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1 OptiX overview

GPUs are best at exploiting very high degrees of parallelism, and ray tracing fits that requirement perfectly. However, typical ray tracing algorithms can be highly irregular, which poses serious challenges for anyone trying to exploit the full raw computational potential of a GPU. The NVIDIA® OptiX $^{\text{TM}}$ ray tracing engine and API address those challenges and provide a framework for harnessing the enormous computational power of both current- and future-generation graphics hardware to incorporate ray tracing into interactive applications. By using OptiX together with NVIDIA® CUDA® architecture, interactive ray tracing is finally feasible for developers without a Ph.D. in computer graphics and a team of ray tracing engineers.

OptiX is not itself a renderer. Instead, it is a scalable framework for building ray tracing based applications. The OptiX engine is composed of two symbiotic parts: 1) a host-based API that defines data structures for ray tracing, and 2) a CUDA C++-based programming system that can produce new rays, intersect rays with surfaces, and respond to those intersections. Together, these two pieces provide low-level support for "raw ray tracing." This allows user-written applications that use ray tracing for graphics, collision detection, sound propagation, visibility determination, etc.

1.1 Motivation

By abstracting the execution model of a generic ray tracer, OptiX makes it easier to assemble a ray tracing system, leveraging custom-built algorithms for object traversal, shader dispatch and memory management. Furthermore, the resulting system will be able to take advantage of future evolution in GPU hardware and OptiX SDK releases — similar to the manner that OpenGL and Direct3D provide an abstraction for the rasterization pipeline.

Wherever possible, the OptiX engine avoids specification of ray tracing behaviors and instead provides mechanisms to execute user- provided CUDA C code to implement shading (including recursive rays), camera models, and even color representations. Consequently, the OptiX engine can be used for Whitted-style ray tracing, path tracing, collision detection, photon mapping, or any other ray tracing-based algorithm. It is designed to operate either standalone or in conjunction with an OpenGL or DirectX application for hybrid ray tracing-rasterization applications.

1.2 Programming model

At the core of OptiX is a simple but powerful abstract model of a ray tracer. This ray tracer employs user-provided programs to control the initiation of rays, intersection of rays with surfaces, shading with materials, and spawning of new rays. Rays carry user-specified payloads that describe per-ray variables such as color, recursion depth, importance, or other attributes. Developers provide these functions to OptiX in the form of CUDA C-based functions. Because ray tracing is an inherently recursive algorithm, OptiX allows user programs to recursively spawn new rays, and the internal execution mechanism manages all the details of a recursion stack. OptiX also provides flexible dynamic function dispatch and a

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sophisticated variable inheritance mechanism so that ray tracing systems can be written very generically and compactly.

1.3 Ray tracing basics

"Ray tracing" is an overloaded term whose meaning can depend on context. Sometimes it refers to the computation of the intersection points between a 3D line and a set of 3D objects such as spheres. Sometimes it refers to a specific algorithm such as Whitted's method of generating pictures or the oil exploration industry's algorithm for simulating ground wave propagation. Other times it refers to a family of algorithms that include Whitted's algorithm along with others such as distribution ray tracing. OptiX is a ray tracing engine in the first sense of the word: it allows the user to intersect rays and 3D objects. As such it can be used to build programs that fit the other use of "ray tracing" such as Whitted's algorithm. In addition OptiX provides the ability for users to write their own programs to generate rays and to define behavior for when rays hit objects.

For graphics, ray tracing was originally proposed by Arthur Appel in 1968 for rendering solid objects. In 1980, Turner Whitted pursued the idea further by introducing recursion to enable reflective and refractive effects. Subsequent advances in ray tracing increased accuracy by introducing effects for depth of field, diffuse inter- reflection, soft shadows, motion blur, and other optical effects. Simultaneously, numerous researchers have improved the performance of ray tracing using new algorithms for indexing the objects in the scene.

Realistic rendering algorithms based on ray tracing have been used to accurately simulate light transport. Some of these algorithms simulate the propagation of photons in a virtual environment. Others follow adjoint photons "backward" from a virtual camera to determine where they originated. Still other algorithms use bidirectional methods. OptiX operates at a level below such algorithmic decisions, so can be used to build any of those algorithms.

Ray tracing has often been used for non-graphics applications. In the computer-aided design community, ray tracing has been used to estimate the volume of complex parts. This is accomplished by sending a set of parallel rays at the part; the fraction of rays that hit the part gives the cross-sectional area, and the average length that those rays are inside the part gives the average depth. Ray tracing has also often been used to determine proximity (including collision) for complex moving objects. This is usually done by sending "feeler" rays from the surfaces of objects to "see" what is nearby. Rays are also commonly used for mouse-based object selection to determine what object is seen in a pixel, and for projectile-object collision in games. OptiX can be used for any of those applications.

The common feature in ray tracing algorithms is that they compute the intersection points of 3D rays (an origin and a propagation direction) and a collection of 3D surfaces (the "model" or "scene"). In rendering applications, the optical properties of the point where the ray intersects the model determine what happens to the ray (e.g., it might be reflected, absorbed or refracted). Other applications might not care about information other than where the intersection happens, or even if an intersection occurs at all. This variety of needs means it is desirable for OptiX to support a variety of ray-scene queries and user-defined behavior when rays intersect the scene.

One of ray tracing's nice features is that it is easy to support any geometric object that can be intersected with a 3D line. For example, it is straightforward to support spheres natively with no tessellation. Another nice feature is that ray tracing's execution is normally "sub-linear" in the number of objects—doubling the number of objects in the scene should less than double

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the running time. This is accomplished by organizing the objects into an acceleration structure that can quickly reject whole groups of primitives as not candidates for intersection with any given ray. For static parts of the scene, this structure can be reused for the life of the application. For dynamic parts of the scene, OptiX supports rebuilding the acceleration structure when needed. The structure only queries the bounding box of any geometric objects it contains, so new types of primitives can be added and the acceleration structures will continue to work without modification, so long as the new primitives can provide a bounding box.

For graphics applications, ray tracing has advantages over rasterization. One of these is that general camera models are easy to support; the user can associate points on the screen with any direction they want, and there is no requirement that rays originate at the same point. Another advantage is that important optical effects such as reflection and refraction can be supported with only a few lines of code. Hard shadows are easy to produce with none of the artifacts typically associated with shadow maps, and soft shadows are not much harder. Furthermore, ray tracing can be added to more traditional graphics programs as a pass that produces a texture, letting the developer leverage the best of both worlds. For example, just the specular reflections could be computed by using points in the depth buffer as ray origins. There are a number of such "hybrid algorithms" that use both z-buffer and ray tracing techniques.

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2 Programming model

The OptiX programming model consists of two halves: the host code and the GPU device programs. This chapter introduces the objects, programs, and variables that are defined in host code and used on the device.

2.1 Object model

OptiX is an object-based C API that implements a simple retained mode object hierarchy. This object-oriented host interface is augmented with programs that execute on the GPU. The main objects in the system are:

Context

An instance of a running OptiX engine

Program

A CUDA C function, compiled to NVIDIA's PTX virtual assembly language

Variable

A name used to pass data from C to OptiX programs

Buffer

A multidimensional array that can be bound to a variable

TextureSampler

One or more buffers bound with an interpolation mechanism

Geometry

One or more primitives that a ray can be intersected with, such as triangles or other user-defined types

Material

A set of programs executed when a ray intersects with the closest primitive or potentially closest primitive.

GeometryInstance

A binding between Geometry and Material objects.

Group

A set of objects arranged in a hierarchy

GeometryGroup

A set of GeometryInstance objects

Transform

A hierarchy node that geometrically transforms rays, so as to transform the geometric objects

Selector

A programmable hierarchy node that selects which children to traverse

Acceleration

An acceleration structure object that can be bound to a hierarchy node

2 Programming model 2.3 Variables

Remote Device

A network connection for optional remote rendering.

These objects are created, destroyed, modified and bound with the C API and are further detailed in Chapter 3. The behavior of OptiX can be controlled by assembling these objects into any number of different configurations.

2.2 Programs

The ray tracing pipeline provided by OptiX contains several programmable components. These programs are invoked on the GPU at specific points during the execution of a generic ray tracing algorithm. There are eight types of programs:

Ray generation

The entry point into the ray tracing pipeline, invoked by the system in parallel for each pixel, sample, or other user-defined work assignment

Exception

Exception handler, invoked for conditions such as stack overflow and other errors

Closest hit

Called when a traced ray finds the closest intersection point, such as for material shading

Any hit

Called when a traced ray finds a new potentially closest intersection point, such as for shadow computation

Intersection

Implements a ray-primitive intersection test, invoked during traversal

Bounding box

Computes a primitive's world space bounding box, called when the system builds a new acceleration structure over the geometry

Miss

Called when a traced ray misses all scene geometry

Visit

Called during traversal of a Selector node to determine the children a ray will traverse

The input language for these programs is PTX. The OptiX SDK also provides a set of wrapper classes and headers for use with the NVIDIA C Compiler (nvcc) that enable the use of CUDA C as a way of generating appropriate PTX.

These programs are further detailed in Programs.

2.3 Variables

OptiX features a flexible and powerful variable system for communicating data to programs. When an OptiX program references a variable, there is a well-defined set of scopes that will be queried for a definition of that variable. This enables dynamic overrides of variable definitions based on which scopes are queried for definitions.

For example, a closest hit program may reference a variable called **color**. This program may then be attached to multiple Material objects, which are, in turn, attached to GeometryInstance objects. Variables in closest hit programs first look for definitions directly

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attached to their Program object, followed by GeometryInstance, Material and Context objects, in that order. This enables a default color definition to exist on the Material object but specific instances using that material to override the default color definition.

See the "Graph nodes" section (page 93) for more information.

2.4 Execution model

Once all of these objects, programs and variables are assembled into a valid context, ray generation programs may be launched. Launches take dimensionality and size parameters and invoke the ray generation program a number of times equal to the specified size.

Once the ray generation program is invoked, a special semantic variable may be queried to provide a runtime index identifying the ray generation program invocation. For example, a common use case is to launch a two-dimensional invocation with a width and height equal to the size, in pixels, of an image to be rendered.

See the "Launching a ray generation program" section (page 50) for more information on launching ray generation programs from a context.

2 Programming model 2.4 Execution model

3 Host API

3.1 Context

An OptiX context provides an interface for controlling the setup and subsequent launch of the ray tracing engine. Contexts are created with the rtContextCreate function. A context object encapsulates all OptiX resources — textures, geometry, user-defined programs, etc. The destruction of a context, via the rtContextDestroy function, will clean up all of these resources and invalidate any existing handles to them.

The functions rtContextLaunch1D, rtContextLaunch2D and rtContextLaunch3D (collectively known as rtContextLaunch) serve as entry points to ray engine computation. The launch function takes an entry point parameter, discussed in the "Entry points" section (page 93), as well as one, two or three grid dimension parameters. The dimensions establish a logical computation grid. Upon a call to rtContextLaunch, any necessary preprocessing is performed and then the ray generation program associated with the provided entry point index is invoked once per computational grid cell. The launch precomputation includes state validation and, if necessary, acceleration structure generation and kernel compilation. Output from the launch is passed back via OptiX buffers, typically but not necessarily of the same dimensionality as the computation grid.

```
RTcontext context;
rtContextCreate( &context );
unsigned int entry_point = ...;
unsigned int width = ...;
unsigned int height = ...;

// Set up context state and scene description
...
rtContextLaunch2D( context, entry_point, width, height );
rtContextDestroy( context );
```

While multiple contexts can be active at one time in limited cases, this is usually unnecessary as a single context object can leverage multiple hardware devices. The devices to be used can be specified with rtContextSetDevices. By default, the highest compute capable set of compatible OptiX-capable devices is used. The following set of rules is used to determine device compatibility. These rules could change in the future. If incompatible devices are selected an error is returned from rtContextSetDevices.

3.1.1 Entry points

Each context may have multiple computation entry points. A context entry point is associated with a single ray generation program as well as an exception program. The total number of entry points for a given context can be set with rtContextSetEntryPointCount. Each entry point's associated programs are set by rtContextSetRayGenerationProgram and

3 Host API 3.1 Context

rtContextSetExceptionProgram and are queried by rtContextGetRayGenerationProgram and rtContextGetExceptionProgram. Each entry point must be assigned a ray generation program before use; however, the exception program is an optional program that allows users to specify behavior upon various error conditions. The multiple entry point mechanism allows switching between multiple rendering algorithms as well as efficient implementation of techniques such as multi-pass rendering on a single OptiX context.

```
RTcontext context = ...;
rtContextSetEntryPointCount( context, 2 );

RTprogram pinhole_camera = ...;
RTprogram thin_lens_camera = ...;
RTprogram exception = ...;

rtContextSetRayGenerationProgram( context, 0, pinhole_camera );
rtContextSetRayGenerationProgram( context, 1, thin_lens_camera );

rtContextSetExceptionProgram( context, 0, exception );
rtContextSetExceptionProgram( context, 1, exception );
```

3.1.2 Ray types

OptiX supports the notion of ray types, which is useful to distinguish between rays that are traced for different purposes. For example, a renderer might distinguish between rays used to compute color values and rays used exclusively for determining visibility of light sources (shadow rays). Proper separation of such conceptually different ray types not only increases program modularity, but also enables OptiX to operate more efficiently.

Both the number of different ray types as well as their behavior is entirely defined by the application. The number of ray types to be used is set with rtContextSetRayTypeCount.

The following properties may differ among ray types:

- The ray payload
- The closest hit program of each individual material
- The any hit program of each individual material
- The miss program

The ray payload is an arbitrary user-defined data structure associated with each ray. This is commonly used, for example, to store a result color, the ray's recursion depth, a shadow attenuation factor, and so on. It can be regarded as the result a ray delivers after having been traced, but it can also be used to store and propagate data between ray generations during recursive ray tracing.

The closest hit and any hit programs assigned to materials correspond roughly to shaders in conventional rendering systems: they are invoked when an intersection between a ray and a geometric primitive is found. Since those programs are assigned to materials per ray type, not all ray types must define behavior for both program types. See the "Closest hit programs" section (page 50) and the "Any hit programs" section (page 48) for a more detailed discussion of material programs.

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The miss program is executed when a traced ray is determined to not hit any geometry. A miss program could, for example, return a constant sky color or sample from an environment map.

As an example of how to make use of ray types, a Whitted-style recursive ray tracer might define the ray types listed in Table 1:

| Use | Radiance | Shadow |
|-------------|--|--|
| Payload | RadiancePL | ShadowPL |
| Closest hit | Compute color, keep track of recursion depth | _ |
| Any hit | _ | Compute shadow attenuation and terminate ray if opaque |
| Miss | Environment map lookup | _ |

Table 1 – Example ray types

The ray payload data structures in the above example might look as follows:

```
// Payload for ray type 0: radiance rays
struct RadiancePL
{
    float3 color;
    int    recursion_depth;
};

// Payload for ray type 1: shadow rays
struct ShadowPL
{
    float attenuation;
};
```

Upon a call to rtContextLaunch, the ray generation program traces radiance rays into the scene, and writes the delivered results (found in the color field of the payload) into an output buffer for display:

```
RadiancePL payload;
payload.color = make_float3( 0.f, 0.f, 0.f );
payload.recursion_depth = 0; // Initialize recursion depth

Ray ray = ... // Some camera code creates the ray ray.ray_type = 0; // Make this a radiance ray

rtTrace( top_object, ray, payload );

// Write result to output buffer writeOutput( payload.color );
```

3 Host API 3.1 Context

A primitive intersected by a radiance ray would execute a closest hit program which computes the ray's color and potentially traces shadow rays and reflection rays. The shadow ray part is shown in the following code snippet:

```
ShadowPL shadow_payload;
shadow_payload.attenuation = 1.0f; // initialize to visible
Ray shadow_ray = ... // create a ray to light source
shadow_ray.ray_type = 1; // make this a shadow ray
rtTrace( top_object, shadow_ray, shadow_payload );

// Attenuate incoming light ('light' is some user-defined
// variable describing the light source)
float3 rad = light.radiance * shadow_payload.attenuation;

// Add the contribution to the current radiance ray's
// payload (assumed to be declared as 'payload')
payload.color += rad;
```

To properly attenuate shadow rays, all materials use an any hit program which adjusts the attenuation and terminates ray traversal. The following code sets the attenuation to zero, assuming an opaque material:

```
shadow_payload.attenuation = 0; // Assume opaque material
rtTerminateRay(); // It won't get any darker, so terminate
```

3.1.3 Global state

Aside from ray type and entry point counts, there are several other global settings encapsulated within OptiX contexts.

Each context holds a number of attributes that can be queried and set using rtContext{Get|Set}Attribute. For example, the amount of memory an OptiX context has allocated on the host can be queried by specifying RT_CONTEXT_ATTRIBUTE_USED_HOST_MEMORY as attribute parameter.

To support recursion, OptiX uses a small stack of memory associated with each thread of execution. rtContext{Get|Set}StackSize allows for setting and querying the size of this stack. The stack size should be set with care as unnecessarily large stacks will result in performance degradation while overly small stacks will cause overflows within the ray engine. Stack overflow errors can be handled with user defined *exception programs*.

The rtContextSetPrint* functions are used to enable C-style printf printing from within OptiX programs, allowing these programs to be more easily debugged. The CUDA C function rtContextSetPrintEnabled turns on or off printing globally while rtContextSetPrintLaunchIndex toggles printing for individual computation grid cells. Print statements have no adverse effect on performance while printing is globally disabled, which is the default behavior.

Print requests are buffered in an internal buffer, the size of which can be specified with rtContextSetPrintBufferSize. Overflow of this buffer will cause truncation of the output

3.1 Context 3 Host API

stream. The output stream is printed to the standard output after all computation has completed but before rtContextLaunch has returned.

```
RTcontext context = ...;
rtContextSetPrintEnabled( context, 1 );
rtContextSetPrintBufferSize( context, 4096 );
```

Within an OptiX program, the rtPrintf function works similarly to C's printf. Each invocation of rtPrintf will be atomically deposited into the print output buffer, but separate invocations by the same thread or by different threads will be interleaved arbitrarily.

```
rtDeclareVariable(uint2, launch_idx ,rtLaunchIndex, );

RT_PROGRAM void any_hit()
{
    rtPrintf( "Hello from index %u, %u!\n", launch_idx.x, launch_idx.y );
}
```

The context also serves as the outermost scope for OptiX variables. Variables declared via rtContextDeclareVariable are available to all OptiX objects associated with the given context. To avoid name conflicts, existing variables may be queried with either rtContextQueryVariable (by name) or rtContextGetVariable (by index), and removed with rtContextRemoveVariable.

rtContextValidate can be used at any point in the setup process to check the state validity of a context and all of its associated OptiX objects. This will include checks for the presence of necessary programs (e.g., an intersection program for a geometry node), invalid internal state such as unspecified children in graph nodes and the presence of variables referred to by all specified programs. Validation is always implicitly performed upon a context launch.

rtContextSetTimeoutCallback specifies a callback function of type RTtimeoutcallback that is called at a specified maximum frequency from OptiX API calls that can run long, such as acceleration structure builds, compilation, and kernel launches. This allows the application to update its interface or perform other tasks. The callback function may also ask OptiX to cease its current work and return control to the application. This request is complied with as soon as possible. Output buffers expected to be written to by an rtContextLaunch are left in an undefined state, but otherwise OptiX tracks what tasks still need to be performed and resumes cleanly in subsequent API calls.

```
// Return 1 to ask for abort, 0 to continue.
// An RTtimeoutcallback.
int timeout_callback()
{
    update_gui();
    return check_gui_status();
}
...
// Call timeout_callback() at most once every 100 ms.
rtContextSetTimeoutCallback( context, timeout_callback, 0.1 );
```

3 Host API 3.2 Buffers

rtContextGetErrorString can be used to get a description of any failures occurring during context state setup, validation, or launch execution.

3.2 Buffers

OptiX uses buffers to pass data between the host and the device. Buffers are created by the host prior to invocation of rtContextLaunch using the rtBufferCreate function. This function also sets the buffer type as well as optional flags. The type and flags are specified as a bitwise OR combination.

The buffer type determines the direction of data flow between host and device. Its options are enumerated by RTbuffertype:

RT_BUFFER_INPUT

Only the host may write to the buffer. Data is transferred from host to device and device access is restricted to be read-only.

RT_BUFFER_OUTPUT

The converse of RT_BUFFER_INPUT. Only the device may write to the buffer. Data is transferred from device to host.

RT_BUFFER_INPUT_OUTPUT

Allows read-write access from both the host and the device.

RT_BUFFER_PROGRESSIVE_STREAM

The automatically updated output of a progressive launch. Can be streamed efficiently over network connections. (See the "Progressive launches" section (page 32).)

Buffer flags specify certain buffer characteristics and are enumerated by RTbufferflags:

RT_BUFFER_GPU_LOCAL

Can only be used in combination with RT_BUFFER_INPUT_OUTPUT. This restricts the host to write operations as the buffer is not copied back from the device to the host. The device is allowed read-write access. However, writes from multiple devices are not coherent, as a separate copy of the buffer resides on each device.

RT_BUFFER_LAYERED

If RT_BUFFER_LAYERED flag is set, buffer depth specifies the number of layers, not the depth of a 3D buffer, when it is used as a texture buffer.

RT_BUFFER_CUBEMAP

If RT_BUFFER_CUBEMAP flag is set, buffer depth specifies the number of cube faces, not the depth of a 3D buffer.

