function. The size of the buffer is set with rtBufferSetSize{1,2,3}D which also specifies the dimensionality implicitly.

Host access to the data stored within a buffer is performed with the rtBufferMap function. This function returns a pointer to a one dimensional array representation of the buffer data. All buffers must be unmapped via rtBufferUnmap before context validation will succeed.

```
// Using the buffer created above
unsigned int width, height;
rtBufferGetSize2D( buffer, &width, &height );

void* data;
rtBufferMap( buffer, &data );

rgb* rgb_data = (rgb*)data;
for( unsigned int i = 0; i < width*height; ++I ) {
  rgb_data[i].r = rgb_data[i].g = rgb_data[i].b
  =0.0f;
}
rtBufferUnmap( buffer );</pre>
```

Access to buffers within OptiX programs uses a simple array syntax. The two template arguments in the declaration below are the element type and the dimensionality, respectively.

```
rtBuffer<rgb, 2> buffer;
...
uint2 index = ...;
float r = buffer[index].r;
```

#### 3.2.1. Buffers of Buffer IDs

Beginning in OptiX 3.5, buffers may contain IDs to buffers. From the host side, an input buffer is declared with format RT\_FORMAT\_BUFFER\_ID. The buffer is then filled with buffer IDs obtained through the use of either rtBufferGetId or optix::Buffer::getId. A special sentinel value, RT\_BUFFER\_ID\_NULL, can be used to distinquish between valid and invalid buffer IDs. RT\_BUFFER\_ID\_NULL will never be returned as a valid buffer ID.

The following example that creates two input buffers; the first contains the data, and the second contains the buffer IDs.

From the device side, buffers of buffer IDs are declared using rtBuffer with a template argument type of rtBufferId. The identifiers stored in the buffer are implicitly cast to buffer handles when used on the device. This example creates a one dimensional buffer whose elements are themselves one dimensional buffers that contain integers.

```
rtBuffer<rtBufferId<int,1>, 1> input buffers;
```

Accessing the buffer is done the same way as with regular buffers:

```
// Grab the first element of the first buffer in
// 'input_buffers'
int value = input_buffers[buf_index][0];
```

The size of the buffer can also be queried to loop over the contents:

```
for(size_t i = 0; k < input_buffers.size(); ++i)
  result += input_buffers[i];</pre>
```

Buffers may nest arbitrarily deeply, though there is memory access overhead per nesting level. Multiple buffer lookups may be avoided by using references or copies of the rtBufferId.

```
rtBuffer<rtBufferId<rtBufferId<int,1>, 1>, 1>
input_buffers3;
...
```

```
rtBufferId<int,1>& buffer =
input_buffers[buf_index1][buf_index2];
size_t size = buffer.size();
for(size_t i = 0; i < size; ++i)
  value += buffer[i];</pre>
```

Currently only non-interop buffers of type RT\_BUFFER\_INPUT may contain buffer IDs and they may only contain IDs of buffers that match in element format and dimensionality, though they may have varying sizes.

The RTbuffer object associated with a given buffer ID can be queried with the function rtContextGetBufferFromId or if using the C++ interface, optix::Context::getBufferFromId.

In addition to storing buffer IDs in other buffers, you can store buffer IDs in arbitrary structs or RTvariables or as data members in the ray payload as well as pass them as arguments to callable programs. An rtBufferId object can be constructed using the buffer ID as a constructor argument.

```
rtDeclareVariable(int, id,,);
rtDeclareVariable(int, index,,);
...
int value = rtBufferId<int,1>(id)[index];
```

An example of passing to a callable program:

```
#include <optix world.h>
using namespace optix;
struct BufInfo {
  int index;
  rtBufferId<int, 1> data;
};
rtCallableProgram(int, getValue, (BufInfo));
RT CALLABLE PROGRAM
int getVal( BufInfo bufInfo )
  return bufInfo.data[bufInfo.index];
}
rtBuffer<int,1> result;
rtDeclareVariable(BufInfo, buf info, ,);
RT PROGRAM void bindlessCall()
  int value = getValue(buf info);
  result[0] = value;
```

Note that because rtCallProgram and rtDeclareVariable are macros, typedefs or structs should be used instead of using the templated type directly in order to work around the C preprocessor's limitations.

```
typedef rtBufferId<int,1> RTB;
rtDeclareVariable(RTB, buf,,);
```

There is a definition for rtBufferId in optixpp\_namespace.h that mirrors the device side declaration to enable declaring types that can be used in both host and device code.

Here is an example of the use of the BufInfo struct from the host side:

#### 3.3. Textures

OptiX textures provide support for common texture mapping functionality including texture filtering, various wrap modes, and texture sampling. rtTextureSamplerCreate is used to create texture objects. Each texture object is associated with one or more buffers containing the texture data. The buffers may be 1D, 2D or 3D and can be set with rtTextureSamplerSetBuffer.

rtTextureSamplerSetFilteringModes can be used to set the filtering methods for minification, magnification and mipmapping. Wrapping for texture coordinates outside of [0, 1] can be specified per-dimension with rtTextureSamplerSetWrapMode. The maximum anisotropy for a given texture can be set with rtTextureSamplerSetMaxAnisotropy. A value greater than 0 will enable anisotropic filtering at the specified value. rtTextureSamplerSetReadMode can be used to request all texture read results be automatically converted to normalized float values.

The OptiX API has been designed to allow support for texture arrays and mip-mapping via rtTextureSamplerSetArraySize, rtTextureSamplerSetMipLevelCount and rtTextureSamplerSetIndexingMode. However, OptiX currently supports only a single MIP level and a single element texture array.

```
RTcontext context = ...;
RTbuffer tex buffer = ...; // 2D buffer
RTtexturesampler tex sampler;
rtTextureSamplerCreate( context, &tex sampler );
rtTextureSamplerSetWrapMode( tex sampler, 0,
                             RT WRAP CLAMP TO EDGE
);
rtTextureSamplerSetWrapMode( tex sampler, 1,
                             RT WRAP CLAMP TO EDGE
rtTextureSamplerSetFilteringModes( tex sampler,
                                    RT FILTER LINEAR,
                                    RT FILTER LINEAR,
                                    RT FILTER NONE );
rtTextureSamplerSetIndexingMode( tex sampler,
            RT TEXTURE INDEX NORMALIZED COORDINATES
);
rtTextureSamplerSetReadMode( tex sampler,
```

OptiX programs can access texture data with CUDA C's built-in tex1D, tex2D and tex3D functions.

```
rtTextureSampler<uchar4, 2,
cudaReadModeNormalizedFloat> t;
...
float2 tex_coord = ...;
float4 value = tex2D( t, tex coord.x, tex coord.y );
```

As of version 3.0, OptiX supports *bindless* textures. Bindless textures allow OptiX programs to reference textures without having to bind them to specific variables. This is accomplished through the use of *texture IDs*.

Using bindless textures, it is possible to dynamically switch between multiple textures without the need to explicitly declare all possible textures in a program and without having to manually implement switching code. The set of textures being switched on can have varying attributes, such as wrap mode, and varying sizes, providing increased flexibility over texture arrays.

To obtain a device handle from an existing texture sampler, rtTextureSamplerGetId can be used:

```
RTtexturesampler tex_sampler = ...;
int tex_id;
rtTextureSamplerGetId( tex_sampler, &tex_id );
```

A texture ID value is immutable and is valid until the destruction of its associated texture sampler. In order to make texture IDs available to OptiX programs, input buffers or OptiX variables can be used:

```
RTbuffer tex_id_buffer = ...; // 1D buffer
unsigned int index = ...;

void* tex_id_data;
rtBufferMap( tex_id_buffer, &tex_id_data );
((int*)tex_id_data)[index] = tex_id;
rtBufferUnmap( tex_id_buffer );
```

Similar to CUDA C's texture functions, OptiX programs can access textures in a bindless way with rtTex1D<>, rtTex2D<>, and rtTex3D<> functions:

```
rtBuffer<int, 1> tex_id_buffer;
unsigned int index = ...;
int tex_id = tex_id_buffer[index];
float2 tex coord = ...;
```

```
float4 value = rtTex2D<float4>( tex_id, tex_coord.x,
tex_coord.y );
```

For further discussion of using textures within OptiX programs see Section 4.1.

# 3.4. Graph Nodes

When a ray is traced from a program using the rtTrace function, a node is given that specifies the root of the graph. The host application creates this graph by assembling various types of nodes provided by the OptiX API. The basic structure of the graph is a hierarchy, with nodes describing geometric objects at the bottom, and collections of objects at the top.

The graph structure is not meant to be a scene graph in the classical sense. Instead, it serves as a way of binding different programs or actions to portions of the scene. Since each invocation of rtTrace specifies a root node, different trees or subtrees may be used. For example, shadowing objects or reflective objects may use a different representation – for performance or for artistic effect.

Graph nodes are created via rt\*Create calls, which take the Context as a parameter. Since these graph node objects are owned by the context, rather than by their parent node in the graph, a call to rt\*Destroy will delete that object's variables, but not do any reference counting or automatic freeing of its child nodes.

Figure 1 shows an example of what a graph might look like. The following sections will describe the individual node types.

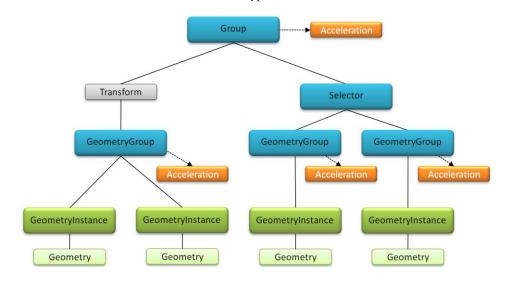


Figure 1 A sample graph node

Table 2 indicates which nodes can be children of other nodes including association with acceleration structure nodes.

Node type	Children nodes allowed
Geometry	- none -

Material	- none -
GeometryInstance	Geometry, Material
GeometryGroup	GeometryInstance, Acceleration
Group	GeometryGroup, Group, Selector, Transform, Acceleration
Transform	GeometryGroup, Group, Selector, Transform
Selector	GeometryGroup, Group, Selector, Transform
Acceleration	- none -

Table 2 Node types allowed as children

#### 3.4.1. Geometry

A geometry node is the fundamental node to describe a geometric object: a collection of user-defined primitives against which rays can be intersected. The number of primitives contained in a geometry node is specified using rtGeometrySetPrimitiveCount.

To define the primitives, an intersection program is assigned to the geometry node using rtGeometrySetIntersectionProgram. The input parameters to an intersection program are a primitive index and a ray, and it is the program's job to return the intersection between the two. In combination with program variables, this provides the necessary mechanisms to define any primitive type that can be intersected against a ray. A common example is a triangle mesh, where the intersection program reads a triangle's vertex data out of a buffer (passed to the program via a variable) and performs a ray-triangle intersection.

In order to build an acceleration structure over arbitrary geometry, it is necessary for OptiX to query the bounds of individual primitives. For this reason, a separate bounds program must be provided using rtGeometrySetBoundingBoxProgram. This program simply computes bounding boxes of the requested primitives, which are then used by OptiX as the basis for acceleration structure construction.

Geometry nodes can be fully dynamic, i.e. the number of primitives as well as the variables on which the intersection and bounding box programs depend can vary between calls to rtContextLaunch. Whenever this is the case, acceleration structures containing references to the modified geometry node must be notified, which is achieved by calling rtGeometryMarkDirty. For more information on acceleration structure rebuilds, see Section 3.5.

The following example shows how to construct a geometry object describing a sphere, using a single primitive. The intersection and bounding box program are assumed to depend on a single parameter variable specifying the sphere radius:

```
RTgeometry geometry;
RTvariable variable;

// Set up geometry object.
```

#### 3.4.2. Material

A material encapsulates the actions that are taken when a ray intersects a primitive associated with a given material. Examples for such actions include: computing a reflectance color, tracing additional rays, ignoring an intersection, and terminating a ray. Arbitrary parameters can be provided to materials by declaring program variables.

Two types of programs may be assigned to a material, closest hit programs and any hit programs. The two types differ in when and how often they are executed. The closest hit program, which is similar to a shader in a classical rendering system, is executed at most once per ray, for the closest intersection of a ray with the scene. It typically performs actions that involve texture lookups, reflectance color computations, light source sampling, recursive ray tracing, and so on, and stores the results in a ray payload data structure.

The any hit program is executed for each potential closest intersection found during ray traversal. The intersections for which the program is executed may not be ordered along the ray, but eventually all intersections of a ray with the scene can be enumerated if required (by calling rtIgnoreIntersection on each of them). Typical uses of the any hit program include early termination of shadow rays (using rtTerminateRay) and binary transparency, e.g., by ignoring intersections based on a texture lookup.

It is important to note that both types of programs are assigned to materials *per ray type*, which means that each material can actually hold more than one closest hit or any hit program. This is useful if an application can identify that a certain kind of ray only performs specific actions. For example, a separate ray type may be used for shadow rays, which are only used to determine binary visibility between two points in the scene. In this case, a simple any hit program attached to all materials under that ray type index can immediately terminate such rays, and the closest hit program can be omitted entirely. This concept allows for highly efficient specialization of individual ray types.

The closest hit program is assigned to the material by calling rtMaterialSetClosestHitProgram, and the any hit program is assigned with rtMaterialSetAnyHitProgram. If a program is omitted, an empty program is the default.

#### 3.4.3. GeometryInstance

A geometry instance represents a coupling of a single geometry node with a set of materials. The geometry object the instance refers to is specified using rtGeometryInstanceSetGeometry. The number of materials associated with the instance is set by

rtGeometryInstanceSetMaterialCount, and the individual materials are assigned with rtGeometryInstanceSetMaterial. The number of materials that must be assigned to a geometry instance is determined by the highest material index that may be reported by an intersection program of the referenced geometry.

Note that multiple geometry instances are allowed to refer to a single geometry object, enabling instancing of a geometric object with different materials. Likewise, materials can be reused between different geometry instances.

This example configures a geometry instance so that its first material index is mat\_phong and the second one is mat\_diffuse, both of which are assumed to be rtMaterial objects with appropriate programs assigned. The instance is made to refer to the rtGeometry object triangle mesh.

```
RTgeometryinstance ginst;

rtGeometryInstanceCreate( context, &ginst );
rtGeometryInstanceSetGeometry( ginst, triangle_mesh );

rtGeometryInstanceSetMaterialCount( ginst, 2 );
rtGeometryInstanceSetMaterial( ginst, 0, mat_phong );
rtGeometryInstanceSetMaterial( ginst, 1, mat_diffuse);
```

## 3.4.4. GeometryGroup

A geometry group is a container for an arbitrary number of geometry instances. The number of contained geometry instances is set using rtGeometryGroupSetChildCount, and the instances are assigned with rtGeometryGroupSetChild. Each geometry group must also be assigned an acceleration structure using rtGeometryGroupSetAcceleration (see Section 3.5).

The minimal sample use case for a geometry group is to assign it a single geometry instance:

```
RTgeometrygroup geomgroup;

rtGeometryGroupCreate( context, &geomgroup );

rtGeometryGroupSetChildCount( geomgroup, 1 );

rtGeometryGroupSetChild( geomgroup, 0,
 geometry_instance );
```

Multiple geometry groups are allowed to share children, that is, a geometry instance can be a child of more than one geometry group.

## 3.4.5. Group

A group represents a collection of higher level nodes in the graph. They are used to compile the graph structure which is eventually passed to rtTrace for intersection with a ray.

A group can contain an arbitrary number of child nodes, which must themselves be of type rtGroup, rtGeometryGroup, rtTransform, or rtSelector. The number of children in a group is set by

rtGroupSetChildCount, and the individual children are assigned using rtGroupSetChild. Every group must also be assigned an acceleration structure via rtGroupSetAcceleration.

A common use case for groups is to collect several geometry groups which dynamically move relative to each other. The individual position, rotation, and scaling parameters can be represented by transform nodes, so the only acceleration structure that needs to be rebuilt between calls to rtContextLaunch is the one for the top level group. This will usually be much cheaper than updating acceleration structures for the entire scene.

Note that the children of a group can be shared with other groups, that is, each child node can also be the child of another group (or of any other graph node for which it is a valid child). This allows for very flexible and lightweight instancing scenarios, especially in combination with shared acceleration structures (see Section 3.5).

#### 3.4.6. Transform

A transform node is used to represent a projective transformation of its underlying scene geometry. The transform must be assigned exactly one child of type rtGroup, rtGeometryGroup, rtTransform, or rtSelector, using rtTransformSetChild. That is, the nodes below a transform may simply be geometry in the form of a geometry group, or a whole new subgraph of the scene.

