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by *life* technologies™

Applied Biosystems® 3130/3130*xl* Genetic Analyzers

3130 Series Data Collection Software 4

GETTING STARTED

life technologies™

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NOTICE TO PURCHASER

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HITACHI The Applied Biosystems 3130 and 3130xl Genetic Analyzers includes patented technology licensed from Hitachi, Ltd. as part of a strategic partnership between Applied Biosystems and Hitachi, Ltd., as well as patented technology of Applied Biosystems.

Chapter 1

Preparing the Instrument

Start the system → Start Data Collection software → Check polymer block, select capillary array, and polymer → Prepare buffer and fill reservoirs

1

Chapter 2

Performing a Spatial Calibration

Start a spatial calibration run → Evaluate spatial calibration profile → Accept or reject spatial calibration

2

Chapter 3

Performing a Spectral Calibration

Prepare spectral calibration chemistry → Create a spectral instrument protocol → Perform a spectral calibration → Evaluate the spectral calibration data → Activate a spectral calibration

3

Chapter 4

Autoanalysis and Sequencing Analysis Software

Create protocols for automated sequencing analysis → Create a Sequencing Analysis Plate Record

4

Chapter 5

Fragment Analysis with GeneMapper® Software

Create protocols for fragment analysis → Create a GeneMapper® Software Plate Record

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Chapter 6

Running the Instrument

Prepare samples and plate assemblies → Place Plate onto Autosampler → Link the Plate → Schedule a run → Run the instrument → Set up for continuous operation

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Preparing the Instrument

IMPORTANT! Before using this product, read and understand the information in the "Safety" appendix in this document.

Preparing the Instrument

Performing a Spatial Calibration

Performing a Spectral Calibration

Autoanalysis and Sequencing Analysis Software

Fragment Analysis with GeneMapper Software

Running the Instrument

Start the system — See page 16

Start Data Collection software — See page 18

Check polymer block, select capillary array, and polymer — See page 21

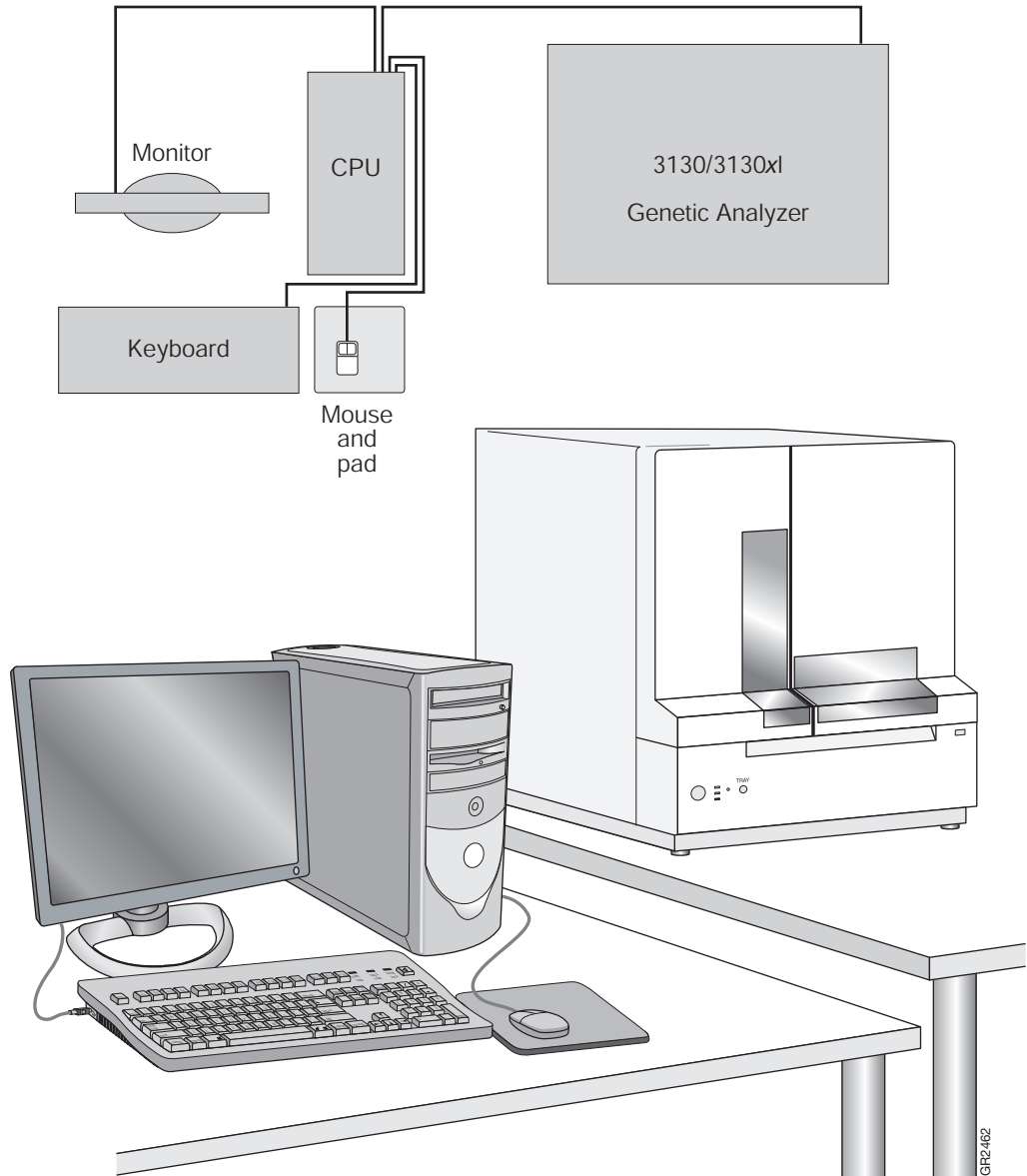Prepare buffer and fill reservoirs — See page 26

1

Notes

Instrument Description

Instrument Layout   A typical laboratory layout is shown below.

Application Summary Tables

Sequencing Resolution Performance and Specifications

Decide what combination of capillary array and polymer from the table below matches your resolution and performance specifications.

Type of Run	Capillary Length (cm)	Polymer Type	Module[a]	Run Time (min)	24 hr Throughput (number of samples)		KB Basecaller QV$_{20}$ LOR[b c]
					3130 Genetic Analyzer	3130*xl* Genetic Analyzer	
Ultra rapid	36	POP-4®	UltraSeq36_POP4	40	144	576	400
		POP-7™	UltraSeq36_POP7	35	164	656	500
Rapid	36	POP-6™	RapidSeq36_POP6	60	96	384	500
		POP-7™	RapidSeq36_POP7		96	384	600
Fast	50	POP-7™	FastSeq50_POP7	60	96	384	700
Standard	50	POP-4®	StdSeq50_POP4	100	56	224	600
		POP-6™	StdSeq50_POP6	150	36	144	600
		POP-7™	StdSeq50_POP7	120	48	192	850
Long read	80	POP-4®	LongSeq80_POP4	210	24	96	700
		POP-7™	LongSeq80_POP7	170	32	128	950

a When using the BigDye XTerminator Purification Kit, choose the BDx version of the Run Modules; for example, BDx_UltraSeq36_POP7.

b Length of Read (LOR) is the usable range of high-quality or high-accuracy bases determined by Quality Values (QV) generated by KB Basecaller v1.2. The LOR is determined by using a sliding window of 20 bases, which has an average QV > 20.

c 98.5% basecalling accuracy, less than 2% Ns.

Notes _____

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Fragment Analysis Run Module Specifications

Decide what combination of capillary array and polymer from the table below matches your resolution and performance specifications. See "Fragment Analysis Application/Kit and Run Modules" on page 13 for information on capillary, polymer, and run module.

Run Modules	Capillary Length (cm)	Polymer Type	Run Time (min)	24 hr Throughput (GT[b])	
				3130 Analyzer	3130*xl* Analyzer
High Throughput, Small Size Fragment Analysis					
FragmentAnalysis22_POP4	22	POP-4®	20	5,760	23,040
SNP22_POP4	22		20	5,760	23,040
Standard Fragment Analysis					
FragmentAnalysis36_POP4	36	POP-4®	45	2,560	10,240
HIDFragmentAnalysis36_POP4			45	2,560	10,240
SNP36_POP4			30	3,840	15,360
FragmentAnalysis36_POP7		POP-7™	35	3,290	13,170
FragmentAnalysis50_POP4	50	POP-4®	65	1,760	7,040
FragmentAnalysis50_POP6		POP-6™	90	1,200	4,800
FragmentAnalysis50_POP7		POP-7™	50	2,300	9,220
Large Size Fragment Analysis					
GS1200_36_POP7	36	POP-7™	125	880	3,520
GS1200_50_POP7	50	POP-7™	135	800	3,200
Fragment Analysis using Non-Denaturing Polymer					
ConformationAnalysis36_CAP	36	CAP	a	a	a

a Run time and 24 hour Throughput depend on customized polymer formulation and run module.
b 20 GT (Genotypes)/capillary/injection.

Fragment Analysis Application/Kit and Run Modules

The table below lists the Applied Biosystems kit types, with the available run module(s) and dye sets.

Application/Kit	Module										
	SNP22_POP4	SNP36_POP4	HTSNP36_POP7	FragmentAnalysis22_POP4	FragmentAnalysis36_POP4	FragmentAnalysis36_POP7	FragmentAnalysis50_POP4	FragmentAnalysis50_POP6	FragmentAnalysis50_POP7	HIDFragmentAnalysis36_POP4	ConformationAnalysis36_CAP
SNaPshot® Multiplex System	E5	E5									
Custom oligos				D, F, G5	D, F, G5	D, F, G5	D, F, G5	D, F, G5	D, F, G5		
Single Strand Conformation Polymorphism (SSCP) Analysis using non-denaturing Conformational Analysis Polymer (CAP)											G5[a]
4-Dye Stockmarks® Kits (bovine and canine)					F						
5-Dye Stockmarks® Kit (equine)					G5						
AFLP® Kits					F						
4-Dye AmpFlSTR® Kits										F	
5-Dye AmpFlSTR® Kits										G5	

a   Adjust Dye Set depending on dyes used. Use filter set G5 if using the GeneScan 600 LIZ Size Standard, along with the Matrix Standard Set DS-33. Use filter set D if using the Gene Scan 500 ROX Size Standard.

AmpFlSTR® Kit Table

Kits	HIDFragmentAnalysis36_POP4
AmpFlSTR® COfiler® Kit AmpFlSTR® Profiler Plus® Kit AmpFlSTR® Profiler Plus® *ID* Kit AmpFlSTR® Profiler® Kit AmpFlSTR® SGM Plus® Kit Other 4-Dye AmpFlSTR® Kits	F

Notes _____

Kits	HIDFragmentAnalysis36_POP4
AmpF*l*STR® SEfiler Plus™ Kit	G5
AmpF*l*STR® Identifiler® Kit	
AmpF*l*STR® Yfiler® Kit	
AmpF*l*STR® Identifiler® Plus Kit	
AmpF*l*STR® Identifiler® Direct Kit	
AmpF*l*STR® Sinofiler™ Kit	
AmpF*l*STR® MiniFiler™ Kit	
AmpF*l*STR® NGM™ Kit	
AmpF*l*STR® NGM Select™ Kit	
Other 5-Dye AmpF*l*STR® Kits	

Notes _____

Barcode Readers

⚠ **DANGER ELECTRICAL HAZARD.** Power off the instrument and the computer before connecting an external barcode reader to the instrument.

External Barcode Readers

KEYENCE BL-80VE
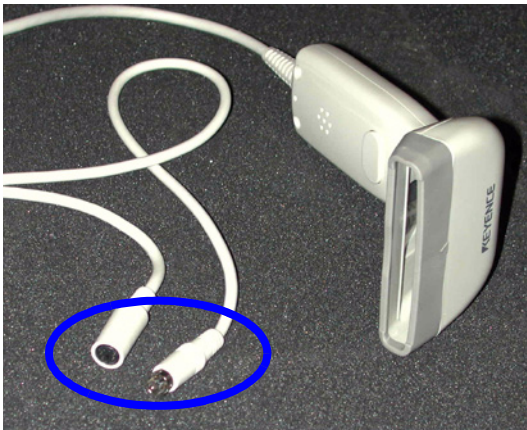
An external barcode reader can be used with the 3130/3130*xl* instrument. With the KEYENCE BL-80VE (see photo above), which connects to the instrument computer keyboard, you can scan barcodes into any text box in the Data Collection software. The KEYENCE BL-80VE barcode reader uses an LED as a light source.

Notes _____

Starting the 3130/3130*xl* Genetic Analyzer System
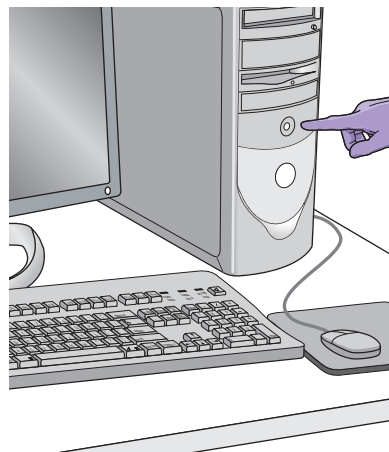
Starting the Computer Workstation

IMPORTANT! You must start the computer workstation before starting the instrument.

1. Power on the monitor.
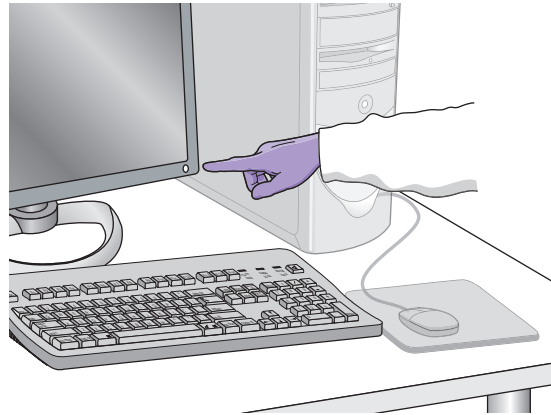
2. Power on the computer.

3. In the Log On to Windows dialog box:

   a. Enter the user name.

   b. If applicable, enter a password.

   Note: If the computer is connected to a network, you do not need to log on to the network before starting the instrument.

   c. Click   OK   .

Notes _____

Starting the 3130/3130*xl* Genetic Analyzer

1. Ensure that the:
- Oven door is closed and locked
- Instrument doors are closed

Note: If the doors are open during power on, the yellow light will continue to flash until you close the doors.

**2.** Ensure that the computer is powered on.

Note: The computer must be on and running to allow the instrument to copy the firmware from the computer.

3. Power on the instrument by pressing the on/off button on the front of the instrument.

Note: While the instrument is booting up and performing self-checks, the yellow status light blinks.

4. Ensure the green status light is on and not flashing before proceeding.

Note: If the green status light does not come on, start the Data Collection software and view the log. The pathway to the log is: E:\AppliedBiosystems\UDC\DataCollection\ Log*Instrument Name*

Status lights
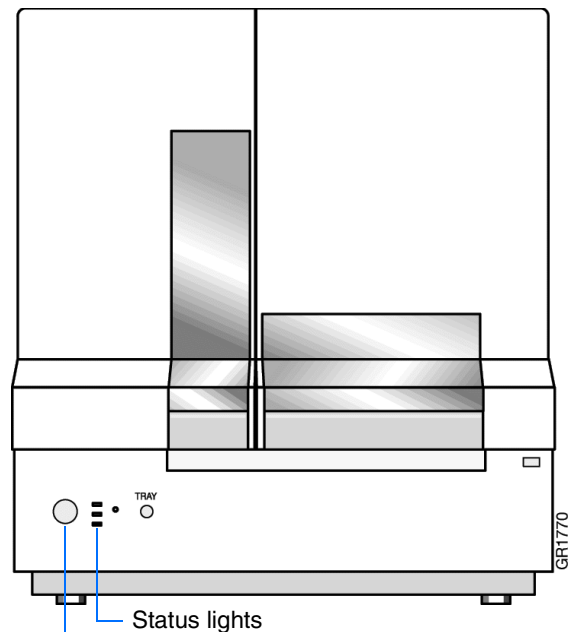
Press the on/off button to start the instrument

Notes

Data Collection Software

IMPORTANT! Do not rename the computer. The instrument computer was assigned a unique name before the 3130 Series Data Collection Software 4 was installed. Do not rename the computer once the Data Collection software has been installed. Doing so may cause the Data Collection software to malfunction.

## Starting the 3130 Series Data Collection Software 4

1. Select **Start > All Programs > Applied Biosystems > Data Collection > 3130 Series 4** to display the Service Console. By default, all applications are off, indicated by the red circles. They launch automatically with the 3130/3130*xl* Data Collection software.

   Note: 3130 Series Data Collection Software 4 runs on Windows 7 SP1 professional 32-bit operating system.

   Note: The 3130 Series Data Collection Software 4 requires a license to run. Read details in Appendix C, "Managing Software License for 3130 Series Data Collection Software 4."

   As each application activates, the red circles (off) change to yellow triangles (activating), and then to green squares (on) when they are fully functional.

   When the Data Service component displays the yellow triangle, do not press Start All or Stop All; if either is selected, you will need to re-boot the computer.
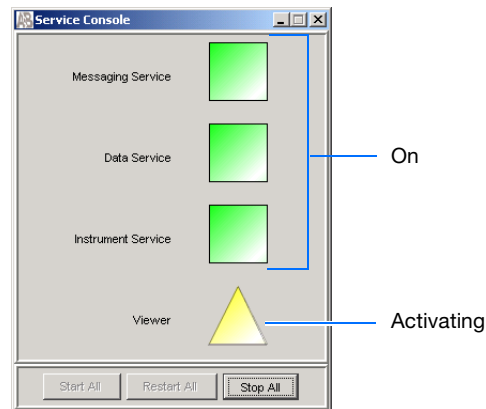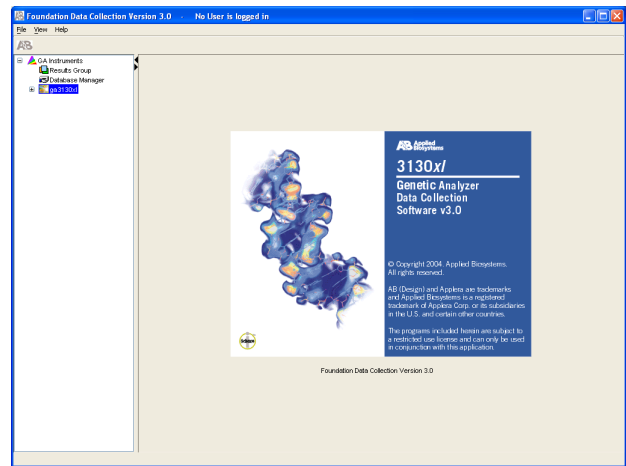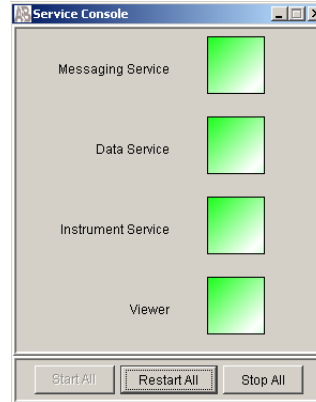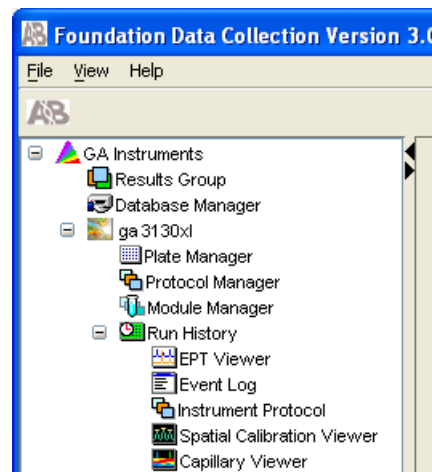

Notes

When all the applications are running (displaying all green squares–this could take several minutes), the Foundation Data Collection window displays.

Note: Ensure that all Data Collection Services are running before you launch the AB Navigator tool for security, audit trail, and electronic signature features (as described in the *AB Navigator Software Administrator Guide*, Part no. 4477853). All services are running when the Service Console contains four green squares.

2. Click + to expand subfolders in the left tree pane. All application folders are now visible.

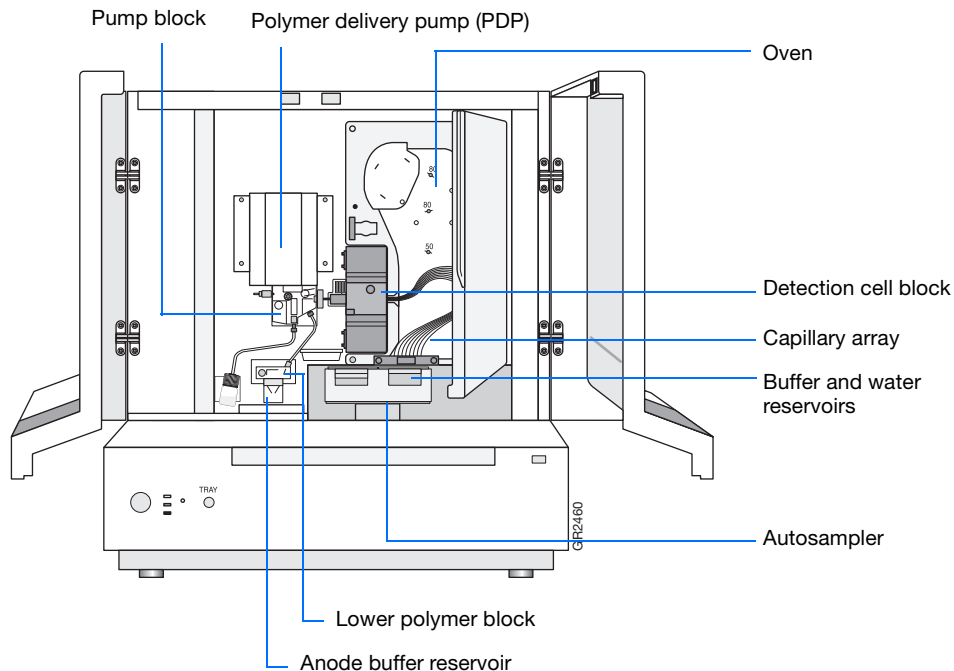Select items from this tree pane to open manager, viewer, and other program windows.

Notes

Preparing the Instrument

Instrument Doors and Interior

| Part	Function
Anode buffer reservoir	Contains 16 mL of 1X running buffer.
Buffer and water reservoirs (four)	Each contain 16 mL of 1X running buffer or water.
Autosampler	Holds the sample plates and reservoirs and moves to align the samples, water, or buffer with the capillaries.
Capillary array	Enables the separation of the fluorescent-labeled DNA fragments by electrophoresis. It is a replaceable unit composed of 4 or 16 silica capillaries.
Detection cell block and heater	Holds the capillaries in place for laser detection.
Lower polymer block	Contains the buffer valve, anode electrode, and anode buffer reservoir.
Oven	Maintains uniform capillary array temperature.
Polymer delivery pump (PDP)	Pumps polymer into the array and performs maintenance procedures.
Pump block	Includes the displacement pump chamber, piston water seal, array attachment point (array port), and connection to the lower polymer block through the interconnect tube.

Notes

Inspecting the Instrument

1. Open the instrument doors.

2. Perform the daily maintenance tasks according to Chapter 1 in the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting and Reference Guide* (Part no. 4477854).

3. Install clean drip trays.

Polymer Delivery Pump
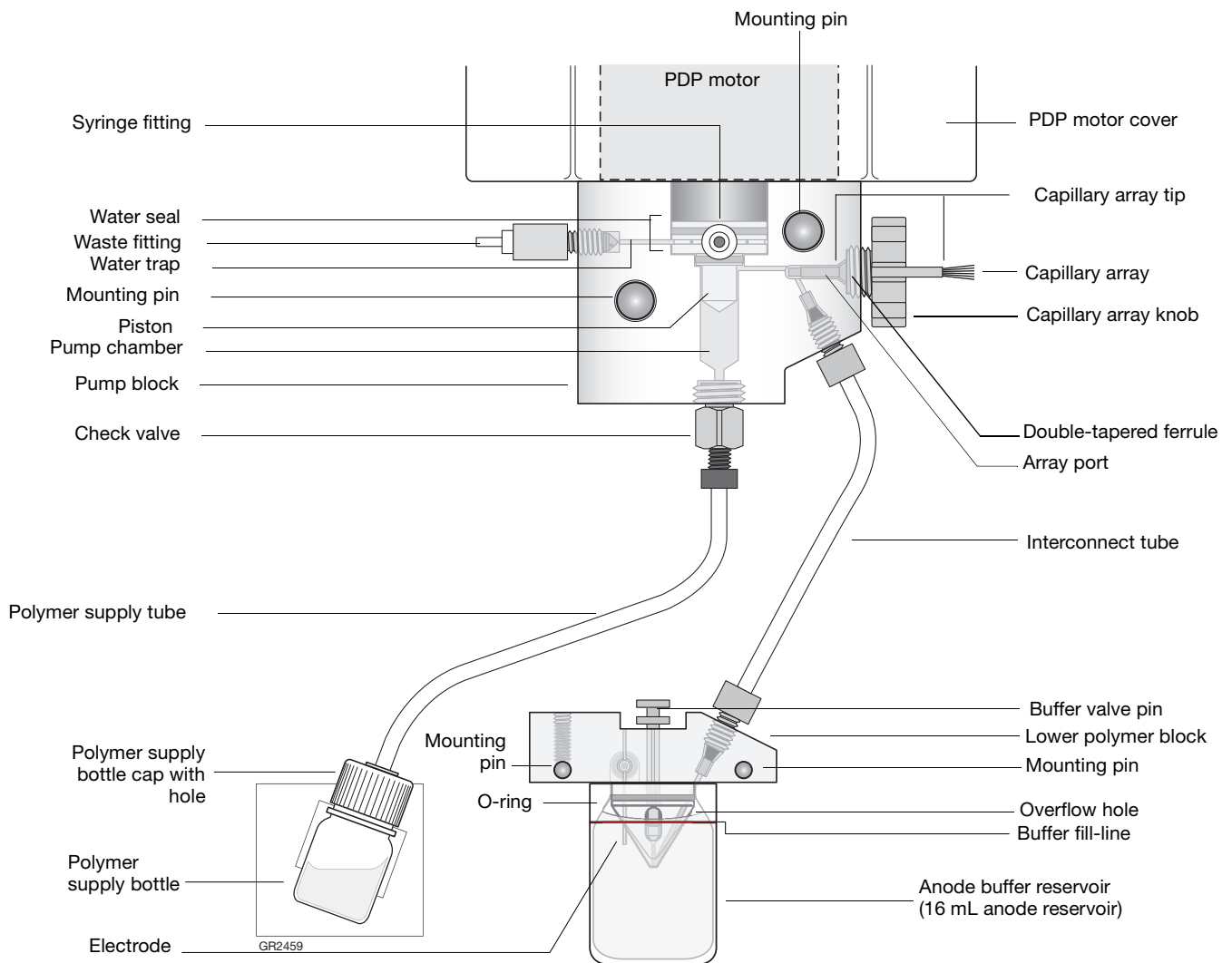
Inspecting the Instrument

1. Open the instrument doors.

2. Perform the daily maintenance tasks according to the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting and Reference Guide* (Part no. 4477854) for more detail on maintenance.

3. Install clean drip trays.

Installing or Replacing the Capillary Array

IMPORTANT! The capillary array length defined in the wizard must match the array length you are using for correct autoanalysis results.

IMPORTANT! Use of CAP Polymer requires a dedicated array. CAP polymer is not compatible with any other POP polymer. Even trace amounts of CAP polymer with other polymers will irreparably damage the array and pump and void any service contract or warranty for the instrument. It is important to use a capillary array, polymer block, and syringe for CAP polymer use exclusively. Also, buffer and water reservoirs should be cleaned every time a different polymer is used.

**IMPORTANT!** Wear gloves while handling polymer, the capillary array, septa, or buffer reservoirs.

⚠ **CAUTION** **CHEMICAL HAZARD. POP polymer** may cause eye, skin, and respiratory tract irritation. Please read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves. Use for research and development purposes only.

Notes

1. Install a capillary array by clicking **<***instrument name***>,** selecting **Install Array Wizard** and following the prompts.
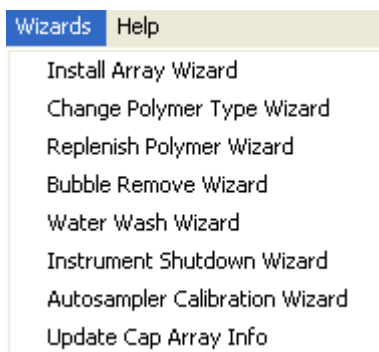
> **IMPORTANT!** To install or replace an array that is a different length than the one you were using, reset the active spectral calibration (see page 57) or create a new spectral calibration for your dye set and array length combination (see page 47).
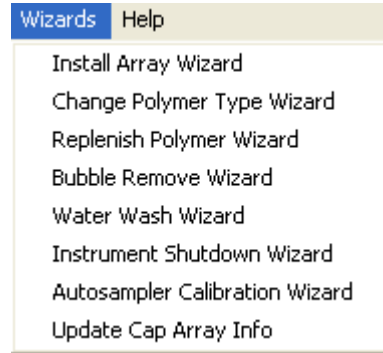
2. Optional: Select **Update Cap Array Info** wizard to correct any errors or update capillary array and serial number information.

When to Replenish or Change Polymer

IMPORTANT! Always replace polymer that has been on the instrument longer than one week.
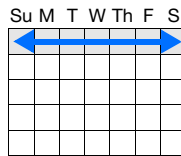
If polymer on the instrument...	Then ...
has been on less than one week and is in sufficient quantity to complete your runs[a]	Remove all bubbles, and then proceed with instrument preparation.
has been on less than one week, and insufficient in quantity to complete your runs	Add fresh polymer to the polymer supply by following the Replenish Polymer Wizard.
has been on longer than one week	
is the wrong type (a change between POP-4, POP-6, POP-7, and/or CAP Polymers is required)	Replace the installed polymer type with a different type by following the Change Polymer Type Wizard.

a A 3130*xl* genetic analyzer run uses 50 to 80 μL of polymer and a 3130 genetic analyzer run uses ~25 to 40μL of polymer.

Before Using the Polymer

1. Remove the polymer from 4°C storage.

2. Loosen the cap and bring the polymer to room temperature.

3. To dissolve deposits, tighten the cap and gently swirl the polymer.

Notes _____

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Replenishing the Polymer

IMPORTANT! Wear gloves while handling polymer, the capillary array, septa, or buffer reservoirs.

⚠️ **CAUTION** **CHEMICAL HAZARD.**
POP polymer may cause eye, skin, and respiratory tract irritation. Please read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves. Use for research and development purposes only.

1. Click **< Instrument Name>** in the tree pane.

2. Select **Wizards > Replenish Polymer Wizard** to replenish polymer.

   IMPORTANT! The polymer type defined in the wizard must match the polymer type that you are using.

Wizards	Help
Install Array Wizard	
Change Polymer Type Wizard	
Replenish Polymer Wizard	
Bubble Remove Wizard	
Water Wash Wizard	
Instrument Shutdown Wizard	
Autosampler Calibration Wizard	
Update Cap Array Info	

Changing Polymer Type

IMPORTANT! Wear gloves while handling polymer, the capillary array, septa, or buffer reservoirs.

IMPORTANT! Use of CAP Polymer requires a dedicated array. CAP polymer is not compatible with any other POP polymer. Even trace amounts of CAP polymer with other polymers will irreparably damage the array and pump and void any service contract or warranty for the instrument. It is important to use a capillary array, polymer block, and syringe for CAP polymer use exclusively. Also, buffer and water reservoirs should be cleaned every time a different polymer is used.
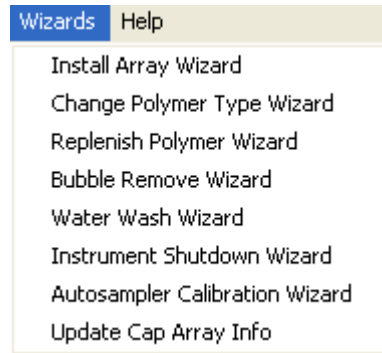
Notes

⚠️ **CAUTION** **CHEMICAL HAZARD**.
POP polymer may cause eye, skin, and respiratory tract irritation. Please read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves. Use for research and development purposes only.

1. Click **< Instrument Name>** in the tree pane.

2. Select **Wizards > Change Polymer Type Wizard** to change to a different polymer.

After you use the Change Polymer Type Wizard, either perform a new spectral calibration or activate a previously created spectral calibration in the Spectral Viewer.

Wizards   Help
Install Array Wizard
Change Polymer Type Wizard
Replenish Polymer Wizard
Bubble Remove Wizard
Water Wash Wizard
Instrument Shutdown Wizard
Autosampler Calibration Wizard
Update Cap Array Info

Notes

Preparing Buffer and Filling Reservoirs

Required Materials

⚠️ **CAUTION** **CHEMICAL HAZARD**.
10X Genetic Analyzer Buffer with EDTA may cause eye, skin, and respiratory tract irritation. Read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves.

Required materials to prepare 1X running buffer:

- 10X Genetic Analyzer Buffer (Part no. 402824)
- Purified (distilled or deionized) water
- 50 mL graduated cylinder

Preparing Buffer for a Single Run

1. Add 5 mL of 10X Genetic Analyzer Buffer into a graduated cylinder.

2. Add purified water to bring the total volume up to 50 mL.

3. Mix well.

50 mL total — 45 mL DI H_2O — 5 mL 10X Genetic Analyzer buffer

50 mL graduated cylinder
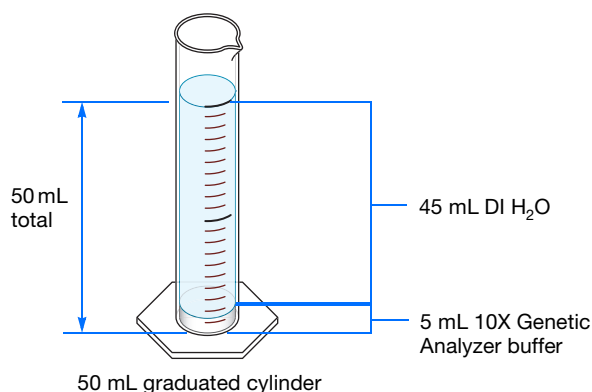
Storing Buffer

Store 1X running buffer at:

- 2 to 8°C for up to 1 month
- Room temperature for 1 week

Buffer Storage Conditions	
Option A	**Option B**
2 to 8°C	20 to 25°C
Su M T W Th F S	Su M T W Th F S
1 month	7 days

Replacing Buffer and Water

Replace the 1X running buffer in the anode and cathode buffer reservoirs daily, or before each batch of runs.

IMPORTANT! Failing to replace buffer may lead to loss of resolution and data quality.

IMPORTANT! To clean and refill the reservoirs, the autosampler is brought to the forward position, thereby leaving the capillary tips exposed to the air. Do not leave the autosampler in this position for an extended time because the capillaries can dry out and the array may be damaged.

Buffer and water reservoirs should be cleaned every time a different polymer is used.

Filling the Water and Cathode Buffer Reservoirs

IMPORTANT! Wear gloves while performing the following procedure, and any other time you handle the capillary array, septa, or buffer reservoirs.
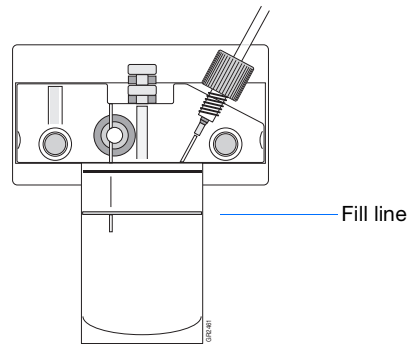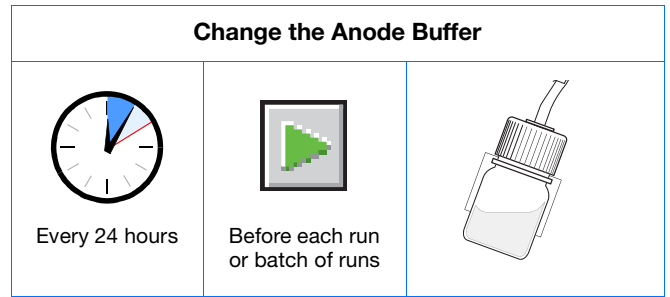
⚠ **CAUTION** **CHEMICAL HAZARD**. **1× Genetic Analyzer Buffer with EDTA** may cause eye, skin, and respiratory tract irritation. Read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves.

1. Verify the oven and instrument doors are closed.

2. Press the Tray button on the outside of the instrument to bring the autosampler to the forward position

3. Wait until the autosampler stops at the forward position, then open the instrument door.

Tray button

Notes _____

4. Remove the cathode buffer and water reservoirs from the instrument.

5. Dispose of remaining fluids and rinse out the reservoirs with deionized water.

   Note: Follow your company's waste disposal practices for appropriate disposal procedures.

Remove

6. Rinse the cathode reservoir with 1X running buffer, and then fill to the line with 1X running buffer (about 16 mL).

7. Fill the two water reservoirs to the line with quality deionized water (about 16 mL).

Fill line

   ⚠ **CAUTION** Be sure that the septa fit securely and flush on the tops of the reservoirs to prevent damaging the capillary tips.

8. Place a clean reservoir septa on each reservoir, and dry the outside of the reservoirs using a lint-free tissue wipe.

9. Place the reservoirs into position on the autosampler as shown.

10. Close the instrument doors.

   Note: Closing the doors returns the autosampler to the last known position, placing the tips of the capillaries in water or buffer.
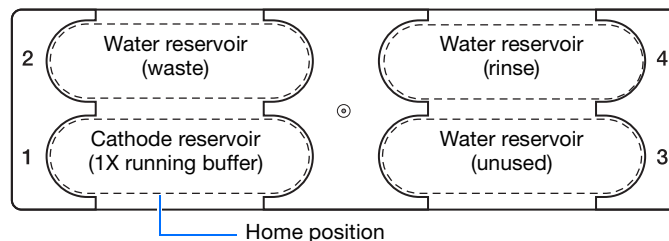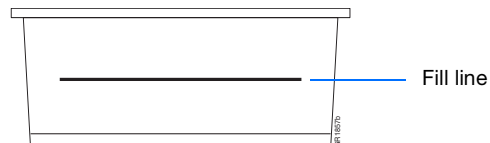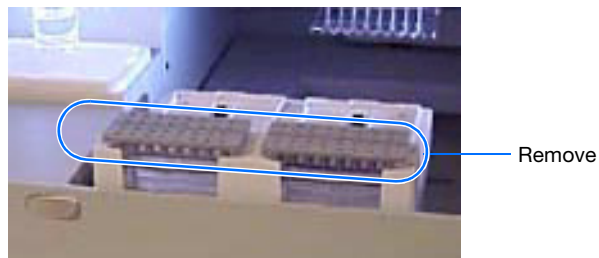
Notes

Filling the Anode Buffer Reservoir

Change the anode buffer:

- Every 24 hours
- Before each run or batch of runs
- Every time you replenish the polymer or change polymer type

! CAUTION CHEMICAL HAZARD.
1X Genetic Analyzer Buffer with EDTA may cause eye, skin, and respiratory tract irritation. Read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves.