Before using a buffer, its size, dimensionality and element format must be specified. The format can be set and queried with rtBuffer{Get|Set}Format. Format options are enumerated by the RTformat type. Formats exist for C and CUDA C data types such as unsigned int and float3. Buffers of arbitrary elements can be created by choosing the format RT_FORMAT_USER and specifying an element size with the rtBufferSetElementSize function. The size of the buffer is set with rtBufferSetSize{1,2,3}D which also specifies the dimensionality implicitly. rtBufferGetMipLevelSize can be used to get the size of a mip level of a texture buffer, given the mip level number.

```
RTcontext context = ...;
RTbuffer buffer;
```

3.2 Buffers 3 Host API

```
typedef struct { float r; float g; float b; } rgb;

rtBufferCreate( context, RT_BUFFER_INPUT_OUTPUT, &buffer );

rtBufferSetFormat( RT_FORMAT_USER );

rtBufferSetElementSize( sizeof(rgb) );

rtBufferSetSize2D( buffer, 512, 512 );
```

Host access to the data stored within a buffer is performed with the rtBufferMap function. This function returns a pointer to a one dimensional array representation of the buffer data. All buffers must be unmapped via rtBufferUnmap before context validation will succeed.

```
// Using the buffer created above
unsigned int width, height;
rtBufferGetSize2D( buffer, &width, &height );

void* data;
rtBufferMap( buffer, &data );

rgb* rgb_data = (rgb*)data;
for( unsigned int i = 0; i < width*height; ++I ) {
    rgb_data[i].r = rgb_data[i].g = rgb_data[i].b =0.0f;
}
rtBufferUnmap( buffer );</pre>
```

rtBufferMapEx and rtBufferUnmapEx set the contents of a mip mapped texture buffer.

```
// Using the buffer created above
unsigned int width, height;
rtBufferGetMipLevelSize2D( buffer, &width, &height, level+1 );
rgb *dL, *dNextL;
rtBufferMapEx( buffer, RT_BUFFER_MAP_READ_WRITE, level, 0, &dL );
rtBufferMapEx( buffer, RT BUFFER MAP READ WRITE, level+1, 0, &dNextL );
unsigned int width2 = width*2;
for ( unsigned int y = 0; y < height; ++y ) {</pre>
   for (unsigned int x = 0; x < width; ++x) {
      dNextL[x+width*y] = 0.25f *
         (dL[x*2+width2*y*2] +
          dL[x*2+1+width2*y*2] +
          dL[x*2+width2*(y*2+1)] +
          dL[x*2+1+width2*(y*2+1)]);
     }
rtBufferUnmapEx( buffer, level );
rtBufferUnmapEx( buffer, level+1 );
```

3 Host API 3.2 Buffers

Access to buffers within OptiX programs uses a simple array syntax. The two template arguments in the declaration below are the element type and the dimensionality, respectively.

```
rtBuffer<rgb, 2> buffer;
...
uint2 index = ...;
float r = buffer[index].r;
```

3.2.1 Buffers of buffer ids

Beginning in OptiX 3.5, buffers may contain IDs to buffers. From the host side, an input buffer is declared with format RT_FORMAT_BUFFER_ID. The buffer is then filled with buffer IDs obtained through the use of either rtBufferGetId or OptiX::Buffer::getId. A special sentinel value, RT_BUFFER_ID_NULL, can be used to distinguish between valid and invalid buffer IDs. RT_BUFFER_ID_NULL will never be returned as a valid buffer ID.

The following example that creates two input buffers; the first contains the data, and the second contains the buffer IDs.

```
Buffer inputBuffer0 =
    context->createBuffer( RT_BUFFER_INPUT, RT_FORMAT_INT, 3 );
Buffer inputBuffers =
    context->createBuffer( RT_BUFFER_INPUT, RT_FORMAT_BUFFER_ID, 1);
int* buffers = static_cast<int*>(inputBuffers->map());
buffers[0] = inputBuffer0->getId();
inputBuffers->unmap();
```

From the device side, buffers of buffer IDs are declared using rtBuffer with a template argument type of rtBufferId. The identifiers stored in the buffer are implicitly cast to buffer handles when used on the device. This example creates a one dimensional buffer whose elements are themselves one dimensional buffers that contain integers.

```
rtBuffer<rtBufferId<int,1>, 1> input_buffers;
```

Accessing the buffer is done the same way as with regular buffers:

```
// Grab the first element of the first buffer in
// 'input_buffers'
int value = input_buffers[buf_index][0];
```

The size of the buffer can also be queried to loop over the contents:

```
for(size_t i = 0; k < input_buffers.size(); ++i)
  result += input_buffers[i];</pre>
```

Buffers may nest arbitrarily deeply, though there is memory access overhead per nesting level. Multiple buffer lookups may be avoided by using references or copies of the rtBufferId.

3.2 Buffers 3 Host API

```
rtBuffer<rtBufferId<rtBufferId<int,1>, 1>, 1> input_buffers3;
...
rtBufferId<int,1>& buffer = input_buffers[buf_index1][buf_index2];
size_t size = buffer.size();

for(size_t i = 0; i < size; ++i)
    value += buffer[i];</pre>
```

Currently only non-interop buffers of type RT_BUFFER_INPUT may contain buffer IDs and they may only contain IDs of buffers that match in element format and dimensionality, though they may have varying sizes.

The RTbuffer object associated with a given buffer ID can be queried with the function rtContextGetBufferFromId or if using the C++ interface, OptiX::Context::getBufferFromId.

In addition to storing buffer IDs in other buffers, you can store buffer IDs in arbitrary structs or RTvariables or as data members in the ray payload as well as pass them as arguments to callable programs. An rtBufferId object can be constructed using the buffer ID as a constructor argument.

```
rtDeclareVariable(int, id, ,);
rtDeclareVariable(int, index, ,);
...
int value = rtBufferId<int,1>(id)[index];
```

An example of passing to a callable program:

```
#include <OptiX_world.h>
using namespace OptiX;
struct BufInfo {
    int index;
    rtBufferId<int, 1> data;
};
rtCallableProgram(int, getValue, (BufInfo));
RT_CALLABLE_PROGRAM
int getVal( BufInfo bufInfo )
    return bufInfo.data[bufInfo.index];
}
rtBuffer<int,1> result;
rtDeclareVariable(BufInfo, buf_info, ,);
RT_PROGRAM void bindlessCall()
{
    int value = getValue(buf_info);
```

3 Host API 3.3 Textures

```
result[0] = value;
}
```

Note that because rtCallProgram and rtDeclareVariable are macros, typedefs or structs should be used instead of using the templated type directly in order to work around the C preprocessor's limitations.

```
typedef rtBufferId<int,1> RTB;
rtDeclareVariable(RTB, buf, ,);
```

There is a definition for rtBufferId in OptiXpp_namespace.h that mirrors the device side declaration to enable declaring types that can be used in both host and device code.

Here is an example of the use of the BufInfo struct from the host side:

automatically converted to normalized float values.

```
BufInfo buf_info;
buf_info.index = 0;
buf_info.data = rtBufferId<int,1>(inputBuf0->getId());
context["buf_info"]->setUserData(sizeof(buf_info), &buf_info);
```

3.3 Textures

OptiX textures provide support for common texture mapping functionality including texture filtering, various wrap modes, and texture sampling. rtTextureSamplerCreate is used to create texture objects. Each texture object is associated with one or more buffers containing the texture data. The buffers may be 1D, 2D or 3D and can be set with rtTextureSamplerSetBuffer.

rtTextureSamplerSetFilteringModes can be used to set the filtering methods for minification, magnification and mipmapping. Wrapping for texture coordinates outside of [0, 1] can be specified per-dimension with rtTextureSamplerSetWrapMode. The maximum anisotropy for a given texture can be set with rtTextureSamplerSetMaxAnisotropy. A value greater than 0 will enable anisotropic filtering at the specified value. rtTextureSamplerSetReadMode can be used to request all texture read results be

```
RTcontext context = ...;
RTbuffer tex_buffer = ...; // 2D buffer
RTtexturesampler tex_sampler;
rtTextureSamplerCreate( context, &tex_sampler );
rtTextureSamplerSetWrapMode( tex_sampler, 0, RT_WRAP_CLAMP_TO_EDGE);
rtTextureSamplerSetWrapMode( tex_sampler, 1, RT_WRAP_CLAMP_TO_EDGE);
rtTextureSamplerSetFilteringModes(
    tex_sampler, RT_FILTER_LINEAR, RT_FILTER_LINEAR, RT_FILTER_NONE );
rtTextureSamplerSetIndexingMode(
    tex_sampler, RT_TEXTURE_INDEX_NORMALIZED_COORDINATES );
rtTextureSamplerSetReadMode(
    tex_sampler, RT_TEXTURE_READ_NORMALIZED_FLOAT );
rtTextureSamplerSetMaxAnisotropy( tex_sampler, 1.0f );
rtTextureSamplerSetBuffer( tex_sampler, 0, 0, tex_buffer );
```

3.3 Textures 3 Host API

As of version 3.9, OptiX supports cube, layered, and mipmapped textures using new API calls rtBufferMapEx, rtBufferUnmapEx, rtBufferSetMipLevelCount. Layered textures are equivalent to CUDA layered textures and OpenGL texture arrays. They are created by calling rtBufferCreate with RT_BUFFER_LAYERED and cube maps by passing RT_BUFFER_CUBEMAP. In both cases the buffer's depth dimension is used to specify the number of layers or cube faces, not the depth of a 3D buffer.

OptiX programs can access texture data with CUDA C's built-in tex1D, tex2D and tex3D functions.

```
rtTextureSampler<uchar4, 2, cudaReadModeNormalizedFloat> t;
...
float2 tex_coord = ...;
float4 value = tex2D( t, tex_coord.x, tex_coord.y );
```

As of version 3.0, OptiX supports **bindless** textures. Bindless textures allow OptiX programs to reference textures without having to bind them to specific variables. This is accomplished through the use of **texture IDs**.

Using bindless textures, it is possible to dynamically switch between multiple textures without the need to explicitly declare all possible textures in a program and without having to manually implement switching code. The set of textures being switched on can have varying attributes, such as wrap mode, and varying sizes, providing increased flexibility over texture arrays.

To obtain a device handle from an existing texture sampler, rtTextureSamplerGetId can be used:

```
RTtexturesampler tex_sampler = ...;
int tex_id;
rtTextureSamplerGetId( tex_sampler, &tex_id );
```

A texture ID value is immutable and is valid until the destruction of its associated texture sampler. Make texture IDs available to OptiX programs by using input buffers or OptiX variables:

```
RTbuffer tex_id_buffer = ...; // 1D buffer
unsigned int index = ...;

void* tex_id_data;
rtBufferMap( tex_id_buffer, &tex_id_data );
((int*)tex_id_data)[index] = tex_id;
rtBufferUnmap( tex_id_buffer );
```

 $^{^1 {\}tt rtTextureSamplerSetArraySize} \ and \ {\tt rtTextureSamplerSetMipLevelCount} \ were \ never \ implemented \ and \ are \ deprecated.$

3 Host API 3.4 Graph nodes

Similar to CUDA C's texture functions, OptiX programs can access textures in a bindless way with rtTex1D<>, rtTex2D<>, and rtTex3D<>. functions:

```
rtBuffer<int, 1> tex_id_buffer;
unsigned int index = ...;
int tex_id = tex_id_buffer[index];
float2 tex_coord = ...;
float4 value = rtTex2D<float4>( tex_id, tex_coord.x, tex_coord.y );
```

Textures may also be sampled by providing a level of detail for mip mapping or gradients for anisotropic filtering. An integer layer number is required for layered textures (arrays of textures):

3.4 Graph nodes

When a ray is traced from a program using the rtTrace function, a node is given that specifies the root of the graph. The host application creates this graph by assembling various types of nodes provided by the OptiX API. The basic structure of the graph is a hierarchy, with nodes describing geometric objects at the bottom, and collections of objects at the top.

The graph structure is not meant to be a scene graph in the classical sense. Instead, it serves as a way of binding different programs or actions to portions of the scene. Since each invocation of rtTrace specifies a root node, different trees or subtrees may be used. For example, shadowing objects or reflective objects may use a different representation — for performance or for artistic effect.

Graph nodes are created via rt*Create calls, which take the Context as a parameter. Since these graph node objects are owned by the context, rather than by their parent node in the graph, a call to rt*Destroy will delete that object's variables, but not do any reference counting or automatic freeing of its child nodes.

Figure 3.1 (page 21) shows an example of what a graph might look like. The following sections will describe the individual node types.

3.4 Graph nodes 3 Host API

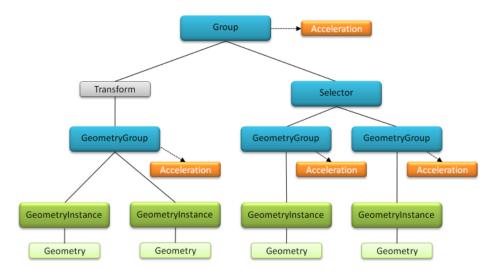


Fig. 3.1 – A sample graph

Table 2 indicates which nodes can be children of other nodes including association with acceleration structure nodes.

| Parent node type | Child node types |
|------------------|------------------|
| Geometry | |
| Material | none |
| Acceleration | |
| | Geometry |
| GeometryInstance | Material |
| <u> </u> | GeometryInstance |
| GeometryGroup | Acceleration |
| | GeometryGroup |
| Transform | Group |
| Selector | Selector |
| | Transform |
| | GeometryGroup |
| | Group |
| Group | Selector |
| | Transform |
| | Acceleration |

Table 2 – Parent nodes and the types of nodes allowed as children

3.4.1 Geometry

A geometry node is the fundamental node to describe a geometric object: a collection of user-defined primitives against which rays can be intersected. The number of primitives contained in a geometry node is specified using rtGeometrySetPrimitiveCount.

To define the primitives, an intersection program is assigned to the geometry node using rtGeometrySetIntersectionProgram. The input parameters to an intersection program are a primitive index and a ray, and it is the program's job to return the intersection between the two. In combination with program variables, this provides the necessary mechanisms to

3 Host API 3.4 Graph nodes

define any primitive type that can be intersected against a ray. A common example is a triangle mesh, where the intersection program reads a triangle's vertex data out of a buffer (passed to the program via a variable) and performs a ray-triangle intersection.

In order to build an acceleration structure over arbitrary geometry, it is necessary for OptiX to query the bounds of individual primitives. For this reason, a separate bounds program must be provided using rtGeometrySetBoundingBoxProgram. This program simply computes bounding boxes of the requested primitives, which are then used by OptiX as the basis for acceleration structure construction.

The following example shows how to construct a geometry object describing a sphere, using a single primitive. The intersection and bounding box program are assumed to depend on a single parameter variable specifying the sphere radius:

```
RTgeometry geometry;
RTvariable variable;

// Set up geometry object.
rtGeometryCreate( context, &geometry );
rtGeometrySetPrimitiveCount( geometry, 1 );
rtGeometrySetIntersectionProgram( geometry, sphere_intersection );
rtGeometrySetBoundingBoxProgram( geometry, sphere_bounds );

// Declare and set the radius variable.
rtGeometryDeclareVariable( geometry, "radius", &variable );
rtVariableSet1f( variable, 10.0f );
```

3.4.2 Material

A material encapsulates the actions that are taken when a ray intersects a primitive associated with a given material. Examples for such actions include: computing a reflectance color, tracing additional rays, ignoring an intersection, and terminating a ray. Arbitrary parameters can be provided to materials by declaring program variables.

Two types of programs may be assigned to a material, closest hit programs and any hit programs. The two types differ in when and how often they are executed. The closest hit program, which is similar to a shader in a classical rendering system, is executed at most once per ray, for the closest intersection of a ray with the scene. It typically performs actions that involve texture lookups, reflectance color computations, light source sampling, recursive ray tracing, and so on, and stores the results in a ray payload data structure.

The any hit program is executed for each potential closest intersection found during ray traversal. The intersections for which the program is executed may not be ordered along the ray, but eventually all intersections of a ray with the scene can be enumerated if required (by calling rtIgnoreIntersection on each of them). Typical uses of the any hit program include early termination of shadow rays (using rtTerminateRay) and binary transparency, e.g., by ignoring intersections based on a texture lookup.

It is important to note that both types of programs are assigned to materials *per ray type*, which means that each material can actually hold more than one closest hit or any hit program. This is useful if an application can identify that a certain kind of ray only performs specific actions. For example, a separate ray type may be used for shadow rays, which are

3.4 Graph nodes 3 Host API

only used to determine binary visibility between two points in the scene. In this case, a simple any hit program attached to all materials under that ray type index can immediately terminate such rays, and the closest hit program can be omitted entirely. This concept allows for highly efficient specialization of individual ray types.

The closest hit program is assigned to the material by calling rtMaterialSetClosestHitProgram, and the any hit program is assigned with rtMaterialSetAnyHitProgram. If a program is omitted, an empty program is the default.

3.4.3 GeometryInstance

A geometry instance represents a coupling of a single geometry node with a set of materials. The geometry object the instance refers to is specified using

rtGeometryInstanceSetGeometry. The number of materials associated with the instance is set by rtGeometryInstanceSetMaterialCount, and the individual materials are assigned with rtGeometryInstanceSetMaterial. The number of materials that must be assigned to a geometry instance is determined by the highest material index that may be reported by an intersection program of the referenced geometry.

Note that multiple geometry instances are allowed to refer to a single geometry object, enabling instancing of a geometric object with different materials. Likewise, materials can be reused between different geometry instances.

This example configures a geometry instance so that its first material index is mat_phong and the second one is mat_diffuse, both of which are assumed to be rtMaterial objects with appropriate programs assigned. The instance is made to refer to the rtGeometry object triangle_mesh.

```
RTgeometryInstanceCreate( context, &ginst );
rtGeometryInstanceSetGeometry( ginst, triangle_mesh );
rtGeometryInstanceSetMaterialCount( ginst, 2 );
rtGeometryInstanceSetMaterial( ginst, 0, mat_phong );
rtGeometryInstanceSetMaterial( ginst, 1, mat_diffuse);
```

3.4.4 GeometryGroup

A geometry group is a container for an arbitrary number of geometry instances. The number of contained geometry instances is set using rtGeometryGroupSetChildCount, and the instances are assigned with rtGeometryGroupSetChild. Each geometry group must also be assigned an acceleration structure using rtGeometryGroupSetAcceleration. (See the "Acceleration structures for ray tracing" section (page 25).)

The minimal sample use case for a geometry group is to assign it a single geometry instance:

```
RTgeometrygroup geomgroup;

rtGeometryGroupCreate( context, &geomgroup );

rtGeometryGroupSetChildCount( geomgroup, 1 );

rtGeometryGroupSetChild( geomgroup, 0, geometry_instance );
```

3 Host API 3.4 Graph nodes

Multiple geometry groups are allowed to share children, that is, a geometry instance can be a child of more than one geometry group.

3.4.5 Group

A group represents a collection of higher level nodes in the graph. They are used to compile the graph structure which is eventually passed to rtTrace for intersection with a ray.

A group can contain an arbitrary number of child nodes, which must themselves be of type rtGroup, rtGeometryGroup, rtTransform, or rtSelector. The number of children in a group is set by rtGroupSetChildCount, and the individual children are assigned using rtGroupSetChild. Every group must also be assigned an acceleration structure via rtGroupSetAcceleration.

A common use case for groups is to collect several geometry groups which dynamically move relative to each other. The individual position, rotation, and scaling parameters can be represented by transform nodes, so the only acceleration structure that needs to be rebuilt between calls to rtContextLaunch is the one for the top level group. This will usually be much cheaper than updating acceleration structures for the entire scene.

Note that the children of a group can be shared with other groups, that is, each child node can also be the child of another group (or of any other graph node for which it is a valid child). This allows for very flexible and lightweight instancing scenarios, especially in combination with shared acceleration structures. (See the "Acceleration structures for ray tracing" section (page 25).)

3.4.6 Transform

A transform node is used to represent a projective transformation of its underlying scene geometry. The transform must be assigned exactly one child of type rtGroup, rtGeometryGroup, rtTransform, or rtSelector, using rtTransformSetChild. That is, the nodes below a transform may simply be geometry in the form of a geometry group, or a whole new subgraph of the scene.

The transformation itself is specified by passing a 4x4 floating point matrix (specified as a 16-element one-dimensional array) to rtTransformSetMatrix. Conceptually, it can be seen as if the matrix were applied to all the underlying geometry. However, the effect is instead achieved by transforming the rays themselves during traversal. This means that OptiX does not rebuild any acceleration structures when the transform changes.

This example shows how a transform object with a simple translation matrix is created:

rtTransformSetMatrix(transform, 0, m, 0);

Note that the transform child node may be shared with other graph nodes. That is, a child node of a transform may be a child of another node at the same time. This is often useful for instancing geometry.

Transform nodes should be used sparingly as they cost performance during ray tracing. In particular, it is highly recommended for node graphs to not exceed a single level of transform depth.

3.4.7 Selector

A selector is similar to a group in that it is a collection of higher level graph nodes. The number of nodes in the collection is set by rtSelectorSetChildCount, and the individual children are assigned with rtSelectorSetChild. Valid child types are rtGroup, rtGeometryGroup, rtTransform, and rtSelector.

The main difference between selectors and groups is that selectors do not have an acceleration structure associated with them. Instead, a visit program is specified with rtSelectorSetVisitProgram. This program is executed every time a ray encounters the selector node during graph traversal. The program specifies which children the ray should continue traversal through by calling rtIntersectChild.