The transformation itself is specified by passing a 4×4 floating point matrix (specified as a 16-element one-dimensional array) to rtTransformSetMatrix. Conceptually, it can be seen as if the matrix were applied to all the underlying geometry. However, the effect is instead achieved by transforming the rays themselves during traversal. This means that OptiX does not rebuild any acceleration structures when the transform changes.

This example shows how a transform object with a simple translation matrix is created:

Note that the transform child node may be shared with other graph nodes. That is, a child node of a transform may be a child of another node at the same time. This is often useful for instancing geometry.

#### 3.4.7. Selector

A selector is similar to a group in that it is a collection of higher level graph nodes. The number of nodes in the collection is set by rtSelectorSetChildCount, and the individual children are assigned

with rtSelectorSetChild. Valid child types are rtGroup, rtGeometryGroup, rtTransform, and rtSelector.

The main difference between selectors and groups is that selectors do not have an acceleration structure associated with them. Instead, a visit program is specified with rtSelectorSetVisitProgram. This program is executed every time a ray encounters the selector node during graph traversal. The program specifies which children the ray should continue traversal through by calling rtIntersectChild.

A typical use case for a selector is dynamic (i.e. per-ray) level of detail: an object in the scene may be represented by a number of geometry nodes, each containing a different level of detail version of the object. The geometry groups containing these different representations can be assigned as children of a selector. The visit program can select which child to intersect using any criterion (e.g. based on the footprint or length of the current ray), and ignore the others.

As for groups and other graph nodes, child nodes of a selector can be shared with other graph nodes to allow flexible instancing.

# 3.5. Acceleration Structures for Ray Tracing

Acceleration structures are an important tool for speeding up the traversal and intersection queries for ray tracing, especially for large scene databases. Most successful acceleration structures represent a hierarchical decomposition of the scene geometry. This hierarchy is then used to quickly cull regions of space not intersected by the ray.

There are many different types of acceleration structures, each with their own advantages and drawbacks. Furthermore, different scenes require different kinds of acceleration structures for optimal performance (e.g., static vs. dynamic scenes, generic primitives vs. triangles, and so on). The most common tradeoff is construction speed vs. ray tracing performance, and extreme solutions exist on both ends of the spectrum. For example, a high quality SBVH builder can take minutes to construct its acceleration structure. Once finished, though, rays can be traced more efficiently than with other types of acceleration structures, which in turn might be much faster to construct. However, see Trbvh in Table 4.

No single type of acceleration structure is optimal for all scenes. To allow an application to balance the tradeoffs, OptiX lets you choose between several kinds of supported structures. You can even mix and match different types of acceleration structures within the same node graph.

# 3.5.1. Acceleration objects in the Node Graph

Acceleration structures are individual API objects in OptiX, called rtAcceleration. Once an acceleration object is created with rtAccelerationCreate, it is assigned to either a group (using rtGroupSetAcceleration) or a geometry group (using rtGeometryGroupSetAcceleration). Every group and geometry group in the node graph needs to have an acceleration object assigned for ray traversal to intersect those nodes.

This example creates a geometry group and an acceleration structure and connects the two:

```
RTgeometrygroup geomgroup;
RTacceleration accel;

rtGeometryGroupCreate( context, &geomgroup );
rtAccelerationCreate( context, &accel );
rtGeometryGroupSetAcceleration( geomgroup, accel );
```

By making use of groups and geometry groups when assembling the node graph, the application has a high level of control over how acceleration structures are constructed over the scene geometry. If one considers the case of several geometry instances in a scene, there are a number of ways they can be placed in groups or geometry groups to fit the application's use case.

For example, Figure 2 places all the geometry instances in a single geometry group. An acceleration structure on a geometry group will be constructed over the individual primitives defined by the collection of child geometry instances. This will allow OptiX to build an acceleration structure which is as efficient as if the geometries of the individual instances had been merged into a single object.

A different approach to managing multiple geometry instances is shown in Figure 3. Each instance is placed in its own geometry group, i.e. there is a separate acceleration structure for each instance. The resulting collection of geometry groups is aggregated in a top level group, which itself has an acceleration structure. Acceleration structures on groups are constructed over the bounding volumes of the child nodes. Because the number of child nodes is usually relatively low, high level structures are typically quick to update. The advantage of this approach is that when one of the geometry instances is modified, the acceleration structures of the other instances need not be rebuilt. However, because higher level acceleration structures introduce an additional level of complexity and are built only on the coarse bounds of their group's children, the graph in Figure 3 will likely not be as efficient to traverse as the one in Figure 2. Again, this is a tradeoff the application needs to balance, e.g. in this case by considering how frequently individual geometry instances will be modified.

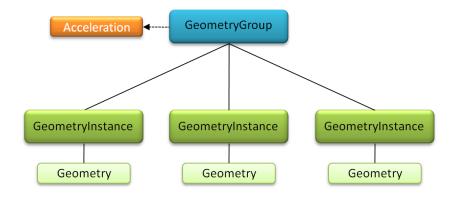


Figure 2 Multiple geometry instances in a geometry group

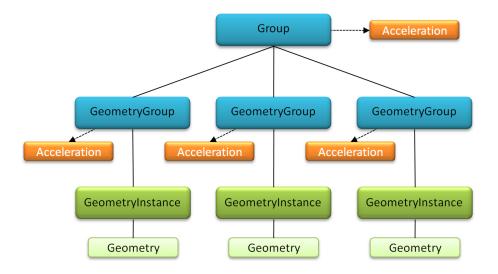


Figure 3 Multiple geometry instances, each in a separate geometry group

#### 3.5.2. Builders and Traversers

An rtAcceleration consists of a *builder* and a *traverser*. The builder is responsible for collecting input geometry (in most cases, this geometry is the bounding boxes created by geometry nodes' bounding box programs) and computing a data structure that allows a *traverser* to accelerate a ray-scene intersection query. Builders and traversers are not application-defined programs. Instead, the application chooses an appropriate builder and its corresponding traverser from Table 3:

Builder / Traverser	Description
Trbvh / Bvh	The Trbvh¹ builder performs a very fast GPU-based BVH build. Its ray tracing performance is usually within a few percent of SBVH, yet its build time is generally the fastest. This new builder should be strongly considered for all datasets. Since the Trbvh builder builds on the GPU, it uses a moderate amount of scratch memory beyond that required for the final BVH. OptiX Commercial includes a CPU-based Trbvh builder that does not have the memory constraints, and an optional automatic fallback to the CPU version when out of GPU memory.
Sbvh / Bvh or BvhCompact	The Split-BVH (SBVH) is a high quality bounding volume hierarchy. While build times are highest, it was traditionally the method of choice for static geometry due to its high ray tracing performance, but may be superceded by Trbvh. Improvements over regular BVHs are especially visible if the geometry is non-uniform (e.g. triangles of different sizes). This builder can be used for any type of geometry, but for optimal performance with triangle geometry, specialized properties should be set (see Table 4) <sup>2</sup> .

<sup>&</sup>lt;sup>1</sup> See Tero Karras and Timo Aila, Fast Parallel Construction of High-Quality Bounding Volume Hierarchies, <a href="http://highperformancegraphics.org/wp-content/uploads/Karras-BVH.pdf">http://highperformancegraphics.org/wp-content/uploads/Karras-BVH.pdf</a>.

<sup>&</sup>lt;sup>2</sup> See Martin Stich, Heiko Friedrich, Andreas Dietrich. *Spatial Splits in Bounding Volume Hierarchies*. <a href="http://www.nvidia.com/object/nvidia\_research\_pub\_012.html">http://www.nvidia.com/object/nvidia\_research\_pub\_012.html</a>

Builder / Traverser	Description
Bvh / Bvh or BvhCompact <sup>3</sup>	The Bvh builder constructs a classic bounding volume hierarchy. It focuses on quality over construction performance and delivers a good middle ground between the Sbvh and MedianBvh. It also supports refitting for fast incremental updates (Table 4).
	Byh is often the best choice for acceleration structures built over groups.
MedianBvh / Bvh or BvhCompact	The MedianBvh builder uses a fast construction scheme to produce a medium-quality bounding volume hierarchy. It is typically useful for dynamic and semi-dynamic content, as well as for acceleration structures on groups.
Lbvh / Bvh or BvhCompact	The Lbvh builder uses the HLBVH2 algorithm <sup>4</sup> to perform a very fast GPU-based bounding volume hierarchy build. It is good for many applications including very large or animated scenes where acceleration structure construction time dominates run time. But Trbvh will often be superior even for these use cases.
TriangleKdTree / KdTree	This builder constructs a high quality kd-tree, but is rarely comparable to the SBVH in ray tracing performance. Build times and memory footprint are usually higher for the kd-tree. This builder is specialized for triangle geometry and thus needs to be configured using certain properties (see Table 4). It is rarely the best choice and is no longer recommended.
NoAccel / NoAccel	This is a dummy builder which does not construct an actual acceleration structure. Traversal loops over all elements and intersects each one with the ray. This is very inefficient for anything but very simple cases, but can sometimes outperform real acceleration structures, e.g. on a group with very few child nodes.

Table 3 Supported builders and traversers

Table 3 shows the builders and traversers currently available in OptiX. A builder is set using rtAccelerationSetBuilder, and the corresponding traverser, which must be compatible with the builder, is set with rtAccelerationSetTraverser. The builder and traverser can be changed at any time; switching builders will cause an acceleration structure to be flagged for rebuild.

This example shows a typical initialization of an acceleration object:

```
RTacceleration accel;

rtAccelerationCreate( context, &accel );

rtAccelerationSetBuilder( accel, "Trbvh" );

rtAccelerationSetTraverser( accel, "Bvh" );
```

<sup>&</sup>lt;sup>3</sup> The BvhCompact traverser compresses the BVH data by a factor of four before uploading to the device and uses the compressed data structure in real-time during traversal of a bounding volume hierarchy. It is typically useful for large datasets to minimize the number of page misses when virtual memory is turned on.

<sup>&</sup>lt;sup>4</sup>See Kirill Garanzha, Jacopo Pantaleoni, David McAllister. *Simpler and Faster HLBVH with Work Queues*. <a href="http://research.nvidia.com/publication/simpler-and-faster-hlbvh-work-queues">http://research.nvidia.com/publication/simpler-and-faster-hlbvh-work-queues</a>

# 3.5.3. Properties

Fine-tuning acceleration structure construction can be useful depending on the situation. For this purpose, builders expose various named properties, which are listed in Table 4:

Property	Available	Description
	in Builder	
refine	Bvh Lbvh MedianBvh	The number of BVH refinement passes to perform in order to improve BVH quality. Refinement can be effective when the initial BVH build was heavily optimized for build speed (e.g. when using the Lbvh builder).  The default is "0".
refit	Bvh	If set to "1", the builder will only readjust the node bounds of the bounding volume hierarchy instead of constructing it from scratch. Refit is only effective if there is an initial BVH already in place, and the underlying geometry has undergone relatively modest deformation. In this case, the builder delivers a very fast BVH update without sacrificing too much ray tracing performance.  The default is "0".
vertex_buffer_name	Sbvh TriangleKdTree	The name of the buffer variable holding triangle vertex data. Each vertex consists of 3 floats. Mandatory for TriangleKdTree, optional for Sbvh (but recommended if the geometry consists of triangles).  The default is "vertex_buffer".
vertex_buffer_stride	Sbvh TriangleKdTree	The offset between two vertices in the vertex buffer, given in bytes.  The default value is "0", which
		assumes the vertices are tightly packed.

Property	Available	Description
	in Builder	
index_buffer_name	Sbvh TriangleKdTree	The name of the buffer variable holding vertex index data. The entries in this buffer are indices of type int, where each index refers to one entry in the vertex buffer. A sequence of three indices represents one triangle. If no index buffer is given, the vertices in the vertex buffer are assumed to be a list of triangles, i.e. every 3 vertices in a row form a triangle.  The default is "index_buffer".
index_buffer_stride	Sbvh TriangleKdTree	The offset between two indices in the index buffer, given in bytes.  The default value is "0", which assumes the indices are tightly packed.
chunk_size	Trbvh	Number of bytes to be used for a partitioned acceleration structure build. If no chunk size is set, or set to "0", the chunk size is chosen automatically. If set to "-1", the chunk size is unlimited. The minimum chunk size is currently 64MB. Please note that specifying a small chunk size reduces the peak-memory footprint of the Trbvh but can result in slower rendering performance.

Table 4 Acceleration Structure Properties

Properties are specified using rtAccelerationSetProperty. Their values are given as strings, which are parsed by OptiX. Properties take effect only when an acceleration structure is actually rebuilt. Setting or changing the property does not itself mark the acceleration structure for rebuild; see the next section for details on how to do that. Properties not recognized by a builder will be silently ignored.

```
// Enable fast refitting on a BVH acceleration.
rtAccelerationSetProperty( accel, "refit", "1" );
```

#### 3.5.4. Acceleration Structure Builds

In OptiX, acceleration structures are flagged (marked "dirty") when they need to be rebuilt. During rtContextLaunch, all flagged acceleration structures are built before ray tracing begins. Every newly created rtAcceleration object is initially flagged for construction.

An application can decide at any time to explicitly mark an acceleration structure for rebuild. For example, if the underlying geometry of a geometry group changes, the acceleration structure attached to the geometry group must be recreated. This is achieved by calling rtAccelerationMarkDirty. This is also required if, for example, new child geometry instances are added to the geometry group, or if children are removed from it.

The same is true for acceleration structures on groups: adding or removing children, changing transforms below the group, etc., are operations which require the group's acceleration to be marked as dirty. As a rule of thumb, every operation that causes a modification to the underlying geometry over which the structure is built (in the case of a group, that geometry is the children's axisaligned bounding boxes) requires a rebuild. However, no rebuild is required if, for example, some parts of the graph change further down the tree, without affecting the bounding boxes of the immediate children of the group.

Note that the application decides independently for each single acceleration structure in the graph whether a rebuild is necessary. OptiX will not attempt to automatically detect changes, and marking one acceleration structure as dirty will not propagate the dirty flag to any other acceleration structures. Failure to mark acceleration structures as dirty when necessary may result in unexpected behavior – usually missing intersections or performance degradation.

#### 3.5.5. Caching Acceleration Data

Depending on the choice of builder and the complexity of the underlying data, acceleration structure construction can be slow. OptiX provides a way to extract data from already-built acceleration structures, which allows the application to store that data for later reuse.

Acceleration data can be queried from an acceleration object using rtAccelerationGetData and can be restored using rtAccelerationSetData. The following sample shows how to request data from an acceleration structure:

```
RTsize size;
void* data;

rtAccelerationGetDataSize( accel, &size );
data = malloc( size );
rtAccelerationGetData( accel, data );
```

Note that data can only be extracted from an acceleration structure that is currently not flagged dirty (i.e. construction must have occurred), which guarantees that the data is valid. Therefore, it can be useful to force an acceleration structure build without actually performing any ray tracing. This can be done by calling rtContextLaunch with all dimension arguments set to zero:

```
rtContextLaunch1D( context, 0, 0 );
```

The data returned by rtAccelerationGetData will include information such as the used builder, traverser, and properties. Upon a successful call to rtAccelerationSetData, all these data points will be restored and the acceleration structure will be flagged as non-dirty, as if a regular construction had been performed. This means, for example, that the current builder set in an acceleration object may change due to a call to rtAccelerationSetData.

Note, however, that it is only the information required to reconstruct the acceleration structure that is included in the returned data. In particular, actual geometry data and graph information of a group or geometry group are not included. The application should ensure that the restored acceleration structure matches the underlying geometry or the ensuing behavior is undefined.

It is also important to note that a well-written application should always be prepared for rtAccelerationSetData to fail. Among the reasons for failure of this call can be internal format changes from one version of OptiX to another, or incompatibilities between different platforms. It is usually straightforward to implement a correct handling of this case by simply marking the acceleration structure dirty if the call fails, which will cause the acceleration structure to be built on the fly instead of being reconstructed from cached data:

```
if( rtAccelerationSetData( accel, data, size )
   != RT_SUCCESS )
{
   rtAccelerationMarkDirty( accel );
}
```

#### 3.5.6. Shared Acceleration Structures

Mechanisms such as a graph node being attached as a child to multiple other graph nodes make composing the node graph flexible, and enable interesting instancing applications. Instancing can be seen as inexpensive reuse of scene objects or parts of the graph by referencing nodes multiple times instead of duplicating them.

OptiX decouples acceleration structures as separate objects from other graph nodes. Hence, acceleration structures can naturally be shared between several groups or geometry groups, as long as the underlying geometry on which the structure is built is the same:

```
// Attach one acceleration to multiple groups.
rtGroupSetAcceleration( group1, accel );
rtGroupSetAcceleration( group2, accel );
rtGroupSetAcceleration( group3, accel );
```

Note that the application must ensure that each node sharing the acceleration structure has matching underlying geometry. Failure to do so will result in undefined behavior. Also, acceleration structures cannot be shared between groups and geometry groups.