1. Remove the anode buffer reservoir by firmly pulling down and twisting slowly.

2. Discard the used buffer appropriately.

3. Clean and rinse the reservoir with deionized water, and then rinse with buffer.

4. Fill the anode buffer reservoir to the fill line with fresh 1X running buffer (about 16 mL).

   Note: The meniscus should line up with the fill line.

5. Put the anode buffer reservoir on the instrument.

6. If the reservoir fills with fluid, repeat this procedure to discard and replace the running buffer.

   Note: The reservoir could fill during bubble removal.

Change the Anode Buffer		
Every 24 hours	Before each run or batch of runs	

Fill line

Notes

Notes

Performing a Spatial Calibration

Preparing the Instrument

Performing a Spatial Calibration

Performing a Spectral Calibration

Autoanalysis and Sequencing Analysis Software

Fragment Analysis with GeneMapper Software

Running the Instrument

Start a spatial calibration run — See page 32

Evaluate spatial calibration profile — See page 34

Accept or reject spatial calibration — See page 35
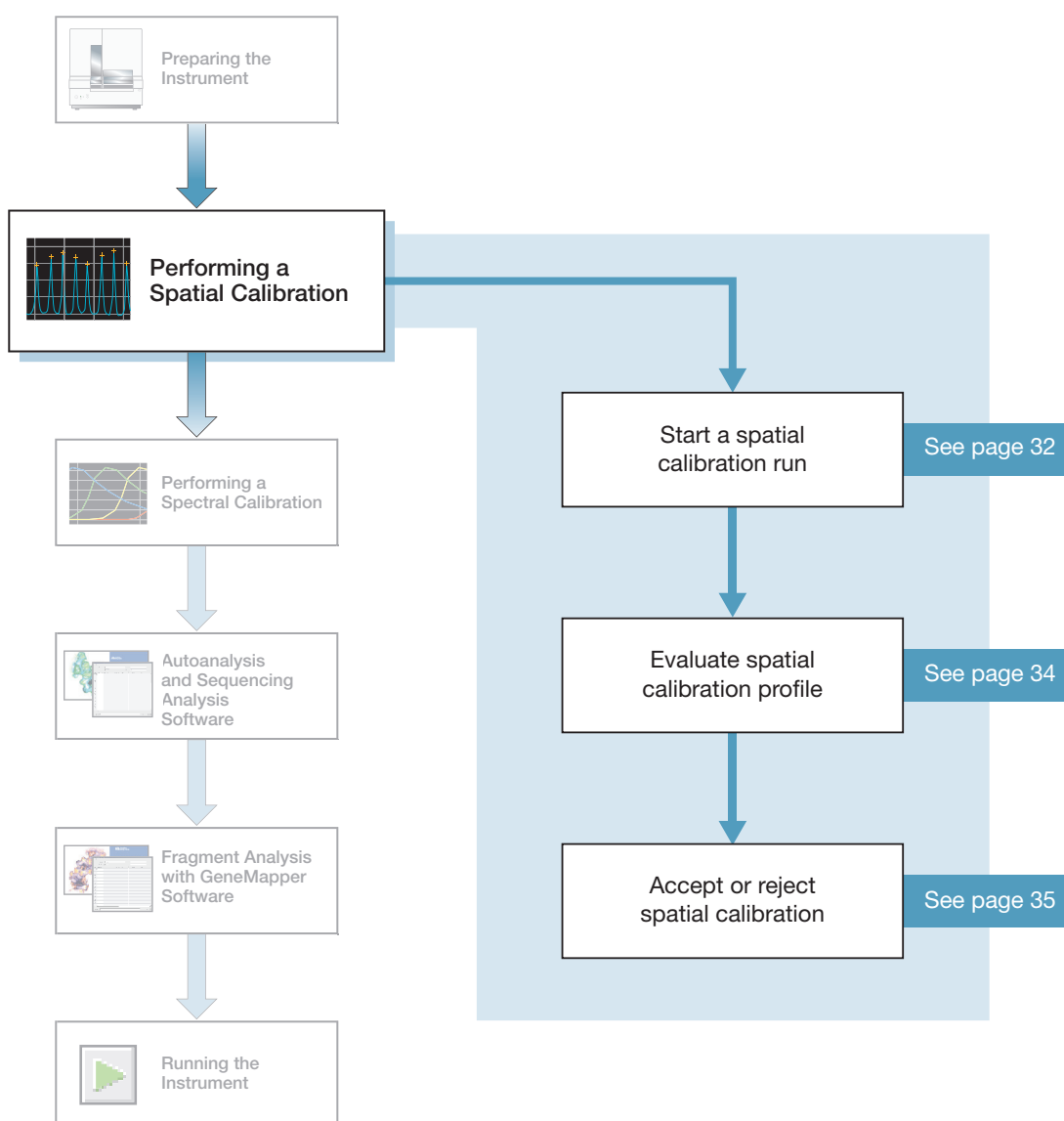
Notes
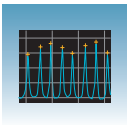
Spatial Calibration

The 3130 Series Data Collection Software 4 uses images collected during the spatial calibration to establish a relationship between the signal emitted by each capillary and the position where that signal falls on and is detected by the CCD camera.

When to Perform the Calibration

You are required to perform a spatial calibration when you:

- Install or replace a capillary array
- Temporarily remove the capillary array from the detection block
- Move the instrument
- Every time a new bottle of CAP polymer is installed on the instrument

IMPORTANT! CAP polymer is not compatible with any other POP polymer. Even trace amounts of CAP polymer with other polymers will irreparably damage the array and pump and void any service contract or warranty for the instrument. It is important to dedicate a capillary array, polymer block, and syringe for CAP polymer use exclusively. Also, buffer and water reservoirs should be cleaned every time a different polymer is used.

Creating a Spatial Calibration File

1. In the tree pane of the Data Collection software, click
   GA Instruments > **ga**3130 or **ga**3130*xl* > *instrument name* > **Spatial Run Scheduler**.

Notes

Spatial profile

Start, Accept or Reject Spatial profile

Positions (pixel) of each capillary
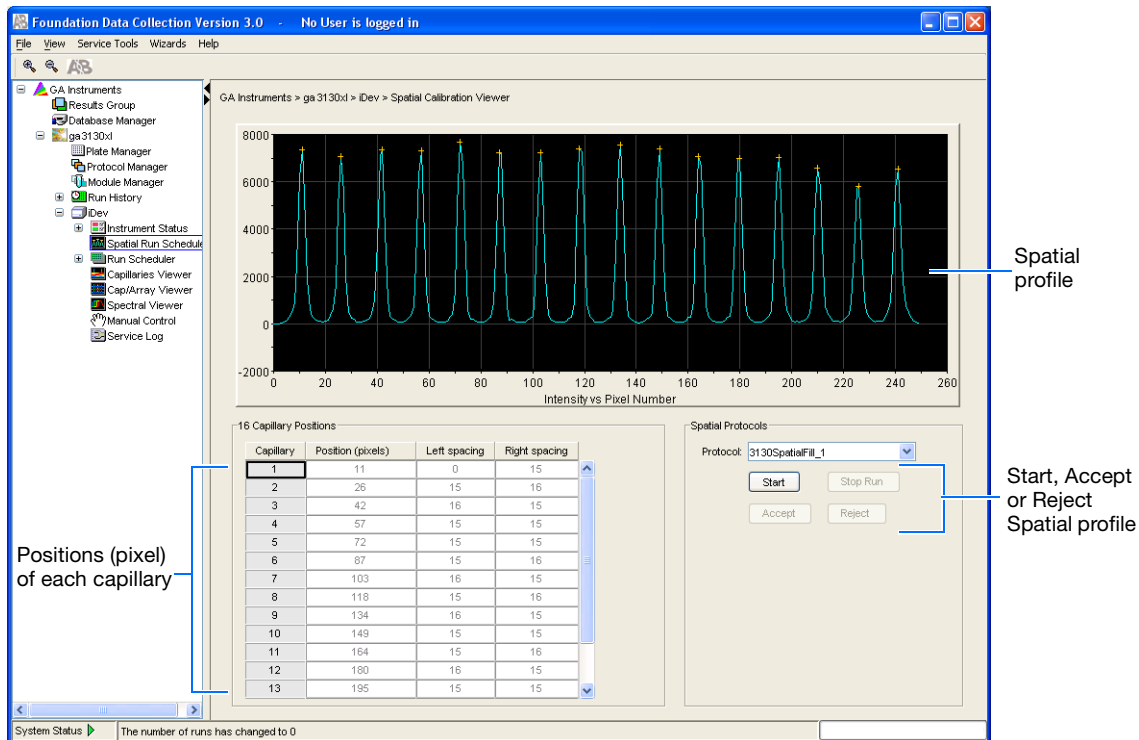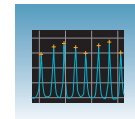
2. In the Spatial Protocols section, select one of the following:

- If the capillaries contain fresh polymer, select **Protocol** > **3130SpatialNoFill_1**

- Otherwise, select **Protocol** > **3130SpatialFill_1**

Note: You do not need to fill the capillaries each time you perform a spatial calibration.

Select one
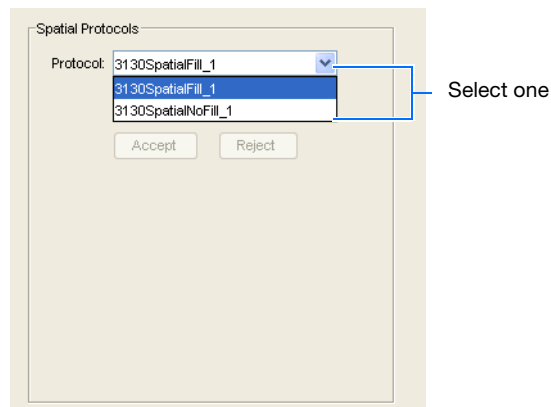
3. Click [Start].

The calibration run lasts approximately:

- 2 min without filling the capillaries
- 6 min when filling the capillaries

Note: The spatial profile window turns black when you start a spatial calibration.

Start spatial calibration
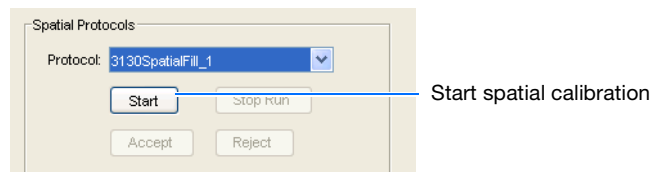
Notes _____

_____

_____
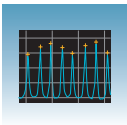
Evaluating a Spatial Calibration File

1. Evaluate the spatial calibration profile using the following criteria:

Peak Attribute	Acceptable Criteria
Height	Similar heights for all peaks.
Orange crosses	One orange cross marking the top of every peak. No misplaced crosses.
Shape	Single sharp peak for each capillary. Small shoulders are acceptable.
Spacing	The difference between adjacent positions is 13 to 16 pixels. Theoretical spacing between capillaries is 15.

Spatial calibration profile for 3130*xl* system
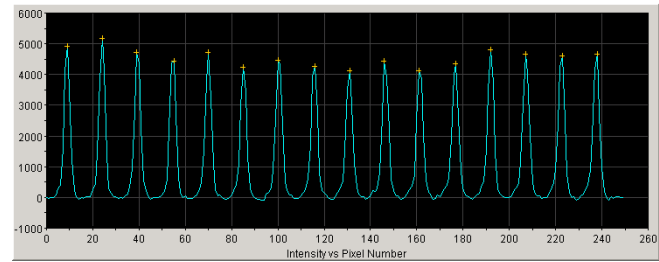
Spatial calibration profile for 3130 system
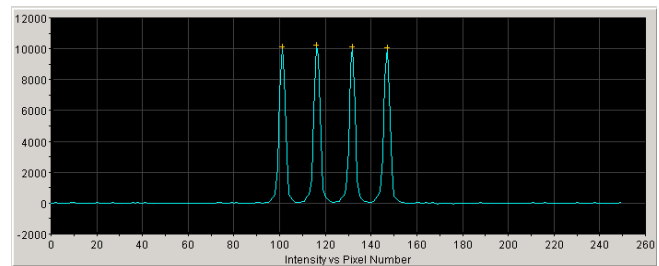
2. Examine each row in the 16 or 4 Capillary Positions table and verify that the values in both the Left spacing and Right spacing columns range between 13 to 16 pixels.

To move the cross:

a. Type a new value in the Positions (pixels) box for the capillary of interest.

b. Click outside of that box or press **Enter**.

16 Capillary Positions

Capillary	Position (pixels)	Left spacing	Right spacing
1	10	0	15
2	25	15	16
3	41	16	15
4	56	15	16
5	72	16	15
6	87	15	15
7	102	15	15
8	117	15	15
9	132	15	16
10	148	16	15
11	163	15	15
12	178	15	16
13	194	16	15

4 Capillary Positions

Capillary	Position (pixels)	Left spacing	Right spacing
1	96	0	15
2	111	15	15
3	126	15	15
4	141	15	0

Left spacing and Right spacing columns
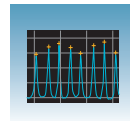
Accepting or Rejecting a Spatial Calibration

If the calibration:

- Passed, click [Accept] to write the calibration data to the database and .ini file.
- Failed, click [Reject], then see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting and Reference Guide* (Part no. 4477854).
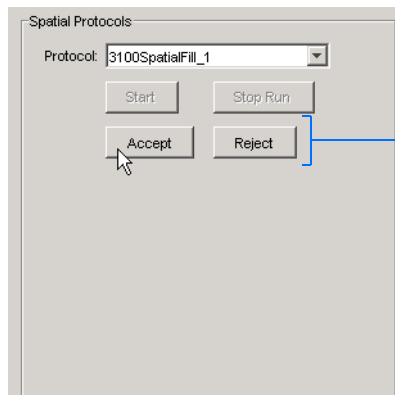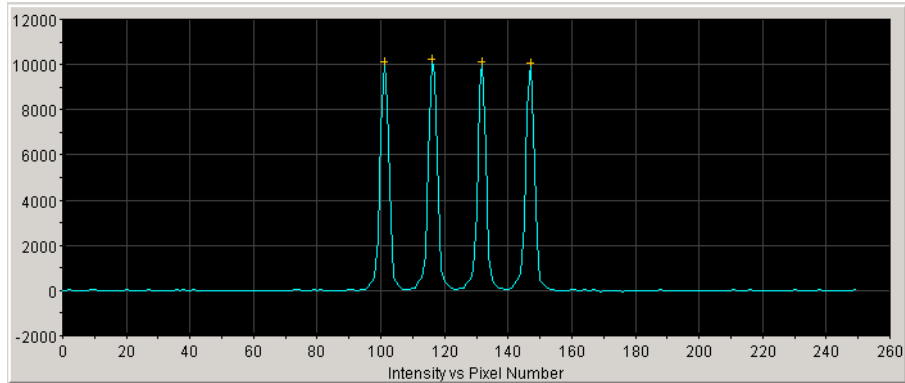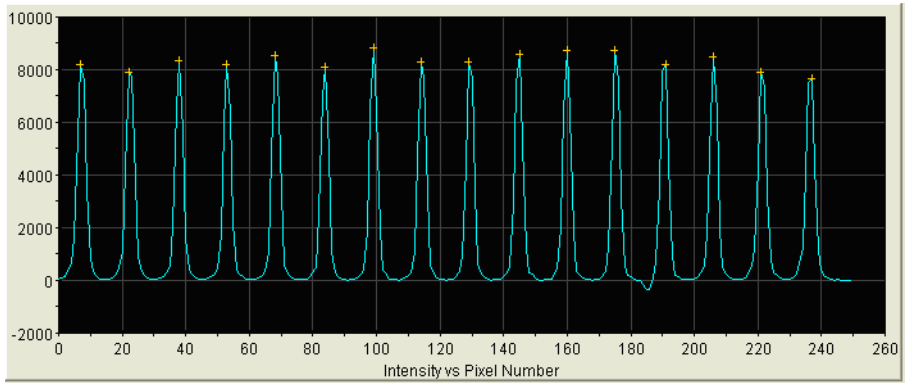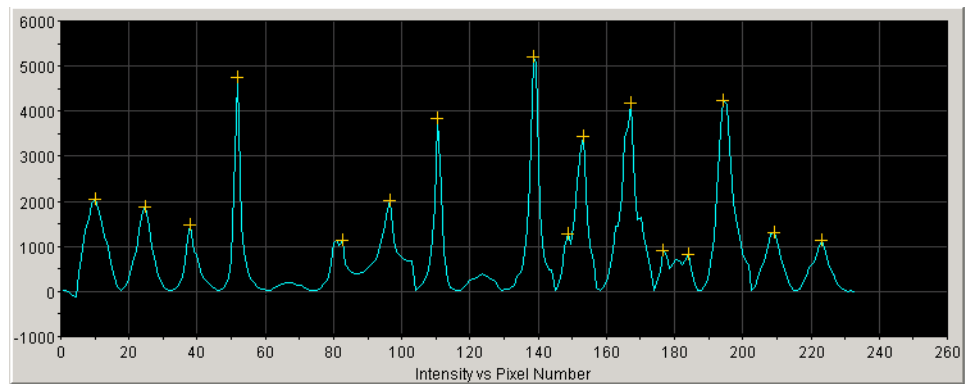
Accept and Reject buttons
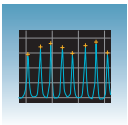
Examples of Spatial Profiles

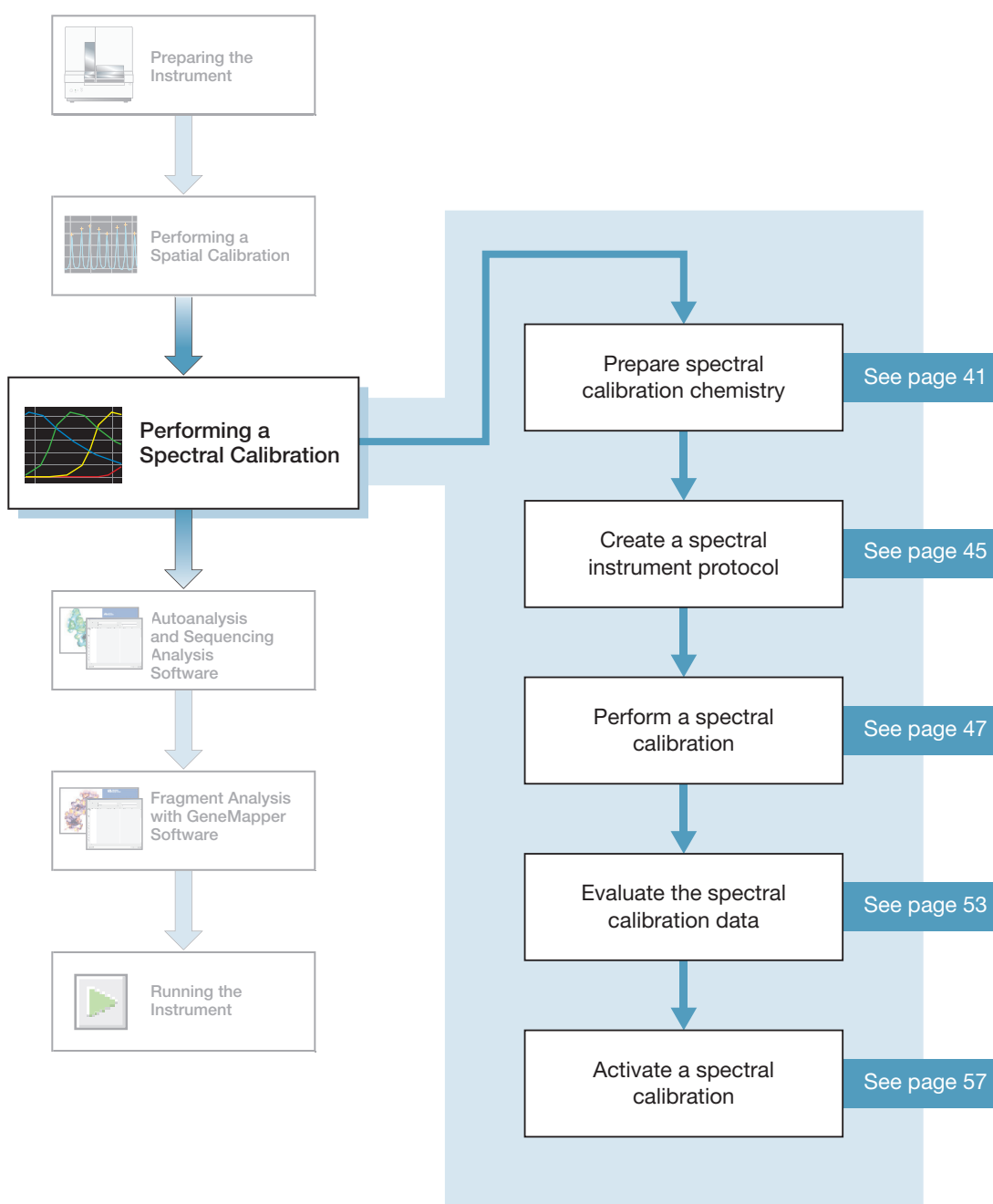**Passing Profiles**   3130 Genetic Analyzer

3130*xl* Genetic Analyzer

Failing Profile   3130*xl* Genetic Analyzer

Notes

Performing a Spectral Calibration

Preparing the Instrument

Performing a Spatial Calibration

Performing a Spectral Calibration

Autoanalysis and Sequencing Analysis Software

Fragment Analysis with GeneMapper Software

Running the Instrument

| Prepare spectral calibration chemistry | See page 41 |

| Create a spectral instrument protocol | See page 45 |

| Perform a spectral calibration | See page 47 |

| Evaluate the spectral calibration data | See page 53 |

| Activate a spectral calibration | See page 57 |

Notes

Spectral Calibration

A spectral calibration creates a matrix that is used during a run to reduce raw data from the instrument to the 4-dye or 5-dye data stored in sample files. Performing a spectral calibration is similar to performing a sample run except that calibration standards are run in place of samples and a spectral calibration module is used in place of a run module.

When to Perform the Calibration

Perform a spectral calibration:

- When you use a new dye set on the instrument
- When you change capillary array length or polymer type
- After the laser or CCD camera has been realigned/replaced by a service engineer
- If you begin to see a decrease in spectral separation (pull-up and/or pull-down peaks) in the raw or analyzed data
- Every time you install a new bottle of CAP polymer on the instrument.

IMPORTANT! CAP polymer is not compatible with any other POP polymer. Even trace amounts of CAP polymer with other polymers will irreparably damage the array and pump and void any service contract or warranty for the instrument. It is important to dedicate a capillary array, polymer block, and syringe for CAP polymer use exclusively. Also, buffer and water reservoirs should be cleaned every time a different polymer is used.

Note: We recommend that you run a spectral calibration each time that a new capillary array is installed. In 3130/3130xl Data Collection software, if you install an array that is the same length as the previously installed array, the active spectral calibration still persists. For optimal data quality, perform a new spectral calibration before you perform regular runs.

What Happens?

Run the spectral standards in all 16 or 4 capillaries. The Data Collection software then:

- Collects the data and stores it in 16 or 4 separate temporary files
- Analyzes the data and generates a matrix for each capillary
- Stores the spectral calibration data for the dye set run
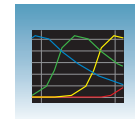
Changing Capillary Array Lengths and Polymer Type

For each dye set, a unique spectral calibration is used for each different capillary array length.

- For every sequencing dye set, you must create a separate spectral calibration for each capillary array length you use.
- For every fragment analysis dye set, you must create a separate spectral calibration for each capillary array length and polymer type combination you use.

See "Activating a Spectral Calibration" on page 57, on how to switch calibrations once calibrations are performed for each dye set on each capillary length.

Notes

| Types of Calibration Standards | There are two types of spectral calibration standards: |

Types of Calibration Standards

There are two types of spectral calibration standards:

- **Matrix standards for fragment analysis or sequencing** – A tube that contains four or five fragments each labeled with a different single dye.
- **BigDye® v3.1 or BigDye® v1.1 Terminator Sequencing Standard** – A tube of a standard chemistry reaction that contains multiple labeled fragments in each of the four dyes

Dye Set Tables

Determine the correct dye set and matrix standard set for the application you are using by using the tables below.

Sequencing Chemistry Dye Sets, Calibration Standards, and Chemistry File

Sequencing Chemistry	Dye Set	Spectral Calibration Standard	Chemistry File
• BigDye® Terminator v3.1 Cycle Sequencing Kit • BigDye® Direct Cycle Sequencing Kit • dGTP BigDye® Terminator v3.0 Cycle Sequencing Ready Reaction Kit[a]	Z_BigDyeV3	BigDye® v3.1 Matrix Standards	Matrix Standard
		BigDye® v3.1 Terminator Sequencing Standard	Sequence Standard
• BigDye® Terminator v1.1 Cycle Sequencing Kit • BigDye® Primer Cycle Sequencing Kits • dGTP BigDye® Terminator v1.0 Cycle Sequencing Ready Reaction Kit[a]	E_BigDyeV1	DS-01 Matrix Standards	Matrix Standard
		BigDye® v1.1 Terminator Sequencing Standard	Sequence Standard
• dRhodamine Dye Terminator Cycle Sequencing Kit		dRhodamine Matrix Standards Kit	Matrix Standard

a dGTP kits are not supported on capillary electrophoresis instruments due to compressions on certain sequence context regions; you can run the kits if compression is not an issue.

Notes _____

_____
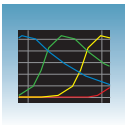
Fragment Analysis Dye Sets, Calibration Standards, and Chemistry File

Fragment Analysis Chemistry	Dye Set	Spectral Calibration Standard	Chemistry File
• Custom oligos	D	DS-30 Matrix Standards	Matrix Standard
• Custom oligos	D	DS-31 Matrix Standards	
• AFLP® Kits • Stockmarks® Kits 4-dye (bovine and canine) • AmpFlSTR® COfiler® Kit • AmpFlSTR® Profiler®Kit • AmpFlSTR® Profiler Plus® Kit • AmpFlSTR® Profiler Plus® *ID* Kit • AmpFlSTR® SGM Plus® Kit • Other 4-Dye AmpFlSTR® Kits	F	DS-32 Matrix Standards	
• SNaPshot® Multiplex System	E5	DS-02 Matrix Standards	
• Stockmarks® Kit 5-dye (equine) • Custom oligos • AmpFlSTR® SEfiler™ Kit • AmpFlSTR® Yfiler® Kit • AmpFlSTR® Identifiler Direct® Kit • AmpFlSTR® Identifiler Plus® Kit • AmpFlSTR® MiniFiler Kit • AmpFlSTR® NGM Kit • AmpFlSTR® NGM Select® Kit • Other 5-Dye AmpFlSTR Kits	G5	DS-33 Matrix Standards	

Notes
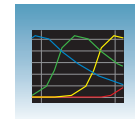
Preparing the Spectral Calibration Chemistry

Preparing the Calibration Standard

1. Prepare one of the following:

⚠ **WARNING CHEMICAL HAZARD.**
Formamide causes eye, skin, and respiratory tract irritation. It is a possible reproductive and birth defect hazard. Read the SDS, and follow the handling instructions. Wear appropriate protective eyewear, clothing, and gloves.

- BigDye™ Terminator v1.1 or v3.1 Sequencing Standard:
  - Remove a tube of the Sequencing Standard from the freezer.
  - Add 170 µL of Hi-Di™ formamide to resuspend the BigDye™ Terminator v1.1 or v3.1 Sequencing Standard.
- Sequencing or fragment analysis matrix standards:
  - Remove a tube of the matrix standard from the refrigerator.
  - Mix thoroughly, then spin briefly in a microcentrifuge.
  - Follow the matrix standard insert for matrix standard and Hi-Di™ formamide ratios.

2. Vortex thoroughly.
Briefly centrifuge the mixture.

3. Heat the standard tube at 95°C for 5 minutes to denature the DNA.

4. Cool the tubes on ice for 2 minutes.

Vortex
00:00:05

<1500×*g*
00:00:05

95°C
00:05:00

0°C
00:02:00
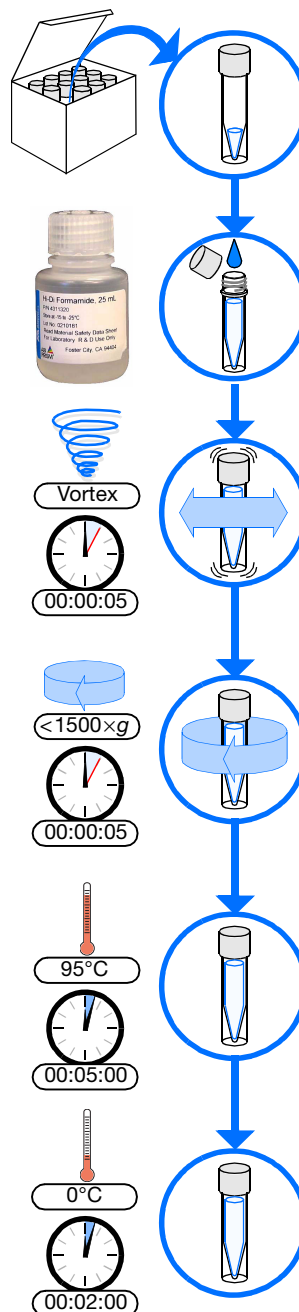
Notes
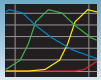
Loading Samples

⚠️ **WARNING** **CHEMICAL HAZARD.** All chemicals on the instrument, including liquid in the lines, are potentially hazardous. Please read the SDS, and follow the handling instructions. Wear appropriate eyewear, protective clothing, and gloves when working on the instrument.

⚠️ **WARNING** Do not use warped or damaged plates.

Note: The efficient way to lay out samples in a plate is illustrated here. See Appendix A, "Plate Mapping," for the relationship between sample position and priority in scheduled injections.

To load samples:

1. Add the denatured samples to the wells of a 96- or 384-well reaction plate:

   • If using a 3130*xl* genetic analyzer:

     – **96-well plate** – Add 10 μL of denatured standard to wells A1 through H2.

     – **384-well plate** – Add 5 μL of denatured standard into alternating wells of the plate:

     Row 1: A1, C1, E1, …K1, M1, O1

     Row 2: Empty

     Row 3: A3, C3, E3, …K3, M3, O3

   • If using 3130 genetic analyzer:

     – **96-well plate** – Add 10 μL of denatured standard to wells A1, B1, C1 and D1.

     – **384-well plate** – Add 5 μL of denatured standard into alternating wells of the plate:

     Row 1: A1, C1, E1 and G1

Prepared standard
(from step 4 on page 41)
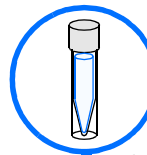
96-Well Plate

3130*xl* instrument layout
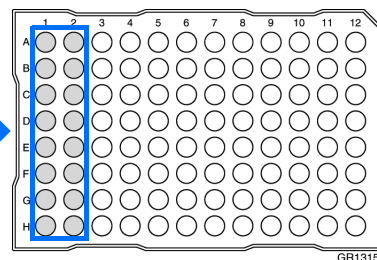
3130 instrument layout
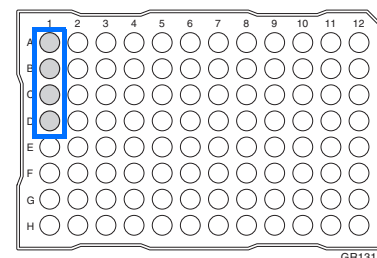
Add 10 µL prepared standard

384-Well Plate

3130*xl* instrument layout
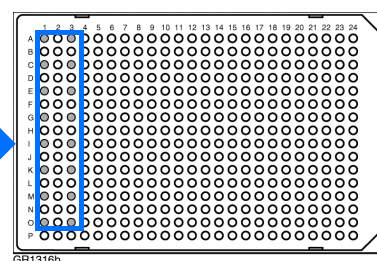
3130 instrument layout
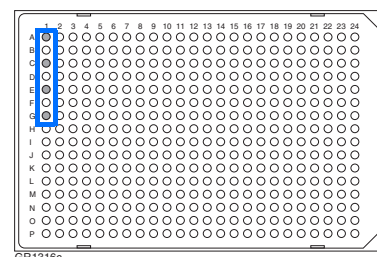
Add 5 µL prepared standard into alternating wells
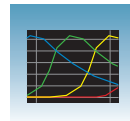
2. Seal the plate:

   a. Place the plate on a clean, level surface.

   b. Lay the septa flat on the plate.

   c. Align the holes in the septa strip with the wells of the plate, then firmly press downward onto the plate.
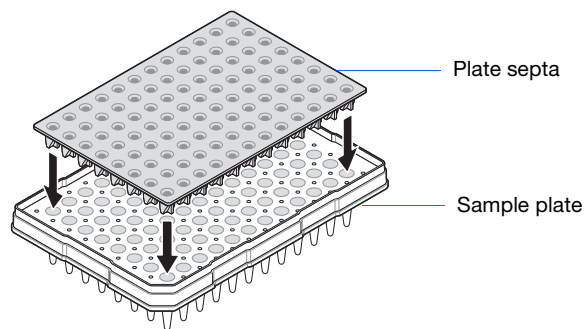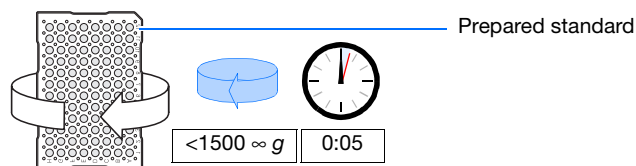
   > **IMPORTANT!** Do not heat plates that are sealed with septa.

Plate septa

Sample plate

3. To prevent damage to the capillary array, inspect the plate and septa to verify the septa fits snugly and flush on the plate.
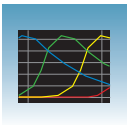

Septa and well not aligned

Septa and well not aligned
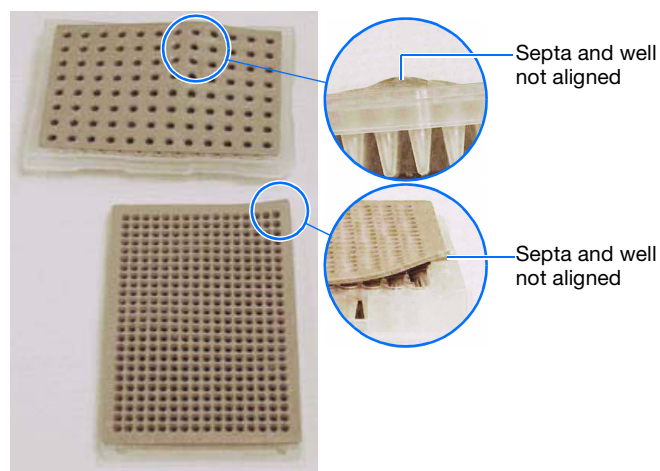
4. Briefly centrifuge the plate.

Prepared standard

<1500 ∞ *g*   0:05

5. Remove the plate from the centrifuge and verify that each sample is positioned correctly in the bottom of its well.

   If the reagents of any well contain bubbles or are not located at the bottom of the well, repeat steps 4 and 5.

Sample is at the bottom of the well
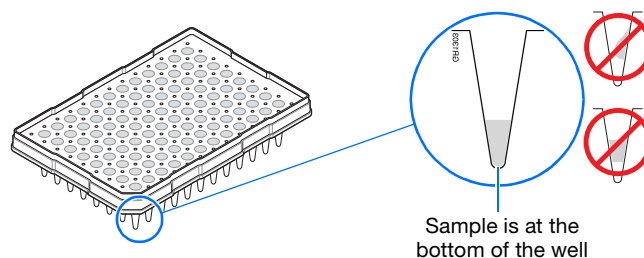
6. Leave the plate on ice until you are ready to prepare the plate assembly and place the assembly on the autosampler.

Notes _____

7. Assemble the plate assembly:

   a. Place the sample plate into the plate base.

   b. Snap the plate retainer onto the plate and plate base.

Plate retainer

6a

6b

Plate base

Assembled components
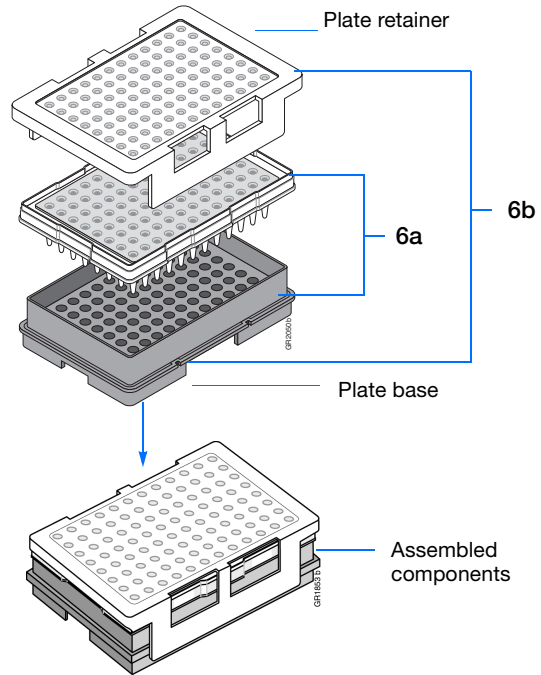
8. Verify that the holes of the plate retainer and the septa strip are aligned. If not, re-assemble the plate assembly (see step 7).

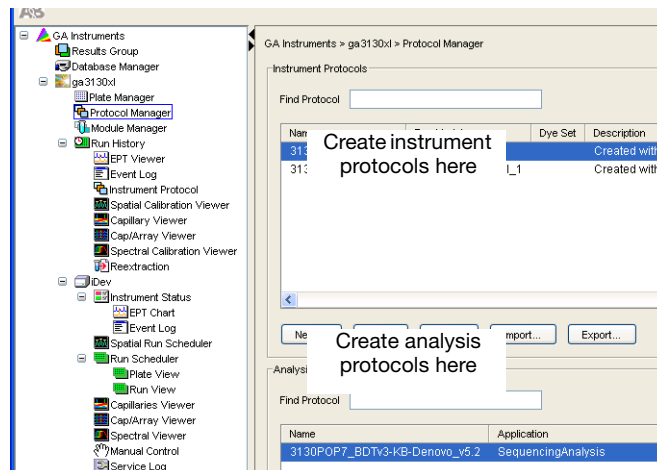> **IMPORTANT!** Damage to the array tips will occur if the plate retainer and septa strip holes do not align correctly.
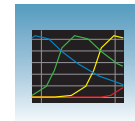
Plate retainer holes and septa holes are not aligned

Notes

Creating a Spectral Instrument Protocol

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > ▦ **ga3130***xl* or **ga3130** > ▦ **Protocol Manager** to open the Protocol Manager window.
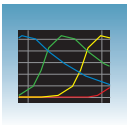
2. In the Instrument Protocols pane, click New... . The Protocol Editor dialog box opens.
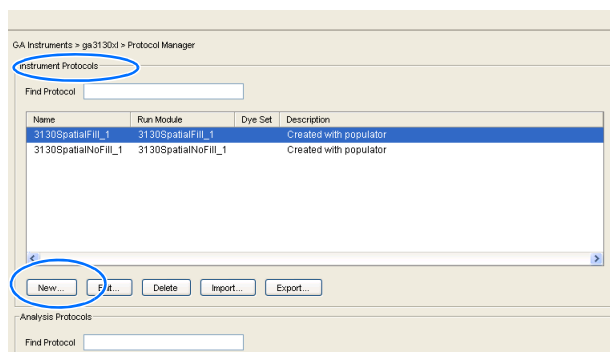
3. Complete the Protocol Editor dialog box.