A typical use case for a selector is dynamic (i.e. per-ray) level of detail: an object in the scene may be represented by a number of geometry nodes, each containing a different level of detail version of the object. The geometry groups containing these different representations can be assigned as children of a selector. The visit program can select which child to intersect using any criterion (e.g. based on the footprint or length of the current ray), and ignore the others.

As for groups and other graph nodes, child nodes of a selector can be shared with other graph nodes to allow flexible instancing.

3.5 Acceleration structures for ray tracing

Acceleration structures are an important tool for speeding up the traversal and intersection queries for ray tracing, especially for large scene databases. Most successful acceleration structures represent a hierarchical decomposition of the scene geometry. This hierarchy is then used to quickly cull regions of space not intersected by the ray.

There are different types of acceleration structures, each with their own advantages and drawbacks. Furthermore, different scenes require different kinds of acceleration structures for optimal performance (e.g., static vs. dynamic scenes, generic primitives vs. triangles, and so on). The most common tradeoff is construction speed vs. ray tracing performance, but other factors such as memory consumption can play a role as well.

No single type of acceleration structure is optimal for all scenes. To allow an application to balance the tradeoffs, OptiX lets you choose between several kinds of supported structures. You can even mix and match different types of acceleration structures within the same node graph.

3.5.1 Acceleration objects in the node graph

Acceleration structures are individual API objects in OptiX, called rtAcceleration. Once an acceleration object is created with rtAccelerationCreate, it is assigned to either a group (using rtGroupSetAcceleration) or a geometry group (using

rtGeometryGroupSetAcceleration) Every group and geometry group in the node graph needs to have an acceleration object assigned for ray traversal to intersect those nodes.

This example creates a geometry group and an acceleration structure and connects the two:

```
RTgeometrygroup geomgroup;
RTacceleration accel;

rtGeometryGroupCreate( context, &geomgroup );

rtAccelerationCreate( context, &accel );

rtGeometryGroupSetAcceleration( geomgroup, accel );
```

By making use of groups and geometry groups when assembling the node graph, the application has a high level of control over how acceleration structures are constructed over the scene geometry. If one considers the case of several geometry instances in a scene, there are a number of ways they can be placed in groups or geometry groups to fit the application's use case.

For example, Figure 3.2 places all the geometry instances in a single geometry group. An acceleration structure on a geometry group will be constructed over the individual primitives defined by the collection of child geometry instances. This will allow OptiX to build an acceleration structure which is as efficient as if the geometries of the individual instances had been merged into a single object.

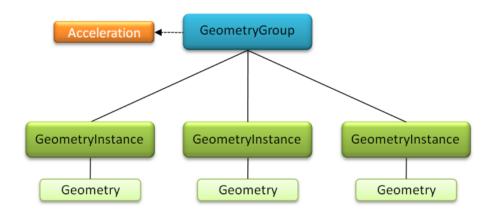


Fig. 3.2 – Multiple geometry instances in a geometry group

A different approach to managing multiple geometry instances is shown in Figure 3.4 (page 31). Each instance is placed in its own geometry group, i.e. there is a separate acceleration structure for each instance. The resulting collection of geometry groups is aggregated in a top level group, which itself has an acceleration structure. Acceleration structures on groups are constructed over the bounding volumes of the child nodes. Because the number of child nodes is usually relatively low, high level structures are typically quick to update. The advantage of this approach is that when one of the geometry instances is

modified, the acceleration structures of the other instances need not be rebuilt. However, because higher level acceleration structures introduce an additional level of complexity and are built only on the coarse bounds of their group's children, the graph in Figure 3 will likely not be as efficient to traverse as the one in Figure 2. Again, this is a tradeoff the application needs to balance, e.g. in this case by considering how frequently individual geometry instances will be modified.

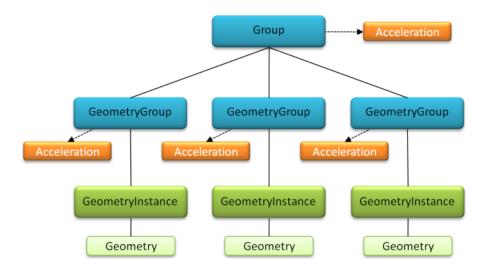


Fig. 3.3 – Multiple geometry instances, each in a separate geometry group

3.5.2 Acceleration structure builders

An rtAcceleration has a **builder**. The builder is responsible for collecting input geometry (in most cases, this geometry is the bounding boxes created by geometry nodes' bounding box programs) and computing a data structure that allows for accelerated ray-scene intersection query. Builders are not application-defined programs. Instead, the application chooses an appropriate builder from Table 3.

| Builder | Description |
|---------|--|
| Trbvh | The Trbvh ² . builder performs a very fast GPU-based BVH build. Its ray tracing performance is usually within a few percent of SBVH, yet its build time is generally the fastest. This builder should be strongly considered for all datasets. Trbvh uses a modest amount of extra memory beyond that required for the final BVH. When the extra memory is not available on the GPU, Trbvh may automatically fallback to build on the CPU. |
| Sbvh | The Split-BVH (SBVH) is a high quality bounding volume hierarchy. While build times are highest, it was traditionally the method of choice for static geometry due to its high ray tracing performance, but may be superseded by Trbvh. Improvements over regular BVHs are especially visible if the geometry is non-uniform (e.g. triangles of different sizes). This builder can be used for any type of geometry, but for optimal performance with triangle geometry, specialized properties should be set (see Table 4) ³ . |
| Bvh | The Bvh builder constructs a classic bounding volume hierarchy. It has relatively good traversal performance and does not focus on fast construction performance, but it supports refitting for fast incremental updates (Table 4). Bvh is often the best choice for acceleration structures built over groups. |
| NoAccel | This is a dummy builder which does not construct an actual acceleration structure. Traversal loops over all elements and intersects each one with the ray. This is very inefficient for anything but very simple cases, but can sometimes outperform real acceleration structures, for example, on a group with very few child nodes. |

Table 3 – Supported builders

Table 3 shows the builders currently available in OptiX. A builder is set using rtAccelerationSetBuilder. The builder can be changed at any time; switching builders will cause an acceleration structure to be flagged for rebuild.

This example shows a typical initialization of an acceleration object:

```
RTacceleration accel;
rtAccelerationCreate( context, &accel );
rtAccelerationSetBuilder( accel, "Trbvh" );
```

²See Tero Karras and Timo Aila, Fast Parallel Construction of High-Quality Bounding Volume Hierarchies. http://highperformancegraphics.org/wp-content/uploads/Karras-BVH.pdf

³See Martin Stich, Heiko Friedrich, Andreas Dietrich. *Spatial Splits in Bounding Volume Hierarchies*. http://www.nvidia.com/object/nvidia_research_pub_012.html

3.5.3 Acceleration structure properties

Fine-tuning acceleration structure construction can be useful depending on the situation. For this purpose, builders expose various named properties, which are listed in Table 4:

| Property | Available | Description |
|----------------------|--------------|--|
| refit | Bvh Trbvh | If set to 1 , the builder will only readjust the node bounds of the bounding volume hierarchy instead of constructing it from scratch. Refit is only effective if there is an initial BVH already in place, and the underlying geometry has undergone relatively modest deformation. In this case, the builder delivers a very fast BVH update without sacrificing too much ray tracing performance. The default is 0 . |
| vertex_buffer_name | Bvh Trbvh | The name of the buffer variable holding triangle vertex data. Each vertex consists of 3 floats. Mandatory for TriangleKdTree, optional for Sbvh (but recommended if the geometry consists of triangles). The default is vertex_buffer . |
| vertex_buffer_stride | Bvh Trbvh | The offset between two vertices in the vertex buffer, given in bytes. The default value is 0 , which assumes the vertices are tightly packed. |
| index_buffer_name | Bvh Trbvh | The name of the buffer variable holding vertex index data. The entries in this buffer are indices of type int, where each index refers to one entry in the vertex buffer. A sequence of three indices represents one triangle. If no index buffer is given, the vertices in the vertex buffer are assumed to be a list of triangles, i.e. every 3 vertices in a row form a triangle. The default is index_buffer. |
| index_buffer_stride | Bvh Trbvh | The offset between two indices in the index buffer, given in bytes. The default value is 0, which assumes the indices are tightly packed. |
| chunk_size | Trbvh | Number of bytes to be used for a partitioned acceleration structure build. If no chunk size is set, or set to 0 , the chunk size is chosen automatically. If set to -1 , the chunk size is unlimited. The minimum chunk size is currently 64MB. Please note that specifying a small chunk size reduces the peak-memory footprint of the Trbvh, but can result in slower rendering performance. |

Table 4 – Acceleration structure properties

Properties are specified using rtAccelerationSetProperty. Their values are given as strings, which are parsed by OptiX. Properties take effect only when an acceleration structure is actually rebuilt. Setting or changing the property does not itself mark the acceleration structure for rebuild; see the next section for details on how to do that. Properties not recognized by a builder will be silently ignored.

```
// Enable fast refitting on a BVH acceleration.
rtAccelerationSetProperty( accel, "refit", "1" );
```

3.5.4 Acceleration structure builds

In OptiX, acceleration structures are flagged (marked "dirty") when they need to be rebuilt. During rtContextLaunch, all flagged acceleration structures are built before ray tracing begins. Every newly created rtAcceleration object is initially flagged dirty.

An application can decide at any time to explicitly mark an acceleration structure for rebuild. For example, if the underlying geometry of a geometry group changes, the acceleration structure attached to the geometry group must be recreated. This is achieved by calling rtAccelerationMarkDirty. This is also required if, for example, new child geometry instances are added to the geometry group, or if children are removed from it.

The same is true for acceleration structures on groups: adding or removing children, changing transforms below the group, etc., are operations which require the group's acceleration to be marked as dirty. As a rule of thumb, every operation that causes a modification to the underlying geometry over which the structure is built (in the case of a group, that geometry is the children's axis-aligned bounding boxes) requires a rebuild. However, no rebuild is required if, for example, some parts of the graph change further down the tree, without affecting the bounding boxes of the immediate children of the group.

Note that the application decides independently for each single acceleration structure in the graph whether a rebuild is necessary. OptiX will not attempt to automatically detect changes, and marking one acceleration structure as dirty will not propagate the dirty flag to any other acceleration structures. Failure to mark acceleration structures as dirty when necessary may result in unexpected behavior — usually missing intersections or performance degradation.

3.5.5 Shared acceleration structures

Mechanisms such as a graph node being attached as a child to multiple other graph nodes make composing the node graph flexible, and enable interesting instancing applications. Instancing can be seen as inexpensive reuse of scene objects or parts of the graph by referencing nodes multiple times instead of duplicating them.

OptiX decouples acceleration structures as separate objects from other graph nodes. Hence, acceleration structures can naturally be shared between several groups or geometry groups, as long as the underlying geometry on which the structure is built is the same:

```
// Attach one acceleration to multiple groups.
rtGroupSetAcceleration( group1, accel );
rtGroupSetAcceleration( group2, accel );
rtGroupSetAcceleration( group3, accel );
```

Note that the application must ensure that each node sharing the acceleration structure has matching underlying geometry. Failure to do so will result in undefined behavior. Also, acceleration structures cannot be shared between groups and geometry groups.

The capability of sharing acceleration structures is a powerful concept to maximize efficiency, as shown in Figure 4. The acceleration node in the center of the figure is attached to both geometry groups, and both geometry groups reference the same geometry objects. This reuse of geometry and acceleration structure data minimizes both memory footprint and acceleration construction time. Additional geometry groups could be added in the same manner at very little overhead.

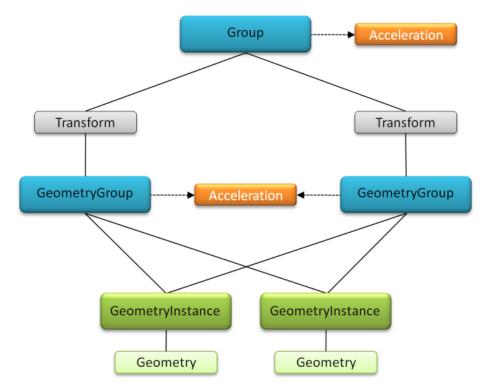


Fig. 3.4 – Two geometry groups sharing an acceleration structure and the underlying geometry objects.

3.6 Rendering on the VCA

OptiX 3.8 introduced remote network rendering as well as a new type of launch called the **progressive launch**. Using these APIs, common progressive rendering algorithms can be implemented easily and executed efficiently on NVIDIA's **Visual Computing Appliance** servers (VCAs). All OptiX computation can happen remotely on the VCA, with the rendered result being sent back to the client as a video stream. This allows even relatively low-performance client computers to run heavyweight OptiX applications efficiently, using the substantial computational resources provided by tens or hundreds of GPUs in a VCA cluster.

The Progressive Launch API mainly serves to address the following aspects not covered by the traditional OptiX API:

3.6.1 Remote launches

The rtContextLaunch calls offered by traditional OptiX work for remote rendering but are not well suited to it. Because the API calls are synchronous, each launch/display cycle incurs the full network roundtrip latency, and thus performance is usually not acceptable over standard network connections. Progressive launches, on the other hand, are asynchronous, and can achieve smooth application performance even over a high latency connection.

3.6.2 Parallelization

OptiX can parallelize work across a small number of local GPUs. To enable first class support for VCA clusters, however, the system needs to be able to scale to potentially hundreds of GPUs efficiently. Progressive renderers, such as path tracers, are one of the most common use cases of OptiX. The fact that the image samples they compute are independent of each other provides a natural way to parallelize the problem. The Progressive Launch API therefore combines the assumption that work can be split into many independent parts with the capability to launch kernels asynchronously.

Note that the Progressive Launch API may be used to render on local devices, as well as remotely on the VCA. Except for the code that sets up the RemoteDevice (see the "Remote devices" section (page 32)), whether rendering happens locally or remotely is transparent to the application. For applications that are amenable to the progressive launch programming model an advantage of using this model all the time, rather than traditional synchronous launches is that adapting the application to the VCA with full performance is virtually automatic.

3.6.3 Remote devices

The connection to a VCA (or cluster of VCAs) is represented by the RTremotedevice API object. On creation, the network connection is established given the URL of the **cluster manager** (in form of a WebSockets address) and user credentials. Information about the device can then be queried using rtRemoteDeviceGetAttribute. A VCA cluster consists of a number of **nodes**, of which a subset can be reserved for rendering using rtRemoteDeviceReserve. Since several server configurations may be available, that call also selects which one to use.

After node reservation has been initiated, the application must wait for the nodes to be ready by polling the RT_REMOTEDEVICE_STATUS attribute. Once that flag reports RT_REMOTEDEVICE_STATUS_READY, the device can be used for rendering.

To execute OptiX commands on the remote device, the device must be assigned to a context using rtContextSetRemoteDevice. Note that only newly created contexts can be used with remote devices. That is, the call to rtContextSetRemoteDevice should immediately follow the call to rtContextCreate.

3.6.4 Progressive launches

While most existing OptiX applications will work unchanged when run on a remote device (see the "Limitations" section (page 34) for caveats), progressive launches must be used instead of rtContextLaunch for optimal performance.

Instead of requesting the generation of a single frame, a progressive launch, triggered by rtContextLaunchProgressive2D, requests **multiple subframes** at once. A subframe is output

buffer content which is composited with other subframes to yield the final frame. In most progressive renderers, this means that a subframe simply contains a single sample per pixel.

Progressive launch calls are non-blocking. An application typically executes a progressive launch, and then continuously polls the **stream buffers** associated with its output, using rtBufferGetProgressiveUpdateReady. If that call reports that an update is available, the stream buffer can be mapped and the content displayed.

If any OptiX API functions are called while a progressive launch is in progress, the launch will stop generating subframes until the next time a progressive launch is triggered (the exception is the API calls to poll and map the stream buffers). This way, state changes to OptiX, such as setting variables using rtVariableSet, can be made easily and efficiently in combination with a render loop that polls for stream updates and executes a progressive launch. This method is outlined in the example pseudocode below.

3.6.5 Stream buffers

Accessing the results of a progressive launch is typically done through a new type of buffer called a **stream buffer**. Stream buffers allow the remotely rendered frames to be sent to the application client using compressed video streaming, greatly improving response times while still allowing the application to use the result frame in the same way as with a non-stream output buffer.

Stream buffers are created using rtBufferCreate with the type set to RT_BUFFER_PROGRESSIVE_STREAM. A stream buffer must be bound to a regular output buffer via rtBufferBindProgressiveStream in order to define its data source.

By executing the bind operation, the system enables automatic compositing for the output buffer. That is, any values written to the output buffer by device code will be averaged into the stream buffer, rather than overwriting the previous value as in regular output buffers. Compositing happens automatically and potentially in parallel across many devices on the network, or locally if remote rendering is not used.

Several configuration options are available for stream buffers, such as the video stream format to use, and parameters to trade off quality versus speed. Those options can be set using rtBufferSetAttribute. Note that some of the options only take effect if rendering happens on a remote device, and are a no-op when rendering locally. This is because stream buffers don't undergo video compression when they don't have to be sent across a network.

In addition to automatic compositing, the system also tonemaps and quantizes the averaged output before writing it into a stream. Tonemapping is performed using a simple built-in operator with a user- defined gamma value (specified using rtBufferSetAttribute). The tonemap operator is defined as:

```
final_value = clamp( pow( hdr_value, 1/gamma ), 0, 1 )
```

Accessing a progressive stream happens by mapping the stream buffer, just like any regular buffer, and reading out the frame data. The data is uncompressed, if necessary, when mapped. The data available for reading will always represent the most recent update to the stream if a progressive launch is in progress, so a frame that is not read on time may be skipped (e.g., if polling happens at a low frequency).

It is also possible to map an output buffer that is bound as a data source for a stream buffer. This can be useful to access "final frame" data, i.e. the uncompressed and unquantized accumulated output. Note that mapping a non-stream buffer will cause the progressive launch to stop generating subframes, and that such a map operation is much slower than mapping a stream.

3.6.6 Device code

In OptiX device code, the subframe index used for progressive rendering is exposed as a semantic variable of type unsigned int. Its value is guaranteed to be unique for each subframe in the current progressive launch, starting at zero for the first subframe and increasing by one with each subsequent subframe. For example, an application performing stochastic sampling may use this variable to seed a random number generator. (For a description of semantic variables, see the "Communication through variables" section (page 38).)

The current subframe index can be accessed in shader programs by declaring the following variable:

```
rtDeclareVariable(unsigned int, index, rtSubframeIndex,);
```

Computed pixel values can be written to an output buffer, just like for non-progressive rendering. Output buffers that are bound as sources to stream buffers will then be averaged automatically and processed (as described in the "Buffers" section (page 14)).

Note in particular that device code does not use stream buffers directly.

3.6.7 Limitations

- OpenGL interoperability is limited with remote rendering, and using it is discouraged for performance reasons. See the "Interoperability with OpenGL" section (page 79) for more information. Direct3D and CUDA interop are not supported.
- Using buffers of type RT_BUFFER_INPUT_OUTPUT in combination with remote rendering yields undefined results.
- rtPrintf and associated host functions are not supported in combination with remote rendering.
- rtContextSetTimeoutCallback is not supported in combination with remote rendering.
- Error codes and messages returned by API calls may apply to errors encountered on prior API calls, rather than the current call since return codes are streamed back asynchronously from the VCA.
- Output buffers used as data sources for progressive stream buffers must be of RT_FORMAT_FLOAT3 or RT_FORMAT_FLOAT4 format. For performance reasons, using RT_FORMAT_FLOAT4 is strongly recommended.
- Stream buffers must be of RT_FORMAT_UNSIGNED_BYTE4 format.

3.6.8 Example

For complete example applications using remote rendering and progressive launches, please refer to the "progressive" and "queryRemote" examples in the SDK. The following illustrates basic API usage in pseudocode.

```
// Set up the remote device. To use progressive rendering locally,
// simply skip this and the call to rtContextSetRemoteDevice.
RTremotedevice rdev;
rtRemoteDeviceCreate(
    "wss://myvcacluster.example.com:443", "user", "password", &rdev );
// Reserve 1 VCA node with config #0
rtRemoteDeviceReserve( rdev, 1, 0 );
// Wait until the VCA is ready
int ready;
bool first = true;
do {
     if (first)
         first = false;
     else
         sleep(1); // poll once per second.
     rtRemoteDeviceGetAttribute(
         rdev, RT_REMOTEDEVICE_ATTRIBUTE_STATUS, sizeof(int), &ready ) );
} while( ready != RT_REMOTEDEVICE_STATUS_READY );
// Set up the OptiX context
RTcontext context;
rtContextCreate( &context );
// Enable rendering on the remote device.
// Must immediately follow context creation.
rtContextSetRemoteDevice( context, rdev );
// Set up a stream buffer/output buffer pair
RTbuffer output_buffer, stream_buffer;
rtBufferCreate( context, RT_BUFFER_OUTPUT, &output_buffer );
rtBufferCreate( context, RT BUFFER_PROGRESSIVE_STREAM, &stream_buffer );
rtBufferSetSize2D( output_buffer, width, height );
rtBufferSetSize2D( stream_buffer, width, height );
rtBufferSetFormat( output_buffer, RT_FORMAT_FLOAT4 );
rtBufferSetFormat( stream_buffer, RT_FORMAT_UNSIGNED_BYTE4 );
rtBufferBindProgressiveStream( stream_buffer, output_buffer );
// [The usual OptiX scene setup goes here. Geometries, acceleration
// structures, materials, programs, etc.]
```

```
// Non-blocking launch, request infinite number of subframes
rtContextLaunchProgressive2D( context, width, height, 0 );
while (!finished)
     // Poll stream buffer for updates from the progressive launch
     rtBufferGetProgressiveUpdateReady( stream_buffer, &ready, 0, 0 );
     if( ready )
     {
          // Map and display the stream. This won't interrupt rendering.
          rtBufferMap( stream_buffer, &data );
          display( data );
          rtBufferUnmap( stream_buffer );
     }
     // Check whether scene has changed, e.g. because of user input
     if( scene_changed() )
          // [Update OptiX state here, e.g. by calling rtVariableSet
          // or other OptiX functions. This will cause the server to
          // stop generating subframes, so we call launch again below].
          rtVariableSet( ... );
     }
     // Start a new progressive launch, in case the OptiX state has been
     // changed above. If it hasn't, then this is a no-op and the
     // previous launch just continues running, accumulating further
     // subframes into the stream.
     rtContextLaunchProgressive2D( context, width, height, 0 );
}
// Clean up.
rtContextDestroy( context );
rtRemoteDeviceRelease( rdev );
rtRemoteDeviceDestroy( rdev );
```

4 Programs

This chapter describes the different kinds of OptiX programs, which provide programmatic control over ray intersection, shading, and other general computation in OptiX ray tracing kernels. OptiX programs are associated with binding points serving different semantic roles during a ray tracing computation. Like other concepts, OptiX abstracts programs through its object model as **program objects**.