The capability of sharing acceleration structures is a powerful concept to maximize efficiency, as shown in Figure 4. The acceleration node in the center of the figure is attached to both geometry groups, and both geometry groups reference the same geometry objects. This reuse of geometry and acceleration structure data minimizes both memory footprint and acceleration construction time. Additional geometry groups could be added in the same manner at very little overhead.

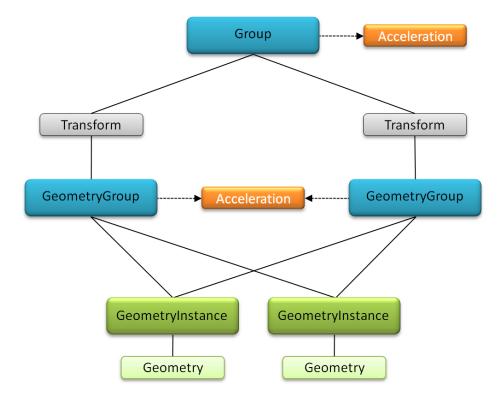


Figure 4 Two geometry groups sharing an acceleration structure and the underlying geometry objects.

# 3.6. Rendering on the VCA

OptiX 3.8 introduces remote network rendering as well as a new type of launch called the *progressive launch*. Using these APIs, common progressive rendering algorithms can be implemented easily and executed efficiently on NVIDIA's *Visual Computing Applicance* servers (VCAs). All OptiX computation can happen remotely on the VCA, with the rendered result being sent back to the client as a video stream. This allows even relatively low-performance client computers to run heavyweight OptiX applications efficiently, using the substantial computational resources provided by tens or hundreds of GPUs in a VCA cluster.

The Progressive Launch API mainly serves to address the following aspects not covered by the traditional OptiX API:

#### **Remote Launches**

The rtContextLaunch calls offered by traditional OptiX work for remote rendering but are not well suited to it. Because the API calls are synchronous, each launch/display cycle incurs the full network roundtrip latency, and thus performance is usually not acceptable over standard network connections. Progressive launches, on the other hand, are asynchronous, and can achieve smooth application performance even over a high latency connection.

#### **Parallelization**

OptiX can parallelize work across a small number of local GPUs. To enable first class support for VCA clusters, however, the system needs to be able to scale to

potentially hundreds of GPUs efficiently. Progressive renderers, such as path tracers, are one of the most common use cases of OptiX. The fact that the image samples they compute are independent of each other provides a natural way to parallelize the problem. The Progressive Launch API therefore combines the assumption that work can be split into many independent parts with the capability to launch kernels asynchronously.

Note that the Progressive Launch API may be used to render on local devices, as well as remotely on the VCA. Except for the code that sets up the RemoteDevice (see **Remote Devices**), whether rendering happens locally or remotely is transparent to the application. For applications that are amenable to the progressive launch programming model an advantage of using this model all the time, rather than traditional synchronous launches is that adapting the application to the VCA with full performance is virtually automatic.

#### 3.6.1. Remote Devices

The connection to a VCA (or cluster of VCAs) is represented by the Rtremotedevice API object. On creation, the network connection is established given the URL of the *cluster manager* (in form of a WebSockets address) and user credentials. Information about the device can then be queried using rtRemoteDeviceGetAttribute. A VCA cluster consists of a number of *nodes*, of which a subset can be reserved for rendering using rtRemoteDeviceReserve. Since several server configurations may be available, that call also selects which one to use.

After node reservation has been initiated, the application must wait for the nodes to be ready by polling the RT\_REMOTEDEVICE\_STATUS attribute. Once that flag reports RT\_REMOTEDEVICE\_STATUS\_READY, the device can be used for rendering.

To execute OptiX commands on the remote device, the device must be assigned to a context using rtContextSetRemoteDevice. Note that only newly created contexts can be used with remote devices. That is, the call to rtContextSetRemoteDevice should immediately follow the call to rtContextCreate.

#### 3.6.2. Progressive Launches

While most existing OptiX applications will work unchanged when run on a remote device (see **Limitations** for caveats), progressive launches must be used instead of rtContextLaunch for optimal performance.

Instead of requesting the generation of a single frame, a progressive launch, triggered by rtContextLaunchProgressive2D, requests multiple *subframes* at once. A subframe is output buffer content which is composited with other subframes to yield the final frame. In most progressive renderers, this means that a subframe simply contains a single sample per pixel.

Progressive launch calls are non-blocking. An application typically executes a progressive launch, and then continuously polls the *stream buffers* associated with its output, using rtBufferGetProgressiveUpdateReady. If that call reports that an update is available, the stream buffer can be mapped and the content displayed.

If any OptiX API functions are called while a progressive launch is in progress, the launch will stop generating subframes until the next time a progressive launch is triggered (the exception is the API calls to poll and map the stream

buffers). This way, state changes to OptiX, such as setting variables using rtVariableSet, can be made easily and efficiently in combination with a render loop that polls for stream updates and executes a progressive launch. This method is outlined in the example pseudocode below.

#### 3.6.3. Stream Buffers

Accessing the results of a progressive launch is typically done through a new type of buffer called a *stream buffer*. Stream buffers allow the remotely rendered frames to be sent to the application client using compressed video streaming, greatly improving response times while still allowing the application to use the result frame in the same way as with a non-stream output buffer.

Stream buffers are created using rtBufferCreate with the type set to RT\_BUFFER\_PROGRESSIVE\_STREAM. A stream buffer must be bound to a regular output buffer via rtBufferBindProgressiveStream in order to define its data source.

By executing the bind operation, the system enables automatic compositing for the output buffer. That is, any values written to the output buffer by device code will be averaged into the stream buffer, rather than overwriting the previous value as in regular output buffers. Compositing happens automatically and potentially in parallel across many devices on the network, or locally if remote rendering is not used.

Several configuration options are available for stream buffers, such as the video stream format to use, and parameters to trade off quality versus speed. Those options can be set using rtBufferSetAttribute. Note that some of the options only take effect if rendering happens on a remote device, and are a no-op when rendering locally. This is because stream buffers don't undergo video compression when they don't have to be sent across a network.

In addition to automatic compositing, the system also tonemaps and quantizes the averaged output before writing it into a stream. Tonemapping is performed using a simple built-in operator with a user-defined gamma value (specified using rtBufferSetAttribute). The tonemap operator is defined as:

$$final\_value = clamp(pow(hdr\_value, 1/gamma), 0, 1)$$

Accessing a progressive stream happens by mapping the stream buffer, just like any regular buffer, and reading out the frame data. The data is uncompressed, if necessary, when mapped. The data available for reading will always represent the most recent update to the stream if a progressive launch is in progress, so a frame that is not read on time may be skipped (e.g. if polling happens at a low frequency).

It is also possible to map an output buffer that is bound as a data source for a stream buffer. This can be useful to access "final frame" data, i.e. the uncompressed and unquantized accumulated output. Note that mapping a non-stream buffer will cause the progressive launch to stop generating subframes, and that such a map operation is much slower than mapping a stream.

#### 3.6.4. Device Code

In OptiX device code the subframe index used for progressive rendering is exposed as a semantic variable of type unsigned int. Its value is guaranteed to be unique for each subframe in the current progressive launch, starting at zero for the first subframe and increasing by one with each

subsequent subframe. For example, an application performing stochastic sampling may use this variable to seed a random number generator.

The current subframe index can be accessed in shader programs by declaring the following variable:

```
rtDeclareVariable(unsigned int, index, rtSubframeIndex,);
```

Computed pixel values can be written to an output buffer, just like for non-progressive rendering. Output buffers that are bound as sources to stream buffers will then be averaged automatically and processed as described in the section on Buffers.

Note in particular that device code does *not* use stream buffers directly.

#### 3.6.5. Limitations

- OpenGL interoperability is limited with remote rendering, and using it is discouraged for performance reasons. See Interoperability with OpenGL and Direct3D for more information. Direct3D and CUDA interop are not supported.
- □ Using buffers of type RT\_BUFFER\_INPUT\_OUTPUT in combination with remote rendering yields undefined results.
- rtPrintf and associated host functions are not supported in combination with remote rendering.
- ☐ Error codes and messages returned by API calls may apply to errors encountered on prior API calls, rather than the current call since return codes are streamed back asynchronously from the VCA.
- □ Output buffers used as data sources for progressive stream buffers must be of RT\_FORMAT\_FLOAT3 or RT\_FORMAT\_FLOAT4 format. For performance reasons, using RT\_FORMAT\_FLOAT4 is strongly recommended.
- □ Stream buffers must be of RT\_FORMAT\_UNSIGNED\_BYTE4 format.

#### 3.6.6. Example

For complete example applications using remote rendering and progressive launches, please refer to the "progressive" and "queryRemote" samples in the SDK. The following illustrates basic API usage in pseudocode.

```
else
        sleep(1); // poll once per second.
    rtRemoteDeviceGetAttribute( rdev,
        RT REMOTEDEVICE ATTRIBUTE STATUS,
        sizeof(int), &ready ) );
} while( ready != RT REMOTEDEVICE STATUS READY );
// Set up the Optix context
RTcontext context;
rtContextCreate( &context );
// Enable rendering on the remote device. Must immediately
// follow context creation.
rtContextSetRemoteDevice( context, rdev );
// Set up a stream buffer/output buffer pair
RTbuffer output buffer, stream buffer;
rtBufferCreate( context, RT BUFFER OUTPUT, &output buffer );
rtBufferCreate( context, RT BUFFER PROGRESSIVE STREAM,
      &stream buffer );
rtBufferSetSize2D( output buffer, width, height );
rtBufferSetSize2D( stream buffer, width, height );
rtBufferSetFormat( output_buffer, RT FORMAT FLOAT4 );
rtBufferSetFormat ( stream buffer, RT FORMAT UNSIGNED BYTE4 );
rtBufferBindProgressiveStream( stream buffer, output buffer );
// [The usual OptiX scene setup goes here. Geometries, acceleration
// structures, materials, programs, etc.]
// Non-blocking launch, request infinite number of subframes
rtContextLaunchProgressive2D( context, width, height, 0 );
while (!finished)
  // Poll stream buffer for updates from the progressive launch
  rtBufferGetProgressiveUpdateReady( stream buffer, &ready, 0, 0);
  if ( ready )
    // Map and display the stream. This won't interrupt rendering.
   rtBufferMap( stream buffer, &data );
   display( data );
   rtBufferUnmap( stream buffer );
  }
  // Check whether scene has changed, e.g. because of user input
  if( scene changed() )
    // [Update OptiX state here, e.g. by calling rtVariableSet
    // or other OptiX functions. This will cause the server to
    // stop generating subframes, so we call launch again below].
   rtVariableSet( ...);
  // Start a new progressive launch, in case the OptiX state has been
  // changed above. If it hasn't, then this is a no-op and the
 // previous launch just continues running, accumulating further
```

```
// subframes into the stream.
  rtContextLaunchProgressive2D( context, width, height, 0 );
}

// Clean up.
rtContextDestroy( context );
rtRemoteDeviceRelease( rdev );
rtRemoteDeviceDestroy( rdev );
```

# Chapter 4. Programs

This chapter describes the different kinds of OptiX programs, which provide programmatic control over ray intersection, shading, and other general computation in OptiX ray tracing kernels. OptiX programs are associated with binding points serving different semantic roles during a ray tracing computation. Like other concepts, OptiX abstracts programs through its object model as *program objects*.

#### 4.1. OptiX Program Objects

The central theme of the OptiX API is programmability. OptiX programs are written in CUDA C, and specified to the API through a string or file containing PTX, the parallel thread execution virtual assembly language associated with CUDA. The nvcc compiler that is distributed with the CUDA SDK is used to create PTX in conjunction with the OptiX header files.

These PTX files are then bound to Program objects via the host API. Program objects can be used for any of the OptiX program types discussed later in this section.

#### 4.1.1. Managing Program Objects

OptiX provides two API entry points for creating Program objects: rtProgramCreateFromPTXString, and rtProgramCreateFromPTXFile. The former creates a new Program object from a string of PTX source code. The latter creates a new Program object from a file of PTX source on disk:

In this example, ptx\_filename names a file of PTX source on disk, and function\_name names a particular function of interest within that source. If the program is ill-formed and cannot compile, these entry points return an error code.

Program objects may be checked for completeness using the rtProgramValidate function, as the following example demonstrates:

```
if( rtProgramValidate(context, program)!=RT_SUCCESS
)
{
   printf( "Program is not complete." );
}
```

An error code returned from rtProgramValidate indicates an error condition due to the program object or any other objects bound to it.

Finally, the rtProgramGetContext function reports the context object owning the program object, while rtProgramDestroy invalidates the object and frees all resources related to it.

#### 4.1.2. Communication Through Variables

OptiX program objects communicate with the host program through *variables*. Variables are declared in an OptiX program using the rtDeclareVariable macro:

```
rtDeclareVariable( float, x, , );
```

This declaration creates a variable named x of type float which is available to both the host program through the OptiX variable object API, and to the device program code through usual C language semantics. Notice that the last two arguments are left blank in this example. The commas must still be specified.

Variables declared in this way may be read and written by the host program through the rtVariableGet\* and rtVariableSet\* family of functions. When variables are declared this way, they are implicitly const-qualified from the device program's perspective. If communication from the program to the host is necessary, an rtBuffer should be used instead.

As of OptiX 2.0, variables may be declared inside arbitrarily nested namespaces to avoid name conflicts. References from the host program to namespace-enclosed OptiX variables will need to include the full namespace.

Program variables may also be declared with *semantics*. Declaring a variable with a semantic binds the variable to a special value which OptiX manages internally over the lifetime of the ray tracing kernel. For example, declaring a variable with the rtCurrentRay semantic creates a special read-only program variable that mirrors the value of the Ray currently being traced through the program flow:

```
rtDeclareVariable( optix::Ray, ray, rtCurrentRay, );
```

Variables declared with a built-in semantic exist only during ray tracing kernel runtime and may not be modified or queried by the host program. Unlike regular variables, some semantic variables may be modified by the device program.

Declaring a variable with an *annotation* associates with it a read-only string which, for example, may be interpreted by the host program as a human-readable description of the variable. For example:

```
rtDeclareVariable( float, shininess, , "The
shininess of the sphere");
```

A variable's annotation is the fourth argument of rtDeclareVariable, following the variable's optional semantic argument. The host program may

query a variable's annotation with the rtVariableGetAnnotation function.

#### 4.1.3. Internally Provided Semantics

OptiX manages five internal semantics for program variable binding. Table 5 summarizes in which types of program these semantics are available, along with their access rules from device programs and a brief description of their meaning.

Name	rtLaunchIndex	rtCurrentRay	rtPayload	rtIntersectionDistance	rtSubframeIndex read only		
Access	read only	read only	read/write	read only			
Description	The unique index identifying each thread launched by rtContextLaunch{1 2 3}D.	The state of the current ray.	The state of the current ray's payload of user- defined data.	The parametric distance from the current ray's origin to the closest intersection point yet discovered.	The unique index identifying each subframe in a progressive launch. Zero for non-progressive launches.		
Ray Generation	Yes	No	No	No	Yes		
Exception	Yes	No	No	No	Yes		
Closest Hit	Yes	Yes	Yes	Yes	Yes		
Any Hit	Yes	Yes	Yes	Yes	Yes		
Miss	Yes	Yes	Yes	No	Yes		
Intersection	Yes	Yes	No	Yes	Yes		
Bounding Box	No	No	No	No	No		
Visit	Yes	Yes	Yes	Yes	Yes		

Table 5 Semantic Variables

#### 4.1.4. Attribute Variables

In addition to the semantics provided by OptiX, variables may also be declared with user-defined semantics called *attributes*. Unlike built-in semantics, the value of variables declared in this way must be managed by the programmer. Attribute variables provide a mechanism for communicating data between the intersection program and the shading programs (e.g., surface normal, texture coordinates). Attribute variables may *only* be written in an intersection program between calls to rtPotentialIntersection and rtReportIntersection. Although OptiX may not find all object intersections in order along the ray, the value of the attribute variable is guaranteed to reflect the value at the closest intersection at the time that the closest hit program is invoked. For this reason, programs should use attribute variables (as opposed to the ray payload) to communicate information about the local hit point between intersection and shading programs.

The following example declares an attribute variable of type float3 named *normal*. The semantic association of the attribute is specified with the user-defined name *normal\_vec*. This name is arbitrary, and is the link between the variable declared here and another variable declared in the closest hit program. The two attribute variables need not have the same name as long as their attribute names match.