- **a.** Type a name for the protocol.

- **b.** Type a description for the protocol (optional).

- **c.** Select **Spectral** in the Type drop-down list.

- **d.** Select the correct dye set for your run. See "Dye Set Tables" on page 39.
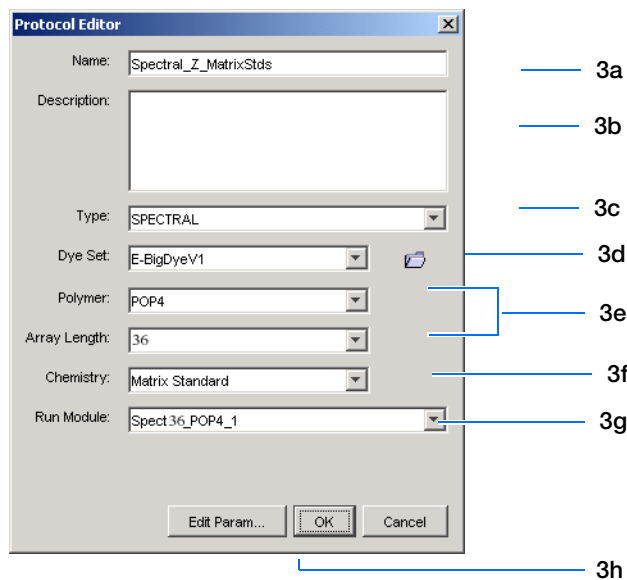
e. Select the Polymer and Array Length from the appropriate drop-down list. See table, "Polymer, Array Length and Spectral Run Modules."

f. Select your chemistry file in the Chemistry drop-down list. Failure to select the correct chemistry file for your spectral calibration samples results in a failing spectral run. See "Dye Set Tables" on page 39 for matching chemistry files.

Note: The chemistry file for fragment analysis dye sets defaults to the Matrix Standard.

---

g. In the Run Module drop-down list, select the run module. See the table "Polymer, Array Length and Spectral Run Modules."

Note: The modules list is filtered based on the polymer type, then the array length you selected in step e. You may have only one run module option available.

---

h. Click [OK].

Polymer, Array Length and Spectral Run Modules

Polymer Type	Array Length (cm)	Run Module
POP-4	22	Spect22_POP4
	36	Spect36_POP4
		SpectSQ36_POP4
	50	Spect50_POP4
	80	Spect80_POP4
POP-6	36	Spect36_POP6
	50	Spect50_POP6
POP-7	36	Spect36_POP7
	50	Spect50_POP7
	80	Spect80_POP7
CAP	36	Spect36_CAP

# Performing a Spectral Calibration

Creating the Plate Record

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 📊**ga3130***xl* or **3130** > 🖥 *instrument name* > 🟩 **Plate Manager.**

Plate Manager
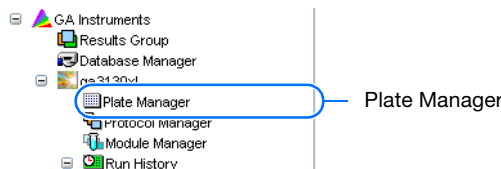
2. Click ⬚New...⬚ to open the New Plate dialog box.

3. Complete the New Plate dialog box:

   a. Enter a name for the plate.

   b. Optional: Enter a description for the plate record.

   c. In the Application drop-down list, select **Spectral Calibration**.

   d. In the Plate Type drop-down list, select **96-Well** or **384-Well**

   e. Enter a name for the owner.

   f. Enter a name for the operator.

   g. Click ⬚OK⬚.
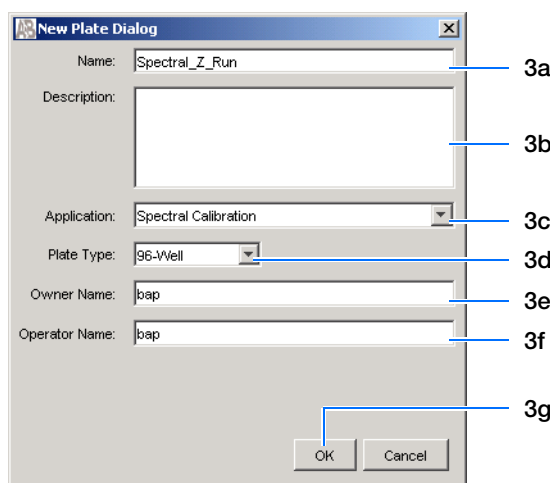
4. In the Spectral Calibration Plate Editor dialog box:

   a. In the Sample Name column, enter a sample name, then click the next cell. The value 100 automatically displays in the Priority column.

   b. Optional: In the Comments column, enter any additional comments or notations for the sample at the corresponding position of the plate.

   c. In the **Instrument Protocol 1** column, select a protocol from the list or create a new protocol (see step 2 on page 45)
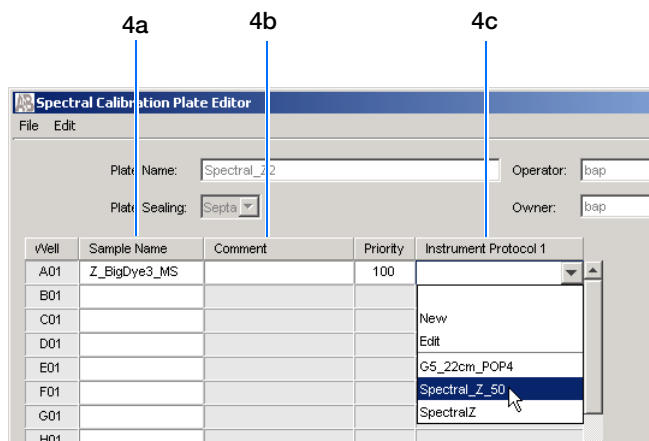

5. Highlight the entire row.

Notes

6. Select **Edit > Fill Down Special**.

Based on your plate type (96- or 384-well) and capillary array (16 or 4 capillaries), the software automatically fills in the appropriate well numbers for a single run.

7. Click OK.

You have successfully created the plate record for the spectral calibration plate.

Note: If multiple cells are selected for copying, select the same number of corresponding target cells before you execute the Paste command.

Note: The Plate Editor Copy and Paste functionality is supported only within one plate editor. To copy and paste the contents of one plate to another plate, use the Duplicate ... button on the Plate Manager dialog box.

Note: All plate information must be valid to use the duplicate plate function. If information is not valid, an empty plate will result.

Note: Ensure that processed run information is valid before adding another sample run to the plate. A new sample run cannot be created if data is not validated.

| Edit	
Fill Down	Ctrl+D
Copy	Ctrl+C
Paste	Ctrl+V
Clear row(s)	Shift+Delete
Fill Down Special	Alt+D
Add Sample Run	Alt+A

Notes
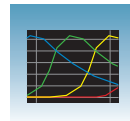
Placing the Plate Assembly into the Instrument

1. Verify the oven and front doors are closed.

2. Press the Tray button and wait for the autosampler to stop at the forward position.

3. Open the front doors.

4. Place the plate assembly on the autosampler in position A or B for the 3130*xl* genetic analyzer and position B for the 3130 genetic analyzer.

   **Note:** There is only one orientation for the plate, with the notched end of the plate base away from you.
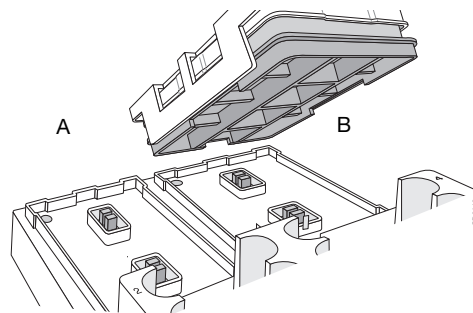
3130*xl* genetic analyzer
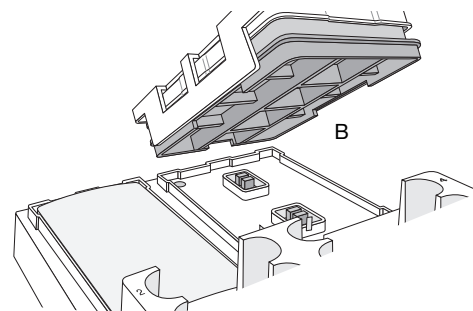
3130 genetic analyzer

5. Ensure the plate assembly fits flat in the autosampler. Failure to do so may allow the capillary tips to lift the plate assembly off of the autosampler.

6. Close the instrument doors.

   Note: Closing the doors returns the autosampler to the home position, placing the tips of the capillaries in buffer.

Notes
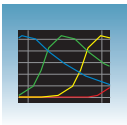
Running the Spectral Calibration Plate

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🔲 **ga3130***xl* or **3130** > 🔲 *instrument name* > 🔲 **Run Scheduler** > 🔲 **Plate View**.
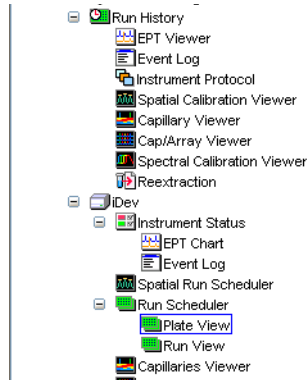
2. Search for your plate record. There are two options:

- Select **Barcode** in the Type of Search drop-down list.

  – In the **Scan or Type Plate ID** text box, type in the plate name and click **Search** to find the plate.

  – Or if you have a limited number of plates in the database, click ▭ Find All ▭.
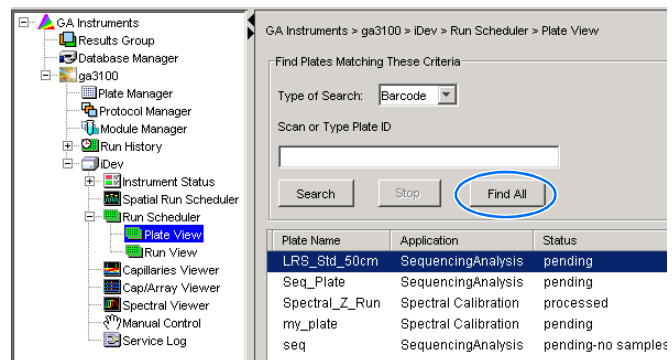
  All plates in the database display in plate record section.

- Perform an advanced search by selecting **Advanced** in the Type of Search drop-down list.

  – Use the drop-down list to define search conditions for a category or multiple categories (Run Name, Results Group Name, Plate Name, etc.)
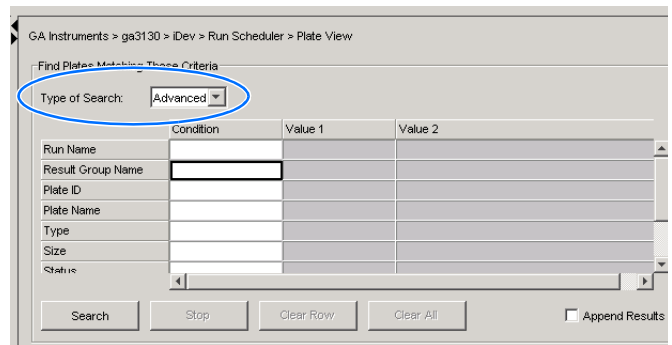
  Note: Your entry for Plate Name will also be set as the Plate ID.

  – For each category with a condition selected, type a value (primary search string) in the Value 1 column.

  – Click ▭ Search ▭. All plates in the database that match the search criteria display in the plate record section.
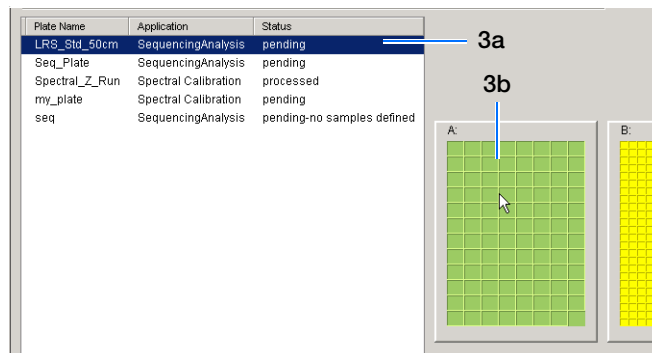
Notes _____
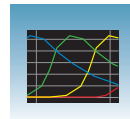
3. Link the plate.

    a. Select the plate record you want to run.

    b. Click the plate position indicator that matches the plate you want linked.

    The plate map color will change from yellow to green when it is successfully linked.
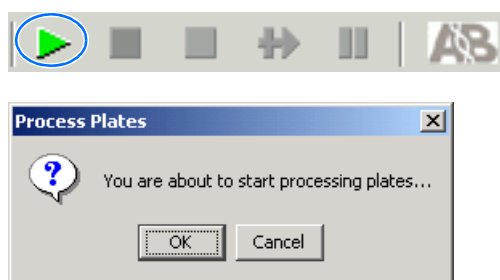
Note: The 3130 genetic analyzer has only one plate position (Bay B) to link a plate record.

4. In the toolbar of the Data Collection software window, click ▶ to begin the run.

5. The Processing Plates dialog box opens. Click OK .

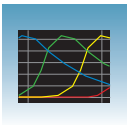**Note:** The instrument may pause before running the plate to raise the oven temperature.

Spectral Calibration Approximate Run Times

Capillary Length (cm)	Run Type	Ramp Time (min)	Approximate Total Run Time (min)
22	Spect22_POP4	3	21
36	Spect36_POP4	10	35
	SpectSQ36_POP4	10	48
	Spect36_POP6	10	54
	Spect36_POP7	5	30
	Spect36_CAP	a	a
50	Spect50_POP4	7.5	110
	Spect50_POP6	10	95
	Spect50_POP7	7.5	52
80	Spect80_POP4	10	140
	Spect80_POP7	10	120

a Time is dependent on customized polymer formulation.

Notes

Viewing the Pass/Fail Status After the Run

After the spectral calibration run, the pass or fail status of each capillary is recorded in the Event Log section of the Instrument Status window.

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > ▦ **ga3130***xl* or **ga3130** > ▭ *instrument name* > ▦ **Instrument Status** > ▤ **Event Log**.

   ▦ Plate Manager
   ▦ Protocol Manager
   ▦ Module Manager
   ⊞ ▦ Run History
   ⊟ ▦ iDev
      ⊟ ▦ Instrument Status
         ▦ EPT Chart
         ▤ Event Log
      ▦ Spatial Run Schedu
      ⊞ ▦ Run Scheduler
      ▦ Capillaries Viewer
      ▦ Cap/Array Viewer
      ▦ Spectral Viewer
      ▦ Manual Control
      ▦ Service Log

2. In the Event Messages section of the window, view the status of each capillary.
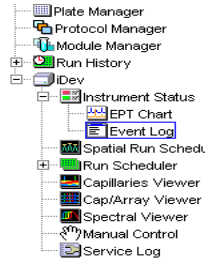
   **Note:** The window below shows the dye set G5 status results.

Cap #   Pass/fail status   Q-value   Condition Number

Event Messages

Type	Date	Time	Publisher	Description
Info	07/07/03	15:41:31	iDev	Finished saving spectral calibration data
Info	07/07/03	15:41:30	iDev	Saving spectral calibration data
Info	07/07/03	15:41:30	iDev	Capillary 16 successfully calibrated : q=0.988 c=9.12
Info	07/07/03	15:41:30	iDev	Capillary 15 successfully calibrated : q=0.986 c=9.15
Info	07/07/03	15:41:30		Run completed
Info	07/07/03	15:41:30	iDev	Capillary 14 successfully calibrated : q=0.986 c=9.01
Info	07/07/03	15:41:30	iDev	Capillary 13 successfully calibrated : q=0.988 c=8.99
Info	07/07/03	15:41:29	iDev	Capillary 12 successfully calibrated : q=0.989 c=8.87

Each capillary should have a Q-value above 0.95 and a Condition number range shown in the table.

Note: If the entire spectral calibration failed, see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting and Reference Guide* (Part no. 4477854) for troubleshooting information.

Dye Set	Acceptable Condition Number Range	Q-Value
Sequencing Analysis		0.95
Z_BigDyeV3	3 to 5	
E_BigDyeV1		
Fragment Analysis		
D	4 to 8.5	
F	6 to 12	
E5	2.5 to 4	
G5	8.5 to 14.5	
Any 4- or 5-Dye set	1 to 20	0.80

Notes
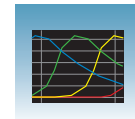
Evaluating the Spectral Calibration Data

IMPORTANT! Evaluate the spectral calibration profile for each capillary, even if the Spectral Calibration Results box indicates that they passed.

Note: for examples of passing sequencing spectral calibration profiles. See for examples of passing fragment analysis spectral calibration profiles.

Evaluating the Spectral Profile and Raw Data

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > **ga3130***xl* or **ga3130** > *instrument name* > **Spectral Viewer**.
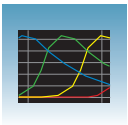
Spectral profile

Raw data (matrix standards)

Plate diagram

Click each cell to verify the spectral profile and raw data

View, rename, or set the active spectral calibration for the selected dye set

Notes

2. In the Dye Set drop-down list, select the dye set you just created.

Note: If the spectral calibration failed (no spectral profiles are created), see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting and Reference Guide* (Part no. 4477854) for more information.
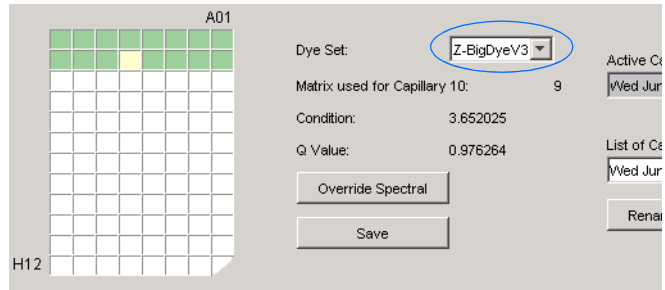
3. In the plate diagram, select a well on the plate diagram to view the capillary spectral results.

Note: A failing capillary is automatically assigned the spectral profile of its nearest passing capillary.

Capillary status:

Passed (dark green)

Passed well elected (light green)

Failed (tan)

Failed well selected (light tan)
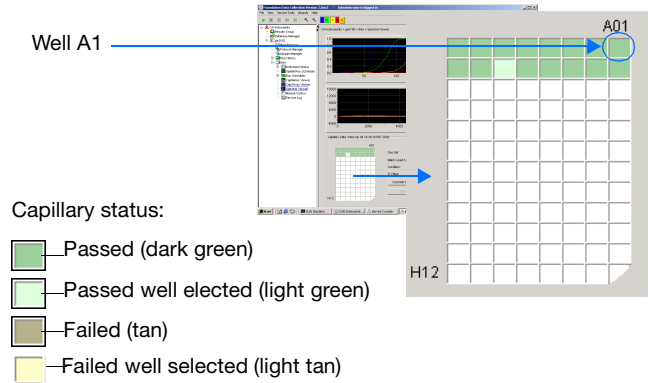
4. Evaluate the spectral profile and raw data for the selected capillary:

a. Verify that the order of the peaks in the spectral profile from left to right are:

– 4-dye: blue-green-yellow-red
– 5-dye: blue-green-yellow-red-orange

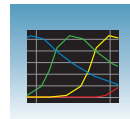| Do the peaks in the profile appear in the correct order?	Then
Yes	Go to step b.
No	The calibration run has failed. See the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide* (Part no. 4477854).
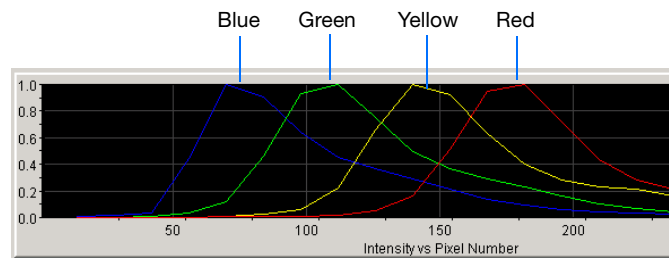
Example of a 4-dye spectral profile
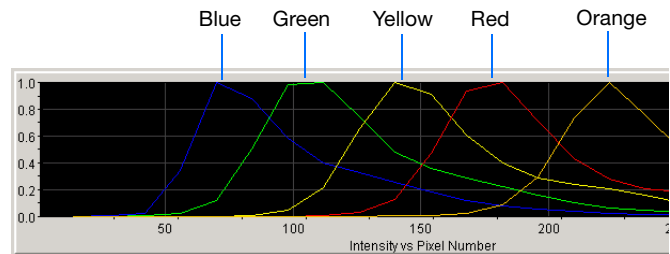
Example of a 5-dye spectral calibration profile
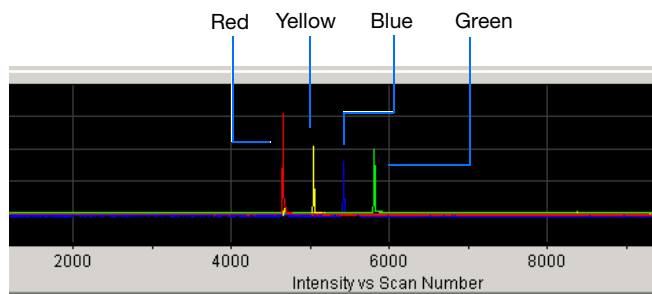
Notes

b. Verify that the order of the peaks in the raw data profile from left to right are:
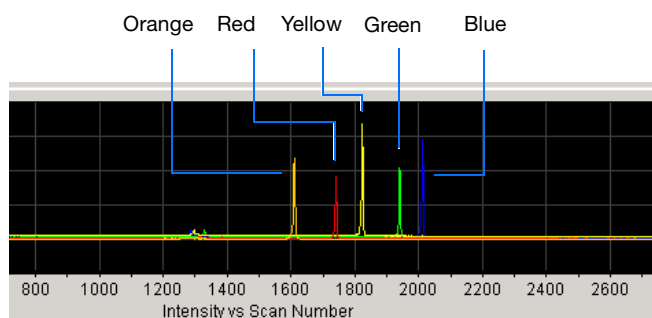
Sequencing

– 4-dye: red-yellow-blue-green

Fragment Analysis

– 4-dye: red-yellow-green-blue

– 5-dye: orange-red-yellow-green-blue

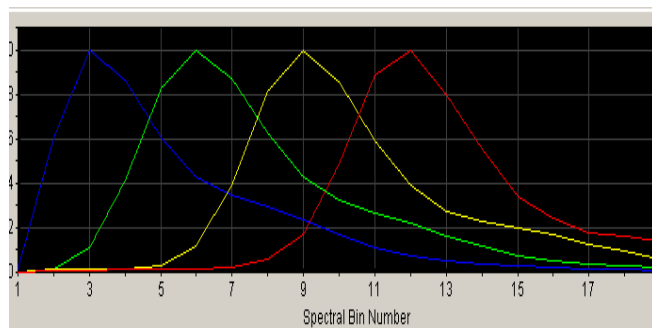**Example of a 4-dye sequencing raw data profile**

Example of a 5-dye fragment analysis raw data profile

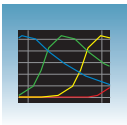| Are peaks in the wrong order or extraneous peaks adversely affecting the spectral profile?	Then
Yes	The calibration run has failed. See the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide*.
No	Go to step c.

c. Verify that the peaks in the spectral profile do not contain gross overlaps, dips, or other irregularities (see "Magnifying the Spectral Profile or Raw Data" on page 56).

Peaks are distinct, regular and in the proper order – pass

Red peak is not distinct, regular or in the proper order – fail

Are the peaks separate and distinct?	Then
Yes	The capillary has passed. Go to step 5.
No	The calibration run has failed. See the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide*.
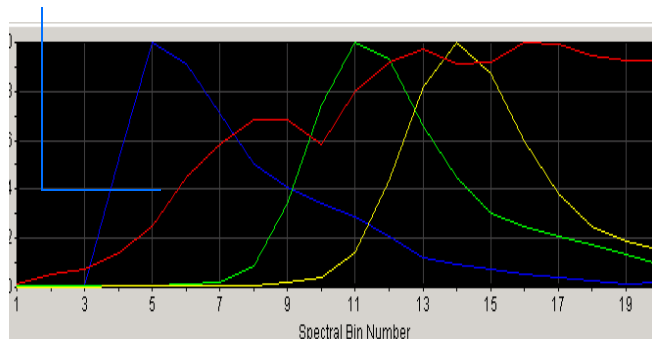
Notes

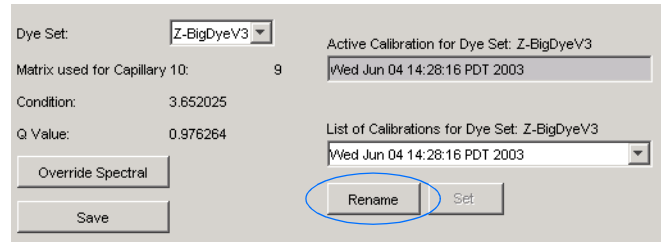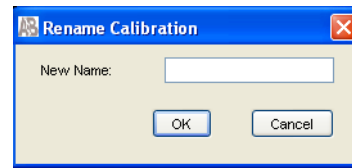**5.** Repeat steps 3 and 4 for each capillary in the array.

6. Optional: Rename the spectral run. The spectral file default name is the day, date, and time of the run.
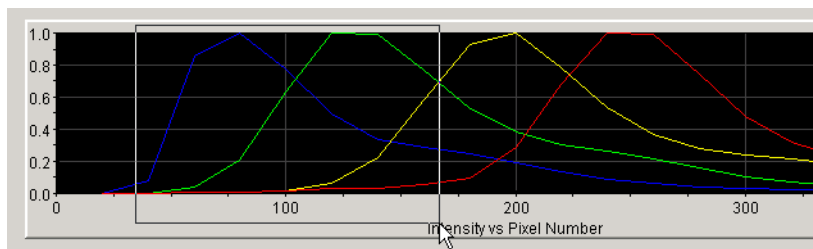
 a. Click Rename .

 b. In the Rename Calibration dialog box, enter a descriptive name for the spectral calibration including the dye set, array length and polymer type (optional).

 c. Click OK .

Magnifying the Spectral Profile or Raw Data

1. In the tree pane of the Data Collection software, click
   GA Instruments > **ga3130xl** or **ga3130** > *instrument name* > **Spectral Viewer**.

2. In the spectral profile or raw data display, click-drag the cursor to create a box around the area of interest.

Selecting an area to magnify in a spectral profile

3. Release the mouse button.

   The Data Collection software displays the selected region.

4. Press **r** to reset the view.
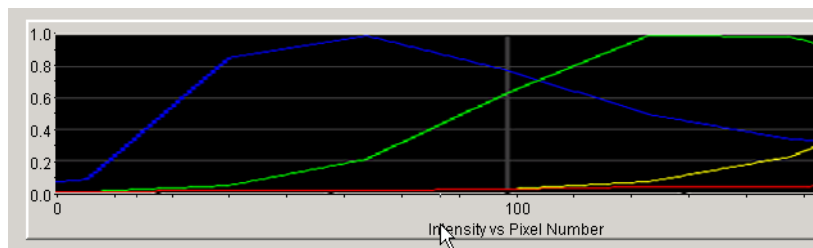
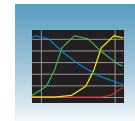**Magnified area of that spectral profile**

Notes

Activating a Spectral Calibration

IMPORTANT! A run cannot start unless a calibration file that matches the dye set and capillary array length combination to be used for the run, is active.

IMPORTANT! While the software does not force you to recalibrate spectrally after changing polymer types, it is strongly recommended that you perform a spectral calibration after a polymer type change.

IMPORTANT! Whenever you install or replace an array or switch dye sets, you must either set the active spectral calibration for that dye set and array length combination or perform a new spectral calibration and then activate it.

When you install an array that is a different length or type from what you were using previously, all spectral calibrations are voided. If a previous spectral calibration for the new array/new condition does not exist, you must run a new spectral calibration. If a previous calibration exists, go to the Spectral Viewer and choose a past calibration to set as an active spectral calibration before you proceed with regular runs, even though spectral profiles are displayed.

You cannot link or run a plate unless the dye set used in the plate has been set in the Spectral Viewer. Furthermore, when a plate is running, the Set Active Spectral Calibration function is inactive. Spectral Calibrations can be set only during the idle or ready mode.

IMPORTANT! Every new spectral calibration is automatically the active one for that dye set.

You may activate a previously created spectral calibration for a run as long as the calibration matches the dye set and array length combination (and, for fragment analysis, the polymer type) that you wish to use. Use an active spectral calibration for:

- Sequencing analysis applications that require a separate spectral calibration (for the same dye set) for different capillary array lengths and polymer type
- Fragment analysis applications that require a separate spectral calibration (for the same dye set) for different capillary array lengths and polymer type
- Repeat spectral calibrations where the original calibration is better than the second one

Notes _____

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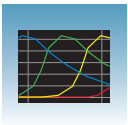
Setting an Active Spectral Calibration

1. In the tree pane of the Data Collection software, click
   GA Instruments > **ga3130*xl*** or **ga3130 >** *instrument name >* **Spectral Viewer**.

   IMPORTANT! If the Spectral Viewer window is blank and deactivated, then either:
   – The spectral calibration for that dye set is not in the database
   or,
   – You changed the array length and you do not have a spectral calibration file activated for that dye set and array length combination.

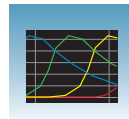2. In the Dye Set drop-down list, select a dye set.
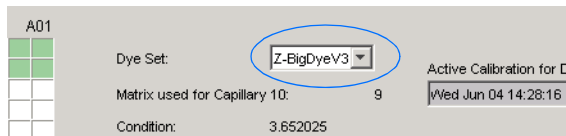
3. In the List of Calibrations for Dye Set drop-down list, select the spectral calibration you want to use. The spectral profile and raw data is displayed.
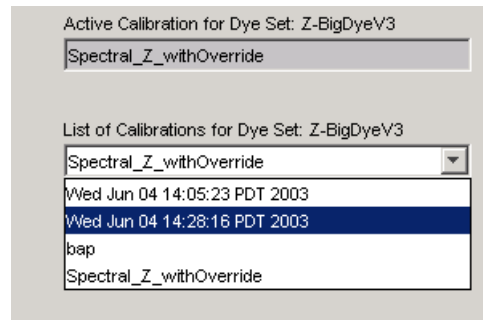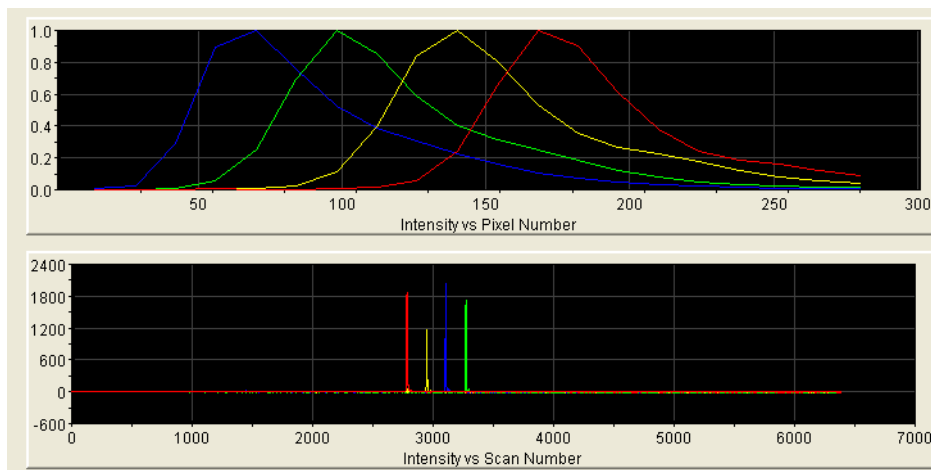

4. If the spectral calibration is acceptable, then click [Set]. Otherwise, run a new spectral calibration.
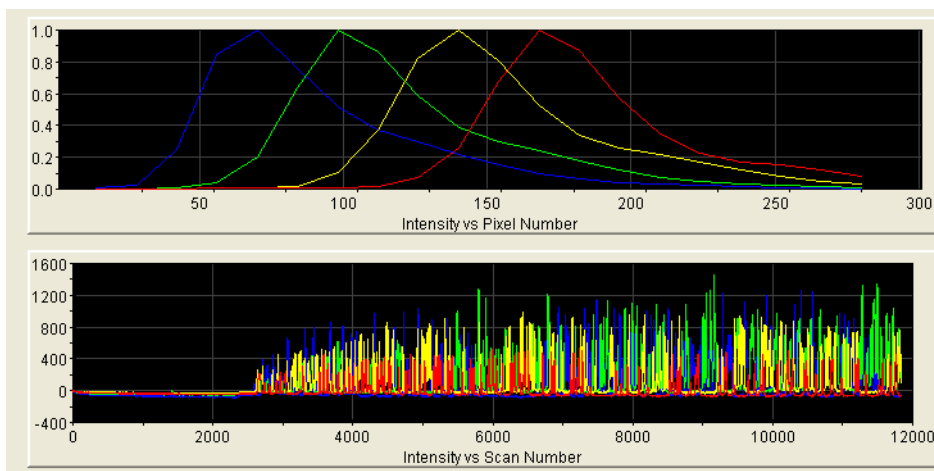
Notes

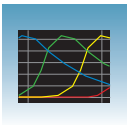# Examples of Passing Sequencing Spectral Calibrations
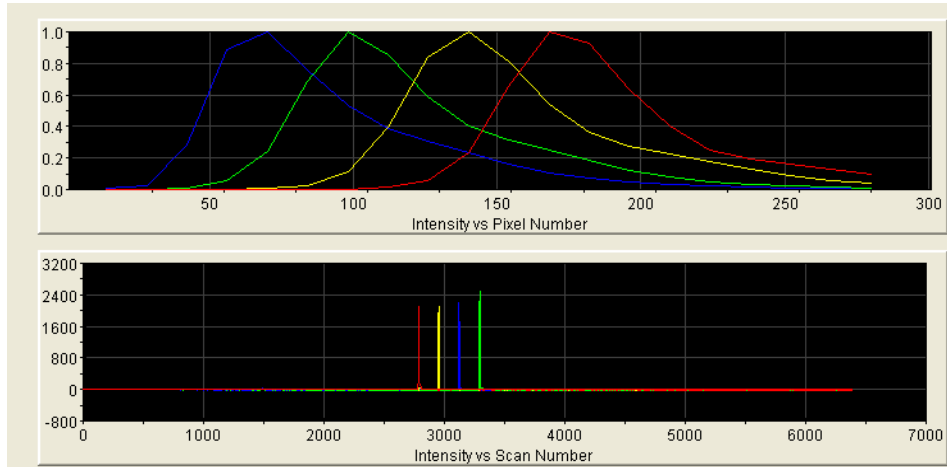
Dye Set Z Created from Matrix Standard
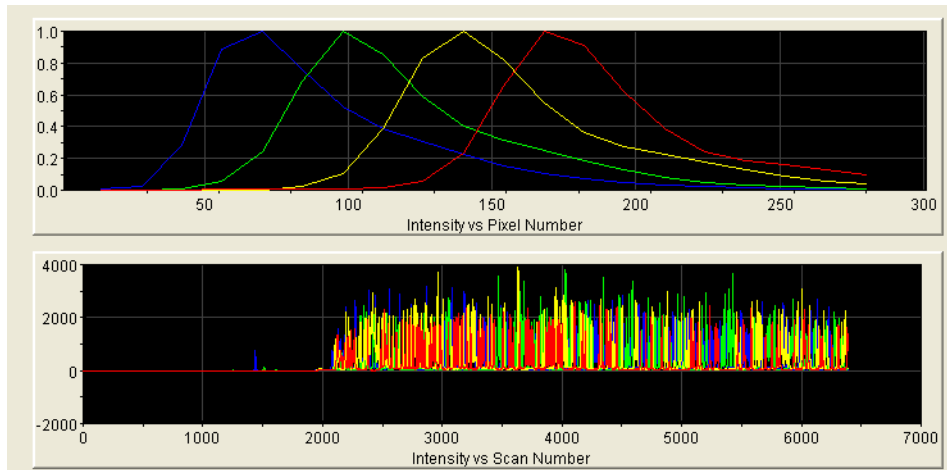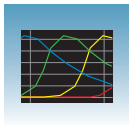

Dye Set Z Created from a Sequencing Standard

Notes

Dye Set E Created from Matrix Standard Set DS-01
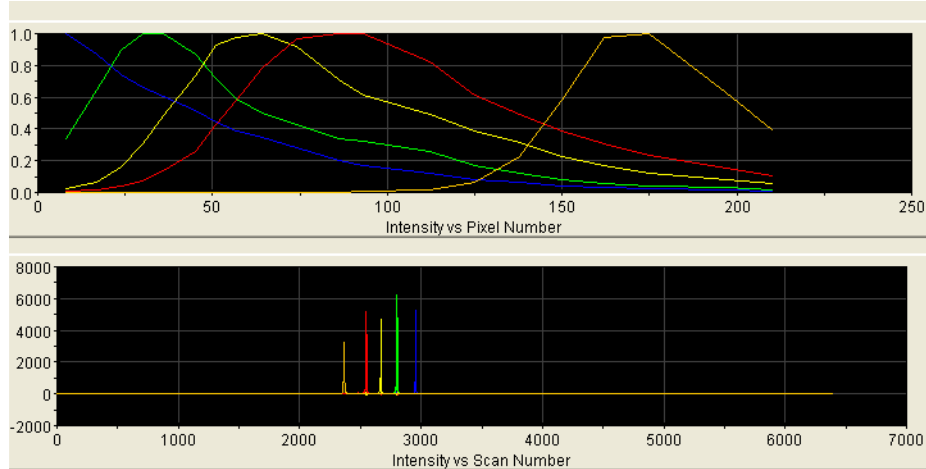