4.1 OptiX program objects

The central theme of the OptiX API is programmability. OptiX programs are written in CUDA C, and specified to the API through a string or file containing PTX, the parallel thread execution virtual assembly language associated with CUDA. The nvcc compiler that is distributed with the CUDA SDK is used to create PTX in conjunction with the OptiX header files.

These PTX files are then bound to Program objects via the host API. Program objects can be used for any of the OptiX program types discussed later in this section.

4.1.1 Managing program objects

OptiX provides two API entry points for creating Program objects: rtProgramCreateFromPTXString, and rtProgramCreateFromPTXFile. The former creates a new Program object from a string of PTX source code. The latter creates a new Program object from a file of PTX source on disk:

```
RTcontext context = ...;
const char *ptx_filename = ...;
const char *program_name = ...;
RTprogram program = ...;
rtProgramCreateFromPTXFile(
    context, ptx_filename, function_name, &program );
```

In this example, ptx_filename names a file of PTX source on disk, and function_name names a particular function of interest within that source. If the program is ill-formed and cannot compile, these entry points return an error code.

Program objects may be checked for completeness using the rtProgramValidate function, as the following example demonstrates:

```
if ( rtProgramValidate(context, program)!=RT_SUCCESS ) {
   printf( "Program is not complete." );
}
```

An error code returned from rtProgramValidate indicates an error condition due to the program object or any other objects bound to it.

Finally, the rtProgramGetContext function reports the context object owning the program object, while rtProgramDestroy invalidates the object and frees all resources related to it.

4.1.2 Communication through variables

OptiX program objects communicate with the host program through variables. Variables are declared in an OptiX program using the rtDeclareVariable macro:

```
rtDeclareVariable( float, x, , );
```

This declaration creates a variable named *x* of type float which is available to both the host program through the OptiX variable object API, and to the device program code through usual C language semantics. Notice that the last two arguments are left blank in this example. The commas must still be specified.

Taking the address of a variable on the device is not supported. This means that pointers and references to x in the above example are not allowed. If, for instance, you needed to pass x into a function taking a float* argument you would need to first copy x into a stack variable and then pass in the address of this local variable:

Variables declared in this way may be read and written by the host program through the rtVariableGet* and rtVariableSet* family of functions. When variables are declared this way, they are implicitly const-qualified from the device program's perspective. If communication from the program to the host is necessary, an rtBuffer should be used instead.

As of OptiX 2.0, variables may be declared inside arbitrarily nested namespaces to avoid name conflicts. References from the host program to namespace-enclosed OptiX variables will need to include the full namespace.

Program variables may also be declared with **semantics**. Declaring a variable with a semantic binds the variable to a special value which OptiX manages internally over the lifetime of the ray tracing kernel. For example, declaring a variable with the rtCurrentRay semantic creates a special read-only program variable that mirrors the value of the Ray currently being traced through the program flow:

```
rtDeclareVariable( OptiX::Ray, ray, rtCurrentRay, );
```

Variables declared with a built-in semantic exist only during ray tracing kernel runtime and may not be modified or queried by the host program. Unlike regular variables, some semantic variables may be modified by the device program.

Declaring a variable with an **annotation** associates with it a read-only string which, for example, may be interpreted by the host program as a human-readable description of the variable. For example:

```
rtDeclareVariable( float, shininess, , "The shininess of the sphere" );
```

A variable's annotation is the fourth argument of rtDeclareVariable, following the variable's optional semantic argument. The host program may query a variable's annotation with the rtVariableGetAnnotation function.

4.1.3 Internally provided semantics

OptiX manages five internal semantics for program variable binding. Table 5 summarizes in which types of program these semantics are available, along with their access rules from device programs and a brief description of their meaning.

| Name | Access | Description | A Paris | Excercion (1) | Close Cose | Any L. | '47. VI.s.s. | Inter, | Bound | rapsolities of the state of the |
|-----------------------------|------------|--|----------|---------------|------------|----------|-----------------|----------|-------|--|
| rtLaunchIndex | read only | The unique index identifying each thread launched by rtContextLaunch{1 2 3}D. | ✓ | ✓ | √ | ✓ | ✓ | ✓ | | ✓ |
| rtCurrentRay | read only | The state of the current ray. | | | ✓ | ✓ | ✓ | ✓ | | √ |
| rtPayload | read/write | The state of the current ray's payload of user-defined data. | | | ✓ | ✓ | ✓ | | | ✓ |
| rtIntersection- Distance | read only | The parametric distance from the current ray's origin to the closest intersection point yet discovered. | | | √ | √ | | √ | | ✓ |
| rtSubframeIndex | read only | The unique index identifying each subframe in a progressive launch. Zero for non-progressive launches. | √ | √ | √ | √ | √ | √ | | ✓ |

Table 5 – Semantic variables

4.1.4 Attribute variables

In addition to the semantics provided by OptiX, variables may also be declared with user-defined semantics called **attributes**. Unlike built-in semantics, the value of variables declared in this way must be managed by the programmer. Attribute variables provide a mechanism for communicating data between the intersection program and the shading programs (e.g., surface normal, texture coordinates). Attribute variables may *only* be written in an intersection program between calls to rtPotentialIntersection and rtReportIntersection. Although OptiX may not find all object intersections in order along

the ray, the value of the attribute variable is guaranteed to reflect the value at the closest intersection at the time that the closest hit program is invoked.

Note: Because intersections may not be found in order, programs should use attribute variables (as opposed to the ray payload) to communicate information about the local hit point between intersection and shading programs.

The following example declares an attribute variable of type float3 named *normal*. The semantic association of the attribute is specified with the user-defined name normal_vec. This name is arbitrary, and is the link between the variable declared here and another variable declared in the closest hit program. The two attribute variables need not have the same name as long as their attribute names match.

```
rtDeclareVariable( float3, normal, attribute normal_vec, );
```

4.1.5 Program variable scoping

OptiX program variables can have their values defined in two ways: static initializations, and (more typically) by variable declarations attached to API objects. A variable declared with a static initializer will only use that value if it does not find a definition attached to an API object. A declaration with static initialization is written:

```
rtDeclareVariable( float, x, , ) = 5.0f;
```

The OptiX variable scoping rules provide a valuable inheritance mechanism that is designed to create compact representations of material and object parameters. To enable this, each program type also has an ordered list of scopes through which it will search for variable definitions in order. For example, a closest hit program that refers to a variable named color will search the Program, GeometryInstance, Material and Context API objects for definitions created with the rt* functions, in that order. Similar to scoping rules in a programming language, variables in one scope will shadow those in another scope. summarizes the scopes that are searched for variable declarations for each type of program.

| Program type | Search order | | | |
|---|--------------|------------------|----------|---------|
| Closest hit Any hit Intersection Bounding box | Program | GeometryInstance | Geometry | Context |
| Ray generation Exception Miss | Program | Context | | |
| Visit | Program | Node | | |

Table 6 – Scope search order for each type of program (from left to right)

It is possible for a program to find multiple definitions for a variable in its scopes depending upon where the program is called. For example, a closest hit program may be attached to

several Material objects and reference a variable named shininess. We can attach a variable definition to the Material object as well as attach a variable definition to specific GeometryInstance objects that we create that reference that Material.

During execution of a specific GeometryInstance' closest hit program, the value of **shininess** depends on whether the particular instance has a definition attached: if the GeometryInstance defines shininess, then that value will be used. Otherwise, the value will be taken from the Material object. As you can see from Table 6 above, the program searches the GeometryInstance scope before the Material scope. Variables with definitions in multiple scopes are said to be *dynamic* and may incur a performance penalty. Dynamic variables are therefore best used sparingly.

4.1.6 Program variable transformation

Recall that rays have a projective transformation applied to them upon encountering Transform nodes during traversal. The transformed ray is said to be in **object space**, while the original ray is said to be in **world space**.

Programs with access to the rtCurrentRay semantic operate in the spaces summarized in Table 7:

| Program type | Space |
|--------------|--------|
| Closest hit | world |
| Any hit | object |
| Miss | world |
| Intersection | object |
| Visit | object |

Table 7 - Space of rtCurrentRay for each program type

To facilitate transforming variables from one space to another, OptiX's CUDA C API provides a set of functions:

The first three functions transform a float3, interpreted as a point, vector, or normal vector, from object to world space or vice versa depending on the value of a RTtransformkind flag passed as an argument. rtGetTransform returns the four-by-four matrix representing the current transformation from object to world space (or vice versa depending on the RTtransformkind argument). For best performance, use the rtTransform* functions rather than performing your own explicit matrix multiplication with the result of rtGetTransform.

A common use case of variable transformation occurs when interpreting attributes passed from the intersection program to the closest hit program. Intersection programs often produce attributes, such as normal vectors, in object space. Should a closest hit program wish to consume that attribute, it often must transform the attribute from object space to world space:

```
float3 n = rtTransformNormal( RT_OBJECT_TO_WORLD, normal );
```

4.2 The program scope of API function calls

Not all OptiX function calls are supported in all types of user-provided programs. For example, it doesn't make sense to spawn a new ray inside an intersection program, so this behavior is disallowed. A complete table of what device-side functions are allowed is given below. (Callable programs are described in the "Callable programs" section (page 93).)

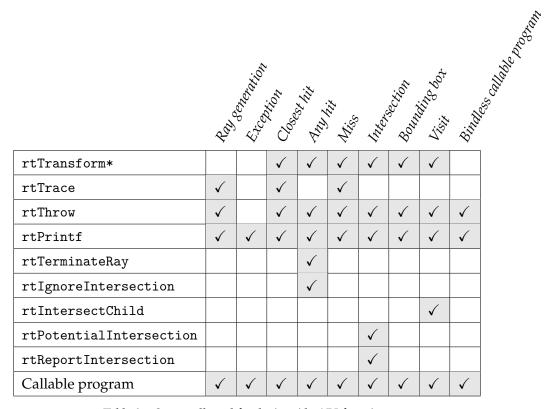


Table 8 – Scopes allowed for device-side API functions

4.3 Ray generation programs

A ray generation program serves as the first point of entry upon a call to $rtContextLaunch\{1|2|3\}D$. As such, it serves a role analogous to the main function of a C program. Like C's main function, any subsequent computation performed by the kernel, from casting rays to reading and writing from buffers, is spawned by the ray generation program. However, unlike a serial C program, an OptiX ray generation program is executed many times in parallel — once for each thread implied by $rtContextLaunch\{1|2|3\}D$'s parameters.

Each thread is assigned a unique rtLaunchIndex. The value of this variable may be used to distinguish it from its neighbors for the purpose of, e.g., writing to a unique location in an rtBuffer:

```
rtBuffer<float, 1> output_buffer;
rtDeclareVariable( unsigned int, index, rtLaunchIndex, );
...;
float result = ...;
output_buffer[index] = result;
```

In this case, the result is written to a unique location in the output buffer. In general, a ray generation program may write to any location in output buffers, as long as care is taken to avoid race conditions between buffer writes.

4.3.1 Entry point indices

To configure a ray tracing kernel launch, the programmer must specify the desired ray generation program using an **entry point index**. The total number of entry points for a context is specified with rtContextSetEntryPointCount:

```
RTcontext context = ...;
unsigned int num_entry_points = ...;
rtContextSetEntryPointCount( context, num_entry_points );
```

OptiX requires that each entry point index created in this manner have a ray generation program associated with it. A ray generation program may be associated with multiple indices. Use the rtContextSetRayGenerationProgram function to associate a ray generation program with an entry point index in the range [0, num_entry_points).

```
RTprogram prog = ...;
// index is >= 0 and < num_entry_points
unsigned int index = ...;
rtContextSetRayGenerationProgram( context, index, prog );</pre>
```

4.3.2 Launching a ray generation program

 $rtContextLaunch{1|2|3}D$ takes as a parameter the entry point index of the ray generation program to launch:

```
RTsize width = ...;
rtContextLaunch1D( context, index, width );
```

If no ray generation program has been associated with the entry point index specified by $rtContextLaunch\{1|2|3\}D's$ parameter, the launch will fail.

4.3.3 Ray generation program function signature

In CUDA C, ray generation programs return void and take no parameters. Like all OptiX programs, ray generation programs written in CUDA C must be tagged with the RT_PROGRAM

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qualifier. The following snippet shows an example ray generation program function prototype:

```
RT_PROGRAM void ray_generation_program( void );
```

4.3.4 Example ray generation program

The following example ray generation program implements a pinhole camera model in a rendering application. This example demonstrates that ray generation programs act as the gateway to all ray tracing computation by initiating traversal through the rtTrace function, and often store the result of a ray tracing computation to an output buffer.

Note the variables eye, U, V, and W. Together, these four variables allow the host API to specify the position and orientation of the camera.

```
rtBuffer<uchar4, 2> output_buffer;
rtDeclareVariable( uint2, index, rtLaunchIndex, );
rtDeclareVariable( rtObject, top_object, , );
rtDeclareVariable(float3, eye, , );
rtDeclareVariable(float3, U, , );
rtDeclareVariable(float3, V, , );
rtDeclareVariable(float3, W, , );
struct Payload
{
    uchar4 result;
};
RT_PROGRAM void pinhole_camera( void )
    uint2 screen = output_buffer.size();
    float2 d = (make_float2( index ) / make_float2( screen )) * 2.f - 1.f;
    float3 origin = eye;
    float3 direction = normalize( d.x*U + d.y*V + W );
    OptiX::Ray ray =
        OptiX::make_Ray( origin, direction, 0, 0.05f, RT_DEFAULT_MAX );
    Payload payload;
    rtTrace( top_object, ray, payload );
    output_buffer[index] = payload.result;
}
```

4.4 Exception programs

OptiX ray tracing kernels invoke an **exception program** when certain types of serious errors are encountered. Exception programs provide a means of communicating to the host

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program that something has gone wrong during a launch. The information an exception program provides may be useful in avoiding an error state in a future launch or for debugging during application development.

4.4.1 Exception program entry point association

An exception program is associated with an entry point using the rtContextSetExceptionProgram function:

```
RTcontext context = ...;
RTprogram program = ...;
// index is >= 0 and < num_entry_points
unsigned int index = ...;
rtContextSetExceptionProgram( context, index, program );</pre>
```

Unlike with ray generation programs, the programmer need not associate an exception program with an entry point. By default, entry points are associated with an internally provided exception program that silently ignores errors.

As with ray generation programs, a single exception program may be associated with many different entry points.

4.4.2 Exception types

OptiX detects a number of different error conditions that result in exception programs being invoked. An exception is identified by its code, which is an integer defined by the OptiX API. For example, the exception code for the stack overflow exception is RT_EXCEPTION_STACK_OVERFLOW.

The type or code of a caught exception can be queried by calling rtGetExceptionCode from the exception program. More detailed information on the exception can be printed to the standard output using rtPrintExceptionDetails.

In addition to the built in exception types, OptiX provides means to introduce user-defined exceptions. Exception codes between RT_EXCEPTION_USER (0x400) and 0xFFFF are reserved for user exceptions. To trigger such an exception, rtThrow is used:

```
// Define user-specified exception codes.
#define MY_EXCEPTION_0 RT_EXCEPTION_USER + 0
#define MY_EXCEPTION_1 RT_EXCEPTION_USER + 1
RT_PROGRAM void some_program()
{
    ...
    // Throw user exceptions from within a program.
    if( condition0 )
    rtThrow( MY_EXCEPTION_0 );
    if( condition1 )
    rtThrow( MY_EXCEPTION_1 );
    ...
}
```

In order to control the runtime overhead involved in checking for error conditions, individual types of exceptions may be switched on or off using rtContextSetExceptionEnabled. Disabling exceptions usually results in faster performance, but is less safe. By default, only RT_EXCEPTION_STACK_OVERFLOW is enabled. During debugging, it is often useful to turn on all available exceptions. This can be achieved with a single call:

```
...
rtContextSetExceptionEnabled(context, RT_EXCEPTION_ALL, 1);
...
```

4.4.3 Exception program function signature

In CUDA C, exception programs return void, take no parameters, and use the RT_PROGRAM qualifier:

```
RT_PROGRAM void exception_program( void );
```

4.4.4 Example exception program

The following example code demonstrates a simple exception program which indicates a stack overflow error by outputting a special value to an output buffer which is otherwise used as a buffer of pixels. In this way, the exception program indicates the rtLaunchIndex of the failed thread by marking its location in a buffer of pixels with a known color. Exceptions which are not caused by a stack overflow are reported by printing their details to the console.

```
rtDeclareVariable( int, launch_index, rtLaunchIndex, );
rtDeclareVariable( float3, error, , ) = make_float3(1,0,0);
rtBuffer<float3, 2> output_buffer;

RT_PROGRAM void exception_program( void )
{
    const unsigned int code = rtGetExceptionCode();

    if( code == RT_EXCEPTION_STACK_OVERFLOW )
        output_buffer[launch_index] = error;
    else
        rtPrintExceptionDetails();
}
```

4.5 Closest hit programs

After a call to the rtTrace function, OptiX invokes a **closest hit program** once it identifies the nearest primitive intersected along the ray from its origin. Closest hit programs are useful for performing primitive-dependent processing that should occur once a ray's visibility has been established. A closest hit program may communicate the results of its computation by modifying per-ray data or writing to an output buffer. It may also recursively call the rtTrace function. For example, a computer graphics application might implement a surface shading algorithm with a closest hit program.

4.5.1 Closest hit program material association

A closest hit program is associated with each (material, ray_type) pair. Each pair's default program is a no-op. This is convenient when an OptiX application requires many types of rays but only a small number of those types require special closest hit processing.

The programmer may change an association with the rtMaterialSetClosestHitProgram function:

```
RTmaterial material = ...;
RTprogram program = ...;
unsigned int type = ...;
rtMaterialSetClosestHitProgram( material, type, program );
```

4.5.2 Closest hit program function signature

In CUDA C, closest hit programs return void, take no parameters, and use the RT_PROGRAM qualifier:

```
RT_PROGRAM void closest_hit_program( void );
```

4.5.3 Recursion in a closest hit program

Though the rtTrace function is available to all programs with access to the rtLaunchIndex semantic, a common use case of closest hit programs is to perform recursion by tracing more rays upon identification of the closest surface intersected by a ray. For example, a computer graphics application might implement Whitted- style ray tracing by recursive invocation of rtTrace and closest hit programs. Care must be used to limit the recursion depth to avoid stack overflow.

4.5.4 Example closest hit program

The following code example demonstrates a closest hit program that transforms the normal vector computed by an intersection program (not shown) from the intersected primitive's local coordinate system to a global coordinate system. The transformed normal vector is returned to the calling function through a variable declared with the rtPayload semantic. Note that this program is quite trivial; normally the transformed normal vector would be used by the closest hit program to perform some calculation (e.g., lighting). See the document *Introductory tutorials* in the OptiX documentation set for examples.

```
rtDeclareVariable( float3, normal, attribute normal_vec, );
struct Payload
{
    float3 result;
};
rtDeclareVariable( Payload, ray_data, rtPayload, );

RT_PROGRAM void closest_hit_program( void )
{
    float3 norm;
```

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```
norm = rtTransformNormal( RT_OBJECT_TO_WORLD, normal );
norm = normalize( norm );
ray_data.result = norm;
}
```

4.6 Any hit programs

Instead of the closest intersected primitive, an application may wish to perform some computation for *any* primitive intersection that occurs along a ray cast during the rtTrace function; this usage model can be implemented using **any hit programs**. For example, a rendering application may require some value to be accumulated along a ray at each surface intersection.

4.6.1 Any hit program material association

Like closest hit programs, an any hit program is associated with each (material, ray_type) pair. Each pair's default association is with an internally-provided any hit program which implements a no-op.

The rtMaterialSetAnyHitProgram function changes the association of a (material, ray_type) pair:

```
RTmaterial material = ...;
RTprogram program = ...;
unsigned int type = ...;
rtMaterialSetAnyHitProgram( material, type, program );
```

4.6.2 Termination in an any hit program

A common OptiX usage pattern is for an any hit program to halt ray traversal upon discovery of an intersection. The any hit program can do this by calling rtTerminateRay. This technique can increase performance by eliminating redundant traversal computations when an application only needs to determine whether any intersection occurs and identification of the nearest intersection is irrelevant. For example, a rendering application might use this technique to implement shadow ray casting, which is often a binary true or false computation.

4.6.3 Any hit program function signature

In CUDA C, any hit programs return void, take no parameters, and use the RT_PROGRAM qualifier:

```
RT_PROGRAM void any_hit_program( void );
```

4.6.4 Example any hit program

The following code example demonstrates an any hit program that implements early termination of shadow ray traversal upon intersection. The program also sets the value of a per-ray payload member, attenuation, to zero to indicate the material associated with the program is totally opaque.

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```
struct Payload
{
    float attenuation;
};

rtDeclareVariable( Payload, payload, rtPayload, );

RT_PROGRAM void any_hit_program( void )
{
    payload.attenuation = 0.f;
    rtTerminateRay();
}
```

4.7 Miss programs

When a ray traced by the rtTrace function intersects no primitive, a **miss program** is invoked. Miss programs may access variables declared with the rtPayload semantic in the same way as closest hit and any hit programs.