#### 4.1.5. Program Variable Scoping

OptiX program variables can have their values defined in two ways: static initializations, and (more typically) by variable declarations attached to API objects. A variable declared with a static initializer will only use that value if it does not find a definition attached to an API object. A declaration with static initialization is written:

```
rtDeclareVariable( float, x, , ) = 5.0f;
```

The OptiX variable scoping rules provide a valuable inheritance mechanism that is designed to create compact representations of material and object parameters. To enable this, each program type also has an ordered list of scopes through which it will search for variable definitions in order. For example, a closest hit program that refers to a variable named *color* will search the Program, GeometryInstance, Material and Context API objects for definitions created with the rt\*DeclareVariable functions, in that order. Similar to scoping rules in a programming language, variables in one scope will shadow those in another scope. summarizes the scopes that are searched for variable declarations for each type of program.

Ray Generation	Program	Context			
Exception	Program	Context			
Closest Hit	Program	GeometryInstance	Material	Context	
Any Hit	Program	GeometryInstance	Material	Context	
Miss	Program	Context			
Intersection	Program	GeometryInstance	Geometry	Context	
Bounding Box	Program	GeometryInstance	Geometry	Context	
Visit	Program	Node			

Table 6 Scope search order for each type of program (from left to right)

It is possible for a program to find multiple definitions for a variable in its scopes depending upon where the program is called. For example, a closest hit program may be attached to several Material objects and reference a variable named *shininess*. We can attach a variable definition to the Material object as well as attach a variable definition to specific GeometryInstance objects that we create that reference that Material.

During execution of a specific GeometryInstance's closest hit program, the value of *shininess* depends on whether the particular instance has a definition attached: if the GeometryInstance defines *shininess*, then that value will be used. Otherwise, the value will be taken from the Material object. As you can see from Table 6 above, the program searches the GeometryInstance scope before the Material scope. Variables with definitions in multiple scopes are said to be *dynamic* and may incur a performance penalty. Dynamic variables are therefore best used sparingly.

#### 4.1.6. Program Variable Transformation

Recall that rays have a projective transformation applied to them upon encountering Transform nodes during traversal. The transformed ray is said to be in *object space*, while the original ray is said to be in *world space*.

Programs with access to the rtCurrentRay semantic operate in the spaces summarized in Table 7:

Ray Generation	World			
Closest Hit	World			
Any Hit	Object			
Miss	World			
Intersection	Object			
Visit	Object			

Table 7 Space of rtCurrentRay for Each Program Type

To facilitate transforming variables from one space to another, OptiX's CUDA C API provides a set of functions:

```
__device__ float3 rtTransformPoint( RTtransformkind kind, const float3& p )

__device__ float3 rtTransformVector( RTtransformkind kind, const float3& v )

__device__ float3 rtTransformNormal( RTtransformkind kind, const float3& n )

__device__ void rtGetTransform( RTtransformkind kind, float matrix[16] )
```

The first three functions transform a float3, interpreted as a point, vector, or normal vector, from object to world space or vice versa depending on the value of a RTtransformkind flag passed as an argument. rtGetTransform returns the four-by-four matrix representing the current transformation from object to world space (or vice versa depending on the RTtransformkind argument). For best performance, use the rtTransform\* functions rather than performing your own explicit matrix multiplication with the result of rtGetTransform.

A common use case of variable transformation occurs when interpreting attributes passed from the intersection program to the closest hit program. Intersection programs often produce attributes, such as normal vectors, in

object space. Should a closest hit program wish to consume that attribute, it often must transform the attribute from object space to world space:

float3 n = rtTransformNormal( RT\_OBJECT\_TO\_WORLD, normal
);

#### 4.2. Which OptiX calls are supported where?

Not all OptiX function calls are supported in all types of user provided programs. For example, it doesn't make sense to spawn a new ray inside an intersection program, so this behavior is disallowed. A complete table of what device-side functions are allowed is given below:

	Ray Generation	Exception	Closest Hit	Any Hit	Miss	Intersection	Bounding Box	Visit	Bindless Callable Program
rtTransform*			•	•	•	•	•	•	
rtTrace	•		•		•				
rtThrow	•		•	•	•	•	•	•	•
rtPrintf	•	•	•	•	•	•	•	•	•
rtTerminateRay				•					
rtIgnoreIntersection				•					
rtIntersectChild								•	
rtPotentialIntersection						•			
rtReportIntersection						•			
Callable Program	•	•	•	•	•	•	•	•	•

Table 8 Device API Function Allowed Scopes

#### 4.3. Ray Generation Programs

A ray generation program serves as the first point of entry upon a call to  $\verb|rtContextLaunch{1|2|3}D|. As such, it serves a role analogous to the main function of a C program. Like C's main function, any subsequent computation performed by the kernel, from casting rays to reading and writing from buffers, is spawned by the ray generation program. However, unlike a serial C program, an OptiX ray generation program is executed many times in parallel – once for each thread implied by <math>\verb|rtContextLaunch{1|2|3}D$ 's parameters.

Each thread is assigned a unique rtLaunchIndex. The value of this variable may be used to distinguish it from its neighbors for the purpose of, e.g., writing to a unique location in an rtBuffer:

```
rtBuffer<float, 1> output_buffer;
rtDeclareVariable( unsigned int, index,
rtLaunchIndex, );
...;
float result = ...;
output_buffer[index] = result;
```

In this case, the result is written to a unique location in the output buffer. In general, a ray generation program may write to any location in output buffers, as long as care is taken to avoid race conditions between buffer writes.

#### 4.3.1. Entry Point Indices

To configure a ray tracing kernel launch, the programmer must specify the desired ray generation program using an *entry point index*. The total number of entry points for a context is specified with rtContextSetEntryPointCount:

```
RTcontext context = ...;
unsigned int num_entry_points = ...;
rtContextSetEntryPointCount( context,
num_entry_points );
```

OptiX requires that each entry point index created in this manner have a ray generation program associated with it. A ray generation program may be associated with multiple indices. Use the

rtContextSetRayGenerationProgram function to associate a ray generation program with an entry point index in the range [0, num entry points):

```
RTprogram prog = ...;
// index is >= 0 and < num_entry_points
unsigned int index = ...;
rtContextSetRayGenerationProgram( context, index,
prog );</pre>
```

#### 4.3.2. Launching a Ray Generation Program

rtContextLaunch $\{1 \mid 2 \mid 3\}$ D takes as a parameter the entry point index of the ray generation program to launch:

```
RTsize width = ...;
rtContextLaunch1D( context, index, width );
```

If no ray generation program has been associated with the entry point index specified by  $rtContextLaunch{1|2|3}D$ 's parameter, the launch will fail.

#### 4.3.3. Ray Generation Program Function Signature

In CUDA C, ray generation programs return void and take no parameters. Like all OptiX programs, ray generation programs written in CUDA C must be tagged with the RT\_PROGRAM qualifier. The following snippet shows an example ray generation program function prototype:

#### 4.3.4. Example Ray Generation Program

The following example ray generation program implements a pinhole camera model in a rendering application. This example demonstrates that ray generation programs act as the gateway to all ray tracing computation by initiating traversal through the rtTrace function, and often store the result of a ray tracing computation to an output buffer.

Note the variables eye, U, V, and W. Together, these four variables allow the host API to specify the position and orientation of the camera.

```
rtBuffer<uchar4, 2> output buffer;
rtDeclareVariable( uint2, index, rtLaunchIndex, );
rtDeclareVariable( rtObject, top object, , );
rtDeclareVariable(float3,
                                eye, , );
rtDeclareVariable(float3,
                                 U, , );
rtDeclareVariable(float3,
                                 V, ,);
rtDeclareVariable(float3,
                                 W, ,);
struct Payload
  uchar4 result;
};
RT PROGRAM void pinhole camera (void)
  uint2 screen = output buffer.size();
  float2 d = make float2( index ) /
             make float2( screen ) * 2.f - 1.f;
  float3 origin = eye;
  float3 direction = normalize( d.x*U + d.y*V + W );
  optix::Ray ray =
    optix::make Ray( origin, direction, 0,
                     0.05f, RT DEFAULT MAX );
  Payload payload;
  rtTrace( top object, ray, payload );
  output buffer[index] = payload.result;
```

#### 4.4. Exception Programs

OptiX ray tracing kernels invoke an *exception program* when certain types of serious errors are encountered. Exception programs provide a means of communicating to the host program that something has gone wrong during a launch. The information an exception program provides may be useful in avoiding an error state in a future launch or for debugging during application development.

#### 4.4.1. Exception Program Entry Point Association

An exception program is associated with an entry point using the rtContextSetExceptionProgram function:

```
RTcontext context = ...;
RTprogram program = ...;
// index is >= 0 and < num_entry_points
unsigned int index = ...;
rtContextSetExceptionProgram( context, index,
program );</pre>
```

Unlike with ray generation programs, the programmer need not associate an exception program with an entry point. By default, entry points are associated with an internally provided exception program that silently ignores errors.

As with ray generation programs, a single exception program may be associated with many different entry points.

#### 4.4.2. Exception Types

OptiX detects a number of different error conditions that result in exception programs being invoked. An exception is identified by its code, which is an integer defined by the OptiX API. For example, the exception code for the stack overflow exception is RT EXCEPTION STACK OVERFLOW.

The type or code of a caught exception can be queried by calling rtGetExceptionCode from the exception program. More detailed information on the exception can be printed to the standard output using rtPrintExceptionDetails.

In addition to the built in exception types, OptiX provides means to introduce user-defined exceptions. Exception codes between RT\_EXCEPTION\_USER (0x400) and 0xFFFF are reserved for user exceptions. To trigger such an exception, rtThrow is used:

```
// Define user-specified exception codes.
#define MY_EXCEPTION_0 RT_EXCEPTION_USER + 0
#define MY_EXCEPTION_1 RT_EXCEPTION_USER + 1

RT_PROGRAM void some_program()
{
    ...
    // Throw user exceptions from within a program.
    if( condition0 )
       rtThrow( MY_EXCEPTION_0 );
    if( condition1 )
       rtThrow( MY_EXCEPTION_1 );
    ...
}
```

In order to control the runtime overhead involved in checking for error conditions, individual types of exceptions may be switched on or off using rtContextSetExceptionEnabled. Disabling exceptions usually results in faster performance, but is less safe. By default, only RT\_EXCEPTION\_STACK\_OVERFLOW is enabled. During debugging, it is often useful to turn on all available exceptions. This can be achieved with a single call:

```
rtContextSetExceptionEnabled(context,
RT_EXCEPTION_ALL, 1);
...
```

#### 4.4.3. Exception Program Function Signature

In CUDA C, exception programs return void, take no parameters, and use the RT\_PROGRAM qualifier:

```
RT_PROGRAM void exception_program( void );
```

#### 4.4.4. Example Exception Program

The following example code demonstrates a simple exception program which indicates a stack overflow error by outputting a special value to an output buffer which is otherwise used as a buffer of pixels. In this way, the exception program indicates the rtLaunchIndex of the failed thread by marking its location in a buffer of pixels with a known color. Exceptions which are not caused by a stack overflow are reported by printing their details to the console.

```
rtDeclareVariable( int, launch_index, rtLaunchIndex,
);
rtDeclareVariable( float3, error, , ) =
make_float3(1,0,0);
rtBuffer<float3, 2> output_buffer;

RT_PROGRAM void exception_program( void )
{
  const unsigned int code = rtGetExceptionCode();

  if( code == RT_EXCEPTION_STACK_OVERFLOW )
    output_buffer[launch_index] = error;
  else
    rtPrintExceptionDetails();
}
```

#### 4.5. Closest Hit Programs

After a call to the rtTrace function, OptiX invokes a *closest hit program* once it identifies the nearest primitive intersected along the ray from its origin. Closest hit programs are useful for performing primitive-dependent processing that should occur once a ray's visibility has been established. A closest hit program may communicate the results of its computation by modifying per-ray data or writing to an output buffer. It may also recursively call the rtTrace function. For example, a computer graphics application might implement a surface shading algorithm with a closest hit program.

#### 4.5.1. Closest Hit Program Material Association

A closest hit program is associated with each (*material*, *ray\_type*) pair. Each pair's default program is a no-op. This is convenient when an OptiX application requires many types of rays but only a small number of those types require special closest hit processing.

The programmer may change an association with the rtMaterialSetClosestHitProgram function:

```
RTmaterial material = ...;
RTprogram program = ...;
unsigned int type = ...;
rtMaterialSetClosestHitProgram( material, type,
program );
```

#### 4.5.2. Closest Hit Program Function Signature

In CUDA C, closest hit programs return void, take no parameters, and use the RT PROGRAM qualifier:

```
RT PROGRAM void closest hit program (void);
```

#### 4.5.3. Recursion in a Closest Hit Program

Though the rtTrace function is available to all programs with access to the rtLaunchIndex semantic, a common use case of closest hit programs is to perform recursion by tracing more rays upon identification of the closest surface intersected by a ray. For example, a computer graphics application might implement Whitted-style ray tracing by recursive invocation of rtTrace and closest hit programs. Care must be used to limit the recursion depth to avoid stack overflow.

#### 4.5.4. Example Closest Hit Program

The following code example demonstrates a closest hit program that transforms the normal vector computed by an intersection program (not shown) from the intersected primitive's local coordinate system to a global coordinate system. The transformed normal vector is returned to the calling function through a variable declared with the rtPayload semantic. Note that this program is quite trivial; normally the transformed normal vector would be used by the closest hit program to perform some calculation (e.g., lighting). See the OptiX Quickstart Guide for examples.

```
rtDeclareVariable( float3, normal, attribute
normal_vec, );

struct Payload
{
   float3 result;
};

rtDeclareVariable( Payload, ray_data, rtPayload, );

RT_PROGRAM void closest_hit_program( void )
{
   float3 norm;
   norm = rtTransformNormal( RT_OBJECT_TO_WORLD,
normal );
   norm = normalize( norm );
   ray_data.result = norm;
}
```

#### 4.6. Any Hit Programs

Instead of the closest intersected primitive, an application may wish to perform some computation for *any* primitive intersection that occurs along a ray cast during the rtTrace function; this usage model can be implemented using *any bit programs*. For example, a rendering application may require some value to be accumulated along a ray at each surface intersection.

#### 4.6.1. Any Hit Program Material Association

Like closest hit programs, an any hit program is associated with each (*material*, *ray\_type*) pair. Each pair's default association is with an internally-provided any hit program which implements a no-op.

The rtMaterialSetAnyHitProgram function changes a (material, ray\_type) pair's association:

```
RTmaterial material = ...;
RTprogram program = ...;
unsigned int type = ...;
rtMaterialSetAnyHitProgram( material, type, program);
```

#### 4.6.2. Termination in an Any Hit Program

A common OptiX usage pattern is for an any hit program to halt ray traversal upon discovery of an intersection. The any hit program can do this by calling rtTerminateRay. This technique can increase performance by eliminating redundant traversal computations when an application only needs to determine whether *any* intersection occurs and identification of the *nearest* intersection is irrelevant. For example, a rendering application might use this technique to implement shadow ray casting, which is often a binary true or false computation.

#### 4.6.3. Any Hit Program Function Signature

In CUDA C, any hit programs return void, take no parameters, and use the RT PROGRAM qualifier:

```
RT PROGRAM void any hit program (void);
```

#### 4.6.4. Example Any Hit Program

The following code example demonstrates an any hit program that implements early termination of shadow ray traversal upon intersection. The program also sets the value of a per-ray payload member, attenuation, to zero to indicate the material associated with the program is totally opaque.

```
struct Payload
{
  float attenuation;
};

rtDeclareVariable( Payload, payload, rtPayload, );

RT PROGRAM void any hit program( void )
```

```
{
  payload.attenuation = 0.f;
  rtTerminateRay();
}
```

#### 4.7. Miss Programs

When a ray traced by the rtTrace function intersects no primitive, a *miss* program is invoked. Miss programs may access variables declared with the rtPayload semantic in the same way as closest hit and any hit programs.

#### 4.7.1. Miss Program Function Signature

In CUDA C, miss programs return void, take no parameters, and use the RT\_PROGRAM qualifier:

```
RT PROGRAM void miss program ( void );
```

#### 4.7.2. Example Miss Program

In a computer graphics application, the miss program may implement an environment mapping algorithm using a simple gradient, as this example demonstrates:

#### 4.8. Intersection and Bounding Box Programs

Intersection and bounding box programs represents geometry by implementing ray-primitive intersection and bounding algorithms. These program types are associated with and queried from Geometry objects using rtGeometrySetIntersectionProgram, rtGeometryGetIntersectionProgram, rtGeometrySetBoundingBoxProgram, and rtGeometryGetBoundingBoxProgram.