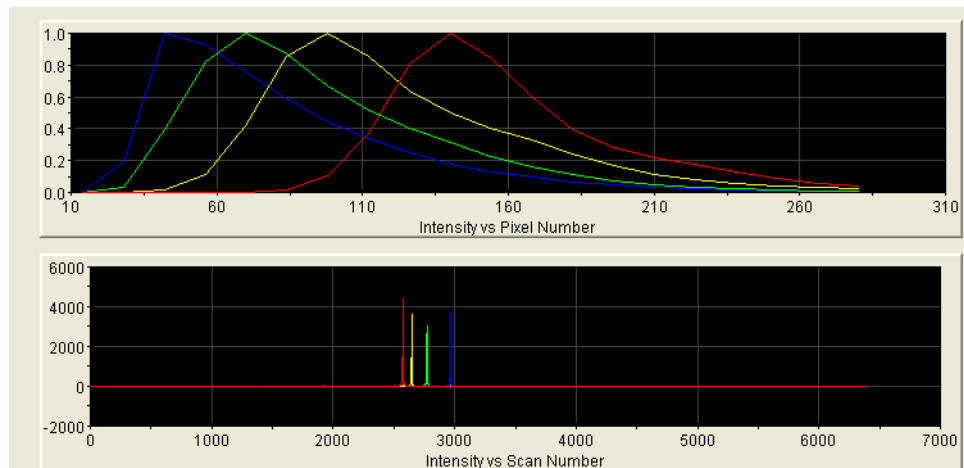**Dye Set E Created from a Sequencing Standard**

Examples of Passing Fragment Analysis Spectral Calibrations

Dye Set G5 Created from Matrix Standard Set DS-33

Dye Set F Created from Matrix Standard Set DS-32

Notes _____

**Dye Set D
Created from
Matrix Standard
Set DS-30**
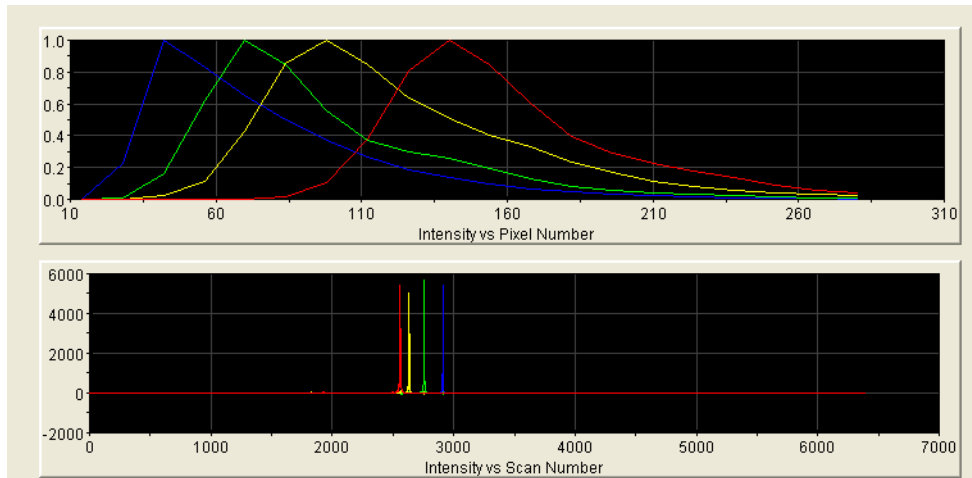
**Dye Set E5
Created from
Matrix Standard
Set DS-02**

Autoanalysis and Sequencing Analysis Software

Preparing the Instrument

↓

Performing a Spatial Calibration

↓

Performing a Spectral Calibration

↓

Autoanalysis and Sequencing Analysis Software

Create protocols for automated sequencing analysis — See page 69

↓

Create a Sequencing Analysis Plate Record — See page 88

↓

Fragment Analysis with GeneMapper Software

↓

Running the Instrument

4
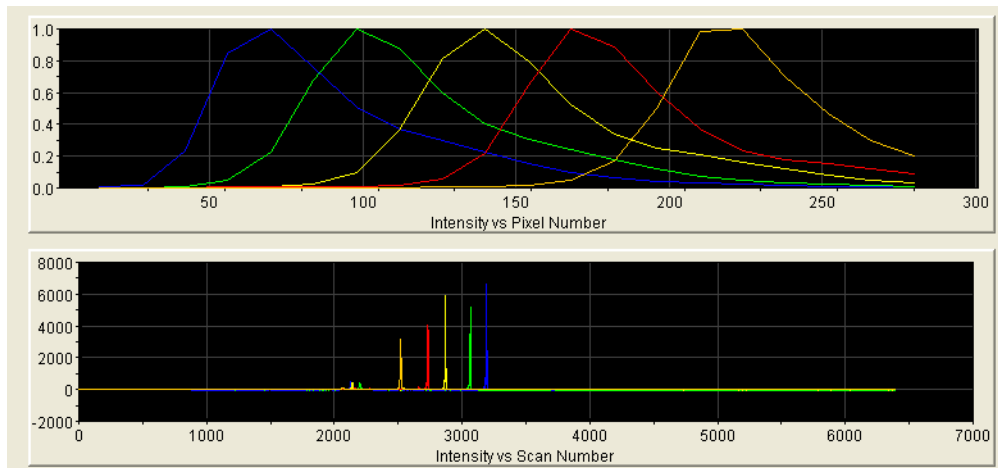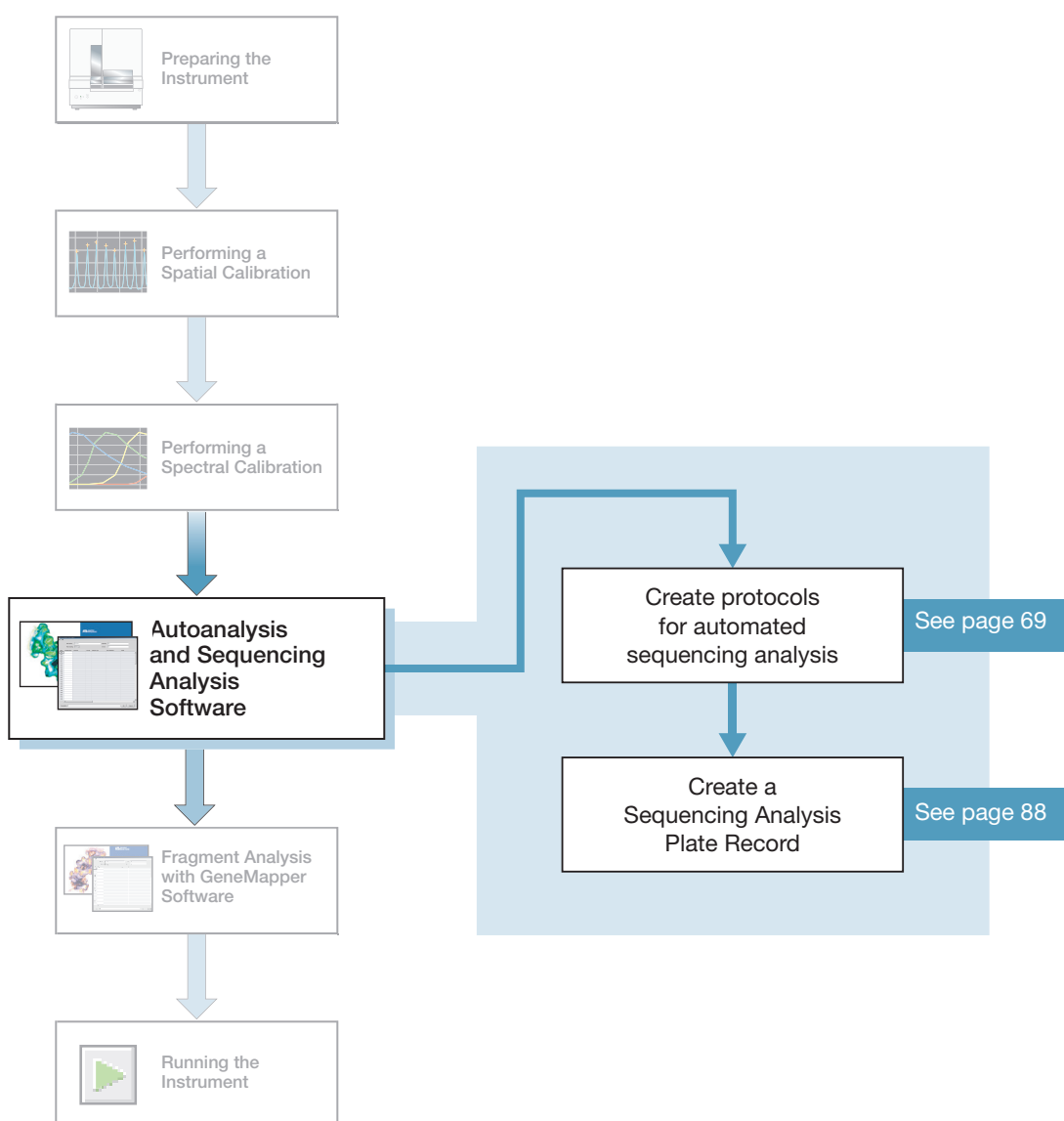
Notes _____

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Sequencing Analysis Software

See the *Applied Biosystems DNA Sequencing Analysis Software 6 User Guide* (Part no. 4474239) for details on sequencing analysis.

Analyze your sequencing samples automatically using autoanalysis or manually.

Autoanalysis

Perform autoanalysis of sequencing samples using features of the 3130 Series Data Collection Software 4 and Sequencing Analysis Software 6 or higher.

The Sequencing Analysis software must be installed and registered with the 3130 Series Data Collection Software 4 before you can create files required for autoanalysis.

Autoanalysis can only be performed on the computer that collected the sample files. If you want to edit/review results on another computer, transfer the analysis protocol to the Sequencing Analysis Software database. To analyze samples on another computer, transfer the sample files to that location.

Manual Analysis

If the run is not set up for autoanalysis, data can be analyzed after the run with Sequencing Analysis Software 6 on the instrument computer or alternate computer. Limited support is offered for older instruments of Sequencing Analysis Software.

File-Naming Convention

Some alphanumeric characters are not valid for user names or file names. Do not use the characters below:

spaces

\ / : * ? " < > |

IMPORTANT! An error message is displayed if you use any of these characters. You must remove the invalid character to continue.

Notes _____

About Plate Records and Sequencing Analysis

Overview   Plate records are data tables in the instrument database that contain the following information:

- Plate name, type, and owner
- Position of the sample on the plate (well number)
- Sample Name
- Mobility file (in Analysis Protocol)
- Comments about the plate and about individual samples
- Name of the run module and Dye set information (run modules specify the conditions to run the samples)
- Name of the Analysis Protocol

Note: A plate record is similar to a sample sheet or an injection list that you may have used with other Applied Biosystems Genetic Analyzer instruments.

When to Create a Plate Record   Create a plate record for each plate of samples for the following types of runs:

- Spectral calibrations
- Sequencing Analysis Software
- SeqScape Software analysis
- GeneMapper® software
- Mixed (sequencing and fragment analysis samples – see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide* for creating a mixed plate record)
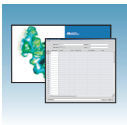
Autoanalysis with Sequencing Analysis Software 6 is supported, whereas autoanalysis with GeneMapper and SeqScape Software is no longer supported.

Note: For runs to begin, you must create a plate record for and link it to a plate loaded on the instrument. However, you can create plate records for new plates while a run is in progress.

About a Sequencing Analysis Plate Record   The Plate Editor displays an empty plate record for the application selected in the New Plate dialog box. The data fields within a given plate record vary depending on the selected application. This section describes the sequencing analysis plate record's data fields.
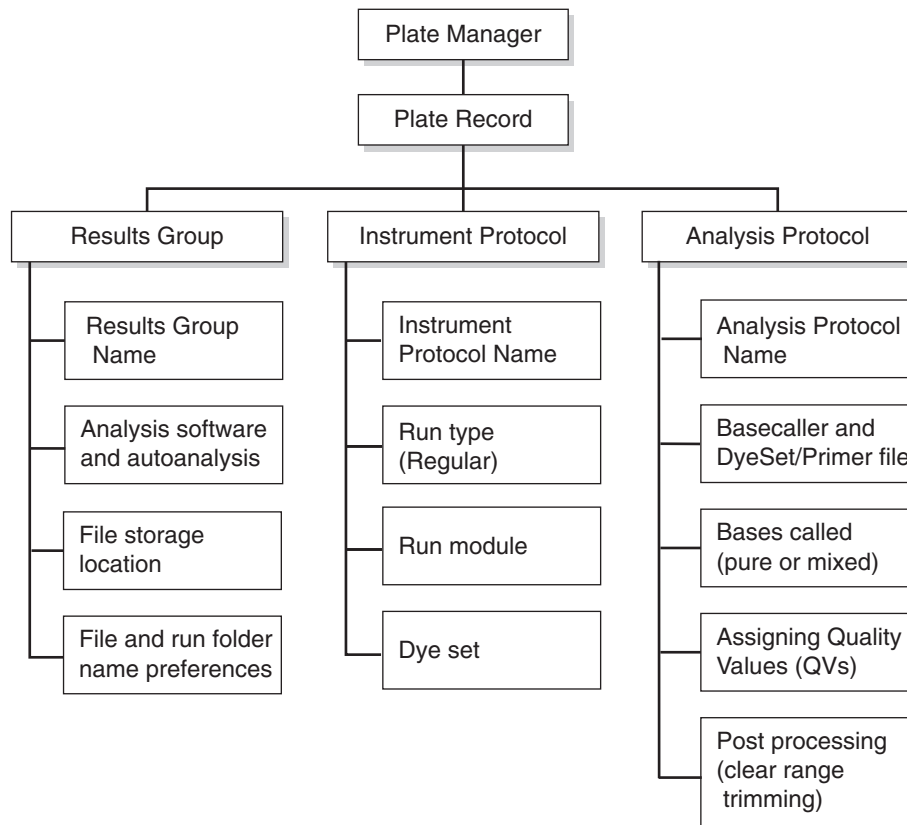
Notes _____

_____

The table below describes required plate editor fields:

Parameters	Description	See Page
Instrument Protocol	Contains the run module and dye set needed to run the instrument.	69
Analysis Protocol	Contains everything needed to analyze sequencing data.	72
Results Group	Defines the file type, the file name, file save locations, analysis software and autoanalysis.	81

Elements of a Sequencing Analysis Plate Record

```
                          ┌────────────────┐
                          │ Plate Manager  │
                          └────────┬───────┘
                          ┌────────┴───────┐
                          │  Plate Record  │
                          └────────┬───────┘
        ┌──────────────────────────┼──────────────────────────┐
┌───────────────┐          ┌──────────────────┐       ┌──────────────────┐
│ Results Group │          │ Instrument Protocol│      │ Analysis Protocol │
└───────┬───────┘          └─────────┬────────┘       └─────────┬────────┘
        │                            │                          │
 Results Group              Instrument                Analysis Protocol
 Name                       Protocol Name              Name

 Analysis software          Run type                   Basecaller and
 and autoanalysis           (Regular)                  DyeSet/Primer file

 File storage               Run module                 Bases called
 location                                              (pure or mixed)

 File and run folder        Dye set                    Assigning Quality
 name preferences                                      Values (QVs)

                                                       Post processing
                                                       (clear range
                                                        trimming)
```
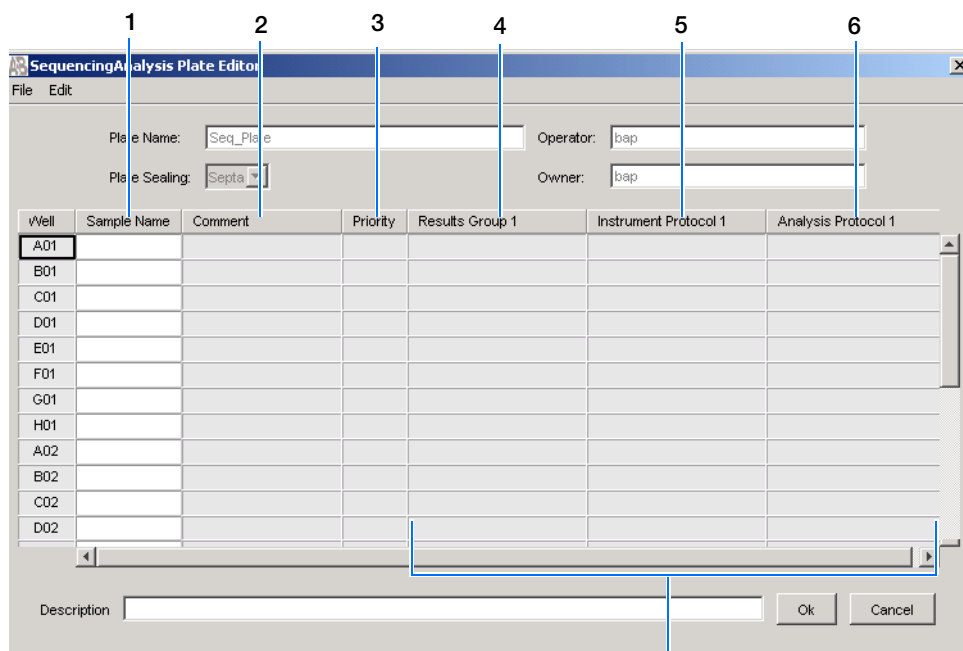
IMPORTANT! For data collection and autoanalysis to succeed, each run of samples must have an Instrument Protocol, an Analysis Protocol, and a Results Group assigned within a plate record.
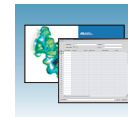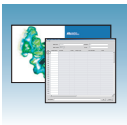
A Blank Sequencing Analysis Plate Record

Default is one sample run, to add additional runs see page 90

Columns inserted in a plate record for a sequencing analysis run.

| Number and Column	Description
1. Sample Name	Name of the sample
2. Comment	Comments about the sample (optional)
3. Priority	A default value of 100 to each sample. Changing the value to a smaller number increases the priority of that set of 16 or 4 samples to run before the others in the injection list.
4. Results Group	Some options: • New: Opens the Results Group Editor dialog box. • Edit: Opens the Results Group Editor dialog box for the Results Group listed in the cell. • None: Sets the cell to have no selected Results Group. • Select one of the available Results groups from the list. **Note:** You must have a Results Group selected for each sample entered in the Sample Name column. See "Results Group for Sequencing Analysis" on page 81.

Notes _____

_____

Number and Column	Description
5. Instrument Protocol	• New: Opens the Protocol Editor dialog box. • Edit: Opens the Protocol Editor dialog box for the Instrument Protocol listed in the cell. • None: Sets the cell to have no selected protocol. • List of Instrument Protocols: In alpha-numeric order. **Note:** You must have an Instrument Protocol selected for each sample entered in the Sample Name column. See "Instrument Protocol for Sequencing Analysis" on page 69.
6. Analysis Protocol	• New: Opens the Analysis Protocol Editor dialog box. • Edit: Opens the Analysis Protocol Editor dialog box for the Instrument Protocol listed in the cell. • None: Sets the cell to have no selected protocol. • List of Analysis Protocols: In alpha-numeric order. **Note:** You must have an Analysis Protocol selected for each sample entered in the Sample Name column. See "Analysis Protocol for Sequencing Analysis" on page 72.

Notes
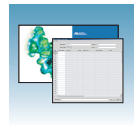
Creating Protocols for Automated Sequencing Analysis

If the appropriate instrument protocol, analysis protocol, and results group have been created, proceed to "Filling Out a Sequencing Analysis Plate Record" on page 88.

Instrument Protocol for Sequencing Analysis

An instrument protocol contains all the settings necessary to run the instrument: the protocol name, type of run, run module, and dye set.
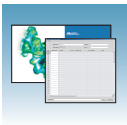
⚠️ **WARNING** Do not edit the instrument protocol currently being used, while the instrument is running.

Creating an Instrument Protocol

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🖼 **ga3130***xl* or **ga3130** > 🗗 **Protocol Manager**.
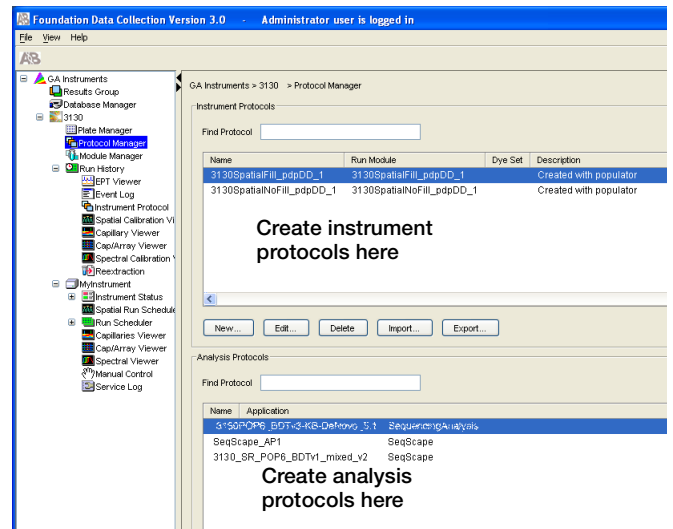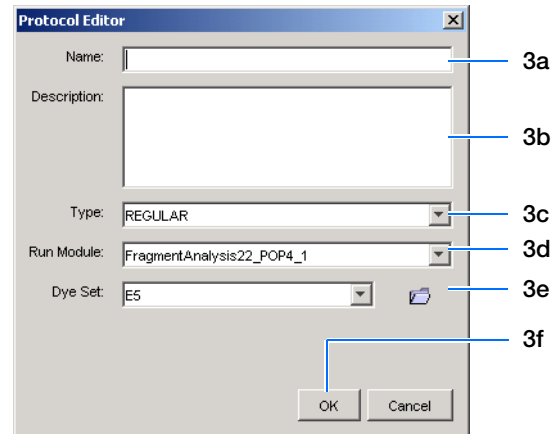
2. In the Instrument Protocol section, click
New... .

The Protocol Editor opens.
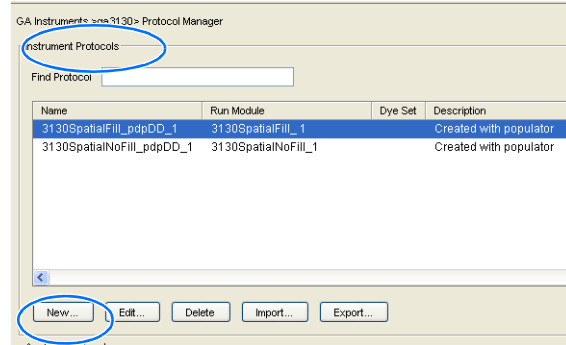
3. Complete the Protocol Editor:

   a. Type a name for the protocol.

   b. Type a description for the protocol (optional).

   c. Select **Regular** in the Type drop-down list.

   d. Select the correct run module for your run. See "Sequencing Resolution Performance and Specifications" on page 11.

   Note: To customize a run module, see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide* (Part no. 4477854).
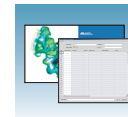
e. Select the correct Dye Set for your run by using the table below.

Chemistry	Dye Set	POP-4 Polymer			POP-6 Polymer		POP-7 Polymer				
		UltraSeq36	StdSeq50	LongSeq80	RapidSeq36	StdSeq50	UltraSeq36	RapidSeq36	FastSeq50	StdSeq50	LongSeq80
BigDye® Terminator v3.1 Cycle Sequencing Kit	Z_BigDye V3	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
BigDye®Direct Cycle Sequencing Kit		—	—	—	—	—	✓	✓	✓	✓	—
dGTP BigDye® Terminator v3.0 Cycle Sequencing Ready Reaction Kit		—	—	—	—	—	—	—	—	—	—
BigDye® Terminator v1.1 Cycle Sequencing Kit	E_BigDye V1	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
dGTP BigDye® Terminator v1.0 Cycle Sequencing Ready Reaction Kit*		—	—	—	—	—	—	—	—	—	—
dRhodamine Dye Terminator Cycle Sequencing Kit		✓	✓	✓	✓	✓	—	—	—	—	—
BigDye® Primer Cycle Sequencing Kits		—	—	—	✓	✓	—	—	—	—	—

*dGTP kits are not supported on capillary electrophoresis instruments due to compressions on certain sequence context regions; you can run the kits if you are not concerned about compression issues. The dGTP BigDye Terminator Cycle Sequencing Kits replace dITP with dGTP to sequence GT- and G-rich templates.
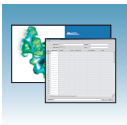
f. Click OK .

Importing an Instrument Protocol

1. Click Import in the Instrument Protocols pane of the Protocol Editor window to display the standard File Import dialog box.

2. Navigate to the location of the .xml file you want to import.

3. Select the .xml file and click **Open**.
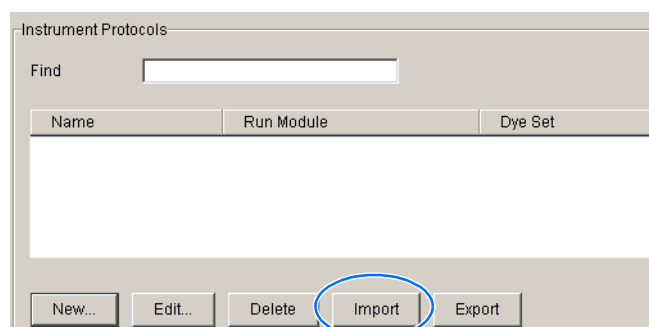
Exporting an Instrument Protocol

1. In the Instrument Protocols pane, highlight the protocol you want to export.

2. Click Export to display the standard File Export dialog box.

3. Browse to the desired folder location.

Notes

4. Click Save .

Analysis Protocol for Sequencing Analysis

An analysis protocol contains all the settings necessary for analysis and post processing:

- **Protocol name** – The name, description of the analysis protocol, and the sequence file formats to be used.
- **Basecalling settings** – The basecaller, DyeSet/Primer file, and analysis stop point to be used.
- **Mixed Bases** (Optional) – When two bases are found at the same position. Define the percent value of the second highest to the highest peak.
- **Clear Range** – The high quality sequence remaining after trimming low quality sequences typically found at the beginning and ends of the sequence. The clear range can be based on base positions, sample quality values, and/or number of ambiguities (Ns) present.

Note: If you created an appropriate analysis protocol in the Sequencing Analysis software, you can use it in Data Collection software.

IMPORTANT! Do not delete an analysis protocol during a run while it is being used for that run. Autoanalysis will not be performed if you do so.

Notes

Creating an Analysis Protocol

See the *Applied Biosystems DNA Sequencing Analysis Software 6 User Guide* (Part no. 4474239) for more information on analysis protocols.

1. In the Analysis Protocol section of the Protocol Manager, click New... .

If more than one analysis application is installed on the data collection computer, the Analysis Applications dialog box opens.
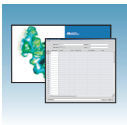

Note: When you name your analysis protocol, assign a version name to help you distinguish your analysis protocols.

---
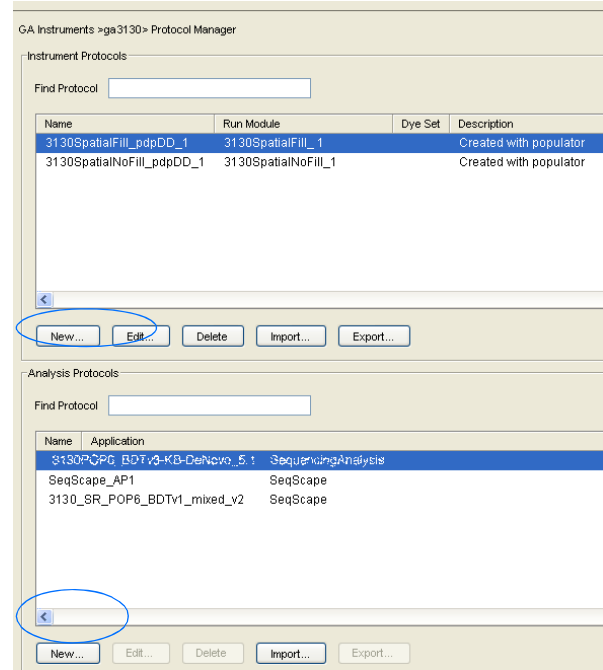
2. Select **Sequencing Analysis**, then click OK to open the Analysis Protocol Editor dialog box.
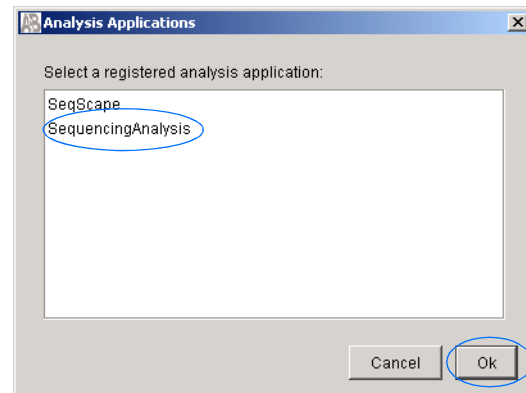
3. In the **General** tab:

a. Enter a unique name and description for the new protocol.

b. Select the appropriate Sequence File formats settings.

Option	Creates…
Write .Seq File check box	A .seq file for printing the sequence as text file or for use in other software. • Use ABI format with Applied Biosystems software. • Use FASTA format with other software.
Write Standard Chromatogram Format file (.scf)	A .scf file to use with other software. When created, the .scf extension is not appended to the file name.
Write Phred (.phd.1) File	A .phd.1 file to use with other software if you used the KB™ Basecaller.
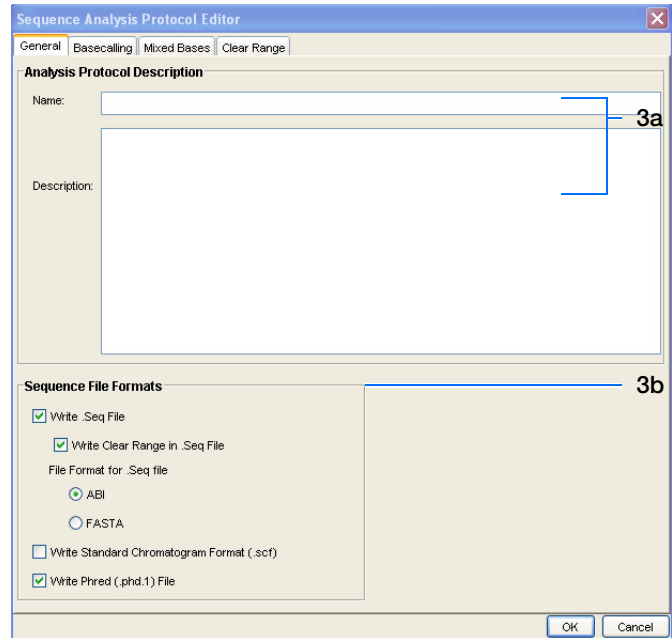
4. Select the **Basecalling** tab.

a. See "3130/3130xl Genetic Analyzer Basecaller and DyeSet/Primer Files" on page 77 to select your basecaller and DyeSet primer file.

Note: Sequencing Analysis Software and 3130 Series Data Collection Software 4 filter .mob file choices to match the chosen .bcp file.

See Appendix B, "KB™ Basecaller Software v1.4.1," for a comparison of Basecaller options. KB Basecaller can call 2-base mixed base positions (K, M, S, R, Y, and W) and assign per-base quality values, whereas the older ABI Basecaller cannot.
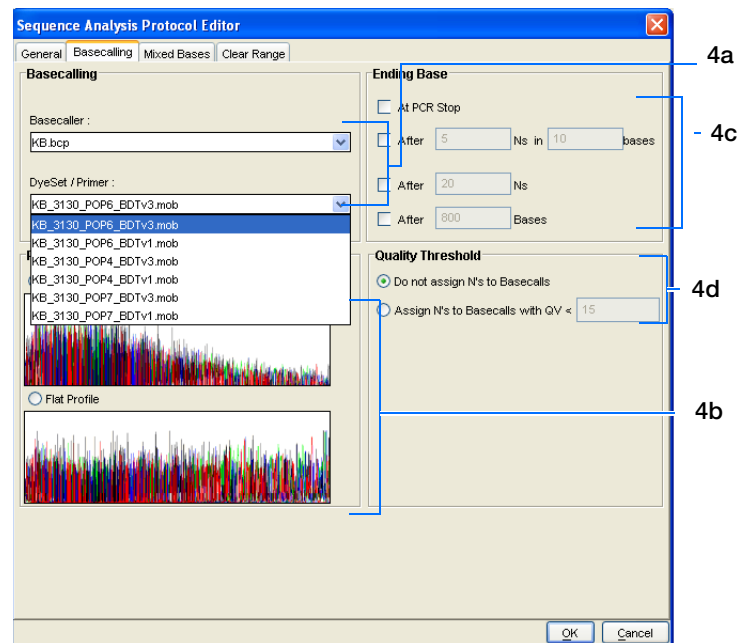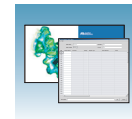
b. In the Processed data pane, select True or Flat Profile.

Option	Used to display data as processed traces scaled...
⦿ True Profile	Uniformly - the average height of peaks in the region of strongest signal is about equal to a fixed value. The profile of the processed traces will be very similar to that of the raw traces.
⦿ Flat Profile	Semi-locally - the average height of peaks in any region is about equal to a fixed value. The profile of the processed traces will be flat on an intermediate scale (> about 40 bases). **Note:** This option is applied to data that is analyzed with the KB™ basecaller only. If you use the ABI basecaller, the profile option reverts to True Profile.

c. If desired, select one or more stop points for data analysis.

d. Select your Threshold Quality option. If data is expected to contain a PCR stop point within the electropherogram, check "At PCR Stop."

Option	Function
⦿ Call all bases and assign QV	With the KB™ Basecaller, use this setting to assign a base to every position, as well as the QV.
⦿ Assign 'N' for bases with QV < 15	With the KB Basecaller, use this setting to assign Ns to base with QVs less than the set point. The QV is still displayed.

5. Select the **Mixed Bases** tab.

Note: This function is active with the KB Basecaller only.

a. For data containing any mixed bases, select **Use Mixed Base Identification**.

b. The user can set the secondary peak threshold, as a percentage of the primary peak, for consideration as a mixed base by the basecalling algorithm.

Reaching this threshold is a necessary, but insufficient, condition for arriving at a mixed base determination. Set the percentage by entering a value into the "__ %" field or by dragging the horizontal line above or below the 25% default setting (see figure at right).

Note: Do not use less than 15% as your detection limit.
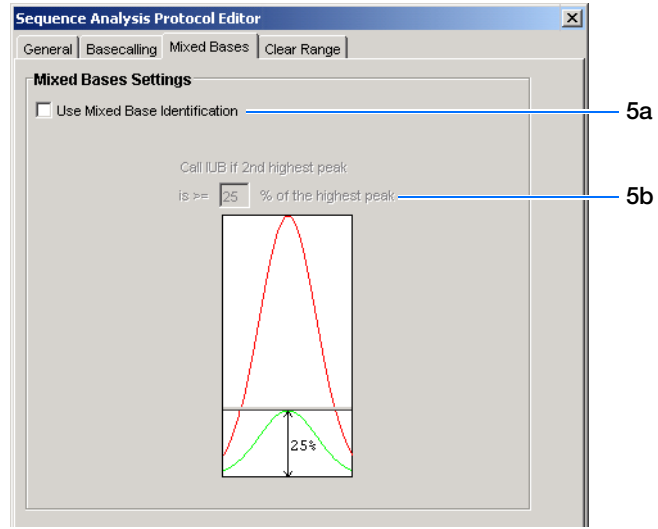
6. Select the **Clear Range** tab.

Note: The clear range is the region of sequence that remains after excluding the low-quality or error prone sequence at both the 5´ and 3´ ends.

Select one or more Clear Range methods. If you apply multiple methods, the smallest clear range results.

7. Click [OK] to save the protocol and close the Sequence Analysis Protocol Editor dialog box.

Use with ABI and KB Basecallers

Use with KB Basecaller
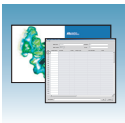
Use with ABI and KB Basecallers
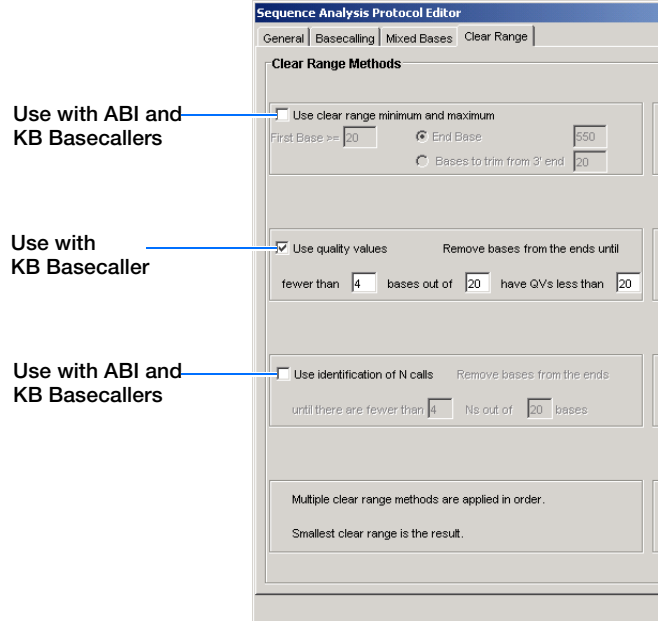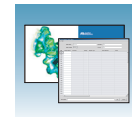
3130/3130xl Genetic Analyzer Basecaller and DyeSet/Primer Files

Basecaller and DyeSet/Primer Files Used with BigDye® Terminator Chemistry and KB Basecalling

DNA Sequencing Chemistry	Polymer	KB Basecalling Run Module	DyeSet/Primer	Basecaller
BigDye® Terminator v1.1 Cycle Sequencing Kit	POP-4®	UltraSeq36_POP4	KB_3130_POP4_BDTv1.mob	KB.bcp
		StdSeq50_POP4		
		LongSeq80_POP4		
	POP-6™	RapidSeq36_POP6	KB_3130_POP6_BDTv1.mob	
		StdSeq50_POP6		
	POP-7™	UltraSeq36_POP7	KB_3130_POP7_BDTv1.mob	
		RapidSeq36_POP7		
		FastSeq50_POP7		
		StdSeq50_POP7		
		LongSeq80_POP7		
BigDye® Terminator v3.1 Cycle Sequencing Kit	POP-4®	UltraSeq36_POP4	KB_3130_POP4_BDTv3_.mob	
		StdSeq50_POP4		
		LongSeq80_POP4		
	POP-6™	RapidSeq36_POP6	KB_3130_POP6_BDTv3.mob	
		StdSeq50_POP6		
	POP-7™	UltraSeq36_POP7	KB_3130_POP7_BDTv3.mob	
		RapidSeq36_POP7		
		FastSeq50_POP7		
		StdSeq50_POP7		
		LongSeq80_POP7		
BigDye® Direct Cycle Sequencing Kit	POP-7™	UltraSeq36_POP7	KB_3130_POP7_BDTv3direct.mob	
		RapidSeq36_POP7		
		FastSeq50_POP7		
		StdSeq50_POP7		

Notes _____

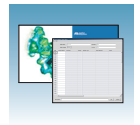**Basecaller and DyeSet/Primer Files Used with BigDye® Terminator Chemistry and ABI Basecalling**

DNA Sequencing Chemistry	Polymer	ABI Basecalling Run Module	Basecaller	DyeSet/Primer
BigDye® Terminator v1.1 Kit	POP-4®	UltraSeq36_POP4	Basecaller-3130POP4UR.bcp	DT3130POP4LR{BD}v1.mob
		LongSeq80_POP4	Basecaller-3130POP4_80cmv3.bcp	
	POP-6™	RapidSeq36_POP6	Basecaller-3130POP6RRv2.bcp	DT3130POP6{BD}v2.mob
		StdSeq50_POP6	Basecaller-3130POP6SR.bcp	
BigDye Terminator v3.1 Cycle Sequencing Kit	POP-4®	UltraSeq36_POP4	Basecaller-3130POP4UR.bcp	DT3130POP4{BDv3}v1.mob
		LongSeq80_POP4	Basecaller-3130POP4_80cmv3.bcp	
	POP-6™	RapidSeq36_POP6	Basecaller-3130POP6RRv2.bcp	DT3130POP6{BDv3}v1.mob
		StdSeq50_POP6	Basecaller-3130POP6SRv2.bcp	
dRhodamine Dye Terminator Cycle Sequencing Kit	POP-4®	UltraSeq36_POP4	Basecaller-3130APOP4UR.bcp	DT3130POP4{dRhod}v2.mob
		LongSeq80_POP4	Basecaller-3130POP4_80cmv3.bcp	
	POP-6™	RapidSeq36_POP6	Basecaller-3130POP6RRv2.bcp	DT3130POP6{dRhod}v2.mob
		StdSeq50_POP6	Basecaller-3130POP6SR.bcp	

Dye Primer Chemistry

Basecaller and DyeSet/Primer Files Used for Dye Primer Chemistry

DNA Sequencing Chemistry	Polymer	ABI Basecalling Run Module	Basecaller	DyeSet/Primer
BigDye® Primer Cycle Sequencing Kit	POP-6™	RapidSeq36_POP6	Basecaller-3130POP6RRv2.bcp	DP3130POP6{BD-21M13}v1.mob
		StdSeq50_POP6	Basecaller-3130POP6SR.bcp	DP3130POP6{BD-21M13Rev}v1.mob

Notes _____

Editing and Deleting Analysis Protocols

Editing an Analysis Protocol

1. In the Analysis Protocols pane in the Analysis Protocol Manager, highlight the protocol you want to edit.

2. Click   Edit... .

3. Make changes in the General, Basecalling, Mixed Bases and Clear Range tabs, if appropriate.

4. Click   OK   to save the protocol and close the Analysis Protocol Editor dialog box.
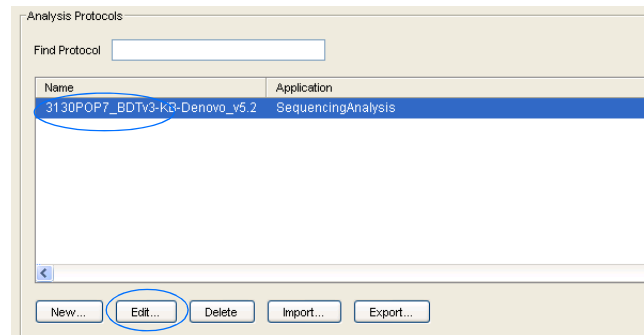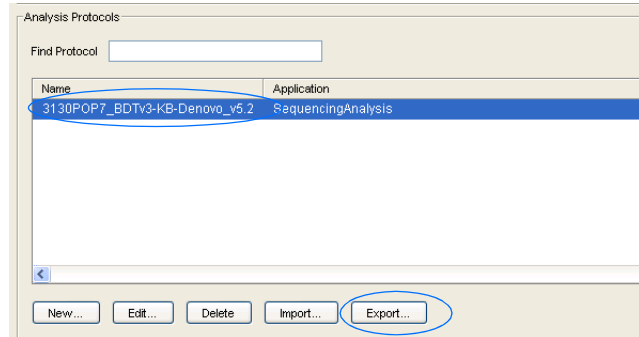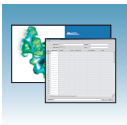
Deleting an Analysis Protocol

IMPORTANT! Do not delete an analysis protocol during a run while it is being used for that run. Autoanalysis will not be performed if you do so. You must first delete any plate records using the analysis protocol before you can delete or modify the analysis protocol for these plate records.