4.7.1 Miss program function signature

In CUDA C, miss programs return void, take no parameters, and use the RT_PROGRAM qualifier:

```
RT_PROGRAM void miss_program( void );
```

4.7.2 Example miss program

In a computer graphics application, the miss program may implement an environment mapping algorithm using a simple gradient, as this example demonstrates:

```
rtDeclareVariable( float3, environment_light, , );
rtDeclareVariable( float3, environment_dark, , );
rtDeclareVariable( float3, up, , );

struct Payload
{
    float3 result;
};

rtDeclareVariable( Payload, payload, rtPayload, );
rtDeclareVariable( OptiX::Ray, ray, rtCurrentRay, );

RT_PROGRAM void miss(void)
{
    float t = max( dot( ray.direction, up ), 0.0f );
    payload.result = lerp( environment_light, environment_dark, t );
}
```

4.8 Intersection and bounding box programs

Intersection and bounding box programs represents geometry by implementing ray-primitive intersection and bounding algorithms. These program types are associated with and queried from Geometry objects using rtGeometrySetIntersectionProgram, rtGeometryGetIntersectionProgram, rtGeometrySetBoundingBoxProgram, and rtGeometryGetBoundingBoxProgram.

4.8.1 Intersection and bounding box program function signatures

Like the previously discussed OptiX programs, in CUDA C, intersection and bounding box programs return void and use the RT_PROGRAM qualifier. Because Geometry objects are collections of primitives, these functions require a parameter to specify the index of the primitive of interest to the computation. This parameter is always in the range [0, N), where N is given by the argument to the rtGeometrySetPrimitiveCount function.

Additionally, the bounding box program requires an array of floats to store the result of the bounding box computation, yielding these function signatures:

```
RT_PROGRAM void intersection_program( int prim_index);
RT_PROGRAM void bounding_box_program( int prim_index, float result[6]);
```

4.8.2 Reporting intersections

Ray traversal invokes an intersection program when the current ray encounters one of a Geometry object's primitives. It is the responsibility of an intersection program to compute whether the ray intersects with the primitive, and to report the parametric **t-value** of the intersection. Additionally, the intersection program is responsible for computing and reporting any details of the intersection, such as surface normal vectors, through attribute variables.

Once the intersection program has determined the t-value of a ray- primitive intersection, it must report the result by calling a pair of OptiX functions, rtPotentialIntersection and rtReportIntersection:

```
__device__ bool rtPotentialIntersection( float tmin )
__device__ bool rtReportIntersection( unsigned int material )
```

rtPotentialIntersection takes the intersection's t-value as an argument. If the t-value could potentially be the closest intersection of the current traversal the function narrows the **t-interval** of the current ray accordingly and returns true. If the t-value lies outside the t-interval the function returns false, whereupon the intersection program may trivially return.

If rtPotentialIntersection returns true, the intersection program may then set any attribute variable values and must subsequently call rtReportIntersection. This function takes an unsigned int specifying the index of a material that must be associated with an any hit and closest hit program. This material index can be used to support primitives of several different materials flattened into a single Geometry object. Traversal then immediately invokes the corresponding any hit program. Should that any hit program invalidate the

intersection via the rtIgnoreIntersection function, then rtReportIntersection will return false. Otherwise, it will return true.

The values of attribute variables must be modified only between the call to rtPotentialIntersection and the call to rtReportIntersection. The result of writing to an attribute variable outside the bounds of these two calls is undefined. The values of attribute variables written in this way are accessible by any hit and closest hit programs.

If the any hit program invokes rtIgnoreIntersection, any attributes computed will be reset to their previous values and the previous t-interval will be restored.

If no intersection exists between the current ray and the primitive, an intersection program need only return.

4.8.3 Specifying bounding boxes

Acceleration structures use **bounding boxes** to bound the spatial extent of scene primitives to accelerate the performance of ray traversal. A bounding box program's responsibility is to describe the minimal three dimensional axis-aligned bounding box that contains the primitive specified by its first argument and store the result in its second argument. Bounding boxes are always specified in object space, so the user should not apply any transformations to them.

For correct results bounding boxes must merely contain the primitive. For best performance bounding boxes should be as tight as possible.

4.8.4 Example intersection and bounding box programs

The following code demonstrates how an intersection and bounding box program combine to describe a simple geometric primitive. The sphere is a simple analytic shape with a well-known ray intersection algorithm. In the following code example, the **sphere** variable encodes the center and radius of a three-dimensional sphere in a float4:

```
rtDeclareVariable(float4, sphere, , );
rtDeclareVariable( OptiX::Ray, ray, rtCurrentRay, );
rtDeclareVariable( float3, normal, attribute normal );
RT_PROGRAM void intersect_sphere( int prim_index )
    float3 center = make_float3( sphere.x, sphere.y, sphere.z );
    float radius = sphere.w;
    float3 0 = ray.origin - center;
    float b = dot( 0, ray.direction );
    float c = dot( 0, 0 ) - radius*radius;
    float disc = b*b - c;
    if ( disc > 0.0f ) {
        float sdisc = sqrtf( disc );
        float root1 = (-b - sdisc);
        bool check_second = true;
        if( rtPotentialIntersection( root1 ) ) {
            normal = (0 + root1*D) / radius;
            if( rtReportIntersection( 0 ) )
                check_second = false;
```

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```
}
if ( check_second ) {
    float root2 = (-b + sdisc);
    if( rtPotentialIntersection( root2 ) ) {
        normal = (0 + root2*D) / radius;
        rtReportIntersection( 0 );
    }
}
```

Note that this intersection program ignores its prim_index argument and passes a material index of 0 to rtReportIntersection; it represents only the single primitive of its corresponding Geometry object.

The bounding box program for the sphere is very simple:

```
RT_PROGRAM void bound_sphere( int, float result[6] )
{
    float3 cen = make_float3( sphere.x, sphere.y, sphere.z );
    float3 rad = make_float3( sphere.w, sphere.w, sphere.w );
    // Compute the minimal and maximal corners of
    // the axis-aligned bounding box
    float3 min = cen - rad;
    float3 max = cen + rad;
    // Store results in order
    result[0] = min.x;
    result[1] = min.y;
    result[2] = min.z;
    result[3] = max.x;
    result[4] = max.y;
    result[5] = max.z;
}
```

4.9 Selector programs

Ray traversal invokes selector **visit programs** upon encountering a Selector node to programmatically select which of the node's children the ray shall visit. A visit program dispatches the current ray to a particular child by calling the rtIntersectChild function. The argument to rtIntersectChild selects the child by specifying its index in the range [0, N), where N is given by the argument to rtSelectorSetChildCount.

4.9.1 Selector visit program function signature

In CUDA C, visit programs return void, take no parameters, and use the RT_PROGRAM qualifier:

```
RT_PROGRAM void visit_program( void );
```

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4.9.2 Example visit program

Visit programs may implement, for example, sophisticated level-of- detail systems or simple selections based on ray direction. The following code sample demonstrates an example visit program that selects between two children based on the direction of the current ray:

```
rtDeclareVariable( OptiX::Ray, ray, rtCurrentRay, );

RT_PROGRAM void visit( void )
{
    unsigned int index = (unsigned int)( ray.direction.y < 0 );
    rtIntersectChild( index );
}</pre>
```

4.10 Callable programs

Callable programs allow for additional programmability within the standard set of OptiX programs. Callable programs are referenced by handles that are set via RTvariables or RTbuffers on the host. This allows the changing of the target of a function call at runtime to achieve, for example, different shading effects in response to user input or customize a more general program based on the scene setup. Also, if you have a function that is invoked from many different places in your OptiX node graph, making it an RT_CALLABLE_PROGRAM can reduce code replication and compile time, and potentially improve runtime through increased warp utilization.

There are three pieces of callable programs. The first is the program you wish to call. The second is a declaration of a proxy function used to call the callable program. The third is the host code used to associate a callable program with the proxy function that will call it within the OptiX node graph.

Callable programs come in two variants, bound and bindless. Bound programs are invoked by direct use of a program bound to a variable through the host API and inherit the semantic type and variable scope lookup as the calling program. Bindless programs are called via an ID obtained from the RTprogram on the host and unlike bound programs do not inherit the semantic type or scope lookup of the calling program

4.10.1 Defining a callable program in CUDA

Defining an RT_CALLABLE_PROGRAM is similar to defining an RT_PROGRAM:

```
RT_CALLABLE_PROGRAM float3 get_color( float3 input_color, float scale)
{
    uint2 tile_size = make_uint2(launch_dim.x/N, launch_dim.y/N);
    if (launch_index.x/tile_size.x ^ launch_index.y/tile_size.y)
        return input_color;
    else
        return input_color * scale;
}
```

RT_CALLABLE_PROGRAMs can take arguments and return values just like other functions in CUDA, whereas RT_PROGRAMs must return void.

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4.10.2 Using a callable program variable in CUDA

To invoke an RT_CALLABLE_PROGRAM from inside another RT_PROGRAM, you must first declare its handle. The handles can be one of two types, rtCallableProgramId or rtCallableProgramX. Both of these types are templated on the return type followed by the argument types (up to 10 arguments are supported as of OptiX 3.6). The difference between these two will be discussed later in this section.

```
typedef rtCallableProgramId<int(int)> callT;
rtDeclareVariable(callT, do_work, ,);
typedef rtCallableProgramX<float(int,int)> call2T;
rtDeclareVariable(call2T, do_more_work, ,);
```

OptiX versions 3.5 and older declared callable programs via the rtCallableProgram macro. This macro still works for compatibility, but for SM_20 and newer targets rtCallableProgram now creates a declaration similar to rtCallableProgramX.

```
rtCallableProgram(return_type, function_name, (argument_list));
```

Note: The third argument must be contained in parentheses.

It is recommended to replace all uses of the macro version of rtCallableProgram with the templated version, rtCallableProgramX. In addition, if the preprocessor macro RT_USE_TEMPLATED_RTCALLABLEPROGRAM is defined then the old rtCallableProgram macro is supplanted by a definition that uses rtCallableProgramX.

```
// Before
#include <optix_world.h>
rtCallableProgram(int, func, (int,float));

// After
#define RT_USE_TEMPLATED_RTCALLABLEPROGRAM
#include <optix_world.h>
rtDeclareVariable(rtCallableProgram<int(int,float)>, func, , );
```

Once the program variable is declared, your OptiX program may invoke function_name as if it were a standard CUDA function. For example:

```
rtDeclareVariable(
    rtCallableProgramId<float3(float3,float)>, get_color,,);

RT_PROGRAM camera()
{
    float3 initial_color, fi
nal_color;
    // ... trace a ray, get the initial color ...
    final_color = get_color( initial_color, 0.5f );
    // ... write new final color to output buffer ...
```

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```
}
```

Because the target of the get_color program variable is specified at runtime by the host, the camera function does not need to take into accoun how its colors are being modified by the get_color function.

In addition to declaring single rtCallableProgramId variables, you can also declare a buffer of them, as follows.

```
rtCallableProgram(float3, get_color, (float3, float));

RT_PROGRAM camera()
{
    float3 initial_color, final_color;
    // ... trace a ray, get the initial color ...
    final_color = get_color( initial_color, 0.5f );
    // ... write new final color to output buffer ...
}
```

You can also pass rtCallableProgramId objects to other functions and store them for later use.

4.10.3 Setting a callable program on the host

To set up an RT_CALLABLE_PROGRAM in your host code, load the PTX function using rtProgramCreateFromPTXFile, just like you would any other OptiX program. The resulting RTprogram object can be used in one of two ways. You can use the object directly to set an RTvariable via rtVariableSetObject. This is done for rtCallableProgramX and rtCallableProgram declared variables.

Alternatively, an ID for the RTprogram can be obtained through rtProgramGetId. This ID can be used to set the value of a rtCallableProgramId typed RTvariable (via rtVariableSetInt) or the values in a RTbuffer declared with type RT_FORMAT_PROGRAM_ID. For example:

```
RTprogram color_program;
RTvariable color_program_variable;

rtProgramCreateFromPTXFile(
    context, ptx_path, "my_color_program", &color_program );

rtProgramDeclareVariable(
    camera_program, "get_color", &color_program_variable );

// for rtCallableProgramX and rtCallableProgram
rtVariableSetObject( color_program_variable, color_program );

// For rtCallableProgramId
int id;
rtProgramGetId( color_program, &id );
rtVariableSetInt( color_program_variable, id );
```

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```
// For convenience the C++ wrapper has a Variable::setProgramId method
// that gets the ID and sets the variable with it
camera_program["get_color"]->setProgramId( color_program );
```

Here is an example of creating a buffer of rtCallableProgramIds using the C++ API. This sets up several programs one of which (times_multiplier) makes use of a locally defined RTvariable called multiplier that is unique to each instance of the program.

```
Program plus10 =
    context->createProgramFromPTXFile( ptx_path, "plus10" );
Program minus10 =
    context->createProgramFromPTXFile( ptx_path, "minus10" );
Program times_multiplier2 =
    context->createProgramFromPTXFile( ptx_path, "times_multiplier" );
times_multiplier2["multiplier"]->setInt(2);
Program times_multiplier3 =
    context->createProgramFromPTXFile( ptx_path, "times_multiplier" );
times_multiplier3["multiplier"]->setInt(3);
Buffer functions =
    context->createBuffer( RT_BUFFER_INPUT, RT_FORMAT_PROGRAM_ID, 5 );
context["functions"]->set( functions );
// Here you can use the host defined type of callableProgramId<> or int
callableProgramId<int(int)>* f_data =
    static_cast<callableProgramId<int(int)>*>(functions->map());
f_data[0] = callableProgramId<int(int)>(plus10->getId());
f data[1] = callableProgramId<int(int)>(plus10->getId());
f_data[2] = callableProgramId<int(int)>(times_multiplier2->getId());
f_data[3] = callableProgramId<int(int)>(minus10->getId());
f_data[4] = callableProgramId<int(int)>(times_multiplier3->getId());
functions->unmap();
int* f_data_int = static_cast<int*>(functions->map());
f_data_int[0] = plus10->getId();
f_data_int[1] = plus10->getId();
f_data_int[2] = times_multiplier2->getId();
f_data_int[3] = minus10->getId();
f_data_int[4] = times_multiplier3->getId();
functions->unmap();
```

Buffers created using RT_FORMAT_PROGRAM_ID can either cast the mapped pointer to a callableProgramId type or to int as seen above.

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4.10.4 Bound versus bindless callable programs

Bound callable programs are defined using either the rtCallableProgramX templated class or with the backward compatible rtCallableProgram macro. Bound programs are referred to as bound because you bind an RTprogram directly to an RTvariable that is then used to call the program. Binding a program to a variable enables OptiX to extend certain features to the program. Bound programs can be thought of as an extension to the caller, inheriting the semantic type as well as the RTvariable lookup scope based on where the program variable is called from. For example, if a callable program is called from a closest hit program then attributes are available to the callable program as well as being able to call functions such as rtTrace. Additionally, OptiX will look up identifiers in your callable program in the same scopes as the OptiX programs that invoke it. For example, if invoked from a closest hit program the lookup scopes will be program, geometry instance, material, then context where the program scope is the callable program itself instead of the caller's.

Bindless callable programs, on the other hand, inherit neither a program semantic type nor scope. Their scope is always itself (the RTprogram object) then the context regardless of where the program is invoked from. This is to enable calling these programs from arbitray locations. Obtaining the ID via rtProgramGetId will mark the RTprogram as bindless and this RTprogram object can no longer be bound to an RTvariable (used with rtCallableProgramX or rtCallableProgram) Bindless programs can only call callable programs, rtPrintf, rtThrow, and inlineable CUDA functions. Buffer, texture, and variable accesses also work.

Where the callable program variable is attached to the OptiX node graph determines which callable program is invoked when called from another OptiX program. This follows the same variable lookup method that other rtVariables employ. The only difference is that you cannot specify a default initializer.

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5 Motion blur

The previous chapters have described the software structures and functions that OptiX provides as a foundation for implementing a ray-tracing application. A ray generation program (page 42) is responsible for defining pixels in the output image from the result of rays traced into the scene. It is useful to think of this as analogous to a camera. For example, the "Example ray generation program" section (page 93) presents a simple pinhole camera model.

However, a photographic image is not made instantaneously; it is created by exposing film to light for a finite period of time. Objects moving quickly enough with respect to the shutter duration will appear as streaks in the photograph. This streaking effect is called *motion blur*. To create "photorealistic" images — images that look like photographs — the camera model must also simulate the artifact of motion blur.

The OptiX API as previously described provides two places where motion blur can be implemented:

- 1. The ray generation program can define a starting time and a duration for a simulated camera shutter, sampling at random times within the shutter duration.
- 2. The primitive intersection program can define animated primitives by storing multiple positions and interpolating between them, given a random sampling time. However, there is a gap between the time of ray generation and primitive intersection; some parts of scene traversal triggered by rtTrace are, for efficiency, not programmable and remain internal to OptiX.

Beginning with OptiX version 5.0, programmers can specify motion data for Transform and Geometry nodes; OptiX automatically builds Acceleration structures that respect this motion.

The rtTrace call was also extended in version 5.0 to take an optional time argument for the ray. OptiX automatically evaluates Transform and Geometry motion at this time when traversing the scene. The time value is then available to user programs for intersection and shading.

In the mathematical expressions of this chapter, lowercase letters represent scalars and vectors, uppercase letters represent matrices. A name in the C++ API is written in a fixed-font typeface. A product of a scalar with a scalar or vector is represented by dot, as in $a \cdot v$, a vector multiplied by a matrix is represented by \times , as in $v \times T$, and matrix multiplications is represented by adjacency, as in SRT for the the multiplication of S by R and then by T.

5.1 Motion in Geometry nodes

The motion blur implementation in OptiX adds functions to define the simulated camera shutter and a variation of the bounding-box program described in the "Intersection and bounding box programs" section (page 50).

5.1.1 Defining motion range for Geometry nodes

The interval within which the simulated shutter is open is defined in OptiX by including time as a factor in the ray generation program. A related concept is the time interval during which a Geometry node moves. This interval is called the Geometry node's *motion range*. These two intervals may not correspond; for example, the motion range may be larger than necessary to allow later adjustments to the shutter interval within the motion range.

The motion range for a Geometry node is is defined by rtGeometrySetMotionRange.

```
RTresult RTAPI rtGeometrySetMotionRange(
RTgeometry geometry, float timeBegin, float timeEnd);
```

The time range is inclusive, with timeBegin \leq timeEnd. It defaults to the range [0.0, 1.0] if not set.

The Geometry node's motion within the motion range is defined by two or more positions through which the node moves, similar to key frames in traditional animation. These positions are called *motion steps* and are defined by rtGeometrySetMotionSteps.

```
RTresult RTAPI rtGeometrySetMotionSteps(
RTgeometry geometry, unsigned int n );
```

If rtGeometrySetMotionSteps is not called, or is passed a value of 1, then the Geometry node remains static and the time range is ignored during traversal. Note that both here and in Transform nodes, motion is specified with a set of keys representing the end points of connected segments. The simplest definition of motion therefore requires two keys.

5.1.2 Bounding boxes for motion blur

Without motion blur, the bounding box program has the following signature.