## 4.8.1. Intersection and Bounding Box Program Function Signatures

Like the previously discussed OptiX programs, in CUDA C, intersection and bounding box programs return void and use the RT\_PROGRAM qualifier. Because Geometry objects are collections of primitives, these functions require a parameter to specify the index of the primitive of interest to the computation. This parameter is always in the range [0, N), where N is given by the argument to the rtGeometrySetPrimitiveCount function.

Additionally, the bounding box program requires an array of floats to store the result of the bounding box computation, yielding these function signatures:

#### 4.8.2. Reporting Intersections

Ray traversal invokes an intersection program when the current ray encounters one of a Geometry object's primitives. It is the responsibility of an intersection program to compute whether the ray intersects with the primitive, and to report the parametric *t-value* of the intersection. Additionally, the intersection program is responsible for computing and reporting any details of the intersection, such as surface normal vectors, through attribute variables.

Once the intersection program has determined the t-value of a ray-primitive intersection, it must report the result by calling a pair of OptiX functions, rtPotentialIntersection and rtReportIntersection:

```
__device__ bool rtPotentialIntersection( float tmin
)
__device__ bool rtReportIntersection( unsigned int
material )
```

rtPotentialIntersection takes the intersection's t-value as an argument. If the t-value could potentially be the closest intersection of the current traversal the function narrows the *t-interval* of the current ray accordingly and returns true. If the t-value lies outside the t-interval the function returns false, whereupon the intersection program may trivially return.

If rtPotentialIntersection returns true, the intersection program may then set any attribute variable values and call rtReportIntersection. This function takes an unsigned int specifying the index of a material that must be associated with an any hit and closest hit program. This material index can be used to support primitives of several different materials flattened into a single Geometry object. Traversal then immediately invokes the corresponding any hit program. Should that any hit program invalidate the intersection via the rtIgnoreIntersection function, then rtReportIntersection will return false. Otherwise, it will return true.

The values of attribute variables must be modified only between the call to rtPotentialIntersection and the call to rtReportIntersection. The result of writing to an attribute variable

outside the bounds of these two calls is undefined. The values of attribute variables written in this way are accessible by any hit and closest hit programs.

If the any hit program invokes rtIgnoreIntersection, any attributes computed will be reset to their previous values and the previous t-interval will be restored.

If no intersection exists between the current ray and the primitive, an intersection program need only return.

#### 4.8.3. Specifying Bounding Boxes

Acceleration structures use *bounding boxes* to bound the spatial extent of scene primitives to accelerate the performance of ray traversal. A bounding box program's responsibility is to describe the minimal three dimensional axisaligned bounding box that contains the primitive specified by its first argument and store the result in its second argument. Bounding boxes are always specified in object space, so the user should not apply any transformations to them.

For correct results bounding boxes must merely contain the primitive. For best performance bounding boxes should be as tight as possible.

### 4.8.4. Example Intersection and Bounding Box Programs

The following code demonstrates how an intersection and bounding box program combine to describe a simple geometric primitive. The sphere is a simple analytic shape with a well-known ray intersection algorithm. In the following code example, the *sphere* variable encodes the center and radius of a three-dimensional sphere in a float4:

```
rtDeclareVariable (float4, sphere, ,);
rtDeclareVariable( optix::Ray, ray, rtCurrentRay, );
rtDeclareVariable (float3, normal, attribute normal
RT PROGRAM void intersect sphere (int prim index)
  float3 center = make float3( sphere.x, sphere.y,
                               sphere.z);
  float radius = sphere.w;
  float3 0 = ray.origin - center;
  float b = dot( 0, ray.direction );
  float c = dot(0, 0) - radius*radius;
  float disc = b*b - c;
  if( disc > 0.0f ) {
    float sdisc = sqrtf( disc );
    float root1 = (-b - sdisc);
    bool check second = true;
    if( rtPotentialIntersection( root1 ) ) {
      normal = (0 + root1*D) / radius;
      if( rtReportIntersection( 0 ) )
        check second = false;
    if( check second ) {
      float root2 = (-b + sdisc);
      if( rtPotentialIntersection( root2 ) ) {
```

```
normal = (0 + root2*D) / radius;
    rtReportIntersection( 0 );
}
}
}
```

Note that this intersection program ignores its prim\_index argument and passes a material index of 0 to rtReportIntersection; it represents only the single primitive of its corresponding Geometry object.

The bounding box program for the sphere is very simple:

```
RT PROGRAM void bound sphere (int, float result[6])
  float3 cen = make float3 ( sphere.x, sphere.y,
sphere.z);
  float3 rad = make float3 ( sphere.w, sphere.w,
sphere.w );
  // compute the minimal and maximal corners of
  // the axis-aligned bounding box
  float3 min = cen - rad;
  float3 max = cen + rad;
  // store results in order
  result[0] = min.x;
  result[1] = min.y;
  result[2] = min.z;
  result[3] = max.x;
  result[4] = max.y;
  result[5] = max.z;
```

#### 4.9. Selector Programs

Ray traversal invokes selector *visit programs* upon encountering a Selector node to programmatically select which of the node's children the ray shall visit. A visit program dispatches the current ray to a particular child by calling the rtIntersectChild function. The argument to rtIntersectChild selects the child by specifying its index in the range [0, N), where N is given by the argument to rtSelectorSetChildCount.

#### 4.9.1. Selector Visit Program Function Signature

In CUDA C, visit programs return void, take no parameters, and use the RT\_PROGRAM qualifier:

```
RT PROGRAM void visit program ( void );
```

#### 4.9.2. Example Visit Program

Visit programs may implement, for example, sophisticated level-of-detail systems or simple selections based on ray direction. The following code sample demonstrates an example visit program that selects between two children based on the direction of the current ray:

```
rtDeclareVariable( optix::Ray, ray, rtCurrentRay, );

RT_PROGRAM void visit( void )
{
  unsigned int index = (unsigned int)(
  ray.direction.y < 0 );
  rtIntersectChild( index );
}</pre>
```

#### 4.10. Callable Programs

Callable programs allow for additional programmability within the standard set of OptiX programs. Callable programs are referenced by handles that are set via RTvariables or RTbuffers on the host. This allows the changing of the target of a function call at runtime to achieve, for example, different shading effects in response to user input or customize a more general program based on the scene setup. Also, if you have a function that is invoked from many different places in your OptiX node graph, making it an RT\_CALLABLE\_PROGRAM can reduce code replication and compile time, and potentially improve runtime through increased warp utilization.

There are three pieces of callable programs. The first is the program you wish to call. The second is a declaration of a proxy function used to call the callable program. The third is the host code used to associate a callable program with the proxy function that will call it within the OptiX node graph.

Callable programs come in two variants, bound and bindless. Bound programs are invoked by direct use of a program bound to a variable through the host API and inherit the semantic type and variable scope lookup as the calling program. Bindless programs are called via an ID obtained from the RTprogram on the host and unlike bound programs do not inherit the semantic type or scope lookup of the calling program

#### 4.10.1. Defining a Callable Program in CUDA

Defining an RT\_CALLABLE\_PROGRAM is similar to defining an RT PROGRAM:

RT\_CALLABLE\_PROGRAMs can take arguments and return values just like other functions in CUDA, whereas RT PROGRAMs must return void.

#### 4.10.2. Using a Callable Program in CUDA

To invoke an RT\_CALLABLE\_PROGRAM from inside another RT\_PROGRAM, you must first declare its handle. The handles can be one of two types, rtCallableProgramId or rtCallableProgramX. Both of these types are templated on the return type followed by the argument types (up to 10 arguments are supported as of OptiX 3.6). The difference between these two will be discussed later in this section.

```
typedef rtCallableProgramId<int(int)> callT;
rtDeclareVariable(callT, do_work,,);

typedef rtCallableProgramX<float(int,int)> call2T;
rtDeclareVariable(call2T, do_more work,,);
```

OptiX versions 3.5 and older declared callable programs via the rtCallableProgram macro. This macro still works for compatibility, but for SM\_20 and newer targets rtCallableProgram now creates a declaration similar to rtCallableProgramX.

Note that the third argument must be contained in parentheses.

It is recommended to replace all uses of the macro version of rtCallableProgram with the templated version, rtCallableProgramX. In addition, if the preprocessor macro RT\_USE\_TEMPLATED\_RTCALLABLEPROGRAM is defined then the old rtCallableProgram macro is supplanted by a definition that uses rtCallableProgramX.

```
// Before
#include <optix_world.h>
rtCallableProgram(int, func, (int,float));

// After
#define RT_USE_TEMPLATED_RTCALLABLEPROGRAM
#include <optix_world.h>
rtDeclareVariable(rtCallableProgram<int(int,float)>,
func,,);
```

Once the program variable is declared, your OptiX program may invoke function name as if it were a standard CUDA function. For example:

```
rtDeclareVariable(
    rtCallableProgramId<float3(float3,float)>,
    get_color,,);

RT_PROGRAM camera()
{
    float3 initial_color, final_color;
    // ... trace a ray, get the initial color ...
    final_color = get_color(initial_color, 0.5f);
    // ... write new final color to output buffer ...
}
```

Because the target of the get\_color program variable is specified at runtime by the host, camera does not need to know how its colors are being modified by the get color function.

In addition to declaring single rtCallableProgramId variables, you can also declare a buffer of them, as follows.

```
rtBuffer<rtCallableProgramId<float3(float3,float)> >
   get_colors;

RT_PROGRAM camera()
{
   float3 init_color, final_color;
   // ... trace a ray, get the initial color ...
   for(int I = 0, E = get_colors.size(); I!=E; ++I)
      final_color += get_colors[I]( init_color, 0.5f
);
   // ... write new final color to output buffer ...
}
```

You can also pass rtCallableProgramId objects to other functions and store them for later use.

#### 4.10.3. Setting a Callable Program on the Host

To set up an RT\_CALLABLE\_PROGRAM in your host code, simply load the PTX function using rtProgramCreateFromPTXFile, just like you would any other OptiX program. The resulting RTprogram object can be used in one of two ways. You can use the object directly to set an RTvariable via rtVariableSetObject. This is done for rtCallableProgramX and rtCallableProgram declared variables. Alternatively an ID for the RTprogram can be obtained through rtProgramGetId. This ID can be used to set the value of a rtCallableProgramId typed RTvariable (via rtVariableSetInt) or the values in a RTbuffer declared with type RT\_FORMAT\_PROGRAM\_ID. For example:

```
RTprogram color program;
RTvariable color program variable;
rtProgramCreateFromPTXFile( context, ptx path,
                             "my color program",
                             &color program );
rtProgramDeclareVariable (camera program,
"get color",
                          &color program variable );
// for rtCallableProgramX and rtCallableProgram
rtVariableSetObject( color program variable,
                     color program );
// for rtCallableProgramId
int id;
rtProgramGetId( color program, &id );
rtVariableSetInt( color program variable, id );
// For convenience the C++ wrapper has a
// Variable::setProgramId method that gets the ID
and
```

```
// sets the variable with it
camera_program["get_color"]->setProgramId(
color_program);
```

Here is an example of creating a buffer of rtCallableProgramIds using the C++ API. This sets up several programs one of which ("times\_multiplier") makes use of a locally defined RTvariable called "multiplier" that is unique to each instance of the program.

```
Program plus10 =
  context->createProgramFromPTXFile( ptx path,
                                      "plus10");
Program minus10 =
  context->createProgramFromPTXFile( ptx path,
                                      "minus10" );
Program times multiplier2 =
 context->createProgramFromPTXFile( ptx path,
                                 "times_multiplier" );
times multiplier2["multiplier"]->setInt(2);
Program times multiplier3 =
context->createProgramFromPTXFile( ptx path,
                                 "times multiplier" );
times multiplier3["multiplier"]->setInt(3);
Buffer functions =
 context->createBuffer( RT BUFFER INPUT,
                         RT FORMAT PROGRAM ID, 5 );
context["functions"]->set( functions );
// Here you can use the host defined type of
// callableProgramId<> or int
callableProgramId<int(int)>* f data =
   static cast<callableProgramId<int(int)>*>(functions-
>map());
f data[ 0 ] = callableProgramId<int(int)>(plus10->getId());
f data[ 1 ] = callableProgramId<int(int)>(plus10->getId());
f data[ 2 ] = callableProgramId<int(int)>(times multiplier2-
>getId());
f data[ 3 ] = callableProgramId<int(int)>(minus10->getId());
f data[ 4 ] = callableProgramId<int(int)>(times multiplier3-
>getId());
functions->unmap();
int* f_data_int = static_cast<int*>(functions->map());
f_data_int[ 0 ] = plus10->getId();
f data int[ 1 ] = plus10->getId();
f data int[ 2 ] = times multiplier2->getId();
f data int[ 3 ] = minus10->getId();
f data int[ 4 ] = times multiplier3->getId();
functions->unmap();
```

Buffers created using RT\_FORMAT\_PROGRAM\_ID can either cast the mapped pointer to a callableProgramId type or to int as seen above.

#### 4.10.4. Bound versus Bindless Callable Programs

Bound callable programs are defined using either the rtCallableProgramX templated class or with the backward compatible rtCallableProgram macro. Bound programs are referred to as bound because you bind an RTprogram directly to an RTvariable that is then used to call the program. Binding a program to a variable enables OptiX to extend certain features to the program. Bound programs can be thought of as

an extension to the caller, inheriting the semantic type as well as the RTvariable lookup scope based on where the program variable is called from. For example, if a callable program is called from a closest hit program then attributes are available to the callable program as well as being able to call functions such as rtTrace. Additionally, OptiX will look up identifiers in your callable program in the same scopes as the OptiX programs that invoke it. For example, if invoked from a closest hit program the lookup scopes will be program, geometry instance, material, then context where the program scope is the callable program itself instead of the caller's.

Bindless callable programs, on the other hand, inherit neither a program semantic type nor scope. Their scope is always itself (the RTprogram object) then the context regardless of where the program is invoked from. This is to enable calling these programs from arbitray locations. Obtaining the ID via rtProgramGetId will mark the RTprogram as bindless and this RTprogram object can no longer be bound to an RTvariable (used with rtCallableProgramX or rtCallableProgram). Bindless programs can only call callable programs, rtPrintf, rtThrow, and inlineable CUDA functions. Buffer, texture, and variable accesses also work.

Where the callable program variable is attached to the OptiX node graph determines which callable program is invoked when called from another OptiX program. This follows the same variable lookup method that other rtVariables employ. The only difference is that you cannot specify a default initializer.

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# Chapter 5.Building with OptiX

#### 5.1. Libraries

OptiX comes with several header files and supporting libraries, primarily optix and optixu. On Windows these libraries are statically linked against the C runtime libraries and are suitable for use in any version of Microsoft Visual Studio, though only the subset of versions listed in the release notes are tested. If you wish to distribute the OptiX libraries with your application, the VS redistributables are not required by our DLL.

The OptiX libraries are numbered not by release version, but by binary compatibility. Incrementing this number means that a library will not work in place of an earlier version (e.g. optix.2.dll will not work when an optix.1.dll is requested). On Linux, you will find liboptix.so which is a soft link to liboptix.so.1 which is a soft link to liboptix.so.*X.Y.Z.*, the actual library of OptiX version X.Y.Z. liboptix.so.1 is the binary compatibility number similar to optix.1.dll. On MacOS X, liboptix.X.Y.Z.dylib is the actual library, and you will also find a soft link named liboptix.1.dylib (again, with the 1 indicating the level of binary compatibility), as well as liboptix.dylib.

In addition to the OptiX libraries, the installation includes networking and video/image decoding libraries required by the VCA remote rendering functionality. The main networking library is *libdice*. It is not required to include these libraries in a distribution if remote rendering is not used by the application. See **Rendering on the VCA** for more information.

#### 5.2. Header Files

There are two principal methods to gain access to the OptiX API. Including <optix.h> in host and device code will give access strictly to the C API. Using <optix\_world.h> in host and device code will provide access to the C and C++ API as well as importing additional helper classes, functions, and types into the optix namespace (including wrappers for CUDA's vector types such as float3).

Sample5 from the SDK provides two identical implementations using both the C (<optix.h>) and C++ (<optixpp\_namespace.h>) API, respectively. Understanding this sample should give you a good sense of how the C++ wrappers work.

The optixu include directory contains several headers that augment the C API. The namespace versions of the header files (see the list of files below) place all the classes, functions, and types into the optix namespace. This allows better integration into systems which would have had conflicts within the global namespace. Backward compatibility is maintained if you include the old headers. It is not recommended to mix the old global namespace versions of the

headers with the new optix namespace versions of the headers in the same project. Doing so can result in linker errors and type confusion.