1. In the Analysis Protocols pane in the Analysis Protocol Manager, highlight the protocol you want to delete.

2. Click   Delete   to display the Deletion Confirmation dialog box.

3. Click   Yes .

   Note: To reuse a plate after deleting the associated analysis protocol, recreate the analysis protocol with the same name or assign the plate a unique name.

4
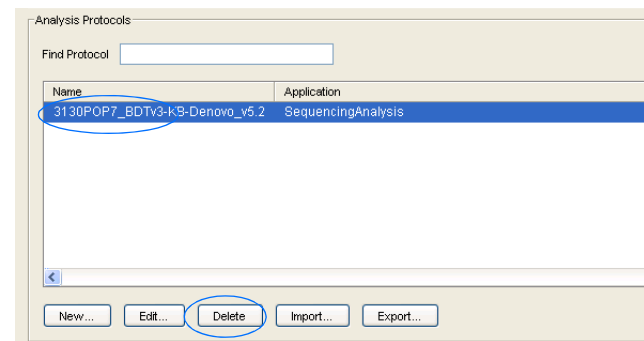
Notes

Exporting an Analysis Protocol

1. In the Analysis Protocols pane in the Analysis Protocol Manager, highlight the protocol you want to export.

2. Click [Export] to display the standard File Export dialog box.

3. Browse to the desired folder location.

4. Click **Save**.

Importing an Analysis Protocol

1. Click [Import] to display the standard File Import dialog box.

2. Browse for the .xml file to import and click **Open**.

   Note: For multiple applications, select the appropriate application to associate with the analysis protocol.
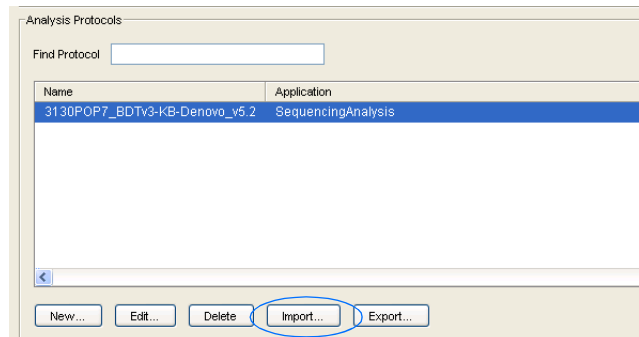
Results Group for Sequencing Analysis

A Results Group is a component within Data Collection that organizes samples and certain user settings under a single name. It is called a Results Group because it is used to name, sort, and deliver samples that result from a run.

Creating a Results Group

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🔲 **Results Group**.

2. Click New... to display the Results Group Editor window.
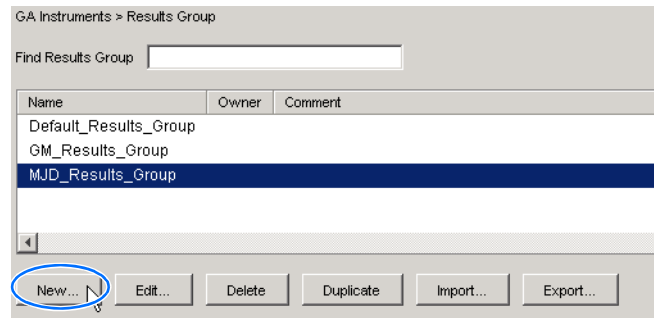
3. Complete the General tab:

    a. Type a unique Results Group Name. The name can be used in grouping sample files.

    b. Type a Results Group Owner (optional). The owner name can be used in naming and sorting sample files.

    c. Type a Results Group Comment (optional).
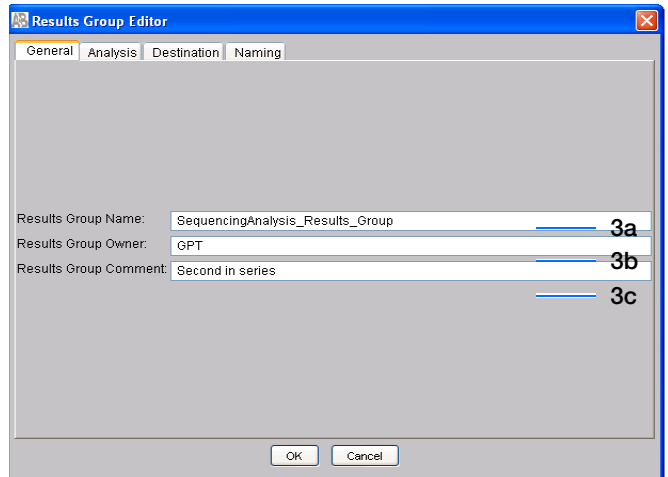
Notes

4. Select the **Analysis** tab, then:

   a. Select **Sequencing Analysis** from the Analysis Type drop-down list.

   b. In the Analysis Actions section, select **Do Autoanalysis**, if you want your data automatically analyzed after a run.

   **Note:** Login ID and password are not required for Sequencing Analysis software.
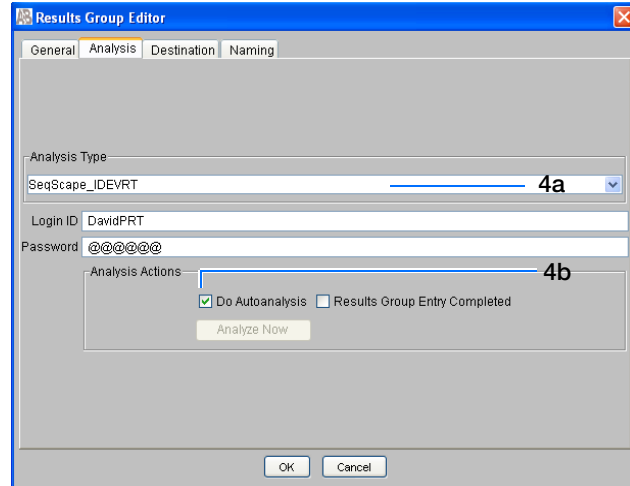
5. Select the **Destination** tab, then use the default destination or define a new location for data storage.

To use …	Then …
default location; see next page for information on *Sample File Destinations	skip to step 6.
custom location	complete steps a-b.

Note: The Results Group Destination tab, and Data Collection software in general, does not recognize remote storage locations unless they have been mapped to a local drive letter using the Map Network Drive feature of the operating system. Specify the mapped drive letter location in the Results Group Destination tab.

   a. Click **Use Custom Location**, then click Browse... to navigate to a different save location.

   b. Click Test to test the Location path name connection:

If it…	Then a message box displays …
Passes	Test succeeded: <"path">.
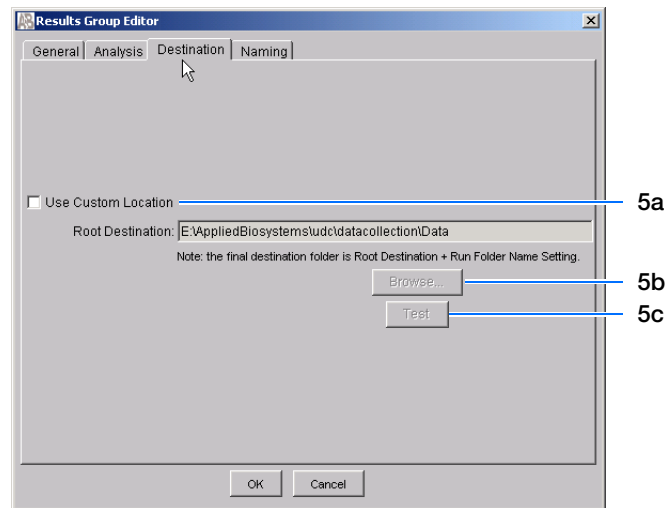
Notes
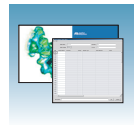
If it…	Then a message box displays …
Fails	Test failed:<"path">.

---

*Sample File Destinations

Locations where sample files are placed during extraction:

- Default Destination, default folder naming: E:\AppliedBiosystemsUDC\DataCollection\data*<instrument type>*\ *<instrument name>*\run folder
- Default Destination, custom folder naming: E:\AppliedBiosystemsUDC\DataCollection\data\top custom folder\ subfolders, etc.
- Custom Destination, default folder naming: Destination*<instrument type>**<instrument name>*\run folder
- Custom Destination, custom folder naming: Destination\top customer folder\subfolders, etc.

---

6. Select the **Naming** tab.

Use the Naming tab to customize sample file and run folder names. You have two options:

- Use the default names for the folder and sample file.
- Use custom names for the folder and sample file. See "Optional: Completing Sample File Name Format Pane" on page 84 for elements of the Naming tab.

Note: Sample name, run folder name, and path name, *combined*, can total no more than 250 characters.

Sample File Name Format pane

Run Folder Name Format pane

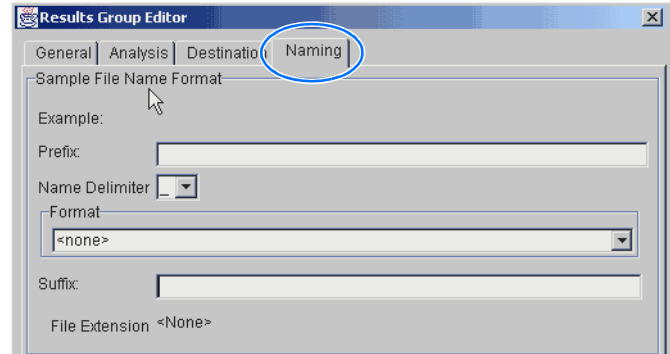---
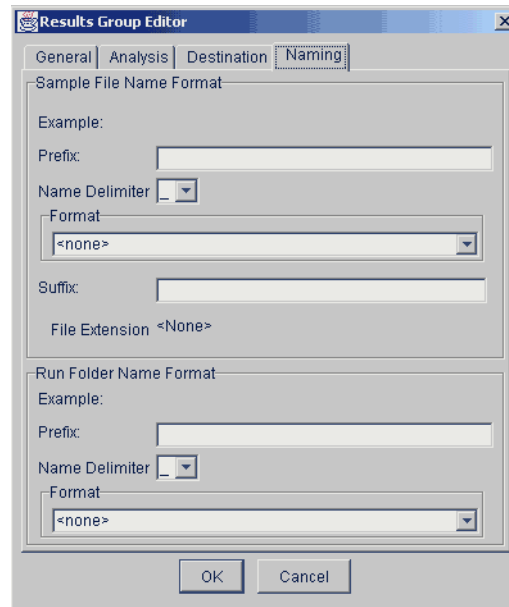
Optional: Completing Sample File Name Format Pane

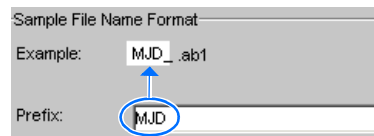**Note:** The default sample file naming for sequencing analysis is:
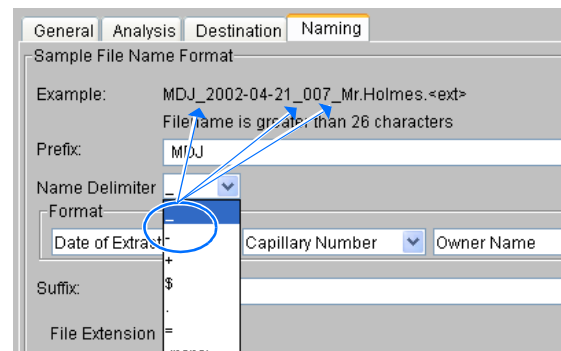seq_<capillary number>_<wellposition>.ab1

1. Select the **Naming** tab.

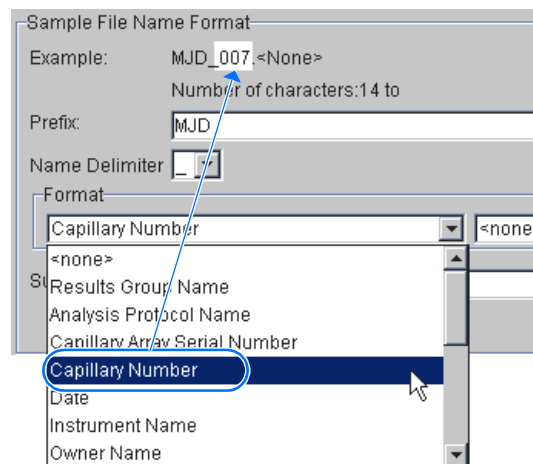2. Type a prefix for the file name in the Prefix box. Your entry is shown in the Example line.

3. Select the symbol that separates the Format elements in the file name from the **Name Delimiter** drop-down list. Only one delimiter symbol may be chosen.
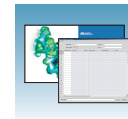
4. Click the Format list and then select the components that you want in the sample name.

   Note: All the samples from a single run can be placed in the same run or results folder, so the name of every sample from a single run should be different. Most of the Format options are not different between samples, so select at least one of the options that make the sample names unique within a run.
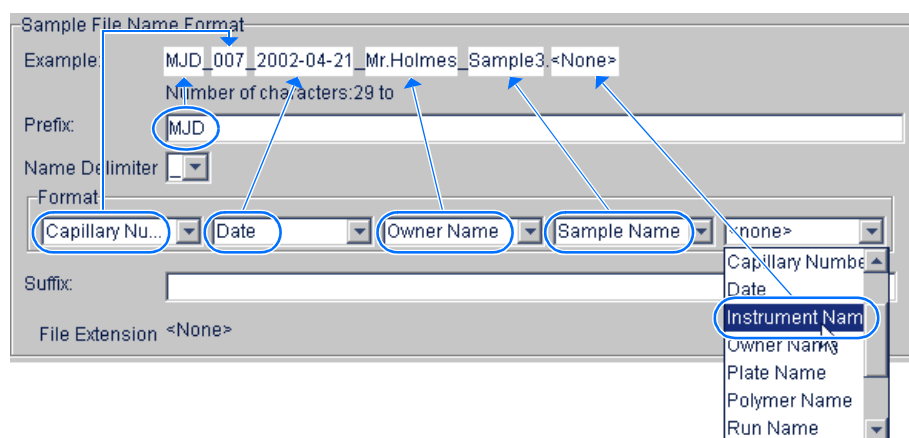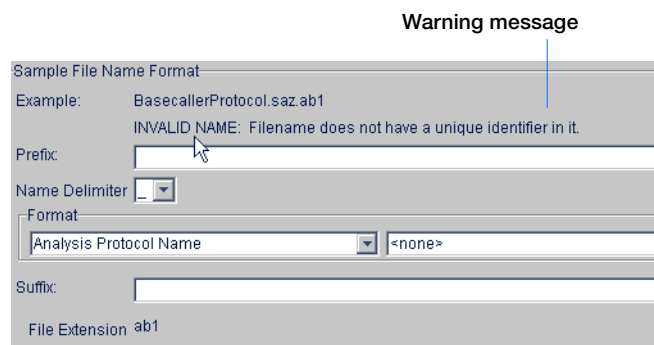
Notes

If a unique identifier is not included in the name, a warning message displays. Select from the elements to make a unique sample file name. Examples are:

– Well position

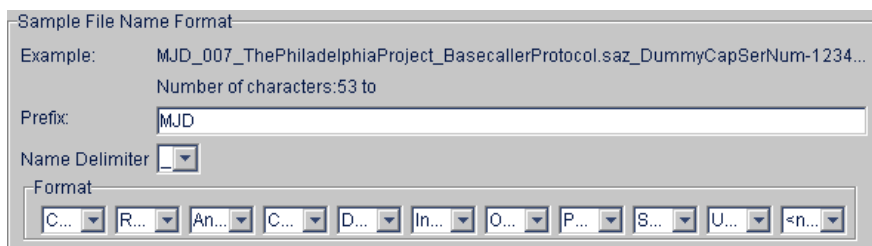– Capillary number

– Run sequence number

– Plate Quadrant

Note: some identifiers, such as well position, capillary number and plate quadrant are not unique in multiple sample instances. Plate Quadrant is not a unique identifier for a 96-well plate.

Warning message

Sample File Name Format

Example: BasecallerProtocol.saz.ab1

            INVALID NAME: Filename does not have a unique identifier in it.

Prefix:

Name Delimiter _ ▾

Format

Analysis Protocol Name ▾ <none>

Suffix:

File Extension ab1

As you select the elements for the file name, they are placed in the Example line. An additional element drop-down menu displays allowing you the option of selecting an additional element.
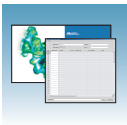
Sample File Name Format

Example: MJD_007_2002-04-21_Mr.Holmes_Sample3_<None>

            Number of characters:29 to

Prefix: MJD

Name Delimiter _ ▾

Format

Capillary Nu... ▾ Date ▾ Owner Name ▾ Sample Name ▾ <none> ▾

            Capillary Numbe
            Date
            Instrument Nam
            Owner Name
            Plate Name
            Polymer Name
            Run Name

Suffix:

File Extension <None>

The names of the Format elements eventually truncate, but the Example field remains visible (up to 72 characters).

**Note:** Resize the Results Group window horizontally to view the element options.

Sample File Name Format

Example: MJD_007_ThePhiladelphiaProject_BasecallerProtocol.saz_DummyCapSerNum-1234...

            Number of characters:53 to

Prefix: MJD

Name Delimiter _ ▾

Format

C... ▾ R... ▾ An... ▾ C... ▾ D... ▾ In... ▾ O... ▾ P... ▾ S... ▾ U... ▾ <n... ▾

Notes

5. Type the suffix for the file name in the Suffix box.

> **Note:** The File Extension field displays the file extension generated from the Analysis Type specified on the Analysis tab (see page 82). For example, Sequencing Analysis produces sample files with a .ab1 extension.
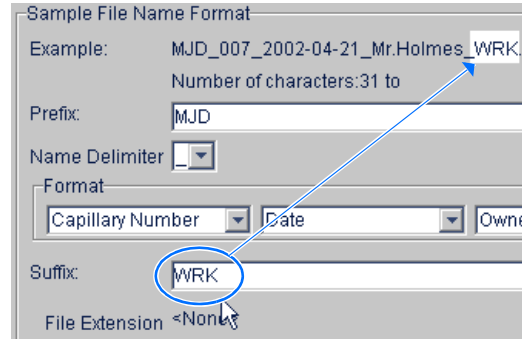
Optional: Run Folder/Sub-Folder Name Format Pane

> **Note:** The default run folder naming for sequencing analysis is Run_<Instrumentname>_<Dateand TimeofRun>_<RunSeq#>.

Follow the same steps described for the Sample File Name Format pane (see page 84) to change the sub-folder name within the run folder. Make the sub-folder name unique, such as using the Run Folder name.

Saving a Results Group

Click ___OK___ from any tab once all the elements within the Results Group have been chosen.

> **Note:** Even if you create a custom run folder location, the system generates a separate default run folder that contains the log file.

Importing and Exporting a Results Group

Results Groups can be imported from, or exported to, XML files allowing sharing of identical Results Groups between instruments.

Importing a Results Group

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > ▣ **Results Group**.

2. Click ___Import___ to display the standard File Import dialog box.

Notes _____

3. Navigate to the file you want to import.

Note: Import file type is .xml (XML file).

4. Click Open .

Exporting a Results Group

1. In the tree pane of the Data Collection software, click **GA Instruments** > **Results Group**.

2. Click the Results Group name to select it.

3. Click Export .

A standard file export dialog box displays with the chosen Results Group name.

4. Navigate to the location where you want to save the exported file.

5. Click Save .

Note: If there is a name conflict with a Results Group that already exists at the save location, then duplicate the Results group. Duplication copies the settings into a similar Results Group without the risk of user error when copying it manually (see procedure below).

Duplicating a Results Group

1. Click the Results Group name.

2. Click Duplicate .

Note: When you duplicate a Results Group, you are asked to type a name for the new Results Group and for the analysis application type.

Notes _____

Filling Out a Sequencing Analysis Plate Record

Creating a Sequencing Analysis Plate Record

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🖼 **ga3130***xl* or **ga3130** > ▦ **Plate Manager**.

2. Click New... to display the New Plate Dialog dialog box.

3. Complete the information in the New Plate Dialog:

   a. Type a name for the plate.

   b. Type a description for the plate (optional).

   c. Select your sequencing application in the Application drop-down list.

   d. Select **96-well** or **384-well** in the Plate Type drop-down list.

   e. Type a name for the owner and operator.

   f. Click OK to open the Sequencing Analysis Plate Editor.
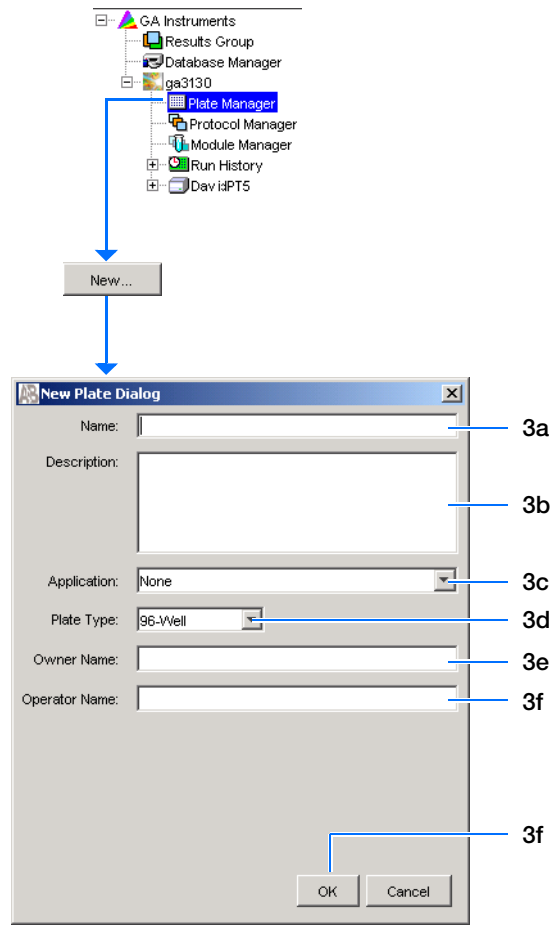
Completing a Sequencing Analysis Plate Record

1. In the **Sample Name** column of a row, enter a sample name, then click the next cell. The value 100 automatically displays in the Priority column.

2. In the **Comments** column, enter any comments or notations for the sample.

3. In the **Priority** column, you can change the priority value. A lower number for each 4 or 16 set, receives a higher run priority.

4. In the **Results Group 1** column, select a group from the drop-down list (see page 81) or create a new Results Group.

5. In the **Instrument Protocol 1** column, select a protocol from the drop-down list (see page 69) or create a new instrument protocol.

6. In the **Analysis Protocol 1** column, select a protocol from the drop-down list (see page 73) or create a new analysis protocol.

7. Complete the plate record based on the samples loaded in your plate:

   - For the single runs that use the same samples and protocols – Highlight the entire row, then select **Edit > Fill Down Special**.

     Based on your plate type (96- or 384-well) and capillary array (16 or 4 capillaries), the software automatically fills in the appropriate well numbers for a single run

     or

   - For entire plates that use the same samples and protocols – Highlight the entire row, then select **Edit > Fill Down** to fill down the entire plate editor.

   - For plates with different samples and protocols – complete the entries manually.

Notes _____

8. To do more than one injection of the same sample, select **Edit > Add Sample Run**.

Results Group, Instrument Protocol and Analysis Protocol columns are added to the right end of the plate record.

9. Complete the columns for the additional runs.

10. Click   OK   .

Note: After clicking OK in the Plate Editor, the completed plate record is stored in the Plate Manager database. The plate record can be searched for, edited, duplicated, exported, or deleted in the Plate Manager.

Note: If multiple cells are selected for copying, select the same number of corresponding target cells before you execute the Paste command.

Note: The Plate Editor Copy and Paste functionality is supported only within one plate editor. To copy and paste the contents of one plate to another plate, use the Duplicate ... button on the Plate Manager dialog box.

Note: All plate information must be valid to use the duplicate plate function. If information is not valid, an empty plate will result.

Note: When you add another sample run to a processed plate, confirm that all the information in the processed runs is valid. Otherwise, that data will not be validated, and a new sample run cannot be created.

Edit

Fill Down	Ctrl+D
Copy	Ctrl+C
Paste	Ctrl+V
Clear row(s)	Shift+Delete
Fill Down Special	Alt+D
Add Sample Run	Alt+A

Notes _____

Fragment Analysis with GeneMapper® Software

Preparing the Instrument

↓

Performing a Spatial Calibration

↓

Performing a Spectral Calibration

↓

Autoanalysis and Sequencing Analysis Software

↓

Fragment Analysis with GeneMapper Software

↓

Running the Instrument

Create protocols — See page 98

↓

Create a GeneMapper Software Plate Record — See page 109

5
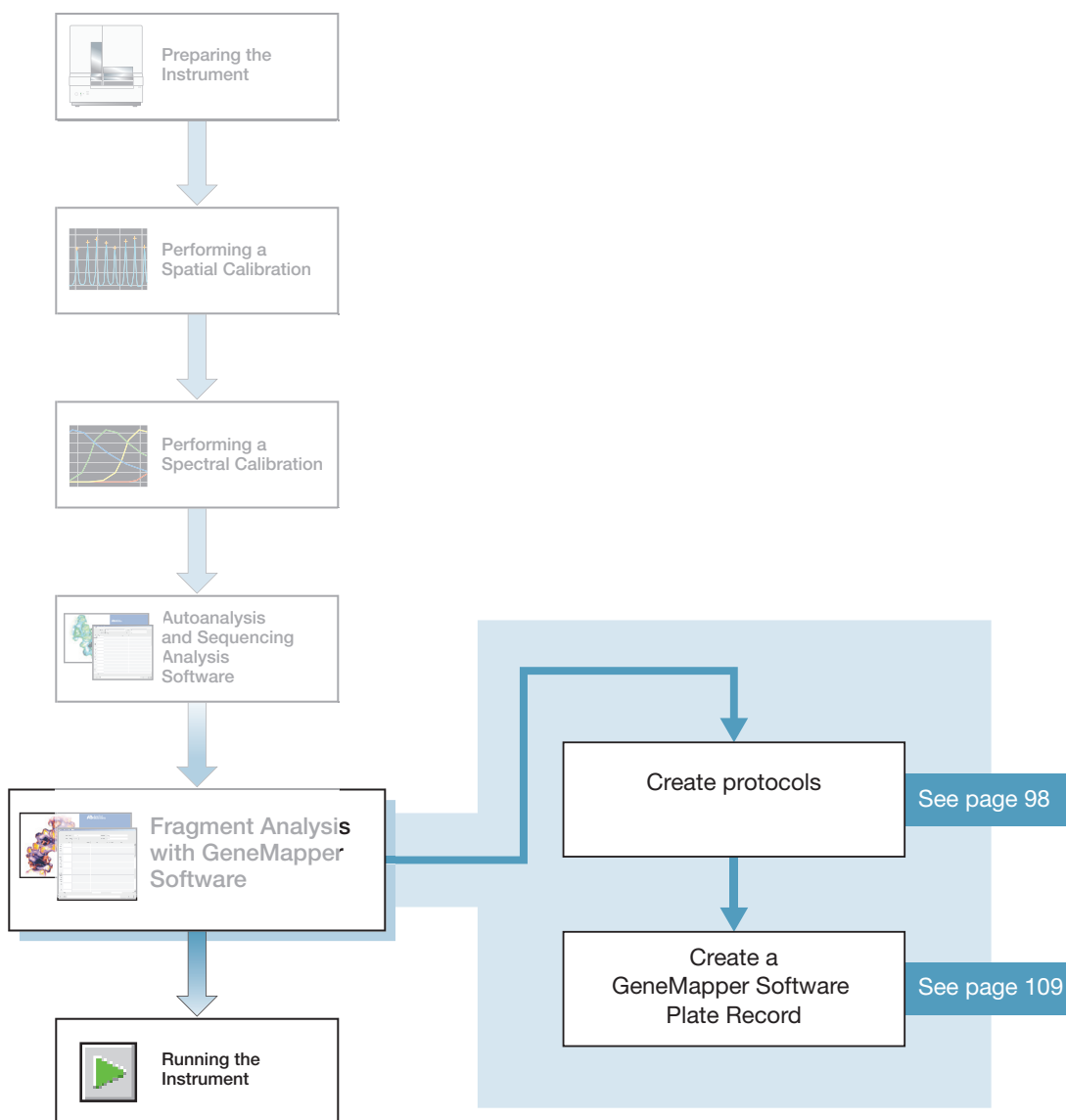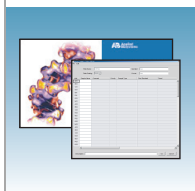
Notes

GeneMapper® Software

Analyze your fragment analysis samples using GeneMapper® Software 5. There is limited support for analysis with older versions of GeneMapper Software. Autoanalysis with GeneMapper Software is no longer supported.

Note: This chapter is written for both GeneMapper® Software 5 or higher and GeneMapper® *ID-X* Software v1.3. Graphic examples are from GeneMapper Software v3.7.

GeneMapper® Software 5 and GeneMapper *ID-X* Software v1.3

Perform analysis of fragment analysis samples with features of the 3130 Series Data Collection Software 4 and GeneMapper software using the same instrument computer that collected the sample files or on a remote computer.

- Autoanalysis is no longer supported.
- If you want to edit/review analysis results on another computer, transfer the GeneMapper software project, analysis methods, size standards, panel, and bin set information to the other GeneMapper software database. All components need to be exported and imported individually.
- When completing the plate record, fill in the instrument protocol and other information for Data Collection software to complete the run.
- Limited support for older versions of GeneMapper and GeneMapper *ID-X* software

File-Naming Convention

Some alphanumeric characters are not valid for user names or file names. Do not use the invalid characters below:

spaces

\ / : * ? " < > |

IMPORTANT! An error message is displayed if you use any of these characters. You must remove the invalid character to continue.

Manual Analysis

For information on manual analysis, see the *GeneMapper Software 5 User Guide* or *GeneMapper ID-X Software v1.3 User Guide*.

Notes

About Fragment Analysis and Data Collection

When GeneMapper software is installed on a computer that has 3130 Series Data Collection Software 4, two applications are available through the Results Group Editor (see page 102):

- GeneMapper-Generic
- GeneMapper-<Computer Name>

GeneMapper-Generic

Use GeneMapper-Generic to generate .fsa files. When completing the Sample Sheet, fill in basic information for the Data Collection software to complete the run; all other GeneMapper software related fields are text entries. Text entries are useful if you are using other software analysis applications or choose to analyze your samples in GeneMapper software on another computer, but do not have the same entries in the GeneMapper software database stored on the Data Collection computer. For example, if you have a customized size standard definition on another GeneMapper software computer, you can type in that size standard name in the size standard text field and it will populate that column in your GeneMapper software project.

GeneMapper-<Computer Name>

GeneMapper-<Computer Name> permits the Size Standard, Analysis Method, and Panel columns in the Sample Sheet window to be read directly from the GeneMapper software database. Create these components in GeneMapper software prior to setting up the plate record for a run. New entries cannot be created for these columns once you are in the plate editor dialog box. If you create a new GeneMapper software component while the plate record dialog box is open, the columns will not update. The plate record must be closed and reopened to update the GeneMapper software components. For more information see, "Creating Protocols for Fragment Analysis" on page 98.

6

Notes _____

About GeneMapper Software Plate Records

A plate record is similar to a sample sheet or an injection list that you may have used with other Applied Biosystems instruments.

Plate records are data tables in the instrument database that store information about the plates and the samples such as:

- Plate name, type, and owner
- Position of the sample on the plate (well number)
- Comments about the plate and about individual samples
- Dye set information (in Instrument protocol)
- Name of the run module where run modules specify information about how samples are run (in Instrument protocol)

When to Create a Plate Record

Create a plate record for each plate of samples for the following types of runs:

- Spectral calibrations
- Sequencing Analysis Software
- GeneMapper® Software
- Mixed (sequencing and fragment analysis samples – see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide*, Part no. 4477854, for creating a mixed plate record)

To begin a run, you must create a plate record and link it to a plate loaded on the instrument. However, you can create plate records for new plates while a run is in progress.

Files needed to create a GeneMapper software plate record:

Parameters	Description	See Page
Instrument Protocol	Contains everything needed to run the instrument.	98
Results Group	Defines the file type, the file name, autoanalysis, and file save locations that are linked to sample injections.	102

IMPORTANT! For successful data collection and analysis, each sample run must have an Instrument Protocol, a Results Group, and files created in GeneMapper software assigned within a plate record.

Note: Autoanalysis with GeneMapper Software is no longer supported.