```
RT_PROGRAM void bounding_box_program (
   int prim_index, float result[6]);
```

When motion blur is enabled, the bounding box program adds an integer argument for the *motion index* as the second argument:

```
RT_PROGRAM void motion_blur_bounding_box_program (
   int prim_index, int motion_index, float result[6]);
```

The motion_index argument is an integer in the range [0, motion-steps - 1], where motion-steps has been defined by rtGeometrySetMotionSteps. The relationship of motion-steps to time t is:

$$t = motion-index \cdot \frac{time-end - time-begin}{motion-steps}$$
 (1)

A Geometry node with more than one motion step must have a bounds program that takes this argument for the motion index. This requirement is enforced during context validation. However, static geometry can use either form of the bounding-box program.

The bounding-box program is responsible for returning the bounding box at the motion index. The set of bounding boxes for a given primitive will be interpolated linearly by OptiX when building and traversing a bounding volume hierarchy. If you want to do something other than linear interpolation later in the intersection program, you must pad the bounding boxes so that when linearly interpolated during traversal, they still bound the nonlinear motion path of the primitive.

Currently only custom primitives can have motion, not "built-in" triangles used optionally for some builders, for example, the Trbvh builder.

5.1.3 Border modes

OptiX defines the treatment of Geometry nodes evaluated outside its time range using *border modes*:

```
RTresult RTAPI rtGeometrySetMotionBorderMode(
RTgeometry geometry,
RTmotionbordermode beginMode, RTmotionbordermode endMode);
```

The two border modes can be applied separately for timeBegin and timeEnd:

```
RT_MOTIONBORDERMODE_CLAMP
```

This is the default border mode. The Geometry node exists at times less than timeBegin or greater than timeEnd, with the associated bounding box clamped to its value at timeBegin or timeEnd, respectively.

RT_MOTIONBORDERMODE_VANISH

The geometry vanishes for times less than timeBegin or greater than timeEnd.

5.1.4 Acquiring motion parameter values

The following functions return the values of motion parameters set on Geometry nodes:

- rtGeometryGetMotionSteps
- rtGeometryGetMotionBorderMode
- rtGeometryGetMotionRange

5.2 Motion in Acceleration nodes

An Acceleration attached to a GeometryGroup automatically becomes a motion BVH (or NoAccel) if any of its Geometry has more than one motion step. A top level Acceleration for a Group becomes a motion BVH if anything in the scene under it (Transform or Geometry nodes) has motion. Not all types of Acceleration support motion; those that do not will throw an exception.

OptiX by default will use two motion steps for a motion BVH even if input Geometry or Transform nodes have more than two motion steps. Users can change this value via the motion_steps property. For example, to use three motion steps in the BVH:

```
rtAccelerationSetProperty( accel, motion_steps, "3" );
```

The value of the motion_steps property has several performance implications:

- The value of motion_steps must be an integer greater than 0. Setting motion_steps to 1 is valid and will produce a static BVH over the union of input bounding boxes.
- Device memory for the BVH scales linearly with motion_steps.
- The internal time range for the BVH is the maximum time range over all the child Geometry and Transform nodes, and cannot be overridden. For performance reasons, it may be better for you to split parts of the scene with different time ranges into different Acceleration objects, to minimize empty space in each, but OptiX does not require this.

5.3 Motion in Transform nodes

Motion is added to Transform nodes using a set of keys uniformly distributed over a time range. The function rtTransformSetMotionKeys defines the key values.

```
RTresult RTAPI rtTransformSetMotionKeys(
   RTtransform transform, unsigned int n, RTmotionkeytype type,
   const float* keys );
```

The beginning and ending times within which the motion keys are in effect are defined by the function rtTransformSetMotionRange.

```
RTresult RTAPI rtTransformSetMotionRange(
RTtransform transform, float timeBegin, float timeEnd);
```

Motion keys are set by a single assignment and replace any existing data set with previous calls to rtTransformSetMatrix or rtTransformSetMotionKeys.

5.3.1 Key types

A motion key is defined by either a 3×4 matrix or by a 16-element array that encodes scaling, rotation, and translation.

5.3.1.1 Key type RT_MOTIONKEYTYPE_MATRIX_FLOAT12

A RT_MOTIONKEYTYPE_MATRIX_FLOAT12 key is a 12-float 3×4 matrix in row major order (3 rows, 4 columns). When transforming points, vectors and normals at time t during scene traversal, OptiX will linearly interpolate the two matrices that bracket t to get a matrix M, then apply M, M^{-1} , or their transposes.

5.3.1.2 Key type RT_MOTIONKEYTYPE_SRT_FLOAT16

A RT_MOTIONKEYTYPE_SRT_FLOAT16 key can represent a smooth rotation with fewer keys. Each key is constructed from elements taken from a matrix, a quaternion, and a translation.

A scaling matrix S

The upper nine elements of an upper triangular 4×4 matrix in row-major order. This matrix can include scale, shear, and a translation. The translation can, for example, define a pivot point for rotation, specified as $p_x p_y p_z$ in matrix S:

$$S = \begin{bmatrix} s_x & a & b & p_x \\ 0 & s_y & c & p_y \\ 0 & 0 & s_z & p_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
 (2)

A quaternion R

A rotation by an angle θ in quaternion format with angular component $qw = \cos(\theta/2)$ and other components $\begin{bmatrix} q_x & q_y & q_z \end{bmatrix} = \sin(\theta/2) \cdot \begin{bmatrix} a_x & a_y & a_z \end{bmatrix}$ where the axis $\begin{bmatrix} a_x & a_y & a_z \end{bmatrix}$ is normalized.

$$R = \begin{bmatrix} q_x & q_y & q_z & q_w \end{bmatrix} \tag{3}$$

A translation matrix T

A translation defined by t_x , t_y , and t_z components to be applied after the rotation.

$$T = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \tag{4}$$

The transformations are applied in the order *S*, then *R*, then *T*. For column vectors *p* and p', $p' = T \times R \times S \times p$.

OptiX will store and interpolate R as a quaternion. Other components are interpolated linearly during traversal. For this type, the dimension of the keys array is $16 \times N$ for N keys. A single key would have these components that refer to the elements of S, R, and T.

$$key = \begin{bmatrix} s_x & a & b & p_x & s_y & c & p_y & s_z & p_z & q_x & q_y & q_z & q_w & t_x & t_y & t_z \end{bmatrix}$$

Note that the order of the elements in this key is based on a serialization of the component values of *S* (first nine, by row), *R*, and *T*.

When transforming points, vectors, and normals at time t, OptiX will effectively first interpolate S, T, and R, then build a combined transform $C = T \times R \times S$ at time t, then apply C, C^{-1} , or their transposes.

5.3.2 Border modes for Transform nodes

As with Geometry nodes, you also can define how a Transform node is evaluated outside its time range. For example, a time value of 1.2 is passed to rtTrace but the Transform node has a time range of [0.0, 1.0].

RTresult RTAPI rtTransformSetMotionBorderMode(RTtransform transform, RTmotionbordermode beginMode, RTmotionbordermode endMode);

The arguments are the same as for Geometry: RT_MOTIONBORDERMODE_CLAMP or RT_MOTIONBORDERMODE_VANISH. For transforms, RT_MOTIONBORDERMODE_VANISH means that

the sub-tree under the transform is ignored when the specified time is outside the motion time range.

5.3.3 Acquiring motion parameter values

The following functions return the values of motion parameters set on Transform nodes:

- rtTransformGetMotionBorderMode
- rtTransformGetMotionKeyCount
- rtTransformGetMotionKeys
- rtTransformGetMotionKeyType
- rtTransformGetMotionRange

5.4 Examples of motion transforms

The hollowing are examples of the use of motion transforms.

Case 1: Translation by $\begin{bmatrix} t_x & t_y & t_z \end{bmatrix}$

Use the MATRIX_FLOAT12 key type with two keys. Set the first key to the identity matrix and the second key to a 3×4 translation matrix with $\begin{bmatrix} t_x & t_y & t_z \end{bmatrix}$ as the rightmost column.

Case 2: Rotation about the origin, with spherical interpolation

Use the SRT_FLOAT16 key type with two keys. Set the first key to identity values. For the second key, define a quaternion from an axis and angle, for example, a 60-degree rotation about the *z* axis is given by:

$$q = \begin{bmatrix} 0 & 0 & \sin(\pi/6) & \cos(\pi/6) \end{bmatrix}$$
 (5)

Case 3: Rotation about a pivot point, with spherical interpolation of rotation

Use the SRT_FLOAT16 key type with two keys. Set the first key to identity values. Represent the pivot as a translation *P*, and define the second key as follows:

$$S' = P_{inv}S \tag{6}$$

$$T' = TP \tag{7}$$

$$p' = T'RS' \times p \tag{8}$$

Case 4: Scaling about a pivot point

Represent the pivot as a translation $G = \begin{bmatrix} G_x & G_y & G_z \end{bmatrix}$ and modify the pivot point described above:

$$P'_{x} = P_{x} + (-S_{x} \cdot G_{x} + G_{x})$$

$$P'_{y} = P_{y} + (-S_{y} \cdot G_{y} + G_{y})$$

$$P'_{z} = P_{z} + (-S_{z} \cdot G_{z} + G_{z})$$
(9)

5.5 Motion in user programs

A variant of the rtTrace function sets the time for the traced ray through an additional argument.

Time is not necessarily in the interval of [0.0, 1.0]; the interpretation as a relative or absolute time is up to the application. If time is not given, it defaults to one of the following:

- The time of the parent ray that triggered the program
- 0.0 if there is no parent ray, for example, in a ray-generation program

In typical use, the sample time is fixed for an entire ray tree. To achieve this, the ray-generation program would specify a sample time for each primary ray traced, but closest-hit programs would not need to specify a time when tracing secondary rays.

The time defined for the current ray can be read from the rtCurrentTime semantic variable. Reading rtCurrentTime is supported in all programs where rtCurrentRay is supported.

The value for the current time is also used by these four functions that set or acquire transform values:

- rtTransformPoint
- rtTransformNormal
- rtTransformVector
- rtGetTransform

6 Post-processing framework

6.1 Overview

Starting with OptiX 5.0 a post-processing framework has been added to OptiX. The post-processing framework allows to post-process images rendered by an OptiX based renderer. The post-processing framework introduces two new types of objects to the OptiX API:

- *Post-processing stage* A post-processing stage usually transforms at least one input buffer into an output buffer by post-processing it. The current version of OptiX features two post-processing stage types built into OptiX:
 - Deep-learning based denoiser
 - Simple tonemapper
- Command lists A command list is an ordered list of post-processing stages and OptiX launches

The post-processing framework can be used by instantiating any number of built-in post-processing stages. Those stages and additionally OptiX launches can then be added to a command list. Command lists can later be executed and will execute the launches and all the post-processing stages in the command list, eventually producing one or more output buffers.

Each post-processing stage operates on a number of inputs and produces at least one output. Subsequent post-processing stages can then take the outputs of a post-processing stage which is earlier in the command list and operate on them. Input and outputs are given as OptiX variables of arbitrary types and are managed by the user.

Post-processing stages can be configured using a fixed set of input and output variables with specific names. Note that those variables, even though they are builtin, must still be declared in the application like any other OptiX variable.

The available variables are described in the description of the respective post-processing stages. API calls support iteration over the set of pre-defined variables of a stage as well as direct access to them by name.

A simple command list would start with a launch command which outputs to an RTBuffer object. After that a post-processing stage would be added. The variable named input_buffer would be set to the RTBuffer which holds the output of the launch command. A variable named output_buffer would be set to a new RTBuffer. When a second post-processing stage would be added after the first one, its input_buffer variable would be set to the same RTBuffer as the output_buffer of the first stage.

6.2 Post-processing stage

6.2.1 Creating a post-processing stage

To create a post-processing stage, use rtPostProcessingStageCreateBuiltin.

Input parameters:

context

The OptiX context to which the post-processing stage belongs. The post-processing stage can only be added to a command list belongs to the same context.

builtin_name

The name of the post-processing stage type. See the description of the currently existing built-in post-processing stages for supported names.

Output parameter:

stage

If the call is successful, the created post-processing stage is stored here.

The call returns an error code.

6.2.2 Querying variables

6.2.2.1 Declaring a named variable

Before getting a named variable, you must first declare it using rtPostProcessingStageDeclareVariable.

Input parameters:

stage

The post-processing stage to get the variable from.

name

The name of the variable.

Output parameter:

v If the call is successful, a handle to the newly declared variable is returned.

The call returns an error code.

6.2.2.2 Getting a named variable

To get a named variable, use rtPostProcessingStageQueryVariable.

Input parameters:

stage

The post-processing stage to get the variable from.

name

The name of the variable.

Output parameter:

variable

If the call is successful, the variable is stored here.

The call returns an error code.

After obtaining a variable, you can set it to a value that matches the expected type.

6.2.2.3 Iterating over existing variables

To iterate over all existing variables of a post-processing stage, use rtPostProcessingStageGetVariableCount and rtPostProcessingStageGetVariable. After obtaining a variable, you can query the name and the type of the variable.

Note: You can only iterate over variables declared by the application. You cannot use these API calls to find out which variables are supported.

6.3 Command List

6.3.1 Creating a command list

To create a command list, use rtCommandListCreate.

Input parameter:

context

The OptiX context to which the command list belongs. Only post-processing stages belonging to the same context can be added to the command list.

Output parameter:

list

If the call is successful, the created command list will be stored here

The call returns an error code.

You can create any number of post-processing stages and use them in the same or different command lists.

6.3.2 Adding a post-processing stage to a command list

To add a post-processing stage to a command list, use the API call rtCommandListAppendPostprocessingStage.

Input parameters:

list

The command list to append to.

stage

The post-processing stage to append to the command list.

launch width

This is a hint for the width of the launch dimensions to use for this stage.

launch_height

This is a hint for the height of the launch dimensions to use for this stage.

The call returns an error code.

6.3.3 Appending a launch to a command list

To append a launch to a command list, use rtCommandListAppendLaunch2D.

Appending a launch to a command list has the same effect as calling rtContextLaunch2D directly except that the launch is executed as part of the command list and at the position in the command list defined by the order in which stages and launches were added to the command list.

Input parameters:

list

The command list to append to.

entry_point_index

The initial entry point into the kernel.

launch_width

Width of the computation grid.

launch_height

Height of the computation grid.

The call returns an error code.

6.3.4 Finalizing a command list

After adding all stages and launches to the command list, finalize the command list using rtCommandListFinalize. This call prepares the command list for execution.

Note: After calling finalize it is still possible to set and change the input and output variables. However it is no longer possible to add stages or launches.

6.3.5 Running a command list

To run a command list, use rtCommandListExecute. This function can only be called after rtCommandListFinalize has been called. The execution operation runs all launches and stages in an order that is compatible with the command list. Later stages and launches can rely on the previous stages to be finished if they use output variables written to by the earlier stages and launches.

Running a command list will first validate the command list. If the setup of the command list is not valid, then execution fails. This can be the case, for example, when necessary variables have not been set or when the type of the variables is not matching the set of expected types. For example, it is not possible to set a variable to a float type if the expected type is a buffer.

After the execution call has returned, the output variables can be read and used for their respective purposes, for example a rendered image can be displayed.

Note: Variable contents must not be changed during the execution of a command list. Doing this will result in undefined behavior. Also note that a command list can be executed any number of times, but only one execution may be active at a time.

See the new optixDenoiser example. It demonstrates a full post-processing pipeline with a rendering followed by tone mapping and then denoising.

6.4 Built-In Post-Processing Stages

The following sections describe the current built-in post-processing stages.

6.4.1 Deep-learning based Denoiser

Image areas that have not yet fully converged during rendering will often exhibit pixel-scale grainy *noise* due to the insufficient amount of color information gathered by the renderer.

OptiX provides a method for estimating the converged image from a partially converged one, a process called *denoising*. Instead of further improving image quality through a large number of path tracing iterations, the denoiser can produce images of acceptable quality with far fewer iterations by post-processing the image.

The OptiX type name for denoising used as an argument to rtPostProcessingStageCreateBuiltin is DLDenoiser.

The OptiX denoiser comes with a built-in pre-trained model. The model, represented by a binary blob called *training data*, is the result of training the underlying Deep Learning system with a large group of rendered images in different stages of convergence. Since training needs significant computational resources and obtaining a sufficient amount of image pairs can be difficult, a general-purpose model is provided with OptiX. This model is suitable for many renderers in practice, but might not always lead to optimal results when applied to images produced by renderers with different noise characteristics compared to those that were present in the original training data.

You can also create a custom model by training the denoiser with your own set of images and use the resulting training data in OptiX, but this process is not provided as part of OptiX itself. To learn how to generate your own training data based on your renderer's images you can attend the course *Rendered Image Denoising using Autoencoders*, which is part of the NVIDIA Deep Learning Institute.

In general, the pixel color space of an image that is used as input for the denoiser should match that of the images it was trained on, although slight variations such as substituting sRGB with a simple gamma curve, should not have a noticeable impact. The images of the training model provided with the OptiX distribution were rendered using a gamma value of 2.2.

Using the denoiser is only possible if an additional shared library is available at runtime. This shared library is delivered with the OptiX installer and is named denoiser.dll on Windows and denoiser.so on Linux. In addition the cudnn shared library is needed at runtime which is installed with the OptiX SDK as well. If the application you are building does not require denoising, then it is possible to not deliver the shared libraries. In that case creating a denoising stage would fail.

The denoiser pre-defines the following variables:

input_buffer

A buffer of type RTBuffer which contains values of type float4 representing a noisy image that is to be denoised. The fourth (alpha) channel of the image is not changed by the denoiser. Note that this buffer must contain values between 0 and 1 for each of the

three color channels (i.e., a tone mapped image) and should be encoded in sRGB or gamma space with a gamma value of 2.2.

Note:

- An image in linear color space can be tone mapped and converted into the correct gamma space, for example, using the Simple Tone Mapper post-processing stage with gamma set to 2.2, before denoising the image.
- Applying image blur techniques before denoising an image can have detrimental effects on the quality of the denoised image, especially for very small blur kernels that change the characteristics of the noise. It is therefore recommended to apply image-space techniques only after denoising.

output_buffer

A RTBuffer of type float4. It must have the same dimensions as the input buffer as it will be used to store the denoised image.

input_albedo_buffer optional

The albedo image represents an approximation of the color of the surface of the object, independent of view direction and lighting conditions. In physical terms, the albedo is a single color value approximating the ratio of radiant exitance to the irradiance under uniform lighting. The albedo value can be approximated for simple materials by using the diffuse color of the first hit, or for layered materials by using a weighted sum of the individual BRDFs' albedo values. For some objects such as perfect mirrors, the quality of the result might be improved by using the albedo value of a subsequent hit instead. The fourth channel of this buffer is ignored, but must have the same type and dimensions as the input buffer.

input_normal_buffer optional

This buffer is expected to contain the surface normals of the primary hit in camera space. The camera space is assumed to be right handed such that the camera is looking down the negative z axis, and the up direction is along the y axis. The x axis points to the right. The normal buffer can only be specified if the albedo buffer is present. The fourth channel of this buffer is ignored. It must have the same type and dimensions as the input buffer.

training_data_buffer optional

It specifies an custom training set to be used for denoising. This must be an RTBuffer of type byte. If this is not set, the built-in training set will be used.

blend optional

The blend variable is a value of type float between 0.0 and 1.0. A value of 0.0 means the fully denoised image is written to the output buffer. A value of 1.0 means that the original image is written to the output buffer. A value in between will produce a blend between original image and denoised image. This can be used for example to reduce the effect of denoising for early iterations and increase it over time. Use this if denoising early iterations produces unacceptable artifacts with your renderer.

6.4.1.1 Performance

For HD and 4K images, denoising produced the following memory footprints and timings:

| Size | GPU | Memory | Time |
|-----------|-------|--------|--------|
| 1920x1080 | GV100 | 732 MB | 19 ms |
| | P6000 | 635 MB | 49 ms |
| | P5000 | 635 MB | 70 ms |
| 3840x2160 | GV100 | 2.9 GB | 74 ms |
| | P6000 | 2.5 GB | 193 ms |
| | P5000 | 2.5 GB | 282 ms |
| | | | |

6.4.1.2 Limitations

In Optix 5.0, the denoiser has the following limitations:

- The denoiser runs under the first GPU found by the system. A different GPU can be selected by calling the functions cudaSetDevice()⁴.
- There is no CPU fallback for denoising.
- Objects behind transparent surfaces (for example, simulations of glass) will not denoise correctly.
- Denoising produces flickering in a series of images rendered as an animation.

6.4.2 Simple Tone Mapper

The stage implements a simple tone mapper and optionally provides gamma correction. It is suitable for example to tone map an image for later use with the denoiser.

The tone mapper pre-defines the following variables:

input_buffer

This should be an image rendered by a renderer, for example a path tracer. It must be of type RTBuffer which contains values of type float4.

output_buffer

This must be an RTBuffer of type float4. It must have the same dimensions as the input buffer as it will be used to store the tone mapped image.

gamma

This is a variable of float type and controls the gamma correction, applied to the first three channels of the output values of the tone mapper. This operation is implemented as pow(value, 1 / gamma). The default value is 1.0, i.e., no gamma correction is applied.

exposure

This is a variable of float type and acts as a simple multiplier that is applied to the first three channels of the input values. The default value is 1.0.

 $^{^4} http://docs.nvidia.com/cuda/cuda-c-programming-guide/index.html \# device-enumeration$

7 Building with OptiX

7.1 Libraries

OptiX comes with several header files and supporting libraries, primarily optix and optixu. On Windows, these libraries are statically linked against the C runtime libraries and are suitable for use in any version of Microsoft Visual Studio, though only the subset of versions listed in the OptiX release notes are tested. If you wish to distribute the OptiX libraries with your application, the VS redistributables are not required by our DLL.

The OptiX libraries are numbered not by release version, but by binary compatibility. Incrementing this number means that a library will not work in place of an earlier version (e.g. optix.2.dll will not work when an optix.1.dll is requested). On Linux, you will find liboptix.so which is a soft link to liboptix.so.1 which is a soft link to liboptix.so.1 which is a soft link to liboptix.so.2.Y.Z, the actual library of OptiX version X.Y.Z. liboptix.so.1 is the binary compatibility number similar to optix.1.dll. On MacOS X, liboptix.X.Y.Z.dylib is the actual library, and you will also find a soft link named liboptix.1.dylib (again, with the 1 indicating the level of binary compatibility), as well as liboptix.dylib.

In addition to the OptiX libraries, the installation includes networking and video/image decoding libraries required by the VCA remote rendering functionality. The main networking library is libdice. It is not required to include these libraries in a distribution if remote rendering is not used by the application. See the "Rendering on the VCA" section (page 93) for more information.

7.2 Header files

There are two principal methods to gain access to the OptiX API. Including <optix.h> in host and device code will give access strictly to the C API. Using <optix_world.h> in host and device code will provide access to the C and C++ API as well as importing additional helper classes, functions, and types into the OptiX namespace (including wrappers for CUDA's vector types such as float3).

Sample 5 from the SDK provides two identical implementations using both the C (<optix.h>) and C++ (<optixpp_namespace.h>) API, respectively. Understanding this example should give you a good sense of how the C++ wrappers work.

The optixu include directory contains several headers that augment the C API. The namespace versions of the header files (see the list of files below) place all the classes, functions, and types into the optix namespace. This allows better integration into systems which would have had conflicts within the global namespace. Backward compatibility is maintained if you include the old headers. It is not recommended to mix the old global namespace versions of the headers with the new optix namespace versions of the headers in the same project. Doing so can result in linker errors and type confusion.

<optix_world.h>

General include file for the C/C++ APIs for host and device code, plus various helper classes, functions, and types all wrapped in the optix namespace.

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<optix.h>

General include file for the C API for host and device code.

<optixu/optixu_math_namespace.h>

Provides additional operators for CUDA's vector types as well as additional functions such as fminf, refract, and an ortho normal basis class.

<optixu/optixupp_namespace.h>

C++ API for OptiX (backward compatibility with OptiXu:: namespace is provided in <optixpp.h>).

<optixu/optixu_matrix_namespace.h>

Templated multi- dimensional matrix class with certain operations specialized for specific dimensions.

<optixu/optixu_aabb_namespace.h>

Axis- Aligned Bounding box class.

<optixu/optixu_math_stream_namespace.h>

Standard template library stream operators for CUDA's vector types.

<optixu/optixu_vector_types.h>

Wrapper around CUDA's <vector_types.h> header that defines the CUDA vector types in the OptiX namespace.

<optixu/optixu_vector_functions.h>

Wrapper around CUDA's <vector_functions.h> header that defines CUDA's vector functions (e.g. make_float3) into the optix namespace.

7.3 PTX generation

Programs supplied to the OptiX API must be written in PTX. This PTX could be generated from any mechanism, but the most common method is to use the CUDA Toolkit's nvcc compiler to generate PTX from CUDA C/C++ code.

When nvcc is used, make sure the device code bitness is targeted by using the -m64 flag. The bitness of all PTX given to the OptiX API must be 64-bit.

When using nvcc to generate PTX output specify the -ptx flag. Note that any host code in the CUDA file will not be present in the generated PTX file. Your CUDA files should include <OptiX_world.h> to gain access to functions and definitions required by OptiX and many useful operations for vector types and ray tracing.

OptiX is not guaranteed to parse all debug information inserted by nvcc into PTX files. We recommend avoiding the --device-debug flag of nvcc. Note that this flag is set by default on debug builds in Visual Studio.

OptiX supports running with NVIDIA Nsight, but does not currently support kernel debugging in Nsight. In addition, it is not recommended to compile PTX code using any -G (debug) flags to nvcc.

In order to provide better support for compilation of PTX to different SM targets, OptiX uses the .target information found in the PTX code to determine compatibility with the currently utilized devices. If you wish your code to run an sm_20 device, compiling the PTX with -arch sm_30 will generate an error even if no sm_30 features are present in the code. Compiling to sm_20 will run on sm_20 and higher targets.

7.4 SDK build 7 Building with OptiX

7.4 SDK build

Our SDK samples' build environment is generated by CMake. CMake is a cross platform tool that generates several types of build systems, such as Visual Studio projects and makefiles. The SDK comes with three text files describing the installation procedures on Windows, Macintosh, and Linux, currently named INSTALL-WIN.txt, INSTALL-MAC.txt and INSTALL-LINUX.txt respectively. See the appropriate file for your operating system for details on how to compile the example source code provided as part of the OptiX SDK.

7 Building with OptiX 7.4 SDK build

8 Interoperability with OpenGL

OptiX supports the sharing of data between OpenGL applications and both rtBuffers and rtTextureSamplers. This way, OptiX applications can read data directly from objects such as vertex and pixel buffers, and can also write arbitrary data for direct consumption by graphics shaders. This sharing is referred to as **interoperability** or by the abbreviation **interop**.

8.1 Opengl interop

OptiX supports interop for OpenGL buffer objects, textures, and render buffers. OpenGL buffer objects can be read and written by OptiX program objects, whereas textures and render buffers can only be read.

Note that OpenGL interop in combination with VCA remote rendering is only available in limited form (only regular buffers are allowed, not textures). Interop use is discouraged with remote rendering for performance reasons.

8.1.1 Buffer objects

OpenGL buffer objects like PBOs and VBOs can be encapsulated for use in OptiX with rtBufferCreateFromGLBO. The resulting buffer is only a reference to the OpenGL data; the size of the OptiX buffer as well as the format have to be set via rtBufferSetSize and rtBufferSetFormat. When the OptiX buffer is destroyed, the state of the OpenGL buffer object is unaltered. Once an OptiX buffer is created, the original GL buffer object is immutable, meaning the properties of the GL object like its size cannot be changed while registered with OptiX. However, it is still possible to read and write buffer data to the GL buffer object using the appropriate GL functions. If it is necessary to change properties of an object, first call rtBufferGLUnregister before making changes. After the changes are made the object has to be registered again with rtBufferGLRegister. This is necessary to allow OptiX to access the objects data again. Registration and unregistration calls are expensive and should be avoided if possible.

8.1.2 Textures and render buffers

OpenGL texture and render buffer objects must be encapsulated for use in OptiX with rtTextureSamplerCreateFromGLImage. This call may return RT_ERROR_MEMORY_ALLOCATION_FAILED for textures that have a size of 0. Once an OptiX texture sampler is created, the original GL texture is immutable, meaning the properties of the GL texture like its size cannot be changed while registered with OptiX. However, it is still possible to read and write pixel data to the GL texture using the appropriate GL functions. If it is necessary to change properties of a GL texture, first call rtTextureSamplerGLUnregister before making changes. After the changes are made the texture has to be registered again with rtTextureSamplerGLRegister. This is necessary to allow OptiX to access the textures data again. Registration and unregistration calls are expensive and should be avoided if possible.

Only textures with the following GL targets are supported:

- GL_TEXTURE_1D
- GL_TEXTURE_2D
- GL_TEXTURE_2D_RECT
- GL_TEXTURE_3D
- GL_TEXTURE_1D_ARRAY
- GL_TEXTURE_2D_ARRAY
- GL_TEXTURE_CUBE_MAP
- GL_TEXTURE_CUBE_MAP_ARRAY

Supported attachment points for render buffers are:

• GL_COLOR_ATTACHMENT<NUM>

Not all OpenGL texture formats are supported by OptiX. A table that lists the supported texture formats can be found in Appendix A.

OptiX automatically detects the size, texture format, and number of mipmap levels of a texture. rtTextureSampler(cannot be called for OptiX interop texture samplers and will return RT_ERROR_INVALID_VALUE.

9 Interoperability with CUDA

General purpose CUDA programs can be used with OptiX-based ray tracing. For example, you might use a CUDA program before launching OptiX to determine which rays to trace, or to tabulate reflection properties for a material, or to compute geometry. In addition, you may wish to write a CUDA program that post-processes the output of OptiX, especially if OptiX is being used to generate data structures rather than just a rendered image, e.g. computing object or character movement based on visibility and collision rays. These usage scenarios are possible using the OptiX-CUDA interoperability functions described in this chapter.

Note that CUDA interop is not available with VCA remote rendering.

9.1 Primary CUDA contexts

In order for CUDA and OptiX to interoperate, it is necessary for OptiX and the application to use the same CUDA context. Similar to the CUDA Runtime, OptiX will use the **primary context** for each device, creating it on demand if necessary. Any device pointers that are communicated to and from OptiX will be valid in the primary context. This enables straightforward interoperability of OptiX with both CUDA Runtime API and CUDA Driver API based applications.

Please refer to the CUDA documentation for detailed information about primary contexts.

9.2 Sharing CUDA device pointers

An OptiX buffer internally maintains a CUDA device pointer for each device used by the OptiX context. A buffer device pointer can be retrieved by calling rtBufferGetDevicePointer. An application can also provide a device pointer for the buffer to use with rtBufferSetDevicePointer. A buffer device pointer can be used by CUDA to update the contents of an OptiX input buffer before launch or to read the contents of an OptiX output buffer after launch. The following example shows how a CUDA kernel can write data to the device pointer retrieved from a buffer:

```
rtBufferGetDevicePointer( buffer, optix_device_ordinal, &device_ptr );
rtDeviceGetAttribute(
   optix_device_ordinal, RT_DEVICE_ATTRIBUTE_CUDA_DEVICE_ORDINAL,
    sizeof(int), &cuda_device_oridinal );
cudaSetDevice( cuda_device_ordinal );
writeData<<< blockDim, threadDim >>>( device_ptr );
```

Note that each device is assigned an OptiX device ordinal. rtDeviceGetDeviceCount can be used to query the number of devices available to OptiX and rtDeviceGetAttribute can be used to determine the corresponding CUDA device ordinal for each one (using RT_DEVICE_ATTRIBUTE_CUDA_DEVICE_ORDINAL)

9.2.1 Buffer synchronization

Copies of an OptiX buffer's contents may exist on multiple devices and on the host. These copies need to be properly synchronized. For example, if the host copy of a buffer's contents are not up-to-date, a call to rtBufferMap may require a copy from a device. If the buffer is an input or input/output buffer, then sometime between the call to rtBufferUnmap and rtContextLaunch modified host data must be copied to each device used by OptiX. With a multi-GPU OptiX context, getting or setting a buffer pointer for a single device may also require copies to other devices to synchronize buffer data.

9.2.1.1 Automatic single-pointer synchronization

If an application gets or sets a pointer for a single device only, OptiX always assumes that the application has modified the contents of the device pointer and will perform any required synchronizations to other devices automatically. The only exception to this assumption is after a call to rtBufferUnmap. If synchronization from the host data to the devices is required, it will override synchronization between devices. Therefore, an application should not modify the contents of a buffer device pointer between a call to rtBufferUnmap on the buffer and the next call to rtContextLaunch.

9.2.1.2 Manual single-pointer synchronization

If a buffer's contents are not changing for every launch, then the per-launch copies of the automatic synchronization are not necessary. Automatic synchronization can be disabled when creating a buffer by specifying the RT_BUFFER_COPY_ON_DIRTY flag. With this flag, an application must call rtBufferMarkDirty for synchronizations to take place. Calling rtBufferMarkDirty after rtBufferUnmap will cause a synchronization from the buffer device pointer at launch and override any pending synchronization from the host.

9.2.1.3 Multi-pointer synchronization

If OptiX is using multiple devices it performs no synchronization when an application retrieves/provides buffer pointers for all the devices. OptiX assumes that the application will manage the synchronization of the contents of a buffer's device pointers.

9.2.2 Restrictions

An application must retrieve or provide device pointers for either one or all of the devices used by a buffer's OptiX context. Getting or setting pointers for any other number of devices is an error. Getting pointers for some devices and setting them for others on the same buffer is not allowed.

Calling rtBufferMap or rtBufferMarkDirty on a buffer with pointers retrieved/set on all of multiple devices is not allowed. Calling rtBufferSetDevicePointer on output or input/output buffers is not allowed.

Setting buffer device pointers for devices which are not used by the buffer's OptiX context is not allowed. An application that needs to copy data to/from a CUDA device that is not part of the OptiX context can do so manually using CUDA, e.g. by calling cudaMemcpyPeer or cudaMemcpyPeerAsync.

9.2.3 Zero-copy pointers

With a multi-GPU OptiX context and output or input/output buffers, it is necessary to combine the outputs of each used device. Currently one way OptiX accomplishes this is by using CUDA zero-copy memory. Therefore, rtBufferGetDevicePointer may return a pointer to zero-copy memory. Data written to the pointer will automatically be visible to other devices. Zero-copy memory may incur a performance penalty because accesses take place over the PCIe bus.

10 OptiXpp: C++ wrapper for the OptiX C API

OptiXpp wraps each OptiX C API opaque type in a C++ class and provides relevant operations on that type. Most of the OptiXpp class member functions map directly to C API function calls. For example, VariableObj::getContext wraps rtVariableGetContext and ContextObj::createBuffer wraps rtBufferCreate.

Some functions perform slightly more complex sequences of C API calls. For example

```
ContextObj::createBuffer(unsigned int type, RTformat format, RTsize width)
```

provides in one call the functionality of

```
rtBufferCreate
rtBufferSetFormat
rtBufferSetSize1D
```

See the API reference in the OptiX documentation set or the header file OptiXpp_namespace.h for a full list of the available OptiXpp functions. The usage of the API is described below.

10.1 OptiXpp objects

The OptiXpp classes consist of a Handle class, a class for each API opaque type, and three classes that provide attributes to these objects.

10.1.1 Handle class

All classes are manipulated via the reference counted Handle class. Rather than working with a ContextObj directly you would use a Context instead, which is simply a typedef for Handle<ContextObj>.

In addition to providing reference counting and automatic destruction when the reference count reaches zero, the Handle class provides a mechanism to create a handle from a C API opaque type, as follows:

```
RTtransform t;
rtTransformCreate( my_context, &t );
Transform Tr = Transform::take( t );
```

The converse of take is get, which returns the underlying C API opaque type, but does not decrement the reference count within the handle.

```
Transform Tr;
...
rtTransformDestroy( Tr->get( ) );
```

These functions are typically used when calling C API functions, though such is rarely necessary since OptiXpp provides nearly all OptiX functionality.

10.1.2 Attribute classes

The attributes are API, Destroyable, and Scoped.

10.1.2.1 API attribute

All object types have the API attribute. This attribute provides the following functions to objects:

getContext

Return the context to which this object belongs

checkError

Check the given result code and throw an error with appropriate message if the code is not RTsuccess. checkError is often used as a wrapper around a call to a function that makes OptiX API calls:

```
my_context->checkError( sutilDisplayFilePPM( ... ) );
```

10.1.2.2 Destroyable attribute

This attribute provides the following functions to objects:

destroy

Equivalent to rt*

validate

Equivalent to rt*

10.1.2.3 Scoped attribute

This attribute applies only to API objects that are containers for RTvariables. It provides functions for accessing the contained variables. The most basic access is via operator[], as follows:

```
my_context["new_variable"]->setFloat( 1.0f );
```

This access returns the variable, but first creates it within the containing object if it does not already exist.

This array operator syntax with the string variable name argument is probably the most powerful feature of OptiXpp, as it greatly reduces the amount of code necessary to access a variable.

The following functions are also available to Scoped objects:

declareVariable

Declare a variable associated with this object

queryVariable

Query a variable associated with this object by name

removeVariable

Remove a variable associated with this object

getVariableCount

Query the number of variables associated with this object, typically so as to iterate over them

getVariable

Query variable by index, typically while iterating over them

10.1.2.4 Attributes of OptiXpp objects

The following table lists all of the OptiXpp objects and their attributes.

| Object | API | Destroyable | Scoped |
|------------------|----------|-------------|--------|
| Context | ✓ | ✓ | ✓ |
| Program | ✓ | ✓ | ✓ |
| Buffer | ✓ | | |
| Variable | ✓ | | |
| TextureSampler | ✓ | ✓ | |
| Group | ✓ | ✓ | |
| GeometryGroup | ✓ | ✓ | |
| GeometryInstance | ✓ | ✓ | ✓ |
| Geometry | ✓ | ✓ | ✓ |
| Material | ✓ | ✓ | ✓ |
| Transform | √ | ✓ | |
| Selector | √ | √ | |

Table 9 – OptiXpp opaque types and their attributes

10.1.3 API objects

In addition to the methods provided by the attribute classes that give commonality to the different API objects each object type also has a unique set of methods. These functions cover the complete set of functionality from the C API, although not all methods will be described here. See OptiXpp_namespace.h for the complete set.

10.1.3.1 Context

The Context object provides create* functions for creating all other opaque types. These are owned by the context and handles to the created object are returned:

```
Context my_context;
Buffer Buf =
   my_context->createBuffer(
        RT_BUFFER_INPUT, RT_FORMAT_FLOAT4, 1024, 1024);
```

Context also provides launch functions, with overloads for 1D, 2D, and 3D kernel launches. It provides many other functions that wrap rtContext* C API calls.

10.1.3.2 Buffer

The Buffer class provides a map call that returns a pointer to the buffer data, and provides an unmap call. It also provides set and get functions for the buffer format, element size, and 1D, 2D, and 3D buffer size. Finally, it provides registerGLBuffer and unregisterGLBuffer.

10.1.3.3 Variable

The Variable class provides getName, getAnnotation, getType, and getSize functions for returning properties of the variable. It also contains a multitude of set* functions that set the value of the variable and its type, if the type is not already set:

```
my_context["my_dim3"]->setInt( 512, 512, 1024 );
```

The Variable object also offers set functions for setting its value to an API object, and provides setUserData and getUserData.

10.1.3.4 TextureSampler

The TextureSampler class provides functions to set and get the attributes of an RTtexturesampler, such as setWrapMode, setMipLevelCount, etc.

It also provides setBuffer, getBuffer, registerGLTexture, and unregisterGLTexture.

10.1.3.5 Group and GeometryGroup

The remaining API object classes are for OptiX node types. They offer member functions for setting and querying the nodes to which they attach.

The Group class provides setAcceleration, getAcceleration, setChildCount, getChildCount, setChild, and getChild.

10.1.3.6 GeometryInstance

RTgeometryinstance is a binding of Geometry and Material. Thus, GeometryInstance provides functions to set and get both the Geometry and the Materials. This includes addMaterial, which increments the material count and appends the given Material to the list.

10.1.3.7 Geometry

The unique functions provided by the Geometry class set and get the BoundingBoxProgram, the IntersectionProgram and the PrimitiveCount. It also offers markDirty and isDirty.

10.1.3.8 Material

A Material consists of a ClosestHitProgram and an AnyHitProgram, and is a container for the variables appertaining to these programs. It contains set and get functions for these programs.

10.1.3.9 Transform

An RTtransform node applies a transformation matrix to its child, so the Transform class offers setChild, getChild, setMatrix, and getMatrix methods.

10.1.3.10 Selector

A Selector node applies a Visit program to operate on its multiple children. Thus, the Selector class includes functions to set and get the VisitProgram, ChildCount, and Child.

10.1.4 Exceptions

The Exception class of OptiXpp encapsulates an error message. These errors are often the direct result of a failed OptiX C API function call and subsequent rtContextGetErrorString call. Nearly all methods of all object types can throw an exception using the Exception class. Likewise, the checkError function can throw an Exception.

Additionally, the Exception class can be used explicitly by user code as a convenient way to throw exceptions of the same type as OptiXpp.

Call Exception::makeException to create an Exception.

Call getErrorString to return an std::string for the error message as returned by rtContextGetErrorString.

11 OptiX Prime: low-level ray tracing API

11.1 Overview

OptiX is generally used to represent an entire algorithm implementation, whether that be rendering, visibility, radiation transfer, or anything else. The many user programmable portions of OptiX allow the application to express complex operations, such as shading, that are tightly intermingled, often recursively, with the ray tracing operations and expressed in a single-ray programming model. By encapsulating the programmable portions of the algorithm and owning the entire algorithm, OptiX can execute the entire algorithm on the GPU and optimize the execution for each new GPU as it is released or for other platforms such as cloud rendering on the NVIDIA VCA.

Sometimes the algorithm as a whole does not benefit from this tight coupling of user code and ray tracing code, and only the ray tracing functionality is needed. Visibility, trivial ray casting rendering, and ray tracing very large batches of rays in phases may have this property. OptiX Prime is a set of OptiX APIs designed for these use cases. Prime is specialized to deliver high performance for intersecting a set of rays against a set of triangles. Prime is a thinner, simpler API, since programmable operations, such as shading, are excluded. Prime is also suitable for some quick experimentation and hobby projects.

The OptiX Prime API consist of three main objects:

BufferDesc

Wraps application managed buffers and provides descriptive information about them.

Context

Manages resource allocation.

Model

Represents a set of triangles and an acceleration structure.

Query

Coordinates the intersection of rays with a model.

11.2 Context

An OptiX Prime context performs two main functions. The first function is to manage objects created by the API. The context can create objects, some of which can also create other objects. All of these objects are registered with the context and will be destroyed when the context is destroyed. The second function is to encapsulate a particular backend that performs the actual computation.

Currently the following context types are supported:

- RTP_CONTEXT_TYPE_CPU
- RTP_CONTEXT_TYPE_CUDA

RTP_CONTEXT_TYPE_CPU is intended to be used as a fallback when an acceptable CUDA device is not available. It will allow an application to run, despite the absence of CUDA-capable GPUs, but will have lower performance. In certain situations it might also make sense to use CPU and CUDA contexts in parallel.

RTP_CONTEXT_TYPE_CUDA by default will only use the fastest available device. It is also possible to specify a specific device (or a list of devices) to be used by the context by supplying a list of device numbers to rtpContextSetCudaDevices. The fastest device in this list is used as the primary device. Acceleration structures will be built on that primary device and copied to the others. Specified devices must feature compute capability SM 3.0 or greater. All devices will be used for ray tracing with work being distributed proportionally to each device's computational power. Note that this distribution can be rather costly if the rays are stored in device memory though. For maximum efficiency it is recommended to only ever select one device per context. The CUDA context of the primary device is made current after a call to rtpContextCreate or rtpContextSetDevices.

The following code demonstrates how to create a context and specify which devices to use. In this example, a CPU context is created as a fallback.