- <optix\_world.h> General include file for the C/C++ APIs for host and device code, plus various helper classes, functions, and types all wrapped in the optix namespace.
- <optix.h> General include file for the C API for host and device code.
- <optixu/optixu\_math\_namespace.h> Provides additional operators for CUDA's vector types as well as additional functions such as fminf, refract, and an ortho normal basis class.
- <optixu/optixupp\_namespace.h>-C++ API for OptiX (backward compatibility with optixu:: namespace is provided in <optixpp.h>)
- <optixu/optixu\_matrix\_namespace.h> Templated multi-dimensional matrix class with certain operations specialized for specific dimensions.
- <optixu/optixu\_aabb\_namespace.h> Axis-Aligned Bounding Box class.
- <optixu/optixu\_math\_stream\_namespace.h> Standard template library stream operators for CUDA's vector types.
- <optixu/optixu\_vector\_types.h> Wrapper around
   CUDA's <vector\_types.h> header that defines the CUDA vector
   types in the optix namespace.
- <optixu/optixu\_vector\_functions.h> Wrapper around CUDA's <vector\_functions.h> header that defines CUDA's vector functions (e.g. make float3) into the optix namespace.

#### 5.3. PTX Generation

Programs supplied to the OptiX API must be written in PTX. This PTX could be generated from any mechanism, but the most common method is to use the CUDA Toolkit's nvcc compiler to generate PTX from CUDA C/C++ code.

When nvcc is used, make sure the device code bitness is targeted by using the -m64 flag. The bitness of all PTX given to the OptiX API must be 64-bit.

When using nvcc to generate PTX output specify the -ptx flag. Note that any host code in the CUDA file will not be present in the generated PTX file. Your CUDA files should include <optix\_world.h> to gain access to functions and definitions required by OptiX and many useful operations for vector types and ray tracing.

In order to run nvcc from within Visual Studio while building 64-bit host code, add "-ccbin \$ (VCInstallDir) bin" to tell nvcc where to find the 32-bit Visual Studio compiler. Visual Studio will replace \$ (VCInstallDir) with the path on any command executed through its build system.

OptiX is not guaranteed to parse all debug information inserted by nvcc into PTX files. We recommend avoiding the --device-debug nvcc flag. Note that this flag is set by default on debug builds in Visual Studio.

In order to provide better support for compilation of PTX to different SM targets, OptiX uses the .target information found in the PTX code to determine compatibility with the currently utilized devices. If you wish your code to run an sm\_20 device, compiling the PTX with -arch sm\_30 will generate an error even if no sm\_30 features are present in the code. Compiling to sm\_20 will run on sm\_20 and higher targets.

#### 5.4. SDK Build

Our SDK samples' build environment is generated by CMake. CMake is a cross platform tool that generates several types of build systems, such as Visual Studio projects and makefiles. The SDK comes with three text files describing the installation procedures on Windows, Macintosh, and Linux, currently named INSTALL-WIN.txt, INSTALL-MAC.txt and INSTALL-LINUX.txt respectively. See the appropriate file for your operating system for details on how to compile the SDK.

# Chapter 6.Interoperability with OpenGL and Direct3D

OptiX supports the sharing of data between OpenGL/Direct3D applications and both rtBuffers and rtTextureSamplers. This way, OptiX applications can read data directly from objects such as vertex and pixel buffers, and can also write arbitrary data for direct consumption by graphics shaders. This sharing is referred to as *interoperability* or *interop*.

#### 6.1. OpenGL Interop

OptiX supports interop for OpenGL buffer objects, textures, and render buffers. OpenGL buffer objects can be read and written by OptiX program objects, whereas textures and render buffers can only be read.

Note that OpenGL interop in combination with VCA remote rendering is only available in limited form (only regular buffers are allowed, not textures). Interop use is discouraged with remote rendering for performance reasons.

#### 6.1.1. Buffer Objects

OpenGL buffer objects like PBOs and VBOs can be encapsulated for use in OptiX with rtBufferCreateFromGLBO. The resulting buffer is a reference only to the OpenGL data; the size of the OptiX buffer as well as the format have to be set via rtBufferSetSize and rtBufferSetFormat. When the OptiX buffer is destroyed, the state of the OpenGL buffer object is unaltered. Once an OptiX buffer is created, the original GL buffer object is immutable, meaning the properties of the GL object like its size cannot be changed while registered with OptiX. However, it is still possible to read and write to the GL buffer object using the appropriate GL functions. If it is necessary to change properties of an object, first call rtBufferGLUnregister before making changes. After the changes are made the object has to be registered again with rtBufferGLRegister. This is necessary to allow OptiX to access the objects data again. Registration and unregistration calls are expensive and should be avoided if possible.

#### 6.1.2. Textures and Render Buffers

OpenGL texture and render buffer objects must be encapsulated for use in OptiX with rtTextureSamplerCreateFromGLImage. This call may return with RT\_ERROR\_MEMORY\_ALLOCATION\_FAILED for textures that have a size of 0. Once an OptiX texture sampler is created, the original GL texture is immutable, meaning the properties of the GL texture like its size cannot be changed while registered with OptiX. However, it is still possible to read and write to the GL texture using the appropriate GL functions. If it is necessary to change properties of a GL texture, first call

rtTextureSamplerGLUnregister before making changes. After the changes are made the texture has to be registered again with rtTextureSamplerGLRegister. This is necessary to allow OptiX to access the textures data again. Registration and unregistration calls are expensive and should be avoided if possible.

Only textures with the following GL targets are supported:

- GL TEXTURE 2D
- GL TEXTURE 2D RECT
- GL\_TEXTURE\_3D

Supported attachment points for render buffers are:

GL\_COLOR\_ATTACHMENT<NUM>

Not all OpenGL texture formats are supported by OptiX. A table that lists the supported texture formats can be found in Appendix A.

OptiX detects automatically the size, texture format, and number of mipmap levels of a texture.rtTextureSamplerSetMipLevelCount, rtTextureSamplerSetArraySize, and rtTextureSampler(Set/Get)Buffer cannot be called for OptiX interop texture samplers and will return RT ERROR INVALID VALUE.

#### 6.2. Direct3D Interop

OptiX also provides interop functionality for Direct3D9, Direct3D10, and Direct3D11 buffer objects, as well as textures/surfaces on appropriate Windows platforms. Direct3D buffer objects can be read and written by OptiX program objects, whereas textures and surfaces can only be read.

Before any subsequent call can be made to create OptiX interop buffers or texture samplers, rtContextSetD3D<9/10/11>InteropDevice has to be called in order to register the device with the OptiX context that performs the Direct3D commands. A context can only be bound to a single Direct3D device. The binding is immutable throughout the lifetime of a context.

Note that Direct3D interop is not available in combination with VCA remote rendering.

#### 6.2.1. Buffer Objects

OptiX supports the following Direct3D buffer types:

- IDirect3DIndexBuffer9
- IDirect3DVertexBuffer9
- ID3D10Buffer
- ID3D11Buffer

OptiX buffer objects must be created from existing Direct3D resources with rtBufferCreateFromD3D<9/10/11>Resource. These calls may return with RT\_ERROR\_MEMORY\_ALLOCATION\_FAILED for buffers that have a size of 0. The resulting buffer is a reference only to the Direct3D data; the size of the OptiX buffer as well as the format have to be set via rtBufferSetSize and rtBufferSetFormat. Once an OptiX buffer is created, the original Direct3D buffer object is immutable, meaning properties

of the Direct3D object like its size cannot be changed while the buffer is registered with OptiX. However, it is still possible to read and write to the Direct3D buffer object using the appropriate Direct3D functions. If it is necessary to change properties of an object, unregister the buffer with rtBufferD3D<9/10/11>Unregister. After the changes are made the object has to be registered again with

rtBufferD3D<9/10/11>Register. This is necessary to allow OptiX to access the objects data again. Registration and unregistration calls are expensive and should be avoided if possible.

rtBufferGetD3D<9/10/11>Resource can be used to query the bound Direct3D resource pointer. The appropriate size and format of the OptiX buffer must be set with rtBufferSetSize<1/2/3>D and rtBufferSetFormat.

#### **Direct3D Buffer-Creation Flags**

In certain situations OptiX requires host-side (CPU) access to interop objects. Because of this, Direct3D buffers should be created with the proper flags to allow OptiX access to the underlying data.

Direct3D9 buffers require no special flags.

CPU access flags are required to be set in the appropriate Direct3D buffer description for Direct3D10 and Direct3D11, e.g. D3D11\_CPU\_ACCESS\_READ. A future version of OptiX will remove this requirement.

#### 6.2.2. Textures and Surfaces

Currently OptiX supports the following Direct3D texture and surface types:

- IDirect3DSurface9
- IDirect3DTexture9
- IDirect3DVolumeTexture9
- ID3D10Texture<1/2/3>D
- ID3D11Texture<1/2/3>D

Cube maps, texture arrays as well as mipmap levels are not supported. OptiX texture sampler objects must be created from existing Direct3D resources with rtTextureSamplerCreateFromD3D<9/10/11>Resource. These calls may return with RT\_ERROR\_MEMORY\_ALLOCATION\_FAILED for textures that have a size of 0. Once an OptiX texture sampler is created, the original Direct3D texture is immutable, meaning the properties of the Direct3D texture like its size cannot be changed while registered with OptiX. However, it is still possible to read and write to the Direct3D texture using the appropriate Direct3D functions. If it is necessary to change properties of a Direct3D texture, unregister the texture with

rtTextureSamplerD3D<9/10/11>Unregister. After the changes are made the texture has to be registered again with

rtTextureSampler<9/10/11>Register. This is necessary to allow OptiX to access the textures data again. Registration and unregistration calls are expensive and should be avoided if possible.

rtTextureSamplerGetD3D<9/10/11>Resource can be used to query the bound Direct3D resource pointer. A list with the supported texture formats can be found in the Appendix A.

OptiX automatically detects the size, texture format, and number of mipmap levels of a texture.rtTextureSamplerSetMipLevelCount, rtTextureSamplerSetArraySize, and rtTextureSampler(Set/Get)Buffer cannot be called for OptiX interop texture samplers and will return RT\_ERROR\_INVALID\_VALUE.

#### **Direct3D Texture-Creation Flags**

In certain situations OptiX requires host-side (CPU) access to interop objects. Because of this, Direct3D textures should be created with the proper flags to allow OptiX access to the underlying data.

OptiX requires Direct3D9 textures to be created with the memory pool argument using either D3DPOOL\_MANAGED or D3DPOOL\_DEFAULT in combination with D3DUSAGE\_DYNAMIC.

CPU access flags are required to be set in the appropriate Direct3D texture description for Direct3D10 and Direct3D11, e.g. D3D11\_CPU\_ACCESS\_READ. A future version of OptiX will remove this requirement.

## Chapter 7.Interoperability with CUDA

General purpose CUDA programs may be combined with OptiX-based ray tracing. For example, you might use a CUDA program before launching OptiX to determine what rays to trace, or to tabulate reflection properties for a material, or to compute geometry. In addition, you may wish to write a CUDA program that postprocesses OptiX's output, especially if OptiX is generating data structures full of intermediate calculations rather than just a rendered image, such as computing object or character movement based on visibility and collision rays.

Both of these usage scenarios are possible using the OptiX-CUDA interoperability functions described in this chapter.

Note that CUDA interop is not available in combination with VCA remote rendering.

#### 7.1. CUDA Context Sharing

In order for CUDA and OptiX to interoperate, it is necessary that there be only a single CUDA context per device. Furthermore, CUDA must be initialized using the CUDA runtime API. After initialization the application may call the CUDA driver API, but only using the CUDA contexts created by the CUDA runtime API per device. There are two possible CUDA interop scenarios:

- The application has created a CUDA context (by performing some CUDA runtime operations) prior to OptiX initialization. OptiX will latch on to the existing CUDA context owned by the runtime API instead of creating its own.
- OptiX creates its own CUDA context using the CUDA runtime API (either upon a call to rtContextLaunch or rtBufferGetDevicePointer) prior to the application creating any CUDA contexts. Any subsequent CUDA calls made by the application will use OptiX's already created contexts.

Because OptiX and the application's CUDA programs will share a single context per device, any device pointers passed to or from OptiX can be used for reading or writing OptiX data.

#### 7.2. Getting CUDA Device Pointers from OptiX

One way to achieve CUDA-OptiX interop is to ask OptiX to manage and allocate device memory and simply get a pointer to it. This is done using the rtBufferGetDevicePointer call:

```
rtBufferGetDevicePointer( buffer,
optix device number, &device ptr );
```

This call will return the device pointer for the given buffer on the specified OptiX device. If the application requests the buffer device pointer *before* OptiX has launched, this pointer can be used to provide data to OptiX. If the application requests the buffer device pointer *after* OptiX has launched, the application can then postprocess OptiX's output.

Some special issues to consider when OptiX is running in a multi-GPU environment will be covered at the end of this chapter.

#### 7.3. Providing CUDA Device Pointers to OptiX

If the application already has a device pointer and wants to use its contents as an OptiX buffer, the special rtBufferCreateForCUDA creation mechanism must be used:

```
rtBufferCreateForCuda( context, type, &buffer );
```

The arguments to rtBufferCreateForCUDA are identical to the standard rtBufferCreate function, and the resulting buffers function identically to standard OptiX buffers, except that the application is responsible for providing a device pointer for them. OptiX will neither allocate memory nor upload data for these buffers (again with special considerations for multi-GPU environments; see Multi-GPU Cconsiderations).

Before providing a device pointer for the buffer, the application must first specify the size and format of the buffer. The buffer pointer can then be specified:

```
rtBufferSetDevicePointer( buffer,
optix_device_number, device_ptr );
```

#### 7.4. Multi-GPU Considerations

If the application provides or requests device pointers for all devices on which OptiX is running, no additional data copies need to be made. However, whenever there is a mismatch between the devices on which the application has provided or requested pointers and the devices on which OptiX is running, OptiX will need to make sure that all of its devices have the necessary data.

Note that these issues can arise in some circumstances even when OptiX is only using one GPU. If the application is running CUDA code on one GPU, but has instructed OptiX to only run on another GPU, it is legal to use rtBufferSetDevicePointer to provide a device pointer on the non-OptiX GPU; OptiX will handle any required data transfer internally.

#### 7.4.1. When the application provides pointers to OptiX

If a device pointer is provided for one device but not for all OptiX devices, OptiX will allocate memory on the missing devices and copy the buffer data from the provided pointer to the missing devices during rtContextLaunch. It is a caught runtime error for the application to specify pointers for more than one but less than all devices.

This design allows applications to be ignorant, if desired, of whether one or multiple devices are being used for OptiX and whether CUDA is being run on the same or a different device than OptiX. Conversely, the application may be fully in control of which devices run OptiX and which devices run CUDA and fill each device's copy of a buffer either by CUDA or by OptiX.

Setting buffer device pointers for devices on which OptiX isn't being run is disallowed. If you need to copy data to or from a CUDA device on which OptiX is not running, get the CUDA buffer pointer from OptiX and perform the copy manually. Use cudaMemcpyPeer/cudaMemcpyPeerAsync for improved performance.

## 7.4.2. When the application receives pointers from OptiX

When the application requests a pointer from OptiX (to an RT\_BUFFER\_INPUT or RT\_BUFFER\_INPUT\_OUTPUT buffer), we assume that the application is modifying the data contained in that buffer. Therefore we keep track of which OptiX devices the application has requested pointers for, and if the application has requested only one pointer but there are additional OptiX devices, we will copy the data from that device to all others on the next launch. If the application requests pointers on all devices, we assume they have set up the data how they want it, and no copying will happen. It is a caught runtime error to request pointers for more than one but fewer than all devices.

#### 7.4.3. Avoiding Unnecessary Copies

If the application provides or requests a pointer on one device in a multi-GPU environment (so that data copies are required), those copies will happen on every subsequent rtContextLaunch. If the application knows that the data are not changing every frame, the RT\_BUFFER\_COPY\_ON\_DIRTY flag can be OR'ed into the type parameter of rtBufferCreate or rtBufferCreateForCUDA. This will cause the data copies to happen only when OptiX has explicit reason to believe that the data are dirty.

A buffer being used for CUDA interop and marked for dirty copies is considered dirty in two circumstances:

- No OptiX launch has occurred since the buffer had its device pointer provided or requested
- 2) The application explicitly calls the rtBufferMarkDirty function:

rtBufferMarkDirty( buffer );

This will let OptiX know that the underlying CUDA data have changed, and a fresh copy must be broadcast from the device on which the application has manipulated the data to all other OptiX devices.

## Chapter 8.OptiXpp: C++ Wrapper for the OptiX C API

OptiXpp wraps each OptiX C API opaque type in a C++ class and provides relevant operations on that type. Most of the OptiXpp class member functions map directly to C API function calls. For example,

VariableObj::getContext wraps rtVariableGetContext and ContextObj::createBuffer wraps rtBufferCreate.