Notes
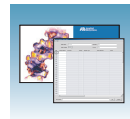
Elements of a GeneMapper software plate record
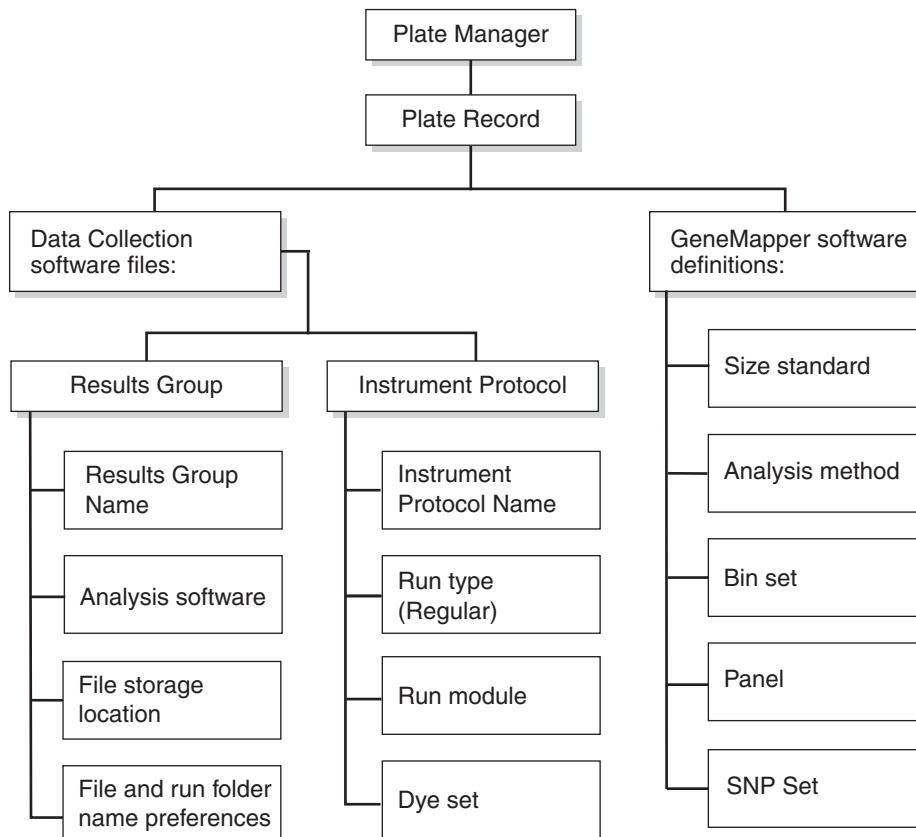
```
                        ┌─────────────────┐
                        │  Plate Manager  │
                        └─────────────────┘
                        ┌─────────────────┐
                        │  Plate Record   │
                        └─────────────────┘
              ┌────────────────────┴──────────────────────┐
   ┌──────────────────────┐                    ┌──────────────────────┐
   │ Data Collection      │                    │ GeneMapper software  │
   │ software files:      │                    │ definitions:         │
   └──────────────────────┘                    └──────────────────────┘
        ┌───────────┴────────────┐                        │
┌──────────────────┐   ┌──────────────────┐      ┌──────────────────┐
│  Results Group   │   │ Instrument Protocol│     │  Size standard   │
└──────────────────┘   └──────────────────┘      └──────────────────┘
┌──────────────────┐   ┌──────────────────┐      ┌──────────────────┐
│ Results Group    │   │ Instrument       │      │ Analysis method  │
│ Name             │   │ Protocol Name    │      └──────────────────┘
└──────────────────┘   └──────────────────┘      ┌──────────────────┐
┌──────────────────┐   ┌──────────────────┐      │  Bin set         │
│ Analysis software│   │ Run type         │      └──────────────────┘
└──────────────────┘   │ (Regular)        │      ┌──────────────────┐
┌──────────────────┐   └──────────────────┘      │  Panel           │
│ File storage     │   ┌──────────────────┐      └──────────────────┘
│ location         │   │ Run module       │      ┌──────────────────┐
└──────────────────┘   └──────────────────┘      │  SNP Set         │
┌──────────────────┐   ┌──────────────────┐      └──────────────────┘
│ File and run folder│ │ Dye set          │
│ name preferences │   └──────────────────┘
└──────────────────┘
```
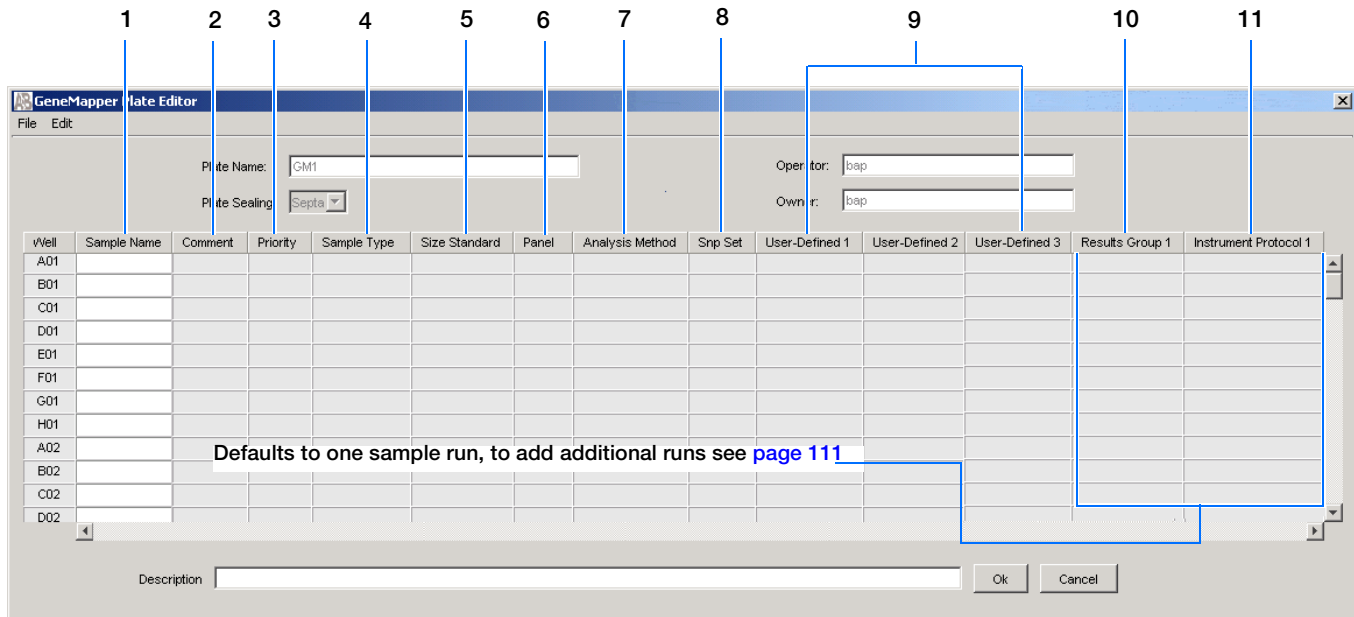
Blank GeneMapper software plate record

Columns inserted in a plate record for a fragment analysis run

Number and Column	Description
1. Sample Name	Name of the sample
2. Comment	Comments about the sample (optional)
3. Priority	A default value of 100 to each sample. Changing the value to a smaller number causes that set of 16 or 4 samples to run before the others in the injection list.
4. Sample Type	Use to identify the sample as Sample, Positive Control, Allelic Ladder or Negative Control.

5. Size Standard
 	
IMPORTANT! For GeneMapper-<Computer Name> ONLY:
 	
Create the Size Standard, Panel, and Analysis Method in GeneMapper software before creating a new plate to make them available in Data Collection software.
	• GeneMapper-Generic (optional):
	  Manually enter size standards in the text field
	• GeneMapper-<Computer Name>:
	  Select a saved size standard from the drop-down list

6. Panel
 	
IMPORTANT! For GeneMapper-<Computer Name> ONLY:
 	
Create the Size Standard, Panel, and Analysis Method in GeneMapper software before creating a new plate.
	• GeneMapper-Generic (optional):
	  Manually enter panels in the text field
	• GeneMapper-<Computer Name>:
	  Select a saved panel from the drop-down list

Notes

Number and Column	Description

7. Analysis Method
 	
IMPORTANT! For GeneMapper <Computer Name> ONLY:
 	
Create the Size Standard, Panel, and Analysis Method in GeneMapper software before creating a new plate.
	• GeneMapper-Generic (optional):
	  Manually enter analysis methods in the text field.
	• GeneMapper-<Computer Name>:
	  Select a saved analysis method from the drop-down list.

8. SNP Set	Optional
9. Three User-defined columns	Optional text entries
10. Results Group	Options: • New: Opens the Results Group Editor dialog box. • Edit: Opens the Results Group Editor dialog box for the Results Group listed in the cell. • None: Sets the cell to have no selected Results Group. • Select one of the available Results groups from the list. **Note:** You must select a Results Group for each sample entered in the Sample Name column. See "Results Group for Fragment Analysis" on page 101.
11. Instrument Protocol	Options: • New: Opens the Protocol Editor dialog box. • Edit: Opens the Protocol Editor dialog box for the Instrument Protocol listed in the cell. • None: Sets the cell to have no selected protocol. • List of Instrument Protocols: In alpha-numeric order. **Note:** You must select an Instrument Protocol for each sample entered in the Sample Name column. See "Results Group for Fragment Analysis" on page 101.

Notes

Creating Protocols for Fragment Analysis

If the appropriate fragment analysis protocols and results group have been created, proceed to "Creating a GeneMapper® Software Plate Record" on page 109.

Instrument Protocol for Fragment Analysis

An instrument protocol contains all the settings necessary to run the instrument:

- Protocol name
- Type of run
- Run module
- Dye set

Creating an Instrument Protocol

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🖼 **ga3130***xl* or **ga3130** > 🖬 **Protocol Manager**.
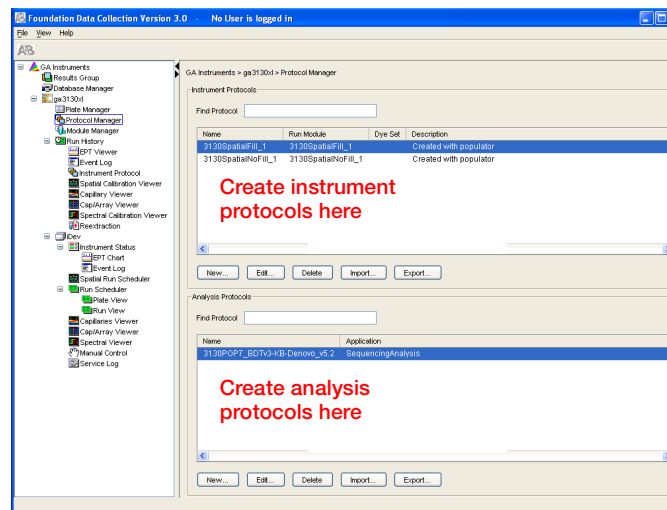
2. In the Instrument Protocols section, click **New...** to open the Protocol Editor.
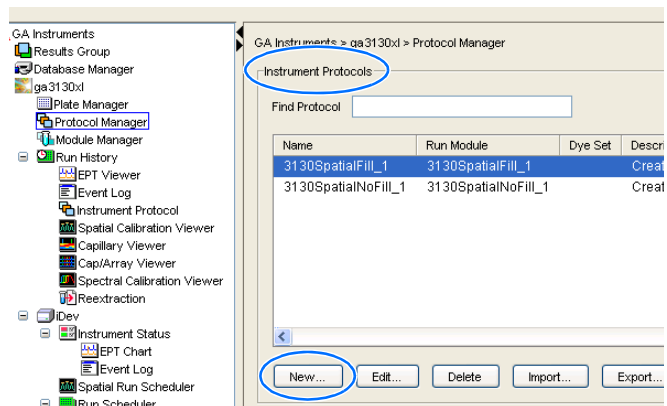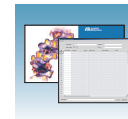
3. Complete the Protocol Editor:

   a. Type a name for the protocol.

   b. Type a description for the protocol (optional).

   c. Select **Regular** in the Type drop-down list.
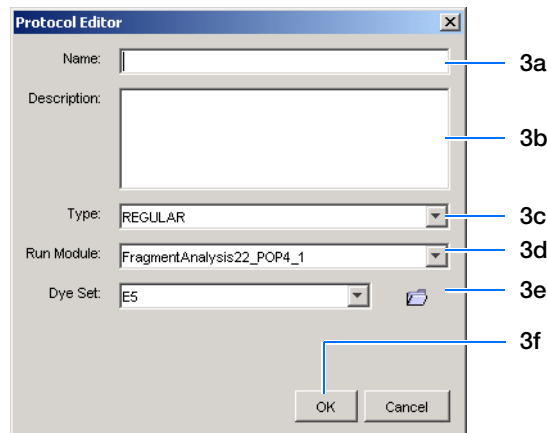
   d. Using the table below, select the run module for your run. To customize a run module, see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide* on modifying a module.

Application or Kit	Capillary Array Length (cm)	Run Module
• SNaPshot® Multiplex System	22	SNP22_POP4_1
	36	SNP36_POP4_1
• Custom oligos	22	FragmentAnalysis22_POP4_1
• Stockmarks	36	FragmentAnalysis36_POP4_1
• AFLP®		FragmentAnalysis36_POP7_1
	50	FragmentAnalysis50_POP4_1
		FragmentAnalysis50_POP6_1
		FragmentAnalysis50_POP7_1
• AmpFℓSTR® COfiler® Kit	36	HIDFragmentAnalysis36_POP4_1
• AmpFℓSTR® Profiler Plus® Kit		
• AmpFℓSTR® SGM Plus® Kit		
• AmpFℓSTR® Profiler Plus *ID* Kit		
• AmpFℓSTR® SEfiler™ Kit		
• AmpFℓSTR® Yfiler® Kit		
• Other 4-Dye AmpFℓSTR® Kits		
• AmpFℓSTR® Identifiler Kit		
• AmpFℓSTR® Identifiler Plus Kit		
• Other 5-Dye AmpFℓSTR® Kits		

Notes

6

Application or Kit	Capillary Array Length (cm)	Run Module
• Single Strand Conformation Polymorphism (SSCP) Analysis using non-denaturing Conformational Analysis Polymer (CAP)	36	ConformationAnalysis36_CAP

e. Using the table below, select the correct Dye Set for your run.

Application or Kit	Dye Set	Matrix Standard Set
• Custom oligos	D	DS-30
• Custom oligos	D	DS-31
• AmpFℓSTR® COfiler® Kit • AmpFℓSTR® Profiler Kit • AmpFℓSTR® Profiler Plus Kit • AmpFℓSTR® SGM® Plus Kit • AmpFℓSTR® Profiler Plus® *ID* Kit • Other 4-Dye AmpFℓSTR® Kits • AFLP® Kits • Stockmarks -Canine and Bovine	F	DS-32
• SNaPshot® Multiplex System	E5	DS-02
• Custom oligos • AmpFℓSTR® Identifiler® Kit • AmpFℓSTR® SEfiler™ Kit • AmpFℓSTR® Yfiler® Kit • Other 5-Dye AmpFℓSTR® Kits • AmpFℓSTR® Identifiler Plus Kit • AmpFℓSTR® Identifiler Direct Kit • AmpFℓSTR® Sinofiler Kit • AmpFℓSTR® MiniFiler Kit • AmpFℓSTR® NGM Kit • AmpFℓSTR® NGM Select Kit • Stockmarks-Equine	G5	DS-33

f. Click OK .
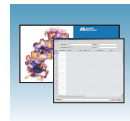
Importing an Instrument Protocol

1. Click [Import] in the Instrument Protocols pane of the Protocol Editor window to display the standard File Import dialog box.
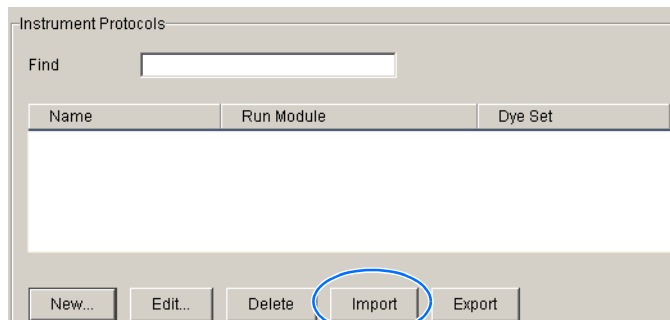
2. Navigate to the location of the .xml file you want to import.

3. Select the .xml file and click **Open**.

Exporting an Instrument Protocol

1. In the Instrument Protocols pane, highlight the protocol you want to export.

2. Click [Export] to display the standard File Export dialog box.

3. Browse to the desired folder location.

4. Click [Save] .

Results Group for Fragment Analysis

A Results Group is a component within Data Collection that organizes samples and certain user settings under a single name. It is called a Results Group because it is used to name, sort, and deliver samples that result from a run.

Notes

Creating a Results Group

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🔲 **Results Group**.

2. Click New... to open the Results Group Editor window.

   Skip the Analysis and Automated Processing tabs, because Autoanalysis by GeneMapper is no longer supported. Click through the other tabs to complete them, as described below.

3. Complete the General tab:

   a. Enter a unique Results Group Name to be used in naming and sorting sample files.

   b. Optional: Enter a Results Group Owner to be used in naming and sorting sample files.

   c. Optional: Enter a Results Group Comment.
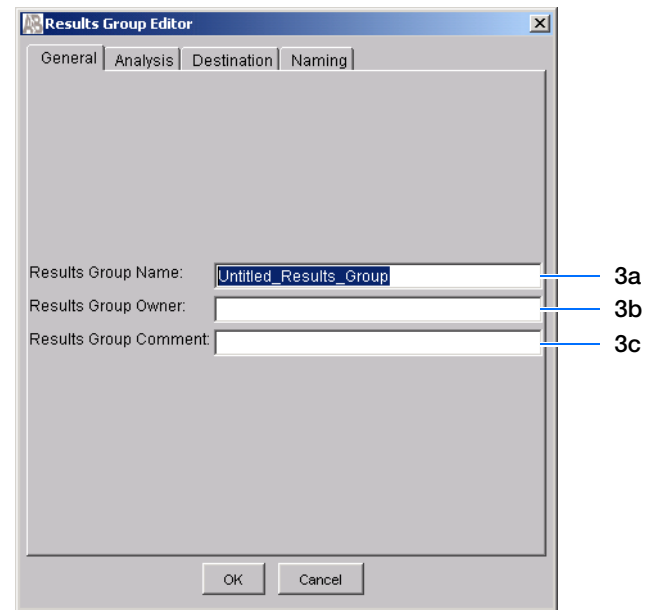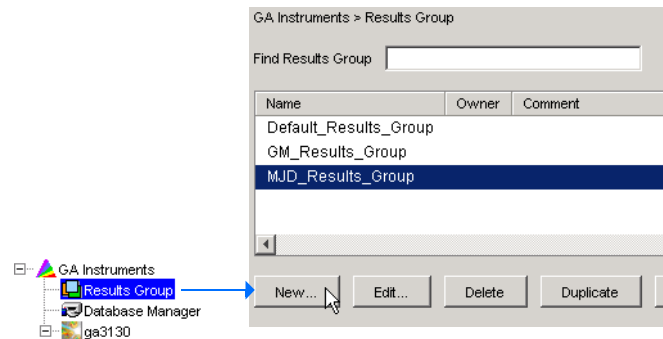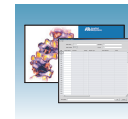
4. Skip the Analysis tab, because autoanalysis by GeneMapper is no longer supported.
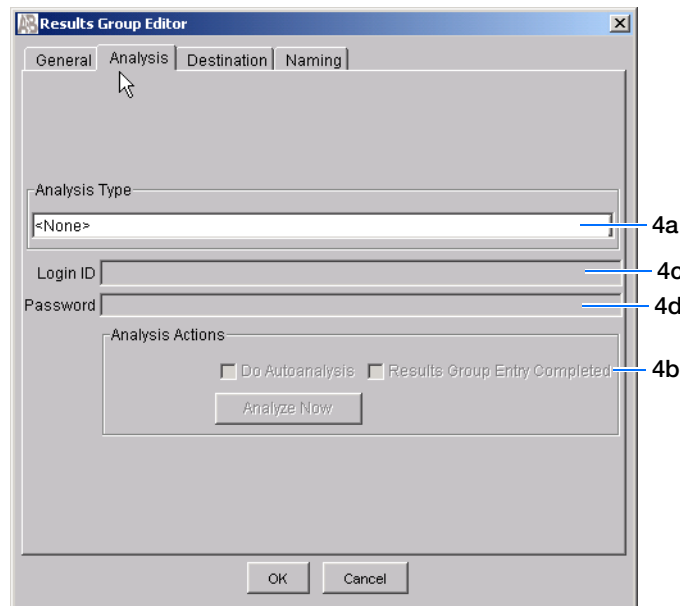
5. Select the **Destination** tab, then use the default destination or define a new location for data storage.

To use a …	Then …
default location*	skip to step 6.
custom location *Use for remote analysis using GeneMapper v3.7*	complete steps a–b.
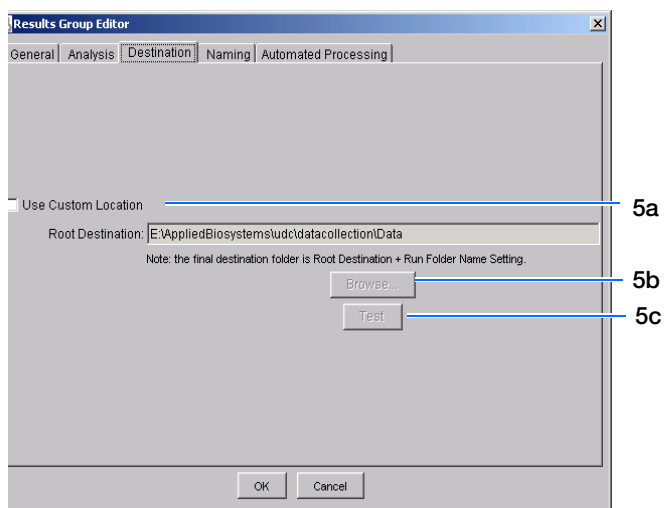
Note: The Results Group Editor Destination tab and Data Collection software will recognize remote storage locations if they have been mapped using the Map Network Drive. Specify the mapped drive path in the Root Destination field.

*Sample File Destinations

Locations where sample files are placed during extraction:

- Default Destination, default folder naming: E:\AppliedBiosystemsUDC\DataCollection\data*<instrument type>*\ *<instrument name>*\run folder
- Default Destination, custom folder naming: E:\AppliedBiosystemsUDC\DataCollection\data\top custom folder\ subfolders, etc.
- Custom Destination, default folder naming: Destination*<instrument type>**<instrument name>*\run folder
- Custom Destination, custom folder naming: Destination\top customer folder\subfolders, etc.

Notes

a. Click **Use Custom Location**, then click [Browse...] to navigate to a different save location.

b. Click [Test] to test the Location path name connection:

If it...	Then a message box displays ...
Passes	Test succeeded: <"path">.
Fails	Test failed:<"path">.

6. Select the **Naming** tab to customize sample file and run folder names.

Note: The default sample file naming for fragment analysis is: frag_<capillary number>_<wellposition>.fsa

Note: Sample name, run folder name, and path name, *combined*, can total no more than 250 characters.

For defining the elements of the Naming tab, see page 104.

Sample File Name Format pane

Run Folder Name Format pane

7. Skip the Automated Processing tab, because Autoanalysis by GeneMapper is no longer supported.

8. Click [OK] to save the Results Group.

Completing the Sample File Name Format Pane

1. Type a prefix for the file name in the **Prefix** box. Anything that you type here is shown in the Example line.
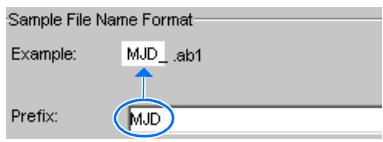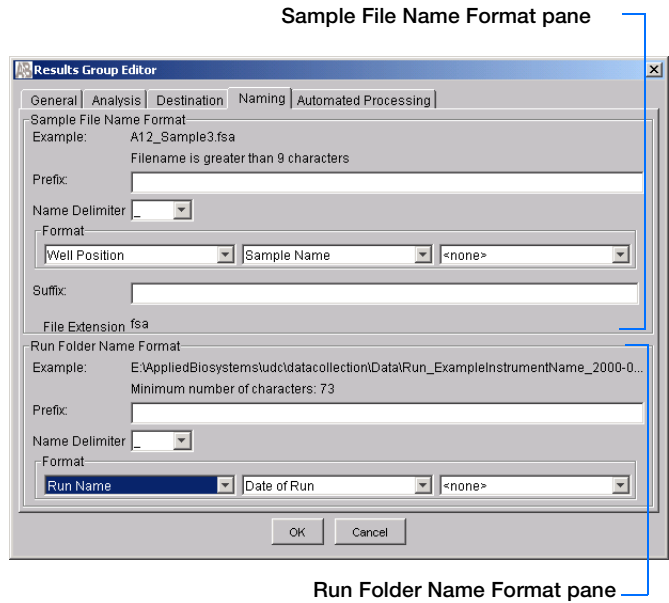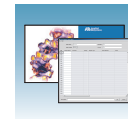
2. Click the **Name Delimiter** list choose the symbol that will separate the Format elements in the file name (see step 3 below). Only one delimiter symbol may be chosen.
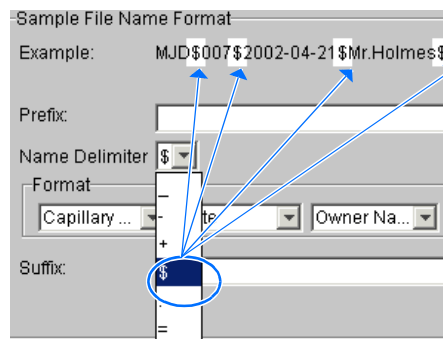
3. Click the Format list and then select the components that you want in the sample name.

Note: All the samples from a single run can be placed in the same run or results folder; the name of every sample from a single run should be different. Most of the Format options will not be different between samples; select at least one of the options to make the sample names unique within a run.
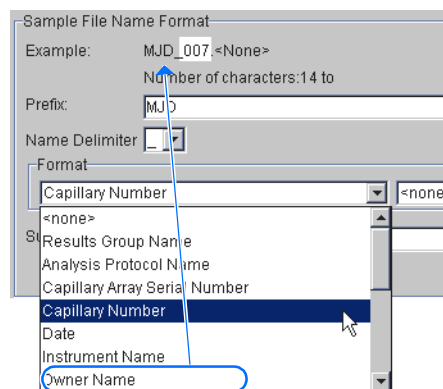
If a unique identifier is not included in the name, a warning message displays.Select from the elements to make a unique sample file name. Examples are:

– Well position

– Capillary number

– Run sequence number

– Plate Quadrant

Note: Some identifiers, such as well position, capillary number and plate quadrant are not unique in multiple sample instances. Plate Quadrant is not a unique identifier for a 96-well plate.
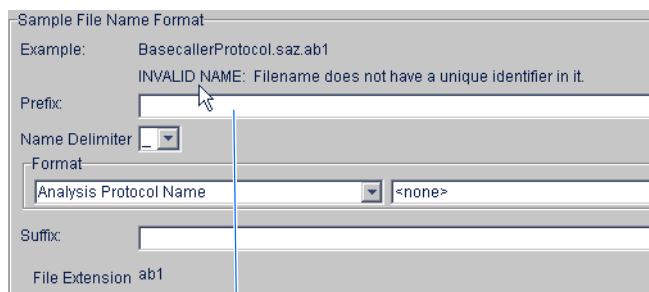
Warning message

Notes

As you select the elements for the file name, they are placed in the Example line. An additional element drop-down menu displays allowing you the option of selecting an additional element.
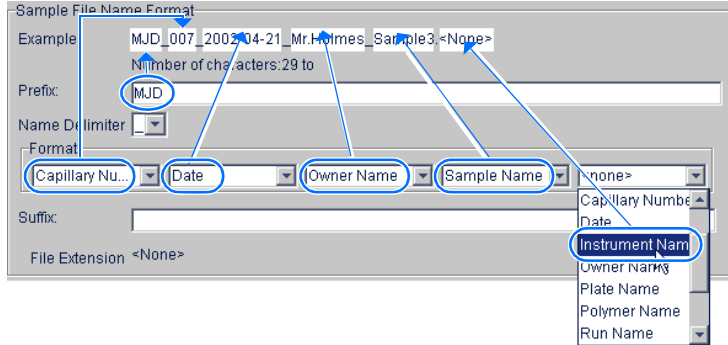
The names of the Format elements eventually truncate, but the Example field remains visible (up to 72 characters).
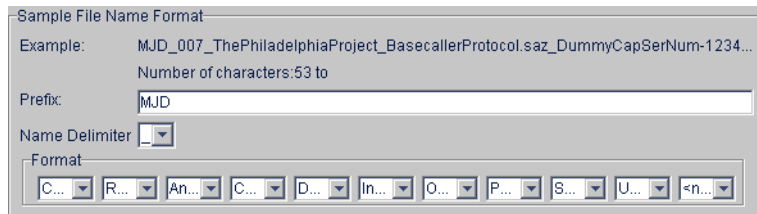
4. Click the Suffix box (optional) and type the suffix for the file name.

The File Extension field displays the file extension generated from the Analysis Type specified on the Analysis tab (see page 103). For example, Sequencing Analysis produces sample files with a .ab1 extension.
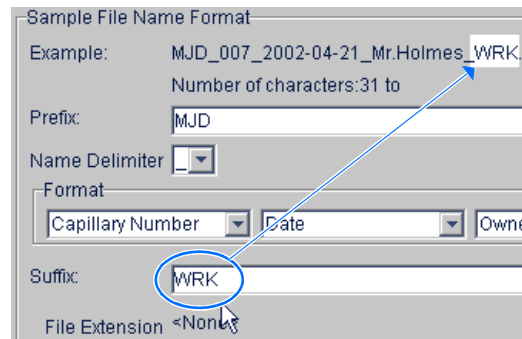
Run Folder/Sub-Folder Name Format Pane

Follow the same steps described above for the Sample File Name Format pane (see page 104) to change the sub-folder name within the run folder.

Notes
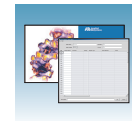
Importing and Exporting a Results Group

Importing or exporting of GeneMapper software Results Groups are not supported for transfer between separate computers.

Importing a Results Group

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🔳 **Results Group**.

2. Click Import .

   A standard File Import dialog box displays.

3. Navigate to the file you want to import.

   Note: Import file type is .xml (XML file).

4. Click Open .

   Note: When you import or duplicate a Results Group, you are asked to type a name for the new Results Group and for the analysis application type.

Exporting a Results Group

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🔳 **Results Group**.

2. Click the Results Group name to select it.

3. Click Export .

   A standard file export dialog box displays with the chosen Results Group name.

4. Navigate to the location where you want to save the exported file.

5. Click Save .

   Note: If there is a name conflict with a Results Group that already exists at the save location, the Results groups can be duplicated in order to copy settings into a similar Results Group without the risk of user error when copying it manually (see "Duplicating a Results Group" on page 108).

Notes _____

Duplicating a Results Group

1. Click the Results Group.

2. Click Duplicate .

> **Note:** When you import or duplicate a Results Group, you are asked to type a name for the new Results Group and for the analysis application type.

Creating a GeneMapper® Software Plate Record

Creating the Plate Record

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 📷 **ga3130***xl* or **ga3130** > ▦ **Plate Manager**.

2. Click ⎡New...⎤ to open New Plate Dialog.

3. Complete the information in the New Plate Dialog:

   a. Type a name for the plate.

   b. Type a description for the plate (optional).

   c. Select your GeneMapper application in the Application drop-down list.

   d. Select **96-well** or **384-well** in the Plate Type drop-down list.

   e. Type a name for the owner.

   f. Type a name for the operator.

   g. Click ⎡ OK ⎤.

      The GeneMapper software Plate Editor opens.
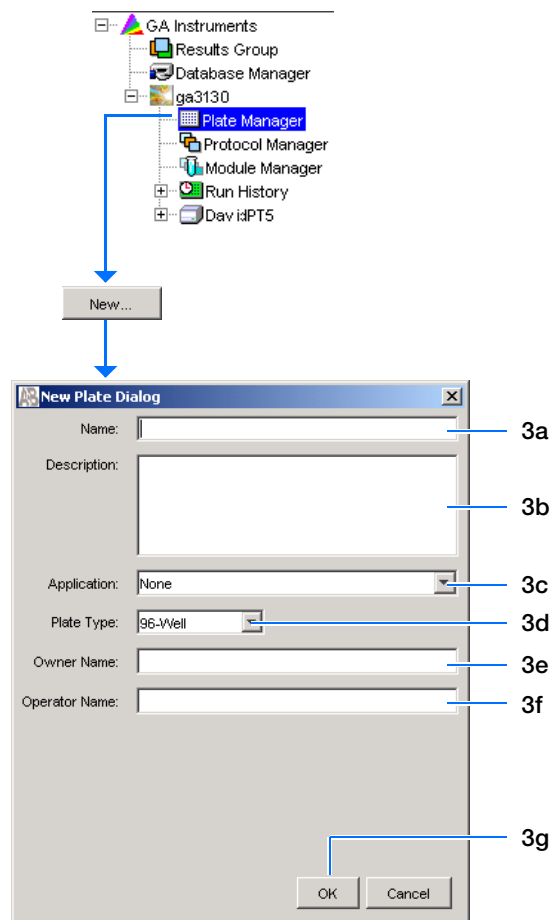
Completing a GeneMapper Software Plate Record

1. In the Sample Name column of a row, enter a sample name, then click the next cell. The value 100 automatically display in the Priority column.

2. In the Comment column, enter any additional comments or notations for the sample.

3. In the Priority column, you can change the priority value.

4. In the Sample Type column, select a sample type from the drop-down list.

5. In the Size Standard column, select a size standard from the drop-down list.

6. In the Panel column, select a panel from the drop-down list.

7. In the Analysis Method column, select a method from the drop-down list.

8. In the Snp Set column, select a SNP set from the drop-down list if applicable; otherwise select None.

9. Enter text for User-Defined columns 1 to 3.

10. In the Results Group 1 column, create a new Results Group or select a group from the drop-down list (see page 102).

11. In the Instrument Protocol 1 column, create a new instrument protocol or select a protocol from the drop-down list (see page 98).

	1	2	3	4	5
Well	Sample Name	Comment	Priority	Sample Type	Size Standard
A01					
B01					
C01					
D01					
E01					
F01					

6	7	8	9	
Panel	Analysis Method	Snp Set	User-Defined 1	User-Defined 2

9	10	11
User-Defined 3	Results Group 1	Instrument Protocol 1

Notes
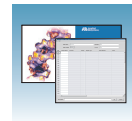
12. To complete the plate record:

- For the same samples and protocols – Highlight the entire row, then select **Edit > Fill Down Special**.

  Based on your plate type (96- or 384-well) and capillary array (16 or 4 capillaries), the software automatically fills in the appropriate well numbers for a single run.

- For the same samples and protocols – Highlight the entire row, then select **Edit > Fill Down**.

- For the different samples and protocols – Complete the entries manually.

13. To do more than one run, select **Edit > Add Sample Run**.

Additional Results Group, Instrument Protocol and Analysis Protocol columns are added to the right end of the plate record.

Add additional runs by selecting **Edit > Add Sample Run** again.

14. Complete the columns for the additional runs.

Notes

15. Click [OK] to save, then close the plate record.

> **IMPORTANT!** After clicking OK in the Plate Editor, the plate record is stored in the Plate Manager database and can now be located, edited, exported, or deleted.

> **Note:** If multiple cells are selected for copying, select the same number of corresponding target cells before you execute the Paste command.

> **Note:** The Plate Editor Copy and Paste functionality is supported only within one plate editor. To copy and paste the contents of one plate to another plate, use the Duplicate ... button on the Plate Manager dialog box.

> **Note:** If you use the duplicate plate function, all the information in the plate to be duplicated must be valid. Otherwise, an empty plate is created.

Notes

Running the Instrument

Preparing the Instrument

Performing a Spatial Calibration

Performing a Spectral Calibration

Autoanalysis and Sequencing Analysis Software

Fragment Analysis with GeneMapper Software

Running the Instrument

Prepare samples and plate assemblies	See page 114
Place Plate onto Autosampler	See page 114
Link the Plate	See page 114
Schedule a run	See page 115
Run the instrument	See page 116
Set up for continuous operation	See page 119

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Notes _____

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…

Preparing Samples and Plate Assemblies

Review Table

Generalized sample preparation and plate assembly procedures are discussed in Chapter 3, "Performing a Spectral Calibration," on page 37.

Topic...	See
Sample Preparation	"Preparing the Calibration Standard" on page 41 and "Loading Samples" on page 42 to review handling samples. Follow your individual kit protocol to prepare sample load volume: 10 to 30 µL for a 96-well plate 5 to 15 µL for a 384-well plate
Sealing plates and plate assembly	"Seal the plate:" on page 43.
Placing plate assemblies in the instrument	"Placing the Plate Assembly into the Instrument" on page 49.
Searching for plate records to link to a plate	"Running the Spectral Calibration Plate" on page 50.

Linking and Unlinking a Plate

Link a plate on the autosampler to your newly created plate record before running the plate.

Select the desired plate record, then click the plate position indicator corresponding to the plate position in the instrument. The plate position (A or B) displays in the link column.

Note: The 3130 genetic analyzer has only one plate position (B) to link a plate record.

The plate position indicator changes from yellow to green when linked and the green run button ▶ becomes active.
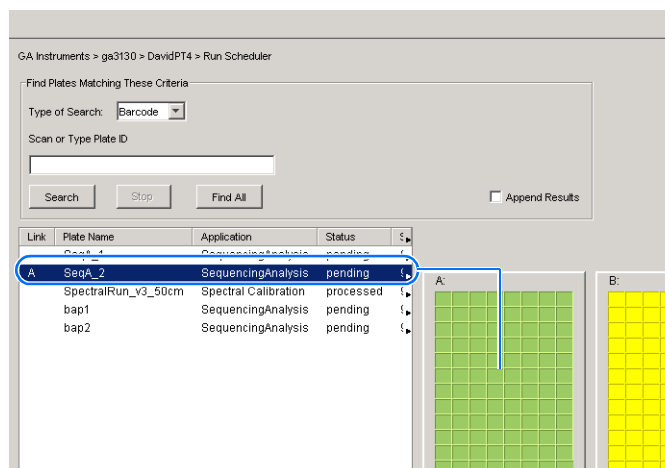
Notes

Unlinking a Plate Record

1. Click the plate record that you want to unlink.

2. Click [Unlink].
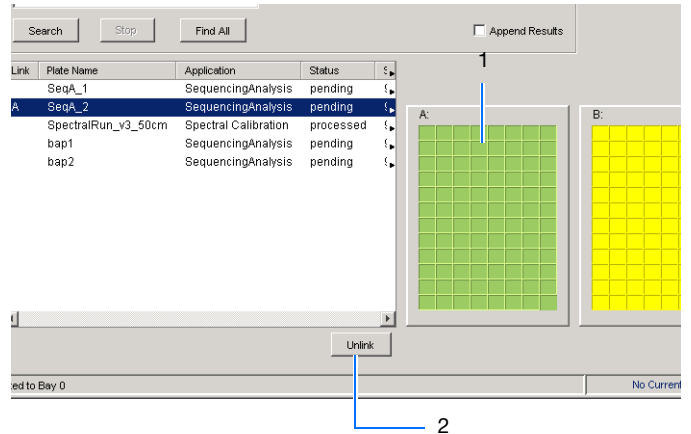
Viewing the Run Schedule

For more information on run scheduling and sample injection order, see "Plate Mapping" on page 141.

To verify that runs are scheduled correctly:

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > ▦ **ga3130***xl* or **ga3130** > ▭ *instrument name* > ▦ **Run Scheduler** > ▦ **Run View**.

2. Select a row for any run. The corresponding wells to be injected for that run are highlighted in the plate diagram.
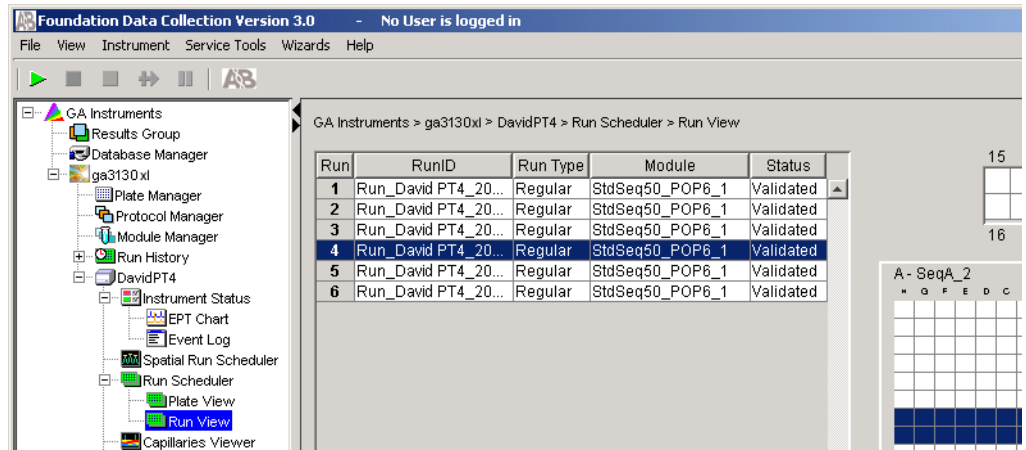
Running the Instrument

Starting the Run

1. Verify that the active spectral calibration matches your dye set and capillary array length for all scheduled runs.

   To change the active spectral calibration, see "Activating a Spectral Calibration" on page 57.

   To create a new spectral calibration, see "Creating a Spectral Instrument Protocol" on page 45.