```
RTPcontext context;
if(rtpContextCreate( RTP_CONTEXT_TYPE_CUDA, &context ) == RTP_SUCCESS ) {
   int deviceNumbers[] = {0,1};
   rtpContextSetCudaDeviceNumbers( 2, deviceNumbers );
}
else {
   rtpContextCreate( RTP_CONTEXT_TYPE_CPU, &context );
}
```

11.3 Buffer descriptor

The buffers used to send and receive data from OptiX Prime are managed by the application. A buffer descriptor is an object that provides information about a buffer, such as its format and location, as well as the pointer to the buffer's data. OptiX Prime supports the following buffer types:

```
RTP_BUFFER_TYPE_HOSTRTP_BUFFER_TYPE_CUDA_LINEAR
```

A buffer descriptor is created by calling rtpBufferDescCreate. A buffer of type RTP_BUFFER_TYPE_CUDA_LINEAR is assumed to reside on the current CUDA device. The device number for the buffer can be specified explicitly by calling rtpBufferDescSetCudaDeviceNumber.

The portion of the buffer to use for input or output is specified by calling rtpBufferDescSetRange. The range is specified in terms of the number of elements.

For buffers containing vertex data, it is possible to specify a stride in bytes between each element. This is useful for vertex buffers that contain interleaved vertex attributes, as shown in the following example:

```
struct Vertex {
float3 pos, normal, color;
```

```
};
...
RTPbufferdesc vertsBD;
rtpBufferDescCreate(
    context, RTP_BUFFER_FORMAT_VERTEX_FLOAT3, RTP_BUFFER_TYPE_HOST,
    verts, &vertsBD);
rtpBufferDescSetRange(vertsBD, 0, numVerts);
rtpBufferDescSetStride(vertsBD, sizeof(Vertex));
```

The functions that accept buffer descriptors as parameters have copy semantics. This means, for example, when a buffer descriptor is used in a call to set the rays to be queried and afterwards the range of the buffer descriptor is changed, the changes to the buffer descriptor will not be visible to the query. However, changing the contents of the buffer itself could affect the query.

Buffer descriptors are lightweight objects and can be created and destroyed as needed.

Buffers of any type can be passed to OptiX Prime functions and the will automatically be copied to the appropriate location. For example, a vertex buffer on the host will be copied to the primary device when the context is of type RTP_CONTEXT_TYPE_CUDA. While convenient, this automatic copying may require the allocation of memory on the device and can negatively impact performance. For best performance it is recommended that the following buffer and context types be used together:

| Context type | Buffer type |
|-----------------------|---|
| RTP_CONTEXT_TYPE_CPU | RTP_BUFFER_TYPE_HOST |
| RTP_CONTEXT_TYPE_CUDA | RTP_BUFFER_TYPE_CUDA_LINEAR (on the primary device) |

11.4 Model

A model represents either a set of triangles or a group of model instances, in addition to an acceleration structure built over the triangles or instances. A model is created with an associated context by calling rtpModelCreate, and can be destroyed with rtpModelDestroy.

11.4.1 Triangle models

Triangle data for the model is supplied by calling rtpModelSetTriangles with a vertex buffer descriptor and an optional index buffer descriptor. If no index buffer is supplied then the vertex buffer is considered to be a flat list of triangle vertices, with every set of three vertices forming a triangle (i.e., a triangle soup).

rtpModelUpdate creates the acceleration structure over the triangles. It is important that the vertex and index buffers specified in rtpModelSetTriangles remain valid until rtpModelUpdate is finished. If the flag RTP_MODEL_HINT_ASYNC is specified, some or all of the acceleration structure update may run asynchronously and rtpModelUpdate may return before the update is finished. rtpModelFinish blocks the calling thread until the update is finished. rtpModelGetFinished can be used to poll until the update is finished. Once the update has finished, the input buffers can be modified.

The following code demonstrates how to create a model from a vertex buffer with an asynchronous update. The code assumes that a vertex buffer descriptor vertsBD already exists:

```
RTPmodel model;
rtpModelCreate(context, &model);
rtpModelSetTriangles(model, 0, vertsBD);
rtpModelUpdate(model, RTP_MODEL_HINT_ASYNC);

// ... do useful work on CPU while GPU is busy
rtpModelFinish(model);
// safe to modify vertex buffer
```

For some use cases, the user may wish to have explicit control over multi-GPU computation rather than using the automatic multi-GPU support provided by OptiX Prime. A context can be created for each device and work can be distributed manually to each context. OptiX Prime provides rtpModelCopy to copy a model from one context to another so that it is not necessary to create and update the model in each context. rtpModelCopy can also be used to build multiple models in parallel on different devices, then broadcast the results to each device. When using older devices, rtpModelCopy can be used to build an acceleration structure in a CPU context and copy it to the context that uses the devices.

Beyond the memory used by the final acceleration structure, some additional memory is needed during rtpModelUpdate. The amount used may be controlled by calling rtpModelSetBuilderParameter. The RTP_BUILDER_PARAM_CHUNK_SIZE controls the amount of scratch space used while building. The minimum scratch space is currently 64MB, and the default scratch space is 10available video memory for CUDA contexts, and 512MB for CPU contexts. A chunk size of -1 signifies unlimited. In this case about 152 bytes per triangle are used while building the acceleration structure.

RTP_BUILDER_PARAM_USE_CALLER_TRIANGLES controls whether to create a possibly transformed copy of the vertex buffer data, or to use the buffer supplied by the user, thus saving memory. If a model is copied, and the source model is using the user supplied triangle data to save memory, the user triangles will be automatically copied as well. If this is not intended, it is necessary to set RTP_BUILDER_PARAM_USE_CALLER_TRIANGLES on the destination model as well, before the copy is performed. Afterward, rtpModelSetTriangles must be called to supply the user triangles on the destination model.

11.4.2 Instancing

Using instancing, it is possible to compose complex scenes using existing triangle models (compare section the "Triangle models" section (page 93)). Instancing data for a model is supplied by calling rtpModelSetInstances with an instance buffer descriptor and a transformation buffer descriptor. The ranges for these buffer descriptors must be identical. The type of the instance buffer descriptor must be RTP_BUFFER_TYPE_HOST, and the format RTP_BUFFER_FORMAT_INSTANCE_MODEL. For transformations, the buffer descriptor format can be either RTP_BUFFER_FORMAT_TRANSFORM_FLOAT4x4 or

RTP_BUFFER_FORMAT_TRANSFORM_FLOAT4x3. If a stride is specified for the transformations, it must be a multiple of 16 bytes. Furthermore, the matrices must be stored in **row-major** order.

Please note that only affine transformations are supported, and that the last row is always assumed to be [0.0, 0.0, 0.0, 1.0].

In contrast to triangle models, instance models can not be copied.

For an example of instancing please refer to the primeInstancing sample which ships with the OptiX SDK.

11.4.3 Masking

With masking, it is possible to specify per triangle visibility information in combination with a per ray mask. In order to use masking, triangle data must be specified with the RTP_BUFFER_FORMAT_INDICES_INT3_MASK_INT buffer format. Furthermore the user-triangle build parameter must be set, as well as ray format

RTP_BUFFER_FORMAT_RAY_ORIGIN_MASK_DIRECTION_TMAX must be used. The per triangle visibility flags are evaluated by a bitwise AND operation with the currently processed ray's MASK field before a ray-triangle intersection is performed. If the result is non-zero, the ray-triangle intersection test is skipped. The combination of a per triangle mask with a per ray mask e.g. allows to exclude triangles based on different ray generations.

If the per triangle mask values need to be updated, rtpModelSetTriangles must be called again, with a successive call to rtpModelUpdate. Using the RTP_MODEL_HINT_MASK_UPDATE flag indicates that only the per triangle mask has changed, but that no rebuild of the acceleration structure is needed.

For an example of masking, please refer to the primeMasking sample which ships with the OptiX SDK.

11.5 Query

A query is used to perform the actual ray tracing against a model. The query is created from a model using rtpQueryCreate. The following types of queries are supported:

- RTP_QUERY_TYPE_ANY
- RTP_QUERY_TYPE_CLOSEST

Along any given ray there may be a number of intersection points. RTP_QUERY_TYPE_CLOSEST returns the first hit along the ray. RTP_QUERY_TYPE_ANY returns the first hit found, whether it is the closest or not. The query takes a buffer of rays to intersect and a buffer to store the resulting hits. There are several formats for the rays and hits. The main advantage of the different formats is that some require less storage than others. This is important for minimizing the transfer time of rays and hit data between the host and the device and between devices.

Once the ray and hit buffers have been specified, the query can be executed by calling rtpQueryExecute. The ray buffer must not be modified until after this function returns. If the flag RTP_QUERY_HINT_ASYNC is specified, rtpQueryExecute may return before the query is actually finished. rtpQueryFinish can be called to block the current thread until the query is finished, or rtpQueryGetFinished can be used to poll until the query is finished. At this point all of the hits are guaranteed to have been returned, and it is safe to modify the ray buffer.

The following code demonstrates how to execute a query using ray and hit buffers:

RTPquery query;

```
RTPbufferdesc raysBD, hitsBD;
// fill in raysBD with rays
rtpQueryCreate(model, RTP_QUERY_TYPE_CLOSEST, &query);
rtpQuerySetRays(query, raysBD);
rtpQuerySetHits(hits, hitsBD);
rtpQueryExecute(query, 0);
// safe to modify ray buffer and process hits
```

With rtpQuerySetCudaStream, it is possible to specify a specific CUDA stream which can be used to synchronize (asynchronous) queries and user CUDA kernel launches. If no stream is specified, the CUDA default stream is used.

A query may be executed multiple times. Note that rtpQueryFinish and rtpQueryGetFinished only apply to the stream corresponding to the last call to rtpQueryExecute. Therefore, if the stream has been changed between asynchronous calls to rtpQueryExecute it may be necessary to manually synchronize the streams, i.e. by calling cudaStreamSynchronize or using CUDA events (see the CUDA Programming Guide).

11.6 Utility functions

In addition to the basic objects and their functions, OptiX Prime has several utility functions.

11.6.1 Page-locked buffers

The performance of transfers between devices and the host can be improved by page-locking the host memory. Functions for page-locking already allocated memory are provided in the CUDA runtime. For convenience, OptiX Prime provides the functions rtpHostBufferLock and rtpHostBufferUnlock so that it is possible to achieve better performance with host buffers without having to invoke CUDA functions directly. Note that page-locking excessive amounts of memory may degrade system performance, since it reduces the amount of memory available to the system for paging. As a result, this function is best used sparingly to register staging areas for data exchange between host and device.

11.6.2 Error reporting

All functions in OptiX Prime return an error code. The function rtpGetErrorString translates an error code into a string. rtpContextGetLastErrorString returns an error string for the last error encountered. This error string may contain additional information beyond a simple error code. Note that this function may also return errors from previous asynchronous launches, or from other threads.

11.7 Multi-threading

The OptiX Prime API is thread safe. It is possible to share a single context among multiple host threads. However only one thread may access a context (or objects associated with it) at a time. Therefore to avoid locking other threads out of the API for extensive periods of time, the asynchronous APIs should be used. Care must also be taken to synchronize state changes to API objects. For example, if two threads try to set the ray buffer on the same query at the same time, a race condition can occur.

11.8 Streams

By default, all computation in OptiX Prime (e.g. updating models and executing queries) takes place within the default CUDA stream of the primary device. However, with rtpQuerySetCudaStream it is possible to specify a specific CUDA stream which can be used to synchronize (asynchronous) queries and user CUDA kernel launches.

12 OptiX Prime++: C++ wrapper for the OptiX Prime API

OptiX Prime++ wraps each OptiX Prime C API opaque type in a C++ class and provides relevant operations on that type. Most of the OptiX Prime++ class member functions map directly to C API function calls. For example, ContextObj::setCudaDeviceNumbers maps directly to rtpContextSetCudaDeviceNumbers.

Some functions perform slightly more complex sequences of C API calls. For example

```
ModelObj::setTriangles
```

provides in one call the functionality of

```
rtpBufferDescCreate
rtpBufferDescSetRange
rtpBufferDescSetStride
rtpModelSetTriangles
rtpBufferDescDestroy
```

See the OptiX API Reference.pdf or OptiX_primepp.h for a full list of the available OptiX Prime++ functions. The usage of the API is described below.

12.1 OptiX Prime++ objects

Manipulation of OptiX Prime objects is performed via reference counted Handle classes which encapsulate all OptiX Prime objects functionalities.

All classes are manipulated via the reference counted Handle class. Rather than working with a ContextObj directly you would use a Context instead, which is simply a typedef for Handle<ContextObj>.

12.1.1 Context object

The Context object wraps the OptiX Prime C API RTPcontext opaque type and its associated function set representing an OptiX Prime context. Its constructor requires a RTPcontexttype type in order to specify the type of the context to be created (CPU or GPU). By default, only the fastest GPU will be used. A different device (or a list of devices) can be selected by using ContextObj::setCudaDeviceNumbers. Note that for maximum efficiency it is recommended to only ever select one device per context.

```
Context context( Context::create(contextType) );
```

For all objects the following pattern is also possible:

```
Context context;
```

```
context = Context::create(contextType);
```

The Context also provides functions to create OptiX Prime objects directly owned by it.

```
ContextObj::createBufferDesc
ContextObj::createModel
ContextObj::createQuery
```

12.1.2 BufferDesc object

The BufferDesc object wraps a RTPbufferdesc object opaque type and its associated function set representing an OptiX Prime buffer descriptor.

The creation of a BufferDesc object is demanded to an owning Context object since each BufferDesc object needs to be assigned to an OptiX Prime context.

12.1.3 Model object

The Model object wraps a RTPmodel object opaque type and its associated function set representing an OptiX Prime model.

The creation of a Model object is demanded to an owning Context object since each Model object needs to be assigned to an OptiX Prime context.

Variants of the setTriangles functions are provided to allow creating a model by either a custom-format triangle soup, with a supplied indices buffer descriptor or directly from a supplied vertices buffer descriptor.

12.1.4 Query object

The Query object wraps a RTPquery object opaque type and its associated function set representing an OptiX Prime query.

The creation of a Query object is demanded to an owning Context object since each Query object needs to be assigned to an OptiX Prime context.

Variants of the setRays and setHits functions are provided to allow setting the rays and the hits for a query from either a custom-format user supplied buffer or from a buffer descriptor.

12.1.5 Exception class

The Exception class provides methods to deal with OptiX Prime exceptions. Both error code and error description methods are provided as well as a wrapper for the rtpContextGetLastErrorString function

13 Performance guidelines

Subtle changes in your code can dramatically alter performance. This list of performance tips should help when using OptiX.

- Where possible use floats instead of doubles. This also extends to the use of literals and math functions. For example, use 0.5f instead of 0.5 and sinf instead of sin to prevent automatic type promotion. To check for automatic type promotion, search the PTX files for the .f64 instruction modifier.
- OptiX will try to partition thread launches into tiles that are the same dimensionality as the launch. To have maximal coherency between the threads of a tile you should choose a launch dimensionality that is the same as the coherence dimensionality of your problem. For example, the common problem of rendering an image has 2D coherency (adjacent pixels both horizontally and vertically look at the same part of the scene), so a 2D launch is appropriate. Conversely, a collision detection problem with many agents each looking in many directions may appear to be 2D (the agents in one dimension and the ray directions in another), but there is rarely coherence between different agents, so the coherence dimensionality is one, and performance will be better by using a 1D launch.
- Do not build an articulate scene graph with Groups, Transforms and GeometryInstances. Try to make the topology as shallow and minimal as possible. For example, for static scenes the fastest performance is achieved by having a single GeometryGroup, where transforms are flattened to the geometry. For scenes where Transforms are changing all the static geometry should go in one GeometryGroup and each Transform should have a single GeometryGroup. Also, if possible, combine multiple meshes into a single mesh.
- Each new Program object can introduce execution divergence. Try to reuse the same program with different variable values. However, don't take this idea too far and attempt to create an "über shader." This will create execution divergence within the program. Experiment with your scene to find the right balance.
- Try to minimize live state across calls to rtTrace in programs. For example, in a closest hit program temporary values *used* after a recursive call to rtTrace should be *computed* after the call to rtTrace, rather than before, since these values must be saved and restored when calling rtTrace, impacting performance. RTvariables declared outside of the program body are exempt from this rule.
- In multi-GPU environments INPUT_OUTPUT and OUTPUT buffers are stored on the host. In order to optimize writes to these buffers, types of either 4 bytes or 16 bytes (e.g. float, uint, or float4) should be used when possible. One might be tempted to make an output buffer used for the screen out of float3′ (RGB), however using a float4 buffer will result in improved performance (e.g. output_buffer[launch_index] = make_float4(result_color)). This also affects defined types (see the progressivePhotonMap sample for an example of accessing user defined structs with float4s)

- Memory accesses to structs containing four-vectors, such as float4, need to be 16-byte aligned for optimal performance. Do so by placing the largest aligned variables first in structs.
- In multi-GPU environments, INPUT_OUTPUT buffers may be stored on the device, with a separate copy per device by using the RT_BUFFER_GPU_LOCAL buffer attribute. This is useful for avoiding the slower reads and writes by the device to host memory. RT_BUFFER_GPU_LOCAL is useful for scratch buffers, such as random number seed buffers and variance buffers.
- Use iteration instead of recursion where possible (e.g. path tracing with no ray branching). See the path_tracer sample for an example of how to use iteration instead of recursion when tracing secondary rays.
- For best performance, use the rtTransform* functions rather than explicitly transforming by the matrix returned by rtGetTransform.
- Disable exceptions that are not needed. While it is recommended to turn on all available exception types during development and for debugging, the error checking involved e.g. to validate buffer index bounds is usually not necessary in the final product.
- Avoid recompiling the OptiX kernel. These recompiles can be triggered when certain changes to the input programs or variables occur. For example, swapping the ClosestHit program of a Material between two programs will cause a recompile on each swap because the kernel consists of different code, whereas creating two Materials, one with each program, and swapping between the two Materials will not cause a recompile because only the node graph is changing, not the code. Creating dummy nodes with the alternate programs is one way to provide all of the code at once. Also avoid changing the layout of variables attached to scope objects.
- It is possible for a program to find multiple definitions for a variable in its scopes depending upon where the program is called. Variables with definitions in multiple scopes are said to be **dynamic** and may incur a performance penalty.
- Uninitialized variables can increase register pressure and negatively impact performance.
- When creating PTX code using nvcc, adding --use-fast-math as a compile option can reduce code size and increase the performance for most OptiX programs. This can come at the price of slightly decreased numerical floating point accuracy. See the nvcc documentation⁵ for more details.
- Avoid using OpenGL interop in combination with VCA remote rendering.
- Use output buffers in **float4** format for VCA remote rendering, even if only three components are needed.

The following performance guidelines apply to OptiX Prime:

- Use page-locked host memory for host buffers to improve performance when using contexts of type RTP_CONTEXT_TYPE_CUDA. Asynchronous API calls involving host buffers can may not actually be asynchronous if the host memory is not page-locked.
- Multi-GPU contexts, while convenient, are limited by PCIe bandwidth. That is because ray and hit buffers reside in a single location (either the host or a device) and must be copied over the PCIe bus to multiple devices. It is possible to obtain better performance

 $^{^{5}} http://docs.nvidia.com/cuda/cuda-compiler-driver-nvcc/index.html \\$

- by managing multiple GPUs manually. By allocating a context for each device and generating rays and consuming hits on the device, transfers can be avoided.
- For maximum concurrency with model updates, it is best to use a separate context for each device, each running in its own host thread. There are currently some limitations on the amount of concurrency that can be achieved from a single host thread which will be addressed in a future release.
- Prime contexts allocate a small amount of page-locked host memory. Because the
 allocation of page-locked memory can sometimes block when other kernels are running,
 it is best to initialize contexts when no long-running kernels are active.
- With the current implementation, the performance of queries created from a multi-GPU context are generally better when used with buffers residing in page-locked host memory rather than on a device.
- Use the asynchronous API when using Prime in a multi-threaded setting to achieve better concurrency.

14 Caveats

Keep in mind the following caveats when using OptiX.

- Setting a large stack size will consume GPU device memory. Try to minimize the stack as much as possible. Start with a small stack and with the use of an exception program that will make it obvious you have exceeded your memory, increase the stack size until the stack is sufficiently large.
- The use of __shared__ memory within a program is not allowed.
- The use of PTX bar or CUDA syncthreads within a program is not allowed.
- threadIdx in CUDA can map to multiple launch indices (e.g. pixels). Use the rtLaunchIndex semantic instead.
- Use of the CUDA malloc, free, and printf functions within a program is not supported. Attempts to use these functions will result in an illegal symbol error.
- Currently, the OptiX host API is **not** guaranteed to be thread-safe. While it may be successful in some applications to use OptiX contexts in different host threads, it may fail in others. OptiX should therefore only be used from within a single host thread.
- On Fermi architecture the number of cube, layered, and half float textures is limited to 127. Mip levels of textures over the 127 limit are stripped to one level.

15 Appendix: Texture formats

| OpenGL texture format |
|-----------------------|
| GL_RGBA8 |
| GL_RGBA16 |
| GL_R32F |
| GL_RG32F |
| GL_RGBA32F |
| GL_R8I |
| GL_R8UI |
| GL_R16I |
| GL_R16UI |
| GL_R32I |
| GL_R32UI |
| GL_RG8I |
| GL_RG8UI |
| GL_RG16I |
| GL_RG16UI |
| GL_RG32I |
| GL_RG32UI |
| GL_RGBA8I |
| GL_RGBA8UI |
| GL_RGBA16I |
| GL_RGBA16UI |
| GL_RGBA32I |
| GL_RGBA32UI |
| |