Some functions perform slightly more complex sequences of C API calls. For example

```
ContextObj::createBuffer(unsigned int type, RTformat
format, RTsize width)
```

provides in one call the functionality of

```
rtBufferCreate
rtBufferSetFormat
rtBufferSetSize1D
```

See the OptiX API Reference.pdf or optixpp\_namespace.h for a full list of the available OptiXpp functions. The usage of the API is described below.

#### 8.1. OptiXpp Objects

The OptiXpp classes consist of a Handle class, a class for each API opaque type, and three classes that provide attributes to these objects.

#### 8.1.1. Handle Class

All classes are manipulated via the reference counted Handle class. Rather than working with a ContextObj directly you would use a Context instead, which is simply a typedef for Handle<ContextObj>.

In addition to providing reference counting and automatic destruction when the reference count reaches zero, the Handle class provides a mechanism to create a handle from a C API opaque type, as follows:

```
RTtransform t;
rtTransformCreate( my_context, &t );
Transform Tr = Transform::take( t );
```

The converse of take is get, which returns the underlying C API opaque type, but does not decrement the reference count within the handle.

```
Transform Tr;
```

```
rtTransformDestroy( Tr->get());
```

These functions are typically used when calling C API functions, though such is rarely necessary since OptiXpp provides nearly all OptiX functionality.

#### 8.1.2. Attribute Classes

The attributes are API, Destroyable, and Scoped.

**API**: All object types have the API attribute. This attribute provides the following functions to objects:

- getContext Return the context to which this object belongs
- checkError Check the given result code and throw an error with appropriate message if the code is not RTsuccess. checkError is often used as a wrapper around a call to a function that makes OptiX API calls:

```
my_context->checkError( sutilDisplayFilePPM( ... )
);
```

**Destroyable**: This attribute provides the following functions to objects:

- destroy Equivalent to rt\*Destroy
- validate Equivalent to rt\*Validate

**Scoped**: This attribute applies only to API objects that are containers for RTvariables. It provides functions for accessing the contained variables. The most basic access is via operator[], as follows:

```
my_context["new_variable"]->setFloat( 1.0f );
```

This access returns the variable, but first creates it within the containing object if it does not already exist.

This array operator syntax with the string variable name argument is probably the most powerful feature of OptiXpp, as it greatly reduces the amount of code necessary to access a variable.

The following functions are also available to Scoped objects:

- declareVariable Declare a variable associated with this object
- queryVariable Query a variable associated with this object by name
- removeVariable Remove a variable associated with this object
- getVariableCount Query the number of variables associated with this object, typically so as to iterate over them
- getVariable Query variable by index, typically while iterating over them

The following table lists all of the OptiXpp objects and their attributes.

Object	API	Destroyable	Scoped
Context	yes	yes	yes
Program	yes	yes	yes

Buffer	yes		
Variable	yes		
TextureSampler	yes	yes	
Group	yes	yes	
GeometryGroup	yes	yes	
GeometryInstance	yes	yes	yes
Geometry	yes	yes	yes
Material	yes	yes	yes
Transform	yes	yes	_
Selector	yes	yes	

Table 9 OptiXpp Opaque Types and Their Attributes

#### 8.1.3. API Objects

In addition to the methods provided by the attribute classes that give commonality to the different API objects each object type also has a unique set of methods. These functions cover the complete set of functionality from the C API, although not all methods will be described here. See optixpp namespace.h for the complete set.

#### Context

The Context object provides create\* functions for creating all other opaque types. These are owned by the context and handles to the created object are returned:

```
Context my_context;
Buffer Buf = my_context-
>createBuffer(RT_BUFFER_INPUT, RT_FORMAT_FLOAT4,
1024, 1024);
```

Context also provides launch functions, with overloads for 1D, 2D, and 3D kernel launches. It provides many other functions that wrap rtContext\* C API calls.

#### Buffer

The Buffer class provides a map call that returns a pointer to the buffer data, and provides an unmap call. It also provides set and get functions for the buffer format, element size, and 1D, 2D, and 3D buffer size. Finally, it provides registerGLBuffer and unregisterGLBuffer.

#### Variable

The Variable class provides getName, getAnnotation, getType, and getSize functions for returning properties of the variable. It also contains a multitude of set\* functions that set the value of the variable and its type, if the type is not already set:

```
my context["my dim3"]->setInt( 512, 512, 1024 );
```

The Variable object also offers set functions for setting its value to an API object, and provides setUserData and getUserData.

#### **TextureSampler**

The TextureSampler class provides functions to set and get the attributes of an RTtexturesampler, such as setWrapMode, setMipLevelCount, etc.

It also provides setBuffer, getBuffer, registerGLTexture, and unregisterGLTexture.

#### Group and GeometryGroup

The remaining API object classes are for OptiX node types. They offer member functions for setting and querying the nodes to which they attach.

The Group class provides setAcceleration, getAcceleration, setChildCount, getChildCount, setChild, and getChild.

#### GeometryInstance

RTgeometryinstance is a binding of Geometry and Material. Thus, GeometryInstance provides functions to set and get both the Geometry and the Materials. This includes addMaterial, which increments the material count and appends the given Material to the list.

#### Geometry

The unique functions provided by the Geometry class set and get the BoundingBoxProgram, the IntersectionProgram and the PrimitiveCount. It also offers markDirty and isDirty.

#### Material

A Material consists of a ClosestHitProgram and an AnyHitProgram, and is a container for the variables appertaining to these programs. It contains set and get functions for these programs.

#### **Transform**

An RTtransform node applies a transformation matrix to its child, so the Transform class offers setChild, getChild, setMatrix, and getMatrix methods.

#### Selector

A Selector node applies a Visit program to operate on its multiple children. Thus, the Selector class includes functions to set and get the VisitProgram, ChildCount, and Child.

#### 8.1.4. Exceptions

The Exception class of OptiXpp encapsulates an error message. These errors are often the direct result of a failed OptiX C API function call and subsequent

rtContextGetErrorString call. Nearly all methods of all object types can throw an exception using the Exception class. Likewise, the checkError function can throw an Exception.

Additionally, the Exception class can be used explicitly by user code as a convenient way to throw exceptions of the same type as OptiXpp.

Call Exception::makeException to create an Exception.

Call getErrorString to return an std::string for the error message as returned by rtContextGetErrorString.

#### Chapter 9.OptiX Prime: Low-Level Ray Tracing API

#### 9.1. Overview

OptiX is generally used to represent an entire algorithm implementation, whether that be rendering, visibility, radiation transfer, or anything else. The many user programmable portions of OptiX allow the application to express complex operations, such as shading, that are tightly intermingled, often recursively, with the ray tracing operations and expressed in a single-ray programming model. By encapsulating the programmable portions of the algorithm and owning the entire algorithm, OptiX can execute the entire algorithm on the GPU and optimize the execution for each new GPU as it is released or for other platforms such as cloud rendering on the NVIDIA VCA.

Sometimes the algorithm as a whole does not benefit from this tight coupling of user code and ray tracing code, and only the ray tracing functionality is needed. Visibility, trivial ray casting rendering, and ray tracing very large batches of rays in phases may have this property. OptiX Prime is a set of OptiX APIs designed for these use cases. Prime is specialized to deliver high performance for intersecting a set of rays against a set of triangles. Prime is a thinner, simpler API, since programmable operations, such as shading, are excluded. Prime is also suitable for some quick experimentation and hobby projects.

The OptiX Prime API consists of these main objects:

- BufferDesc Wraps application managed buffers and provides descriptive information about them.
- **Context** Manages resource allocation.
- Model Represents a set of triangles and an acceleration structure.
- Query Coordinates the intersection of rays with a model.

#### 9.2. Context

An OptiX Prime context performs two main functions. The first function is to manage objects created by the API. The context can create objects, some of which can also create other objects. All of these objects are registered with the context and will be destroyed when the context is destroyed. The second function is to encapsulate a particular backend that performs the actual computation.

Currently the following context types are supported:

RTP CONTEXT TYPE CPU

RTP\_CONTEXT\_TYPE\_CUDA

RTP\_CONTEXT\_TYPE\_CPU is intended to be used as a fallback when an acceptable CUDA device is not available. It will allow an application to run, despite the absence of CUDA-capable GPUs, but will have lower performance. In certain situations it might also make sense to use CPU and CUDA contexts in parallel.

RTP\_CONTEXT\_TYPE\_CUDA by default will use all available devices. The best device is selected as the "primary device". Acceleration structures will be built on the primary device and copied to the others. To build an acceleration structure the primary device must have compute capability SM 2.0 or greater. All devices will be used for ray tracing with work being distributed proportionally to each device's computational power. It is possible to specify which devices are used by the context by supplying a list of CUDA device numbers to rtpContextSetCudaDevices. The first device in the list is used as the primary device. The CUDA context of the primary device is made current affer a call to rtpContextCreate or rtpContextSetDevices.

The following code demonstrates how to create a context and specify which devices to use. In this example a CPU context is created as a fallback.

#### 9.3. Buffer Descriptor

The buffers used to send and receive data from OptiX Prime are managed by the application. A buffer descriptor is an object that provides information about a buffer, such as its format and location, as well as the pointer to the buffer's data. OptiX Prime supports the following buffer types:

- RTP BUFFER TYPE HOST
- RTP BUFFER TYPE CUDA LINEAR

A buffer descriptor is created by calling rtpBufferDescCreate. A buffer of type RTP\_BUFFER\_TYPE\_CUDA\_LINEAR is assumed to reside on the current CUDA device. The device number for the buffer can be specified explicitly by calling rtpBufferDescSetCudaDeviceNumber.

The portion of the buffer to use for input or output is specified by calling rtpBufferDescSetRange. The range is specified in terms of the number of elements.

For buffers containing vertex data, it is possible to specify a stride in bytes between each element. This is useful for vertex buffers that contain interleaved vertex attributes, as shown in the following example:

```
struct Vertex {
```

The functions that accept buffer descriptors as parameters have copy semantics. This means, for example, when a buffer descriptor is used in a call to set the rays to be queried and afterwards the range of the buffer descriptor is changed, the changes to the buffer descriptor will not be visible to the query. However, changing the contents of the buffer itself could affect the query.

Buffer descriptors are lightweight objects and can be created and destroyed as needed.

Buffers of any type can be passed to OptiX Prime functions and will automatically be copied to the appropriate location. For example, a vertex buffer on the host will be copied to the primary device when the context is of type RTP\_CONTEXT\_TYPE\_CUDA. While convenient, this automatic copying may require the allocation of memory on the device and can negatively impact performance. For best performance it is recommended that the following buffer and context types be used together:

Context type	Buffer type
RTP_CONTEXT_TYPE_CPU	RTP_BUFFER_TYPE_HOST
RTP_CONTEXT_TYPE_CUDA	RTP_BUFFER_TYPE_CUDA_LINEAR (on the primary device)

#### 9.4. Model

A model represents either a set of triangles or a group of model instances, in addition to an acceleration structure built over the triangles or instances. A model is created with an associated context by calling rtpModelCreate, and can be destroyed with rtpModelDestroy.

#### 9.4.1. Triangle Models

Triangle data for the model is supplied by calling rtpModelSetTriangles with a vertex buffer descriptor and an optional index buffer descriptor. If no index buffer is supplied then the vertex buffer is considered to be a flat list of triangle vertices, with every set of three vertices forming a triangle (i.e. a triangle soup).

rtpModelUpdate creates the acceleration structure over the triangles. It is important that the vertex and index buffers specified in rtpModelSetTriangles remain valid until rtpModelUpdate is finished. If the flag RTP\_MODEL\_HINT\_ASYNC is specified, some or all of the acceleration structure update may run asynchronously and rtpModelUpdate may return before the update is finished.

rtpModelFinish blocks the calling thread until the update is finished. rtpModelGetFinished can be used to poll until the update is finished. Once the update has finished the input buffers can be modified.

The following code demonstrates how to create a model from a vertex buffer with an asynchronous update. The code assumes that a vertex buffer descriptor vertsBD already exists:

```
RTPmodel model;
rtpModelCreate(context, &model);
rtpModelSetTriangles(model, 0, vertsBD);
rtpModelUpdate(model, RTP_MODEL_HINT_ASYNC);

// ... do useful work on CPU while GPU is busy
rtpModelFinish(model);
// safe to modify vertex buffer
```

For some use cases the user may wish to have explicit control over multi-GPU computation rather than using the automatic multi-GPU support provided by OptiX Prime. A context can be created for each device and work can be distributed manually to each context. OptiX Prime provides rtpModelCopy to copy a model from one context to another so that it is not necessary to create and update the model in each context. rtpModelCopy can also be used to build multiple models in parallel on different devices, then broadcast the results to each device. When using older devices, rtpModelCopy can be used to build an acceleration structure in a CPU context and copy it to the context that uses the devices.

Beyond the memory used by the final acceleration structure, some additional memory is needed during rtpModelUpdate. The amount used may be controlled by calling rtpModelSetBuilderParameter. The RTP\_BUILDER\_PARAM\_CHUNK\_SIZE controls the amount of scratch space used while building. The minimum scratch space is currently 64MB, and the default scratch space is 10% of the total available video memory for CUDA contexts, and 512MB for CPU contexts. A chunk size of -1 signifies unlimited. In this case about 152 bytes per triangle are used while building the acceleration structure.

RTP\_BUILDER\_PARAM\_USE\_CALLER\_TRIANGLES controls whether to create a possibly transformed copy of the vertex buffer data, or to use the buffer supplied by the user, thus saving memory. If a model is copied, and the source model is using the user supplied triangle data to save memory, the user triangles will be automatically copied as well. If this is not intended, it is necessary to set RTP\_BUILDER\_PARAM\_USE\_CALLER\_TRIANGLES on the destination model as well, before the copy is performed. Afterwards rtpModelSetTriangles must be called to supply the user triangles on the destination model.

#### 9.4.2. Instancing

Using instancing it is possible to compose complex scenes using existing triangle models (compare section 9.4.1). Instancing data for a model is supplied by calling rtpModelSetInstances with an instance buffer descriptor and a transformation buffer descriptor. The ranges for these buffer descriptors must be identical. The type of the instance buffer descriptor must be RTP BUFFER TYPE HOST, and the format

RTP\_BUFFER\_FORMAT\_INSTANCE\_MODEL. For transformations, the buffer descriptor format can be either

RTP\_BUFFER\_FORMAT\_TRANSFORM\_FLOAT4x4 or RTP\_BUFFER\_FORMAT\_TRANSFORM\_FLOAT4x3. If a stride is specified for the transformations, it must be a multiple of 16 bytes. Furthermore, the matrices must be stored in **row-major** order. Please note that only affine transformations are supported, and that the last row is **always** assumed to be [0.0, 0.0, 0.0, 1.0].

In contrast to triangle models, instance models can not be copied.

For an example of instancing please refer to the simplePrimeInstancing sample which ships with the OptiX SDK.

#### 9.4.3. Masking

With masking it is possible to specify per triangle visibility information in combination with a per ray mask. In order to use masking, triangle data must be specified with the RTP\_BUFFER\_FORMAT\_INDICES\_INT3\_MASK\_INT buffer format. Furthermore the user-triangle build parameter must be set, as well as ray format

RTP\_BUFFER\_FORMAT\_RAY\_ORIGIN\_MASK\_DIRECTION\_TMAX must be used. The per triangle visibility flags are evaluated by a bitwise AND operation with the currently processed ray's MASK field before a ray-triangle intersection is performed. If the result is non-zero, the ray-triangle intersection test is skipped. The combination of a per triangle mask with a per ray mask e.g. allows to exclude triangles based on different ray generations.

If the per triangle mask values need to be updated, rtpModelSetTriangles must be called again, with a successive call to rtpModelUpdate. Using the RTP\_MODEL\_HINT\_MASK\_UPDATE flag indicates that only the per triangle mask has changed, but that no rebuild of the acceleration structure is needed.

For an example of masking please refer to the simplePrimeMasking sample which ships with the OptiX SDK.

#### 9.5. Query

A query is used to perform the actual ray tracing against a model. The query is created from a model using rtpQueryCreate. The following types of queries are supported:

- RTP QUERY TYPE ANY
- RTP QUERY TYPE CLOSEST

Along any given ray there may be a number of intersection points. RTP\_QUERY\_TYPE\_CLOSEST returns the first hit along the ray. RTP\_QUERY\_TYPE\_ANY returns the first hit found, whether it is the closest or not. The query takes a buffer of rays to intersect and a buffer to store the resulting hits. There are several formats for the rays and hits. The main advantage of the different formats is that some require less storage than others. This is important for minimizing the transfer time of rays and hit data between the host and the device and between devices.