2. Click the green button in the toolbar.

3. The Processing Plates dialog box opens, then click ⎓OK⎓.
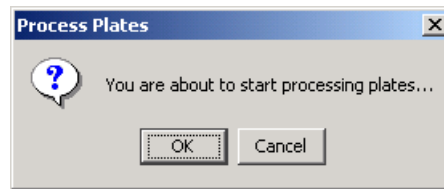
   The software automatically performs a run validation:

   • If the validation passes, the run starts
   • If any of the validation test fails, the run does not start. Check the event log for information.

Notes _____

Basic Run Module Steps

Automated module steps when the run starts

Module Steps	Approximate Time
Turn Oven On	N/A
Wait for oven to equilibrate Initialize autosampler	1 min 40 sec
Fill Array	3-4 min
PreRun	3 min
Inject samples	10 to 30 sec
Start separation Ramp voltage	10 min
Collect Data	Variable
Run ends: Leave oven on Laser to idle	Until next run starts

Total time prior to separation:

- Oven unheated: ~25 min
- Oven at set temperature: ~6.5 min

To customize a run module, see the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide*. (Part no. 4477854).

Note: The PostBatch Utility, which runs automatically, turns off both the oven and the laser at end of a batch of runs.

DNA Sequencing Run Times

Approximate run times of common DNA sequencing analysis runs

Type of Run	Run Module	Run Time (min)
Ultra rapid	UltraSeq36_POP4	40
	UltraSeq36_POP7	35
Rapid	RapidSeq36_POP6	60
	RapidSeq36_POP7	
Fast	FastSeq50_POP7	60
Standard	StdSeq50_POP4	100
	StdSeq50_POP6	150
	StdSeq50_POP7	120
Long read	LongSeq80_POP4	210
	LongSeq80_POP7	170

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Notes

Fragment Analysis Run Times

Approximate run times of common fragment analysis runs

Type of Run	Run Modules	Run Time (min)
High Throughput, Small Size Fragment Analysis	FragmentAnalysis22_POP4	20
	SNP22_POP4	20
Standard Fragment Analysis	FragmentAnalysis36_POP4	45
	HIDFragmentAnalysis36_POP4	45
	SNP36_POP4	30
	FragmentAnalysis36_POP7	35
	FragmentAnalysis50_POP4	65
	FragmentAnalysis50_POP6	90
	FragmentAnalysis50_POP7	50
Large Size Fragment Analysis Run Modules	GS1200LIZ_36_POP7	125
	GS1200LIZ_50_POP7	135

Controlling the Run

Using the Toolbar   Use the toolbar at the top of the data collection software window to control the run.

Click ...	Description
Start Run	Starts the run
Stop	Stops the current run, and all other scheduled runs
Stop After Current Run	Completes the current run, then stops all other scheduled runs
Skip to Next Run	Stops the current run, then starts the other scheduled runs

Notes

Click ...	Description
⏸ Pause Run	Pauses the current run[a]

a Pausing the instrument for too long, especially after sample injection, will adversely affect data quality.

Set Up for Continuous Operation

Overview   Use the continuous run feature to create and link a plate during a run. You can:

- Run one or more plates
- Remove the plate(s) once samples have run
- Link and run additional plates

When using the continuous run feature:

- Only mount or unmount plates when the instrument is paused.
- Create the plate record before pausing the instrument to make linking more efficient, reducing long pauses.
- Link new plates after a run has resumed.

Adding or Replacing a Plate During a Run   During a run, you can mount and unmount plates while the instrument is paused. The plate record can be created, then linked after the run has been resumed.

If the plate is in use, see "Adding, Replacing, or Removing a Plate During a Run" on page 120.

IMPORTANT! Pausing the instrument for too long, especially after sample injection, will affect data quality.

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Notes _____

Adding, Replacing, or Removing a Plate During a Run

Replace a completed plate or add a new plate to an unused plate bay (3130*xl* genetic analyzer only).

1. Prepare your plate and create the plate record.

2. Click ▮▮ (Pause).

   In the Pause dialog box, read the pause warning, then click OK to pause the run.
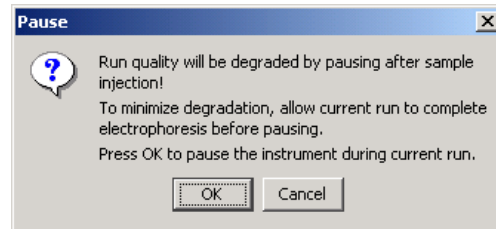
   The Resume run dialog box opens when the run is paused.
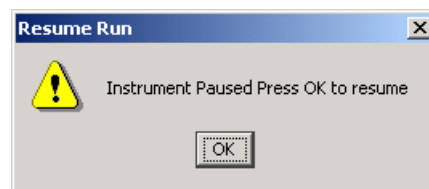
   IMPORTANT! *Do not* click OK to resume the run. Temporarily ignore the dialog box.

   ---

3. Remove the old plate, if applicable.

   a. Press the Tray button to bring the autosampler forward.

   b. Open the instrument door.

   c. Remove the old plate.

4. Mount the new plate.

5. Close the door.

6. Click **OK** in the Resume Run dialog box.

7. In the Completed Run dialog box, click OK to continue if the samples have been injected, or click Cancel to abort the run and return the instrument to an idle state.
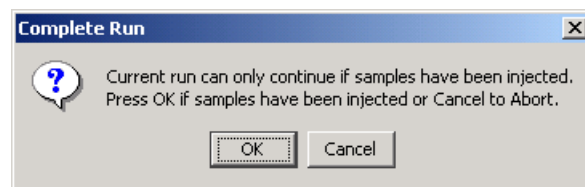
   IMPORTANT! If you click OK, the instrument will continue running the current run regardless of whether the samples have actually been injected or not. If the samples have not been injected, the samples will be injected from the new plate.

Notes _____

8. Search for the plate record, then link the new plate.

The new plate runs after the current plate completes all scheduled injections.

Note: If you unmount the currently running plate prior to the first frame of data being collected but after sample injection (clicked OK to continue), the plate status changes to processed even though the run is actually continuing.

Viewing Data During a Run

Run Scheduler >
Plate View

In the tree pane of the Data Collection software, click

▲ **GA Instruments** > 🔲 **ga**3130*xl* or **ga3130** > 🔲 *instrument name* > 🔲 **Run Scheduler** > 🔲 **Plate View**.

Note: The 🔲 **Run Scheduler** and 🔲 **Plate View** windows display the same information.

Run Scheduler > In the tree pane of the Data Collection software, click
Run View ▲ **GA Instruments** > 🖼 **ga3130***xl* or **ga3130** > 🖥 *instrument name* > 🟩 **Run
Scheduler** > 🟩 **Run View** to monitor the status of the scheduled runs.

Note: For default load maps, see Appendix A, "Plate Mapping."

Notes

Instrument Status In the tree pane of the Data Collection software, click
GA Instruments > **ga**3130*xl* or **ga3130** > *instrument name* > **Instrument Status** to monitor the status of the instrument or the current run.

Open the Event Log to monitor system messages

System Status must be 'Ready' before a run starts

Array and polymer information

System Status changes from green to flashing red when errors occur, see Event Log.

Instrument Condition Sensor States Pane

The color of the indicator provides a quick way to check the status of the item to the left. See the table below for a definition of each color.

For...	A green box indicates...	A red box indicates...	A yellow box indicates...
Laser	Laser is off	Laser is on	Laser is idle
EP	Electrophoresis is off	Electrophoresis is on	—
Oven	Oven is off	Oven is on	—
Front Doors	Doors are closed	Doors are open	—
Oven Door	Door is closed	Door is open	—
Autosampler	Autosampler is homed	Autosampler is forward	—

Events Box

The Events box lists the:

- Instrument's recent actions
- Status of each capillary as passed or failed at the end of a spectral calibration
- Calibration data at the end of a spatial calibration

Some of the events listed in the Events box provide information for service engineers.

Errors Box

The Errors box lists errors that have occurred during the current run.

Some of the error messages provide information for service engineers. A "fatal" error usually requires that you restart the data collection software.

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Notes _____

**Instrument Status
> EPT Chart**

In the tree pane of the Data Collection software, click ▲ **GA Instruments >** ▓ **ga3130***xl* or **ga3130 >** ▭ *instrument name* > ▤ **Instrument Status >** ▨ **EPT Chart**. The EPT chart displays real-time electrophoresis (EP) data during a run.
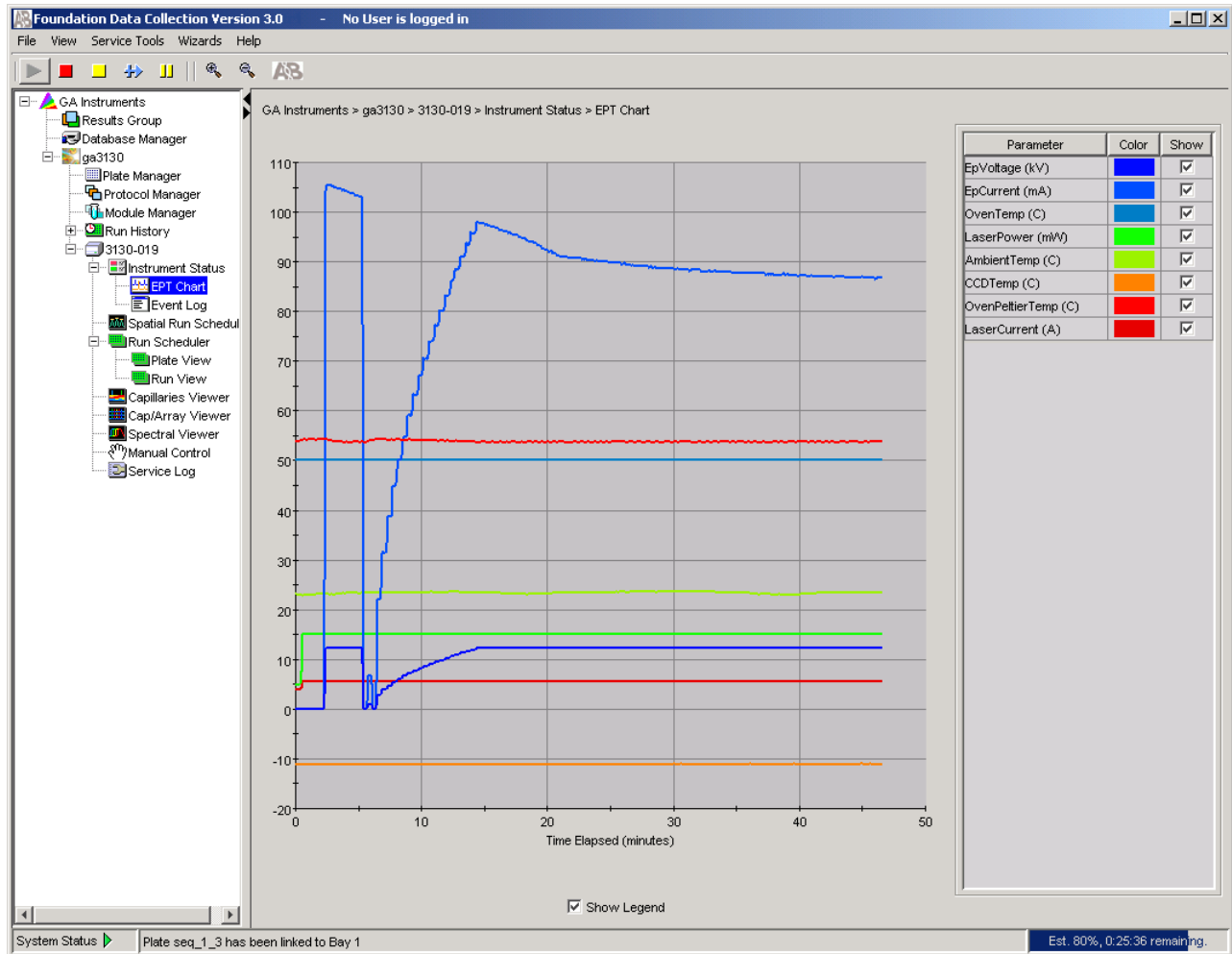
Instrument Status > Event Log

In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🔲 **ga3130***xl* or **ga3130** > ▭ *instrument name* > ▦ **Instrument Status** > ▤ **Event Log**. The Event log itemizes events such as errors and general information for all data collection steps.

Clear error messages by clicking **Clear Errors**. The System Status light flashes red until all errors are cleared. Take corrective action based on error message.

Note: This view can also be used to monitor spectral calibration results in real time to verify the capillary-by-capillary processing status.
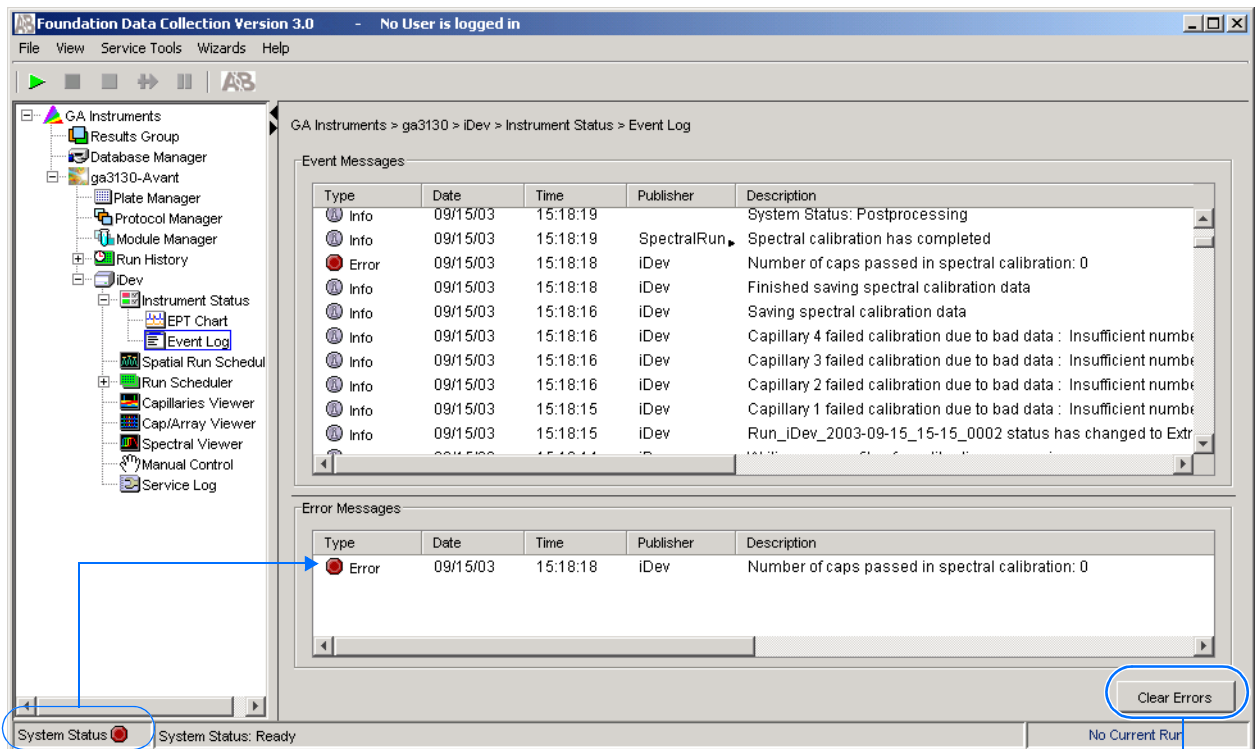
Clear Errors changes the System status from red to green (ready state).

Notes

Capillaries Viewer

In the tree pane of the Data Collection software, click ▲ **GA Instruments** > 🖼 **ga3130** or **ga3130xl** > 🗔 *instrument name* > ▦ **Capillaries Viewer**. Use the Capillary Viewer to examine the quality of the raw data during a run for several capillaries at once.

Click individual colors to view or hide them

Select check boxes of capillaries to display

Raw, multicomponented data

Check Boxes

Select the check boxes of the capillaries to view their electropherograms. The capillaries are displayed in the order in which the boxes are checked. The more boxes that are selected, the slower the refresh window rate.

Raw Data

An electropherogram is a graph of relative dye concentration against time, plotted for each dye. The displayed raw data has been corrected for spectral overlap (multicomponented).

How to Zoom

To zoom in and out:

1. Select a rectangular area over the area of interest by holding down the mouse button. Release the mouse button to zoom in.

2. Click 🔍 to return to full view.

Notes _____

Cap/Array Viewer In the tree pane of the Data Collection software, click ▲ **GA Instruments** > ▨ **ga3130** or **ga3130***xl* > ▭ *instrument name* > ▨ **Cap/Array Viewer**. Use the window during a run to examine the quality of your data, which is displayed as color data for the entire capillary array. You can view all the capillaries (vertical axis) as a function of time/scan numbers (horizontal axis).

Click individual colors to view or hide them

Raw data display for selected capillary (4)

Capillary order

Electrophero-gram displays

How to Zoom

To zoom in and out:

1. Select a rectangular area over the area of interest by holding down the mouse button. Release the mouse button to zoom in.

2. Click 🔍 to return to full view.

Working with Data in The Run History View

Run History Components

**Elements of the
Run History Utility**

Use the Run History utility only with completed runs stored in the local 3130/3130*xl* genetic analyzer Data Collection database. It does not provide real-time viewing of collecting runs.

In the left tree pane, click the icon next to the function to launch it.

Elements Within the Run History Utility

Icon	Element	Displays the...
	EPT Viewer	Electrophoresis data of the run, such as voltage, current, and oven temperature profiles.
	Event Log	Event messages associated with the run.
	Instrument Protocol	Instrument protocol and run module settings used for the run.
	Spatial Calibration Viewer	Spatial calibration associated with the run.
	Capillaries Viewer	Individual electropherogram for each sample in the run.
	Cap/Array Viewer	Array view of the run.
	Spectral Viewer	Spectral calibration used for the run.
	Reextraction	Extraction and analysis status for all samples in the run. See "Viewing Autoextraction Results" on page 132 for more details.

Note: If Cleanup Database has been used, you cannot view processed data in Run History.

Notes

Viewing Data from a Completed Run in the Data Collection Software

View data using two formats within the 3130 Series Data Collection Software 4, under the Run History icon:

- In the Cap/Array Viewer window (in much the same way that you might view the gel file output from an ABI PRISM® slab gel instrument).

- In the Capillary Viewer window, capillary-by-capillary.

Viewing Data from a Completed Run

1. In the tree pane of the Data Collection software, click ▲ **GA Instruments** > ▨ **ga3130***xl* or **ga3130** > ▨ **Run History** to select the run you want to view.

2. Search for your run by either Barcode or Advanced search.

3. After choosing the run, click the run history elements, such as Cap/Array Viewer or the Capillary Viewer from the left tree pane to look at the run data.
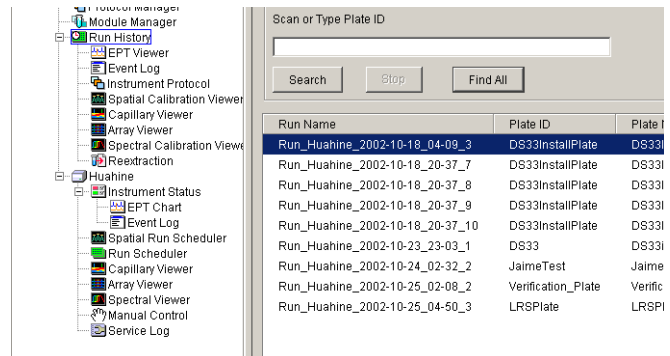
Viewing Autoextraction Results

Overview   After a run is completed, extraction and analysis is performed automatically, using the settings in the Plate Editor and the Results Group. View the results of extraction and analysis in the Reextraction Panel. Reextract samples with the same settings, or with different Analysis Protocols or Results Groups. This process can be useful for many reasons:

- The destination location may not have been available during extraction.
- Some samples may have failed analysis and a different Analysis Protocol might be more successful.
- Samples might be saved in different locations, or with no analysis at all to save space.
- Sample names may need to be edited.

Sample File Destinations   Locate the Results Group's Naming Tab and check the Destination Tab and Run Folder Name Format to find the sample file destination.

Effects of Changes Made in the Reextraction Panel   Changes made in the Reextraction Panel to a Results Group, Analysis protocol, sample names, or Comments, also change in the original plate record. The original plate information is overwritten.
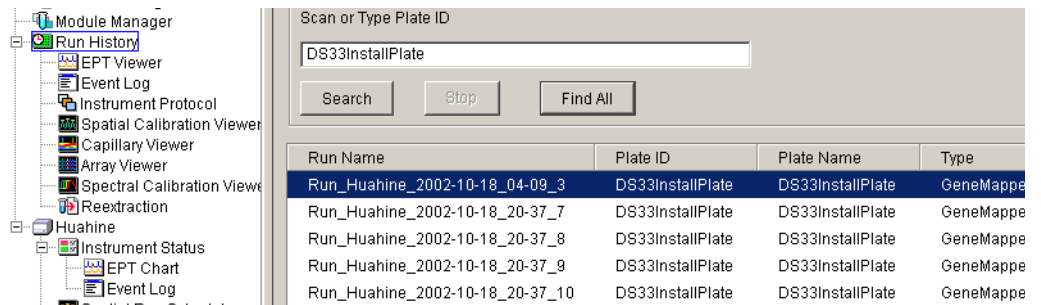
Selecting and Queuing Samples for Extraction

Queue individual samples for reextraction to experiment with different Analysis Protocols for samples that have failed initial extraction.

1. Click ![icon] (Run History).

2. Enter the plate name for a plate that has been completed, or click **Search**. Plates that have runs still pending cannot be reextracted. All the runs from that plate appear in the window.

3. Select a run from the list.

4. Click ![icon] (Reextraction) in the left tree pane to display the Reextraction window.

5. Click the check boxes in the Extract column to select the samples to be reextracted.

6. Click **Extract** to start the reextraction.

> **Note:** Reextracted sample files are saved in the original folder that data was extracted to unless this destination was changed through the Results Group.

Elements of the Reextraction Window

All the samples are displayed with the results of extraction and analysis.

Note: Sort the columns of the re-extraction panel by holding the shift key and then clicking on a column header.

Reextraction Window for Sequencing Analysis

Use check boxes to select samples to be reextracted

Select a run

Results of extraction and analysis

Click here to start extraction

Use these buttons if several samples are highlighted

Reextraction Window for Fragment Analysis

Use check boxes to select
samples to be reextracted

Select a run

Results of extraction

Click here to start extraction

Use these buttons if several
samples are highlighted

Results Column   The results of extraction and analysis are color coded in the Results column. The following table lists the colors and their values for Sequencing Analysis.

Color	Value
Red	Extraction or analysis failed **Note:** Descriptive messages can be viewed by resizing the Results column to view all text.
Yellow	Warnings for extraction or analysis **Note:** Descriptive messages can be viewed by resizing the Results column to view all text.
Green	Successful extraction (with no analysis intended), or successful extraction and analysis.

The Results column, by default, shows only the beginning of any processing message. The entire message and the sample file location can be viewed by expanding the cell. There is a tooltip view for each sample results message.

Tooltip view. Access by placing the cursor over the sample of interest

Drag the cell's edge to expand the column
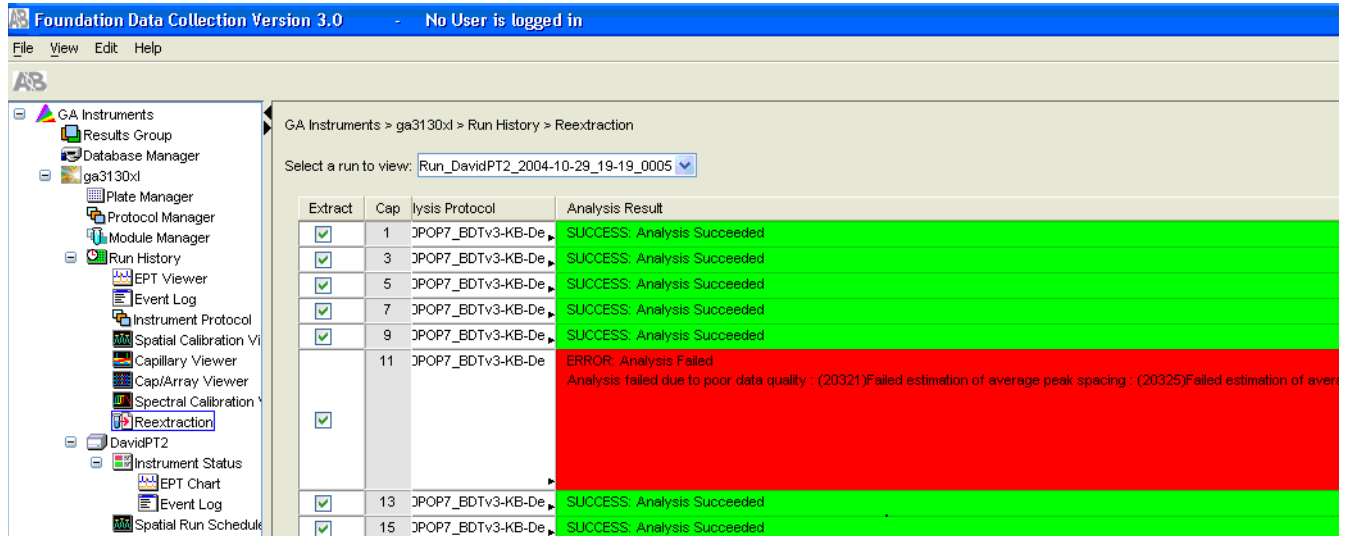
Expanded column

Quality Column   The Quality column represents the quality values for an entire sequence. Quality Values are only assigned to analyzed samples when using the KB™ Basecaller.

Colors displayed and their associated value range

Color	Quality Value Range
Red	< 15
Orange	≥ 15 and < 20
Yellow	≥ 20 and < 30
Green	> 30
Note: For more information on KB Basecaller and Quality Values, see the *Applied Biosystems DNA Sequencing Analysis Software v5.1 User Guide,* PN 4346366.	

The column is empty (white) if:

- Analysis was not performed
- Analysis failed
- ABI Basecaller was used for analysis. This basecaller does not assign Quality Values.

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Notes

Results Group and Analysis Protocol Columns

You can edit and make changes for reextraction to the Results Group and the Analysis Protocol (Analysis Method in the GeneMapper® software).

Note: Select an entire column in the Reextraction window by clicking on the column header. For example, clicking on the Extract column header selects all samples. Clicking the Uncheck or Check buttons at the bottom of the window, enables or disables the check boxes for each sample. The fill-down command (**Ctrl+D**) works the same here as in the Plate Editor for easier information input.

Sorting the Samples

1. Hold down the shift key while clicking on the column header to sort samples according to the column properties.

2. Shift-click again to sort them in reverse order. Sort by:
   - Capillary number
   - Well position
   - Results
   - Quality
   - Extract column

   Note: For example, bring all of the failed analysis or extraction samples to the top of the column. Now they can be viewed without having to scroll down to each sample individually.

Notes

Reextracting Selected Samples

1. Expand the Results column cells for any yellow or red results to see a description of the warning or failure.

2. You can select a new Results Group, or edit the current one. Selecting a new Results Group allows you to turn off autoanalysis, change the samples and folder naming options, the location where they are placed, and the owner of the Results Group.

3. You can change the Analysis Protocol to experiment with different ways of analyzing the sample, using a different basecaller for example.

4. Check the check box in the Extract column for the samples you wish to extract again.

5. Click **Extract**.

   Note: Reextraction creates an entirely new sample file and does not replace the previously saved sample file. The presence of a previous sample file has no effect on the creation of a new sample file. If the same naming options that are used for reextraction are identical to those used previously, a number is appended to the filename. For example, if the first sample is, "sample 01.ab1" then the second sample would be, "sample 01 (1).ab1."

Viewing Analyzed Data

Locating Sample Files

Analyzed sample files are extracted into a run folder defined in the Naming tab of your Results Group, and placed in a location defined in the Destination tab.

The default location is:

E:\AppliedBiosystems\UDC\DataCollection\Data\ *<instrument type>\<instrument name>\<Run Name>*
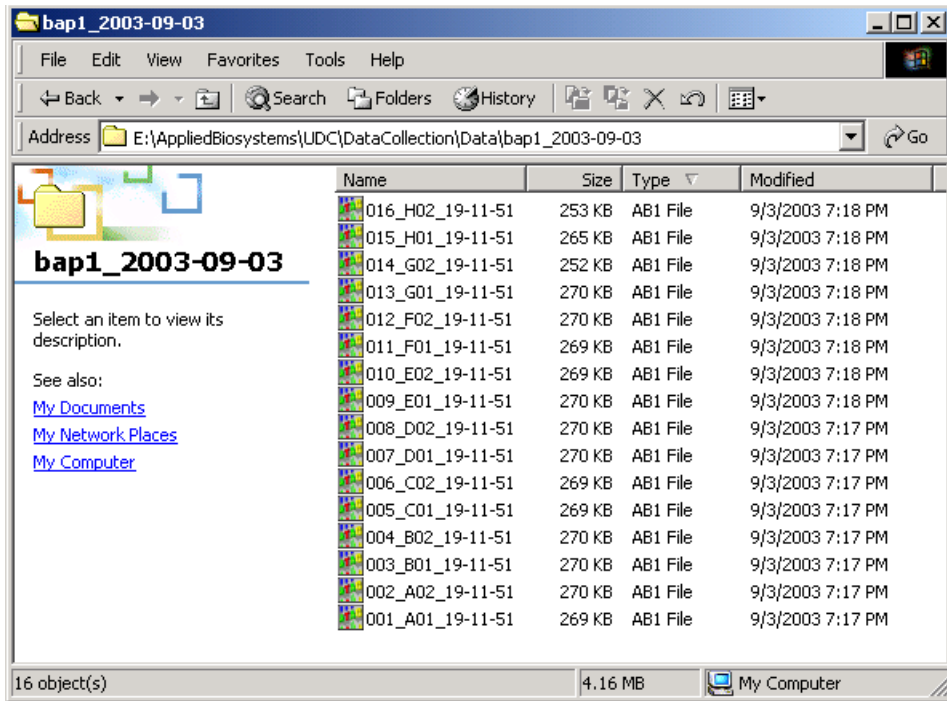
Locating Sample Files

Locate the reextracted data in the location defined by the Results Group or the default destination location.

Viewing Sample Files

Use the Sequencing Analysis, SeqScape, or the GeneMapper Software to view re-extracted sample electropherogram data, both raw, and analyzed.

Sample file type	File extension
Sequencing, SeqScape	.ab1
Fragment analysis	.fsa

Notes

Plate Mapping

Injection Scheduling

Samples are scheduled for injection based on their position in a 96-or 384-well plate and the capillary number. Study the appropriate plate mapping patterns described in "96-Well Plate Mapping" or "384-Well Plate Mapping" to arrange your samples according to your preferred injection priority.

The injection schedule system is based on the:

- Order of the linked plates (3130*xl* instrument only). See page 114 for linking plate information.
- Sample priority value in the plate record.

If all priorities are set to 100 (default), then runs are scheduled as outlined below. See the *Applied Biosystems 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide* (Part no. 4477854) on "Run Priority Scheduling".

Capillary Array Map

The capillary numbers in the capillary array are shown in the layout below. The capillary array layout is the same for both 96- and 384-well plates.

Capillary Number Layout
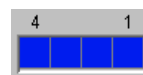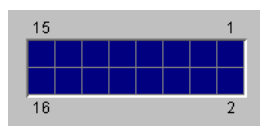
96-Well Plate Mapping

3130*xl* Genetic Analyzer

For a 96-well plate, injections are made from every well in two consecutive rows, starting with an odd row. A full 96-well plate requires six runs to inject all samples. See the examples below where samples (grey) and capillary number (number) positions can affect your number of runs.
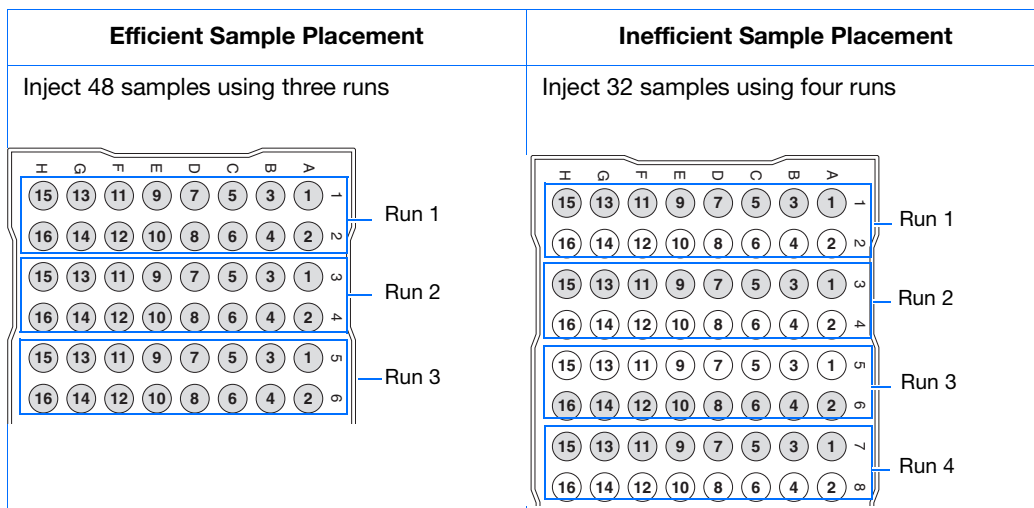
3130 Genetic Analyzer

For a 96-well plate, injections are made from four consecutive wells in a row. A full plate of 96 sample requires 24 runs to inject all samples once.

In the following example of a 96-well plate, the gray circles represent samples and the number in the well indicates capillary number. It takes four runs to inject 16 samples.
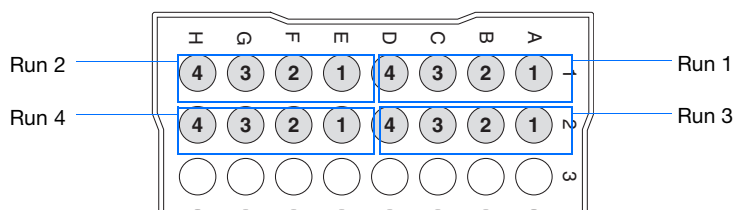
Notes

384-Well Plate Mapping

3130*xl* Genetic Analyzer

For a 384-well plate, injections are made from every other well and every other row. A full plate of 384 samples requires 24 runs to inject all samples once.

The example below is the injection pattern for the first four injections, starting with well A01. The light gray circles represent samples and the dark gray circles indicate the injection pattern.

Run 1   Run 2

Run 3   Run 4
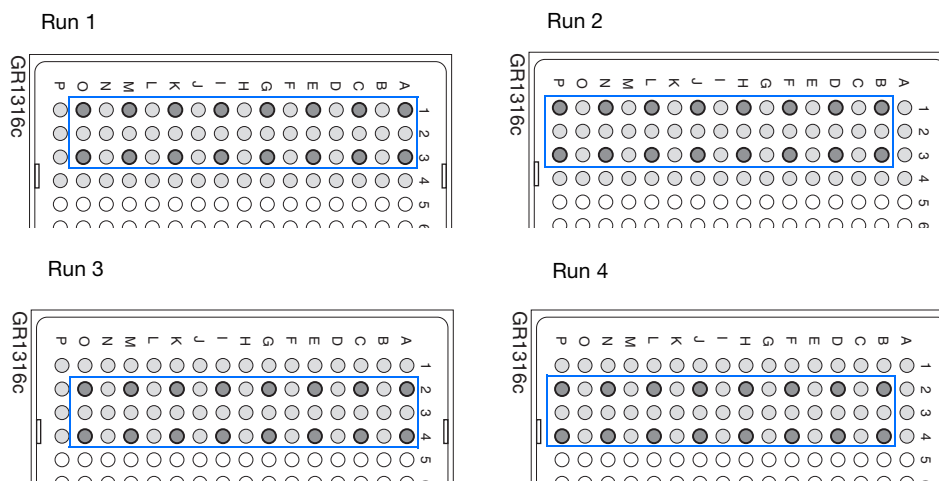
3130 Genetic Analyzer

For a 384-well plate, injections are made from every other well. A full plate of 384 sample requires 96 runs to inject all samples once.

The example below is the injection pattern for the first six injections, starting with well A01. The light gray circles represent samples and the dark gray circles indicate the injection pattern.

Run 1   Run 2   Run 3

Run 4   Run 5   Run 6
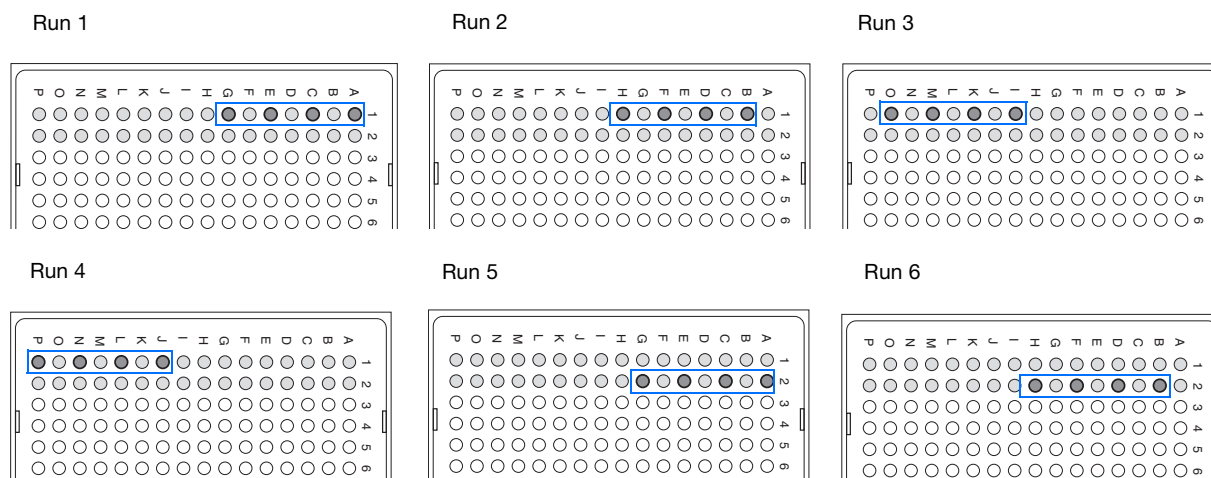
Notes _____

Notes

KB™ Basecaller Software v1.4.1

Executive Summary

Applied Biosystems® KB™ Basecaller Software v1.4.1 reduces manual data review time and increases the read length of high-quality bases in sequences. This algorithm accurately extracts more bases out of the sequencing data generated on Applied Biosystems® DNA Analyzers and Genetic Analyzer Instrument and chemistry platforms. KB™ Basecaller Software v1.4.1 supports all BigDye® Terminator v3.1 and v1.1 and BigDye® Direct chemistries and run modules available on Applied Biosystems® instruments.

- 310 Genetic Analyzer
- 3100/3100-*Avant* Genetic Analyzers
- 3130/3130*xl* Genetic Analyzers
- 3730/3730*xl* DNA Analyzers
- 3500 Dx and 3500 Dx/3500xL Dx Genetic Analyzers
- 3500 and 3500/3500xL Genetic Analyzers.