Once the ray and hit buffers have been specified, the query can be executed by calling rtpQueryExecute. The ray buffer must not be modified until after

this function returns. If the flag RTP\_QUERY\_HINT\_ASYNC is specified, rtpQueryExecute may return before the query is actually finished. rtpQueryFinish can be called to block the current thread until the query is finished, or rtpQueryGetFinished can be used to poll until the query is finished. At this point all of the hits are guaranteed to have been returned, and it is safe to modify the ray buffer.

The following code demonstrates how to execute a query using ray and hit buffers:

```
RTPquery query;
RTPbufferdesc raysBD, hitsBD;
// fill in raysBD with rays
rtpQueryCreate(model, RTP_QUERY_TYPE_CLOSEST,
&query);
rtpQuerySetRays(query, raysBD);
rtpQuerySetHits(hits, hitsBD);
rtpQueryExecute(query, 0);
// safe to modify ray buffer and process hits
```

With rtpQuerySetCudaStream it is possible to specify a specific CUDA stream which can be used to synchronize (asynchronous) queries and user CUDA kernel launches. If no stream is specified, the CUDA default stream is used.

A query may be executed multiple times. Note that rtpQueryFinish and rtpQueryGetFinished only apply to the CUDA stream corresponding to the last call to rtpQueryExecute. Therefore, if the stream has been changed between asynchronous calls to rtpQueryExecute it may be necessary to manually synchronize the streams, i.e. by calling cudaStreamSynchronize or using CUDA events (see the CUDA Programming Guide).

#### 9.6. Utility functions

In addition to the basic objects and their functions, OptiX Prime has several utility functions.

#### 9.6.1. Page-locked buffers

The performance of transfers between devices and the host can be improved by page-locking the host memory. Functions for page-locking already allocated memory are provided in the CUDA runtime. For convenience, OptiX Prime provides the functions rtpHostBufferLock and rtpHostBufferUnlock so that it is possible to achieve better performance with host buffers without having to invoke CUDA functions directly. Note that page-locking excessive amounts of memory may degrade system performance, since it reduces the amount of memory available to the system for paging. As a result, this function is best used sparingly to register staging areas for data exchange between host and device.

#### 9.6.2. Error reporting

All functions in OptiX Prime return an error code. The function rtpGetErrorString translates an error code into a string. rtpContextGetLastErrorString returns an error string for the last

error encountered. This error string may contain additional information beyond a simple error code. Note that this function may also return errors from previous asynchronous launches, or from other threads.

#### 9.7. Multi-threading

The OptiX Prime API is thread safe. It is possible to share a single context among multiple host threads. However only one thread may access a context (or objects associated with it) at a time. Therefore to avoid locking other threads out of the API for extensive periods of time, the asynchronous APIs should be used. Care must also be taken to synchronize state changes to API objects. For example, if two threads try to set the ray buffer on the same query at the same time, a race condition can occur.

#### 9.8. Streams

By default, all computation in OptiX Prime (e.g. updating models and executing queries) takes place within the default CUDA stream of the primary device. However, with rtpQuerySetCudaStream it is possible to specify a specific CUDA stream which can be used to synchronize (asynchronous) queries and user CUDA kernel launches.

# Chapter 10.OptiX Prime++: C++ Wrapper for the OptiX Prime API

OptiX Prime++ wraps each OptiX Prime C API opaque type in a C++ class and provides relevant operations on that type. Most of the OptiX Prime++ class member functions map directly to C API function calls. For example, ContextObj::setCudaDeviceNumbers maps directly to rtpContextSetCudaDeviceNumbers.

Some functions perform slightly more complex sequences of C API calls. For example

ModelObj::setTriangles

provides in one call the functionality of

rtpBufferDescCreate rtpBufferDescSetRange rtpBufferDescSetStride rtpModelSetTriangles rtpBufferDescDestroy

See the OptiX API Reference.pdf or optix\_primepp.h for a full list of the available OptiX Prime++ functions. The usage of the API is described below.

#### 10.1. OptiX Prime++ Objects

Manipulation of OptiX Prime objects is performed via reference counted Handle classes which encapsulate all OptiX Prime objects functionalities.

All classes are manipulated via the reference counted Handle class. Rather than working with a ContextObj directly you would use a Context instead, which is simply a typedef for Handle<ContextObj>.

#### 10.1.1. Context Object

The Context object wraps the OptiX Prime C API RTPcontext opaque type and its associated function set representing an OptiX Prime context. Its constructor requires a RTPcontexttype type in order to specify the type of the context to be created (CPU or GPU).

Context context( Context::create(contextType) );

For all objects the following pattern is also possible:

```
Context context;
context = Context::create(contextType);
```

The Context also provides functions to create OptiX Prime objects directly owned by it.

```
ContextObj::createBufferDesc
ContextObj::createModel
ContextObj::createQuery
```

#### 10.1.2. BufferDesc Object

The BufferDesc object wraps a RTPbufferdesc object opaque type and its associated function set representing an OptiX Prime buffer descriptor.

The creation of a BufferDesc object is demanded to an owning Context object since each BufferDesc object needs to be assigned to an OptiX Prime context.

#### 10.1.3. Model Object

The Model object wraps a RTPmodel object opaque type and its associated function set representing an OptiX Prime model.

The creation of a Model object is demanded to an owning Context object since each Model object needs to be assigned to an OptiX Prime context.

Variants of the setTriangles functions are provided to allow creating a model by either a custom-format triangle soup, with a supplied indices buffer descriptor or directly from a supplied vertices buffer descriptor.

#### 10.1.4. Query Object

The Query object wraps a RTPquery object opaque type and its associated function set representing an OptiX Prime query.

The creation of a Query object is demanded to an owning Context object since each Query object needs to be assigned to an OptiX Prime context.

Variants of the setRays and setHits functions are provided to allow setting the rays and the hits for a query from either a custom-format user supplied buffer or from a buffer descriptor.

#### 10.1.5. Exception Class

The Exception class provides methods to deal with OptiX Prime exceptions. Both error code and error description methods are provided as well as a wrapper for the rtpContextGetLastErrorString function

```
catch ( Exception& e ) {
  std::cerr << "An error occurred with error code "
      << e.getErrorCode() << " and message " <<
        e.getErrorString() << std::endl;
}</pre>
```

### Chapter 11.Performance Guidelines

Subtle changes in your code can dramatically alter performance. This list of performance tips should help when using OptiX.

- Where possible use floats instead of doubles. This also extends to the
  use of literals and math functions. For example, use 0.5f instead of
  0.5 and sinf instead of sin to prevent automatic type promotion.
  To check for automatic type promotion, search the PTX files for the
  ".f64" instruction modifier.
- OptiX will try to partition thread launches into tiles that are the same dimensionality as the launch. To have maximal coherency between the threads of a tile you should choose a launch dimensionality that is the same as the coherence dimensionality of your problem. For example, the common problem of rendering an image has 2D coherency (adjacent pixels both horizontally and vertically look at the same part of the scene), so a 2D launch is appropriate. Conversely, a collision detection problem with many agents each looking in many directions may appear to be 2D (the agents in one dimension and the ray directions in another), but there is rarely coherence between different agents, so the coherence dimensionality is one, and performance will be better by using a 1D launch.
- Do not build an articulate scene graph with Groups, Transforms and GeometryInstances. Try to make the topology as shallow and minimal as possible. For example, for static scenes the fastest performance is achieved by having a single GeometryGroup, where transforms are flattened to the geometry. For scenes where Transforms are changing all the static geometry should go in one GeometryGroup and each Transform should have a single GeometryGroup. Also, if possible, combine multiple meshes into a single mesh.
- Each new Program object can introduce execution divergence. Try to reuse the same program with different variable values. However, don't take this idea too far and attempt to create an "über shader". This will create execution divergence within the program. Experiment with your scene to find the right balance.
- Try to minimize live state across calls to rtTrace in programs. For
  example, in a closest hit program temporary values used after a recursive
  call to rtTrace should be computed after the call to rtTrace, rather
  than before, since these values must be saved and restored when calling
  rtTrace, impacting performance. RTvariables declared outside
  of the program body are exempt from this rule.

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- No acceleration structure is best in all situations. For static geometry groups, use Sbvh or TriangleKdTree. For dynamic geometry groups or large number of elements, experiment with Lbvh (recommended) and MedianBvh. For Group nodes (e.g. higher level graph nodes), Bvh is the best choice in many cases, but if there are few enough children NoAccel can be useful.
- In multi-GPU environments INPUT\_OUTPUT and OUTPUT buffers are stored on the host. In order to optimize writes to these buffers, types of either 4 bytes or 16 bytes (e.g. float, uint, or float4) should be used when possible. One might be tempted to make an output buffer used for the screen out of float3's (RGB), however using a float4 buffer will result in improved performance (e.g. output\_buffer[launch\_index] = make\_float4 (result\_color)). This also affects defined types (see the progressivePhotonMap sample for an example of accessing user defined structs with float4s).
- Memory accesses to to structs containing four-vectors, such as float4, need to be 16-byte aligned for optimal performance. Do so by placing the largest aligned variables first in structs.
- In multi-GPU environments INPUT\_OUTPUT buffers may be stored on the device, with a separate copy per device by using the RT\_BUFFER\_GPU\_LOCAL buffer attribute. This is useful for avoiding the slower reads and writes by the device to host memory. RT\_BUFFER\_GPU\_LOCAL is useful for scratch buffers, such as random number seed buffers and variance buffers.
- Use iteration instead of recursion where possible (e.g. path tracing with no ray branching). See the path\_tracer sample for an example of how to use iteration instead of recursion when tracing secondary rays.
- For best performance, use the rtTransform\* functions rather than explicitly transforming by the matrix returned by rtGetTransform.
- Disable exceptions that are not needed. While it is recommended to turn on all available exception types during development and for debugging, the error checking involved e.g. to validate buffer index bounds is usually not necessary in the final product.
- Avoid recompiling the OptiX kernel. These recompiles can be triggered when certain changes to the input programs or variables occur. For example, swapping the ClosestHit program of a Material between two programs will cause a recompile on each swap because the kernel consists of different code, whereas creating two Materials, one with each program, and swapping between the two Materials will not cause a recompile because only the node graph is changing, not the code. Creating dummy nodes with the alternate programs is one way to provide all of the code at once. Also avoid changing the layout of variables attached to scope objects.
- It is possible for a program to find multiple definitions for a variable in its scopes depending upon where the program is called. Variables with definitions in multiple scopes are said to be *dynamic* and may incur a performance penalty.
  - For example, a closest hit program may be attached to several Material objects and reference a variable named *shininess*. We can attach a variable

definition to the Material object as well as attach a variable definition to specific GeometryInstance objects that we create that reference that Material. During execution of a specific GeometryInstance's closest hit program, the value of *shininess* depends on whether the particular instance has a definition attached: if the GeometryInstance defines *shininess*, then that value will be used. Otherwise, the value will be taken from the Material object.

- Uninitialized variables can increase register pressure and negatively impact performance.
- Pass arguments by reference instead of value whenever possible when calling local functions for optimal performance.
- When creating PTX code using nvcc, adding --use-fast-math as
  a compile option can reduce code size and increase the performance for
  most OptiX programs. This can come at the price of slightly decreased
  numerical floating point accuracy. See the nvcc documentation for more
  details.
- While OptiX supports bindless texture access on all architectures, hardware supported bindless textures are only available on Kepler (sm\_30) devices and above with 304 driver. On pre-Kepler devices, bindless texture references are handled in software and may be slower compared to regularly bound textures.
- Avoid using OpenGL interop in combination with VCA remote rendering.
- Use output buffers in *float4* format for VCA remote rendering, even if only three components are needed.

The following performance guidelines apply to OptiX Prime:

- Use page-locked host memory for host buffers to improve performance when using contexts of type RTP\_CONTEXT\_TYPE\_CUDA.
   Asynchronous API calls involving host buffers can may not actually be asynchronous if the host memory is not page-locked.
- Multi-GPU contexts, while convenient, are limited by PCIe bandwidth.
  That is because ray and hit buffers reside in a single location (either the
  host or a device) and must be copied over the PCIe bus to multiple
  devices. It is possible to obtain better performance by managing
  multiple GPUs manually. By allocating a context for each device and
  generating rays and consuming hits on the device, transfers can be
  avoided.
- For maximum concurrency with model updates, it is best to use a separate context for each device, each running in its own host thread. There are currently some limitations on the amount of concurrency that can be achieved from a single host thread which will be addressed in a future release.
- Prime contexts allocate a small amount of page-locked host memory.
   Because the allocation of page-locked memory can sometimes block when other kernels are running, it is best to initialize contexts when no long-running kernels are active.
- With the current implementation, the performance of queries created from a multi-GPU context are generally better when used with buffers residing in page-locked host memory rather than on a device.



#### Chapter 12. Caveats

Keep in mind the following caveats when using OptiX.

- Setting a large stack size will consume GPU device memory. Try to
  minimize the stack as much as possible. Start with a small stack and
  with the use of an exception program that will make it obvious you
  have exceeded your memory, increase the stack size until the stack is
  sufficiently large.
- The use of shared memory within a program is not allowed.
- Don't use PTX bar or CUDA syncthreads. It will lock up your machine.
- threadIdx in CUDA can map to multiple launch indices (e.g. pixels). Use the rtLaunchIndex semantic instead.
- Use of the CUDA malloc, free, and printf functions within a program is not supported. Attempts to use these functions will result in an illegal symbol error.
- Currently, OptiX is **not** guaranteed to be thread-safe. While it may be successful in some applications to use OptiX contexts in different host threads, it may fail in others. OptiX should therefore only be used from within a single host thread.

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## Appendix A. Supported Interop Texture Formats

OpenGL Texture Format	Direct3D Format	DXGI Format	
GL_RGBA8	D3DFMT_R32F	DXGI_FORMAT_R8_SINT	
GL_RGBA16	D3DFMT_L16	DXGI_FORMAT_R8_SNORM	
GL_R32F	D3DFMT_L8	DXGI_FORMAT_R8_UINT	
GL_RG32F	D3DFMT_A8	DXGI_FORMAT_R8_UNORM	
GL_RGBA32F	D3DFMT_G32R32F	DXGI_FORMAT_R16_SINT	
GL_R8I	D3DFMT_G16R16	DXGI_FORMAT_R16_SNORM	
GL_R8UI	D3DFMT_V16U16	DXGI_FORMAT_R16_UINT	
GL_R16I	D3DFMT_A8L8	DXGI_FORMAT_R16_UNORM	
GL_R16UI	D3DFMT_V8U8	DXGI_FORMAT_R32_SINT	
GL_R32I	D3DFMT_A32B32G32R32F	DXGI_FORMAT_R32_UINT	
GL_R32UI	D3DFMT_A16B16G16R16	GI_FORMAT_R32_FLOAT	
GL_RG8I	D3DFMT_A8R8G8B8	DXGI_FORMAT_R8G8_SINT	
GL_RG8UI	D3DFMT_X8R8G8B8	DXGI_FORMAT_R8G8_SNORM	
GL_RG16I	D3DFMT_A8B8G8R8	DXGI_FORMAT_R8G8_UINT_	
GL_RG16UI	D3DFMT_X8B8G8R8	DXGI_FORMAT_R8G8_UNORM	
GL_RG32I	D3DFMT_Q16W16V16U16	DXGI_FORMAT_R16G16_SINT	
GL_RG32UI	D3DFMT_Q8W8V8U8	DXGI_FORMAT_R16G16_SNORM	
GL_RGBA8I		DXGI_FORMAT_R16G16_UINT	
GL_RGBA8UI		DXGI_FORMAT_R16G16_UNORM	
GL_RGBA16I		DXGI_FORMAT_R32G32_SINT	
GL_RGBA16UI		DXGI_FORMAT_R32G32_UINT	
GL_RGBA32I		DXGI_FORMAT_R32G32_FLOAT	
GL_RGBA32UI		DXGI_FORMAT_R8G8B8A8_SINT	
		DXGI_FORMAT_R8G8B8A8_SNORM	
		DXGI_FORMAT_R8G8B8A8_UINT	
		DXGI_FORMAT_R8G8B8A8_UNORM	
		DXGI_FORMAT_R16G16B16A16_SINT	
		DXGI_FORMAT_R16G16B16A16_SNORM	
		DXGI_FORMAT_R16G16B16A16_UINT	
		DXGI_FORMAT_R16G16B16A16_UNORM	
		DXGI_FORMAT_R32G32B32A32_SINT	
		DXGI_FORMAT_R32G32B32A32_UINT	
		DXGI_FORMAT_R32G32B32A32_FLOAT	

Table 10 Supported Interop Texture Formats

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