Software integration
KB™ Basecaller Software v1.4.1 is integrated with:

- Sequencing Analysis Software 6 and v5.4
- SeqScape® Software 3 and v2.7
- Variant Reporter® Software 2 and v1.1

Notes

- 3130 Series and 3730 Series Data Collection Software 4
- 3500 Series Data Collection Software
- MicroSEQ® ID Analysis Software v2.2

KB™ Basecaller Software v1.4.1 is *not* integrated with:

- MicroSeq® ID software versions 2.1 and older
- Any versions of Data Collection Software for the 310 and 3100/3100-*Avant*
- 3130/3130*xl* and 3730/3730*xl* Data Collection Software versions before v3.1
- Sequencing Analysis Software before v5.4
- SeqScape® Software versions before v2.7
- Variant Reporter® Software versions before v1.1.

During the co-installation of Sequencing Analysis Software 6 and SeqScape® Software 3 with Data Collection Software 4, KB™ Basecaller Software v1.4.1 is installed into your Data Collection Software 4 on the same computer.

Testing on more than 50,000 sequencing samples shows that version 1.4.1 of the algorithm offers many advantages, including longer, accurate read lengths.

Details of the test and validation process are in the poster *Longer Reads and More Robust Assemblies with the* KB™ Basecaller.

IMPORTANT! Applied Biosystems strongly recommends using the KB™ Basecaller.

Benefits of using the KB™ Basecaller

Some benefits of using the KB™ Basecaller include:

- Increased length of read
- Per-base quality value predictions using an equation that is standardized by Phred software
- Optional detection of mixed-base with quality values
- Analysis of short PCR products
- Accurate start point detection
- Increased accuracy in regions of low signal-to-noise or anomalous signal artifacts
- Detection of failed samples
- Trimming of data using per-base quality value
- Per-sample quality value that helps to determine the quality of each read
- Optional detection of PCR stop
- Optional assignment of Ns
- Optional generation of .phd.1 files

Notes

Increased length of read	KB™ Basecaller accurately extracts more bases than ABI Basecaller from the 3′ and 5′ ends of a sequence. Tests on genomic BAC samples, performed on data generated using 3730/3730*xl* instruments, indicate an improvement of approximately 100 bases in length-of-read as compared to the same data analyzed by the ABI Basecaller and Phred software (v0.020425.c). The gain in read length varies depending on the run module used to collect the data. The accuracy of start point estimation and the first 50 bases of called sequence is substantially increased. Typically, ~10 more correct calls on average are identified at the 5′ end as compared to the ABI Basecaller.
Per-base quality value predictions	The KB™ Basecaller assigns quality values to every basecall. The quality prediction algorithm is calibrated to return Q values that conform to the industry-standard relation established by the Phred software. The KB™ Basecaller and its output are, therefore, interchangeable in processes requiring Phred software for output.
	Quality value calibration was performed using a set of correct-sequence annotated sample files, representative of production sequencing data generated on capillary electrophoresis platforms. Over 52.1 million basecalls were used to calibrate KB™ Basecaller Quality Values and over 32.9 million distinct basecalls were used to test the calibration.
Accuracy in start point detection	Improved start point detection contributes to better mobility shift corrections and greater basecalling accuracy in the first 50 bases. Because the KB™ Basecaller detects the start point accurately, you do not need to manually set start points for each sample.
Optional detection of mixed-base with quality values	The KB™ Basecaller can detect mixed base positions, and assign two-base (R, Y, K, M, S, W) IUB codes and quality values to those positions. Quality values are assigned to mixed basecalls using an algorithm similar to that for pure bases.
	The definition conforms to the Phred relation. Quality values for mixed bases are inherently lower than those of pure bases due to the higher error risk of interpreting more complex signals. Note that when using the ABI Basecaller or ABI Basecaller and Phred software, a separate analysis stage is required to determine mixed bases.
Increased accuracy in regions of low signal-to-noise or anomalous signal artifacts	The KB™ Basecaller increases the accuracy of sequence reads from low-signal regions or from data that are partially contaminated by a secondary sequence or by other sources of "chemistry noise".
	Basecalling errors caused by anomalous chemistry and/or instrument signals such as dye blobs and fluorescent spikes are substantially reduced. These artifacts often occur in otherwise high-quality "clear-range" data. They result in the loss of high-quality bases that are downstream from the noise region. Tests indicate that KB™ Basecaller distinguishes between target DNA peaks and the most common artifacts better than ABI Basecaller.
Analysis of short PCR products	The KB™ Basecaller has been tested for accuracy in basecalling and quality value estimates on PCR products as short as 100 bases. Although KB™ Basecaller may be able to basecall products with less than 100 bases, these types of sample files were not tested.

Notes _____

Detection of failed samples

The KB™ Basecaller indicates the gross sample quality of each analysis as "Success without warnings," "Success with warnings," or "Failure due to poor data quality". A common failure mode is no signal–insufficient detection of DNA peaks. For failed samples, the KB™ Basecaller uses "NNNNN" as the sequence, indicating that the sample quality is very low and may need to be omitted from further analysis. Failed samples are flagged in reports in the analysis software. Note that this behavior is different from the ABI Basecaller, which *always* tries to call bases, resulting in sequences of many Ns.

Option to trim data using per-base quality value

You can use software with KB™ Basecaller to automatically determine the clear range region by trimming the ends using the per-base quality values. The parameters used for trimming are similar to those in other tools used by the genome community.

Per-sample quality value (QV) evaluates quality of reads

Software with the KB™ Basecaller uses the QV from the KB™ Basecaller to trim and determine a sample score. The sample score is the average QV in the clear range, or, if no clear range is determined, in the entire read. This single number value is a measure of the quality of the data. The sample score appears in reports generated by Sequencing Analysis Software, SeqScape® Software, Sequence Scanner Software, Variant Reporter® Software, and/or MicroSeq® ID Software.

Optional detection of PCR stop

You can set the KB™ Basecaller to end basecalling at a PCR stop. Note that samples with enzymatic failure may have signal properties similar to those in PCR stop conditions. The KB Basecaller may not be able to distinguish between these two conditions.

Optional assignment of Ns

By default, the KB™ Basecaller does not generate Ns. However, you may choose to reassign Ns to bases with QVs below a user-specified threshold for both pure and mixed base positions.

Optional generation of .phd.1 files

.phd.1 files can be generated by autoanalysis or in analysis software. You can use the .phd.1 files for further analysis by downstream software such as Phred software.

Notes

Future support of ABI and KB™ Basecallers

Applied Biosystems will continue to provide technical support for the ABI Basecaller. However, further development and defect fixes will occur only on the KB™ Basecaller. If you encounter a defect in the ABI Basecaller, please use the KB™ Basecaller instead. In future releases, ABI Basecaller support files are removed from the software wherever they duplicate support in the KB™ Basecaller.

Features in KB™ Basecaller Software v1.4.1

- A basecalling algorithm that supports Applied Biosystems® 310, 3100/3100-*Avant*, 3130/3130*xl*, 3730/3730*xl*, 3500/3500xL, and 3500 Dx/3500xL Dx Genetic Analyzers
- Improvements over all earlier versions of KB™ Basecaller (v1.0, v1.1, v1.1.1, v1.1.2, v1.2, v1.3, and v1.4)

Note: Basecalling results with KB™ Basecaller Software v1.4.1 may differ slightly from results obtained with previous versions of KB™ Basecaller.

Notes

Comparison of the ABI and KB™ Basecallers

Question	ABI Basecaller	KB™ Basecaller
What does the software do?	• Processes raw traces • Provides processed traces • Provides AGCTN calls	• Processes raw traces • Provides processed traces • Provides pure bases only *or* • Provides pure and mixed calls • Provides quality values • Generates .phd.1 and .scf files • Provides a sample score
What are the resulting basecalls?	One option available: Only mixed bases are assigned as Ns. Further processing (either manual or using additional software) is required to assign IUB codes to the Ns or pure bases.	Four options are available. The software can assign an: • ACGT and Q value to each peak. • ACGT and Q value to each peak. Any peak with a Q value below a defined threshold is reassigned an N. • ACGT or a mixed base and a Q value to each peak. • ACGT or a mixed base and a Q value to each peak. Any peak with a Q value below a defined threshold is reassigned an N.
How are failed samples handled (for example, no signals, chemistry failure)?	Attempts to call all bases so a sample results with many Ns.	Assigns five Ns to the entire sample to indicate that the sample failed analysis. The analysis report flags these files.
Baseline in processed data	Appears smoother than in KB™ Basecaller.	Appears less smooth than in ABI KB™ Basecaller.
What are the steps to process data?	Calls bases on Windows OS.	Calls bases and estimates QVs on Windows OS.
Data and future support	Supports the 310, 3100, 3100-*Avant*, Applied Biosystems® 3130/3130*xl* and 3730/3730*xl* instruments. Further development has stopped.	Applied Biosystems® 310, 3100/3100-*Avant*, 3130/3130*xl,* 3730/3730*xl,* 3500/3500xL, and 3500 Dx/3500xL Dx Genetic Analyzers. Development is ongoing.

Notes

Differences between the ABI and KB™ Basecallers

Question	Answer	
	ABI Basecaller	KB™ Basecaller
Can the KB™ Basecaller basecall short PCR products?		The KB™ Basecaller has been tested for accuracy in basecalling and quality value estimation on PCR products as short as 100 bases. Although it may be possible to basecall products with less than 100 bases, such sample files have not been tested. Samples shorter than 100 bases may not contain enough signal information to basecall the sample file.
Why is the baseline less smooth when the data are analyzed with the KB™ Basecaller?	Processed signals or traces from the ABI Basecaller appear smoother than those from the KB™ Basecaller because each software application uses an algorithm that processes the signals differently. The ABI Basecaller assigns only AGCT and Ns to each peak. Therefore, you must manually search for mixed bases or use a secondary software to complete the task. To facilitate this secondary process, the ABI Basecaller subtracts an aggressive baseline estimate to show a cleaner baseline in the processed signals.	The KB™ Basecaller can determine pure and mixed bases. Therefore, second-stage processing, which allows less aggressive baseline subtraction, is not needed. The processed traces have a higher baseline. If you have mixed bases, turn on the mixed-base detection option and allow KB™ Basecaller to call mixed bases. Use the mixed base calls and the associated QVs to review mixed bases – do not look only at the baseline.
What is the signal to noise value found with data analyzed with the KB™ Basecaller?	The signal-to-noise value is the average of the signal intensity of the A, C, G, or T base divided by the average of the noise for that base. The ABI Basecaller calculates only the signal intensity. The signal-to-noise value is more indicative of data quality than the signal intensity value alone. Both properties are important in determining quality.	KB™ Basecaller calculates the information and presents the data in the Annotation view and analysis report.

Notes _____

Question	Answer	
	ABI Basecaller	KB™ Basecaller
What scaling options are available with the KB™ Basecaller?	The ABI Basecaller uses a scaling method closer to the "True profile" option than the "Flat profile" option.	The KB™ Basecaller can display scaled data in two ways: • True profile scaling With this method, the processed traces are scaled uniformly so that the average height of peaks in the region of strongest signal is about equal to a fixed value (for example, 1000). The profile of the processed traces is very similar to that of the raw traces. • Flat profile scaling The processed traces are scaled semi-locally so that the average height of peaks in any region is about equal to a fixed value (for example, 1000). The profile of the processed traces is flat on an intermediate scale (> about 40 bases). You must decide which option is better suited to your circumstances. The sequence and QVs called by the KB™ Basecaller are independent of the selected scaling option.
Does the KB™ Basecaller produce more usable sample files than the ABI Basecaller?		Tests show that medium- and high-quality data result in more usable bases (longer read length) when analyzed by the KB™ Basecaller than by the ABI Basecaller. For very poor-quality data (samples with no, low, or noisy signal), the KB™ Basecaller does not provide more bases but instead fails the samples. By calling a string of "NNNNN" for the failed samples (instead of a sequence containing low QVs), the KB™ Basecaller indicates that the sample is unusable.
Can the KB™ Basecaller analyze data generated on the ABI PRISM® 373, 377, or 3700 instruments?		No, the KB™ Basecaller is calibrated to basecall and estimate the basecall quality for BigDye® Terminator chemistries on 310, 3100, 3100-*Avant*, and 3130/3130*xl* Genetic Analyzers, 3730/3730*xl* DNA Analyzers, and 3500/3500xL and 3500/3500 Dx/3500xL Dx Genetic Analyzers. Applied Biosystems has stopped support for the 373, 377, and 3700 instruments and data analysis.

Notes

Question	Answer	
	ABI Basecaller	KB™ Basecaller
How can I determine which basecaller was used to analyze each sample file?		The Annotation view for each sample file and for the print header displays the basecaller name and version number. When displaying samples files, files analyzed by the KB™ Basecaller have QV value bars displayed above the electropherogram.
Are there any known incompatibilities when a sample file is analyzed with the KB™ Basecaller?		Applied Biosystems does not know of any incompatibility issues when a sample file (.ab1) is analyzed with the KB™ Basecaller and used in third-party software.

Notes _____

FAQs: Processing data with Phred software and .phd.1 Files

Question	Answer
Can I analyze sample files with the KB™ Basecaller and then reprocess them with Phred software?	In principle, yes, but this is not recommended. The resulting quality values from Phred software are not calibrated—i.e., it is possible that Phred will over or under-predict quality in certain circumstances because it has not been trained on the type of processed electropherogram produced by the KB™ Basecaller. (Phred has been trained using the ABI Basecaller to produce the processed traces.)
	In addition, Phred replaces (and ignores) the initial called sequence. Reprocessing KB-analyzed samples with Phred, on average, degrades the accuracy of the analysis in terms of actual sequence error. Analysis improvements in KB™ Basecaller outlined above are lost.
	Studies by Applied Biosystems indicate that running Phred software on sample files processed by the KB™ Basecaller degrades the quality of the results.
	Analysis with KB™ Basecaller can generate .phd.1 files, which are interchangeable with any processes that currently depend on Phred.
Which Applied Biosystems® software generates .phd.1 files?	The following software products have KB™ Basecaller (version varies for each software) integrated and can generate .phd.1 files: • ABI PRISM® 3100-*Avant* Data Collection Software v2.0 • ABI PRISM® 3100 Data Collection Software v2.0 • Applied Biosystems® 3130/*xl* and 3730/*xl* Data Collection Software v3.0 and later • Sequencing Analysis Software v5.2 and later • SeqScape® Software v2.5 and later • MicroSeq® ID Software v1.0 and later • Variant Reporter® Software v1.0 and later

Notes

FAQs: Quality values

Question	Answer
How do I use quality values to review data?	When analyzing data with pure bases, Applied Biosystems Corporation recommends that you use the following settings: **Pure bases** – Low QV = <15, Medium QV= 15–19, High QV= 20+ (default) When reviewing data with pure bases, use the QVs to briefly review bases with high QV(>20). Pay close attention to bases with medium QVs because you may need to make edits. Quickly review low-QV bases, although you will likely discard these bases from further analysis. Mixed base quality values will be lower than pure bases. For mixed bases, review all mixed basecalls. You may want to accept basecalls with quality values as low as 1. **Mixed bases** – Low QV = <5, Medium QV = 5–10 (investigate to determine the best range for your application) In all cases, keep in mind that, by definition, the predicted probability of error for a particular basecall is $10^{-q/10}$.
What are the differences between quality values of mixed bases and pure bases?	Pure bases and mixed bases have the same probability of error for the associated basecall (10-q/10). Note the following: • High-quality pure bases typically have QVs of 20 or higher. • The distribution of quality values for mixed bases differs dramatically from that of pure bases. • For mixed bases, quality values greater than 20 are rare. • Accurate mixed basecalls may be assigned quality values as low as 1, because the probability of error with mixed bases is higher. Review all mixed basecalls.
Can I trim my data using quality values?	Yes. When using Data Collection, you can set trimming using QVs in the analysis protocols. When using Sequencing Analysis Software, SeqScape® Software, MicroSeq® ID Software or Variant Reporter® Software, you can set trimming using QVs in the Analysis settings.

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Question	Answer
Is there a table that shows each quality value and its corresponding probability of error?	The following table shows each quality value and its corresponding probability of error. For a more extensive table, look in the Help menu or the Sequencing Analysis Software or the SeqScape® Software user guides.

QV	Pe	QV	Pe
1	79.0%	35	0.032%
5	32/0%	40	0.010%
10	10.0%	41	0.0079%
15	3.2%	45	0.0032%
20	1.0%	50	0.0010%
21	0.79%	60	0.00010%
25	0.32%	99	0.00000000013%
30	0.10%		–

Question	Answer
Where can I see quality value bars and numbers?	Sequencing Analysis Software, SeqScape® Software, MicroSeq® ID Software, and Variant Reporter® Software allow you to display or hide quality value (QV) bars in displays and printouts. You can customize the color and range for low, medium, and high quality values. For QVs $\leq$ 50, the length of a bar is proportional to the corresponding quality value. Quality values above 50 will have the same color and QV bar length as those defined for a QV of 50. To see the quality value for a particular base, place the computer mouse over the QV bar. In SeqScape® Software, MicroSeq® ID Software, and Variant Reporter® Software, the per-base quality values also appear in the reports corresponding to bases identified as mutations.
Why are the quality value bars displayed in gray?	A quality value is assigned to a specific basecall. When you change a basecall, the quality value does not apply to the new base, and therefore, it is displayed as a gray bar. Also when you reassign Ns to bases below a certain QV, the QV bar does not apply to the N basecall, and therefore it is displayed as a gray bar.
Are quality value bars printed for the Electropherogram or Sequence views?	You can show or hide the QV bars when printing the Electropherogram and Sequence views of the sample file. QV bars are not printed if you print more than seven panels per page (due to space limitations). The quality value numbers cannot be printed.
Which Applied Biosystems software can display the quality values?	Sequencing Analysis Software v5.X, Sequencing Analysis Software 6, SeqScape® Software v2.X, SeqScape® Software 3, MicroSeq® ID Software v1.X, v2.X, Variant Reporter® Software v1.X, and Variant Reporter® 2 can display quality values.
Can I view quality values from KB™ Basecaller with other software?	Quality value graphics from KB™ Basecaller are customized for processing by other Applied Biosystems software. The KB™ Basecaller allows other Applied Biosystems software to perform additional functions, such as clear range trimming and more streamlined editing.

Notes

Miscellaneous FAQs

Some frequently asked questions regarding Ns, spacing values, and providing feedback are shown below.

Question	Answer
When do Ns appear in samples analyzed by the KB™ Basecaller Software?	When using the KB™ Basecaller, the sequence "NNNNN" appears in the sample file when the sample fails analysis. Omit this file from further analysis. The Analysis Report in Sequencing Analysis Software will also flag these files. In addition to pure and mixed bases shown with QV bars, N's and gray QV bars are also shown when you reassign Ns to all bases before the user-specified QV threshold. This allows you to view the longer read length and more accurate basecalling of KB™ Basecaller while still viewing data with software that does not display QVs.
Why does the spacing value sometimes appear in red?	When the ABI Basecaller fails to determine a spacing value for a sample file, it uses a default value of 12.00 for all run conditions. This number appears as in red in the Sample Manager, and the Annotation view displays "−12.00".
Why does the spacing value sometimes have a negative value?	When the KB™ Basecaller fails to determine a spacing value for a sample file, it uses a default value specific to the instrument/polymer/chemistry/run condition used to generate the sample file. This value appears in red in the Sample Manager. The Annotation view displays –1 times this value.
How can I provide feedback to the KB™ Basecaller product team?	Email information to your local Life Technologies applications support representative at **www.lifetechnologies.com/support**. If applicable, please include sample files and details (including analysis settings) on how to reproduce your observation.

Notes _____

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Conference posters and reference

Posters
- ABRF 2007 – *Improved Accuracy for Mutation and SNP Detection: Variant Reporter® Software*, Ming Li, *et al.*
- ESHG 2007 – Direct Sequencing Quality Control
- AGBT 2004 – Longer Reads with the KB™ Basecaller
- ABRF 2004 – Integrated Sequencing Analysis Solutions using the KB™ Basecaller from Applied Biosystems
- ESHG 2009 Performance of the KB™ Basecaller for a New Sequencing System

These posters and other literature can be found at:

www.lifetechnologies.com

Click **Support**, then **Products and Technical Literature**. Search with the keyword *KB*.

Reference
B. Ewing and P. Green, Genome Research, 8:186–194, 199.

Managing Software License for 3130 Series Data Collection Software 4

Manage software licenses

The 3130 Series Data Collection Software 4 requires a license to run.

IMPORTANT! If you replace or add a network card in the computer running the software, or relocate the software to a new computer, contact Life Technologies to update your license for the new network card or computer.

Obtain and activate a software license

The 3130 Series Data Collection Software 4 Software Activation dialog box is displayed when you start the software if no license is installed and activated on your computer.

This task is typically performed by the Life Technologies service representative during installation of the instrument.

1. Ensure that all network cards in the computer are enabled.

   IMPORTANT! You can run the 3130 Series Data Collection Software 4 using only the network cards enabled when you activate the software license. For example, if you activate the software when your wireless network card is disabled, you will not be able to run the software when the wireless network card is enabled.

Notes

2. Display the Software Activation dialog box by starting the 3130 Series Data Collection Software 4.

3xxx Series Data Collection Software 4 Software Activation

1. Request license file for Computer ID:

002564ee13a4 002564ee13a5

This ID is unique to this computer and cannot be used to obtain a license file for another computer.

a. Enter the license key (from CD or email):

b. Enter your email address:

c. Is this computer currently connected to the internet?

Yes. Connected.   No. Not Connected.

2. Retrieve the license file from email, then save it to the desktop of this computer.

3. Find the license file:

Browse...

4. Click   Install and Validate License

Close

3. Obtain the license key. The license key is provided on the 3130 Series Data Collection Software 4 CD case, or in an email from Life Technologies.

4. Request the software license file by performing steps **1a**, **1b**, and **1c** as listed on the activation screen.

> **IMPORTANT!** Keep a record of the email address used to activate the software license. You must use the same email address to renew the software license when it expires.

5. Obtain the software license file from your email.

6. Make a copy of the software license file and keep in a safe location.

7. Copy the software license file to the desktop of the 3130 Series Data Collection Software 4 computer.

Notes

8. If the Software Activation dialog box has closed, start the 3130 Series Data Collection Software 4 to open it.

9. Click **Browse**, then navigate to the software license file saved on your computer.

10. Click **Install and Validate License**. A message is displayed when the license is installed and validated.

11. Click **Close**.
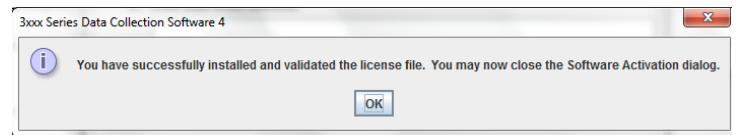
Renew a software license

1. Ensure that all network cards in the computer are enabled.

2. Display the Software License Renewal dialog box by doing either of the following:
   – Select **Activate License Now** in the Warning: License Will Expire Soon dialog box that is displayed 8–30 days prior to expiration.
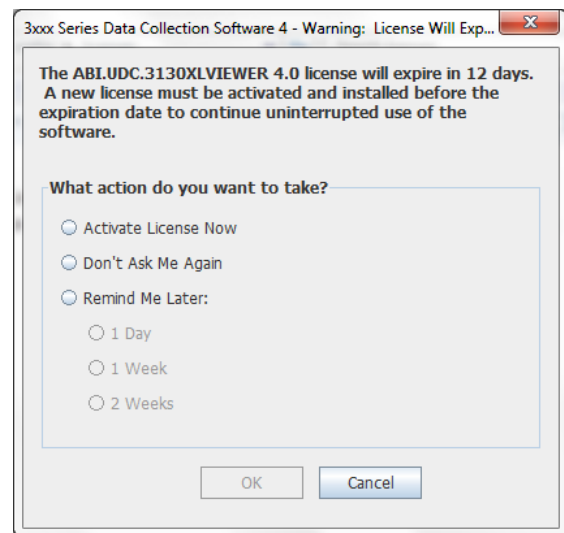
   – Click **Yes** in the Critical Warning: License Will Expire Soon dialog box that is displayed within 7 days of expiration.
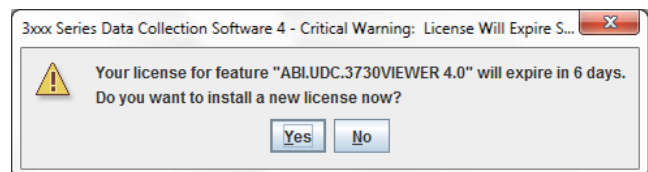
Notes _____

3. Choose **Activate/Install License Now** to display page shown at right. Click **Reinstall License** in the lower-left Corner.
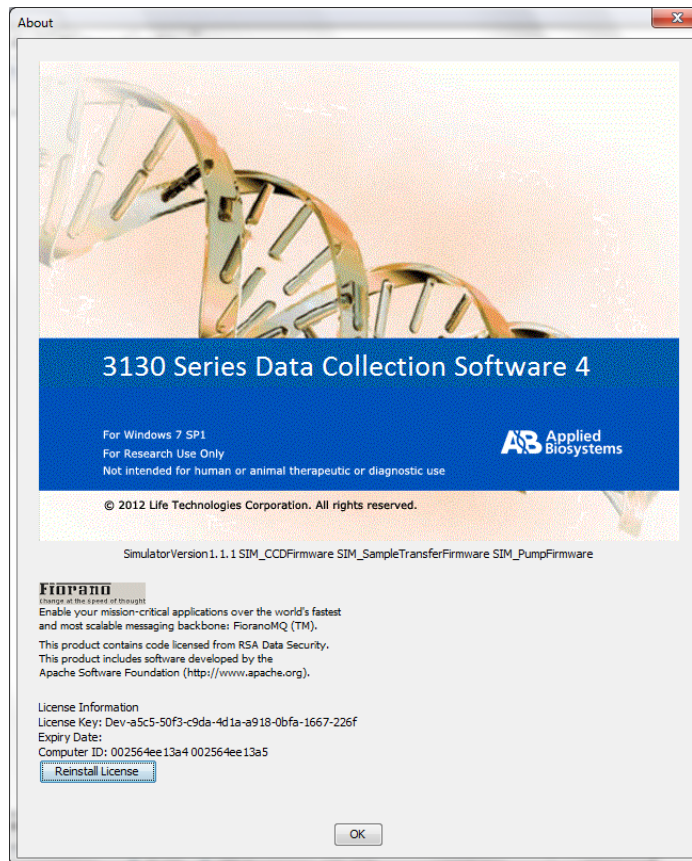
4. Complete the License Renewal dialog box as described below:

5. Enter the email address used to activate the software license.

> **IMPORTANT!** You must use the same email address to activate and renew the software license. If you do not have the activation email address available, enter any email address, click the licensing link in the Software Renewal dialog box, then click **Contact Support** in the License Renewal web page displayed.

6. Request the renewed software license file by performing step **1c** as listed on the renewal screen.

7. Obtain the renewed software license file from your email.

8. Copy the renewed software license file to the desktop of this computer.

9. Click **Browse**, then navigate to the renewed software license file saved on your computer.

10. Click **Install and Validate License**. A message is displayed when the license is installed and validated.

11. Click **Close**.
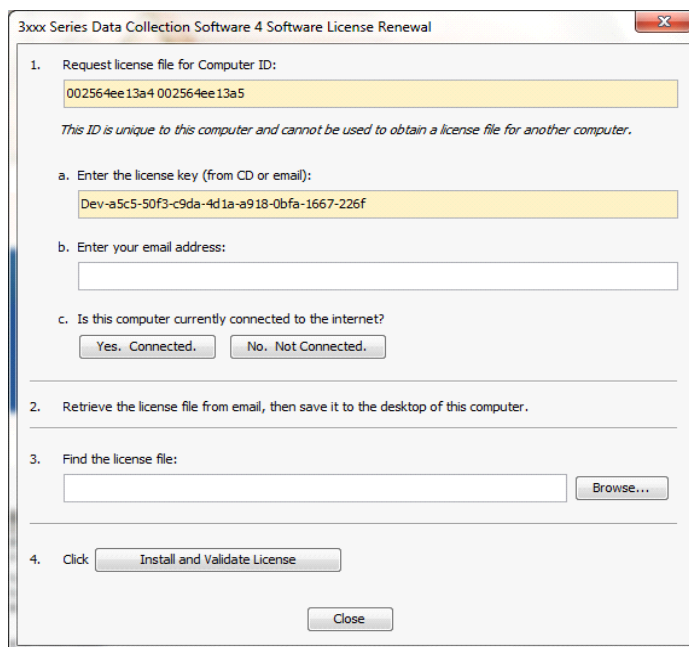
Notes

Notes

Safety

> ⚠️ **WARNING** **GENERAL SAFETY.** Using this product in a manner not specified in the user documentation may result in personal injury or damage to the instrument or device. Ensure that anyone using this product has received instructions in general safety practices for laboratories and the safety information provided in this document.
> - Before using an instrument or device, read and understand the safety information provided in the user documentation provided by the manufacturer of the instrument or device.
> - Before handling chemicals, read and understand all applicable Safety Data Sheets (SDSs) and use appropriate personal protective equipment (gloves, gowns, eye protection, etc). To obtain SDSs, see "Obtaining Support" on page 177".
> - All testing should be performed in accordance with local, regional and national acceptable laboratory accreditation standards and/or regulations.

Symbols on instruments

Symbols may be found on the instrument to warn against potential hazards or convey important safety information. In this document, the hazard symbol is used along with one of the following user attention words described:

- CAUTION! – Indicates a potentially hazardous situation that, if not avoided, may result in minor or moderate injury. It may also be used to alert against unsafe practices.
- WARNING! – Indicates a potentially hazardous situation that, if not avoided, could result in death or serious injury.
- DANGER! – Indicates an imminently hazardous situation that, if not avoided, will result in death or serious injury.

Symbol	English	Français
⚠️	Caution, risk of danger Consult the manual for further safety information.	Attention, risque de danger Consulter le manuel pour d'autres renseignements de sécurité.
🔥	Caution, hot surface	Attention, surface chaude
⚡	Caution, risk of electrical shock	Attention, risque de choc électrique
☀	Laser radiation	Rayonnement laser
✋	Caution, piercing hazard	Attention, danger de perforation

Symbol	English	Français
(biohazard symbol)	Potential biohazard	Danger biologique potentiel
(UV light symbol)	Ultraviolet light	Rayonnement ultraviolet
I	On	On (marche)
O	Off	Off (arrêt)
(on/off symbol)	On/Off	On/Off (marche/arrêt)
(protective conductor symbol)	Protective conductor terminal (main ground)	Borne de conducteur de protection (mise à la terre principale)
~	Terminal that can receive or supply alternating current or voltage	Borne pouvant recevoir ou envoyer une tension ou un courant de type alternatif
≈	Terminal that can receive or supply alternating or direct current or voltage	Borne pouvant recevoir ou envoyer une tension ou un courant continu ou alternatif
(waste bin symbol)	**Do not dispose of this product in unsorted municipal waste**. Follow local municipal waste ordinances for proper disposal provisions to reduce the environmental impact of waste electrical and electronic equipment ⚠ **CAUTION** To minimize negative environmental impact from disposal of electronic waste, do not dispose of electronic waste in unsorted municipal waste. Follow local municipal waste ordinances for proper disposal provision and contact customer service for information about responsible disposal options.	Ne pas éliminer ce produit avec les déchets usuels non soumis au tri ⚠ **CAUTION** Pour minimiser les conséquences négatives sur l'environnement à la suite de l'élimination de déchets électroniques, ne pas éliminer ce déchet électronique avec les déchets usuels non soumis au tri sélectif. Se conformer aux ordonnances locales sur les déchets municipaux pour les dispositions d'élimination et communiquer avec le service à la clientèle pour des renseignements sur les options d'élimination responsable.

Conformity mark	Description
(cULus mark)	Indicates conformity with safety requirements for Canada and U.S.A.

Safety alerts on this instrument

The following table shows the location of safety alerts found on the instrument. See for more information.

English	French translation	Location on Instrument
⚠ Class 3B (III) visible and/or invisible laser radiation present when open and interlocks defeated. Avoid exposure to beam.	**DANGER!** Rayonnement laser visible ou invisible de classe 3B (III) présent en position ouverte et avec les dispositifs de sécurité non enclenchés. Éviter toute exposition au faisceau.	Detection cell cover

Instrument safety

General

⚠ **CAUTION** **Do not remove instrument protective covers.** If you remove the protective instrument panels or disable interlock devices, you may be exposed to serious hazards including, but not limited to, severe electrical shock, laser exposure, crushing, or chemical exposure.

Physical injury

⚠ **CAUTION** **Moving and Lifting Injury.** The instrument is to be moved and positioned only by the personnel or vendor specified in the applicable site preparation guide.
Improper lifting can cause painful and permanent back injury.
Things to consider before lifting or moving the instrument or accessories:
- Depending on the weight, moving or lifting may require two or more persons.
- If you decide to lift or move the instrument after it has been installed, do not attempt to do so without the assistance of others, the use of appropriate moving equipment, and proper lifting techniques.
- Ensure you have a secure, comfortable grip on the instrument or accessory.
- Make sure that the path from where the object is to where it is being moved is clear of obstructions.
- Do not lift an object and twist your torso at the same time. Keep your spine in a good neutral position while lifting with your legs.
- Participants should coordinate lift and move intentions with each other before lifting and carrying.
- For smaller packages, rather than lifting the object from the packing box, carefully tilt the box on its side and hold it stationary while someone else slides the contents out of

the box.

⚠ **CAUTION** **Moving Parts.** Moving parts can crush, pinch and cut. Keep hands clear of moving parts while operating the instrument. Disconnect power before servicing.

Electrical

⚠ **WARNING** **Fuse Installation.** Before installing the instrument, verify that the fuses are properly installed and the fuse voltage matches the supply voltage. Replace fuses only with the type and rating specified for the unit. Improper fuses can damage the instrument wiring system and cause a fire.

⚠ **DANGER** **ELECTRICAL SHOCK HAZARD.** Severe electrical shock can result from operating the Applied Biosystems 3130/3130*xl* Genetic Analyzers without its instrument panels in place. Do not remove instrument panels. High-voltage contacts are exposed when instrument panels are removed from the instrument.

⚠ **WARNING** **Voltage Selector Switch.** Before installing the instrument, verify that the voltage selector switch is set for the supply voltage. This will prevent damage to the instrument, reduce risk of fire, and enable proper operation.

⚠ **WARNING** **Ensure appropriate electrical supply.** For safe operation of the instrument:
- Plug the system into a properly grounded receptacle with adequate current capacity.
- Ensure the electrical supply is of suitable voltage.
- Never operate the instrument with the ground disconnected. Grounding continuity is required for safe operation of the instrument.

⚠ **WARNING** **Power Supply Line Cords.** Use properly configured and approved line cords for the power supply in your facility.

⚠ **WARNING** **Disconnecting Power.** To fully disconnect power either detach or unplug the power cord, positioning the instrument such that the power cord is accessible.

Overvoltage Rating

The Applied Biosystems 3130/3130*xl* Genetic Analyzers have an installation (overvoltage) category of II, and is classified as portable equipment.

Laser

⚠ **WARNING** **LASER HAZARD.** Under normal operating conditions, the Applied Biosystems 3130/3130*xl* Genetic Analyzers are categorized as a Class I laser product. However, removing the protective covers and (when applicable) defeating the interlock(s) may result in exposure to the internal Class 3B laser. Lasers can burn the retina, causing permanent blind spots. Use of controls or adjustments or performance of procedures other than those specified herein may result in hazardous radiation exposure. To ensure safe laser operation:
- Never look directly into the laser beam.
- Do not remove safety labels, instrument protective panels, or defeat safety interlocks.
- The system must be installed and maintained by an Applied Biosystems Technical Representative.

Applied Biosystems Technical Representatives are instructed to:
- Remove jewelry and other items that can reflect a laser beam into your eyes or those of others
- Wear proper eye protection and post a laser warning sign at the entrance to the laboratory if the laser protection is defeated for servicing.
  DO NOT operate the laser when it cannot be cooled by its cooling fan; an overheated laser can cause severe burns on contact.

Note the laser warnings provided in "Safety alerts on this instrument" on page 166.

⚠ **CAUTION** **LASER HAZARD, Bar Code Scanner**. The bar code scanner included with the instrument system is a Class 2 laser. To avoid damage to eyes, do not stare directly into the beam or point into another person's eyes.

Laser Classification

The Applied Biosystems 3130/3130*xl* Genetic Analyzers use an Argon laser. Under normal operating conditions, the instrument laser is categorized as a Class I laser. When safety interlocks are disabled during certain servicing procedures, the laser can cause permanent eye damage, and, therefore, is classified under those conditions as a Class 3B laser.

The Applied Biosystems 3130/3130*xl* Genetic Analyzers have been tested to and comply with 21 CFR, 1040.10 and 1040.11, as applicable.

The Applied Biosystems 3130/3130*xl* Genetic Analyzers have been tested to and comply with standard EN60825-1, "Radiation Safety of Laser Products, Equipment Classification, Requirements, and User's Guide."

Safety and electromagnetic compatibility (EMC) standards

This section provides information on:

- U.S. and Canadian Safety Standards
- Canadian EMC Standard
- European Safety and EMC Standards

• Australian EMC Standards

The instrument design and manufacture complies with the standards and requirements for safety and electromagnetic compatibility as noted in the following table:

Safety

Reference	Description
21 CFR 1040.10 and 1040.11 except for deviations pursuant to Laser Notice No.50, dated June 24, 2007, as applicable	U.S. FDA Health and Human Services (HHS) "Radiological health performance standards for laser products" and "Radiological health performance standards for specific purpose laser products"

EMC

Reference	Description
FCC Part 18 (47 CFR)	U.S. Standard "Industrial, Scientific, and Medical Equipment"

U.S. and Canadian Safety Standards

This instrument has been tested to and complies with standard UL 61010-2:2001, "Safety Requirements for Electrical Equipment for Laboratory Use, Part 1: General Requirements."

This instrument has been tested to and complies with standard CSA C22.2 No. 61010-1, "Safety Requirements for Electrical Equipment for Measurement, Control, and Laboratory Use, Part 1: General Requirements."

This instrument has been tested to and complies with standard UL 61010-2-010, "Particular requirements for Laboratory Equipment for the Heating of Materials".

This instrument has been tested to and complies with standard UL 61010-2-081, "Particular requirements for Automatic and Semi0-Automatic Laboratory Equipment for analysis and other purposes".

Canadian EMC Standard

This instrument has been tested to and complies with ICES-001, Issue 3: Industrial, Scientific, and Medical Radio Frequency Generators.

European Safety and EMC Standards

Safety

This instrument meets European requirements for safety (Low Voltage Directive 2006/95/EC). This instrument has been tested to and complies with standards EN 61010-1:2001, "Safety Requirements for Electrical Equipment for Measurement, Control and Laboratory Use, Part 1: General Requirements", EN 61010-2-010, "Particular Requirements for Laboratory Equipment for the Heating of Materials, EN 61010-2-081:2003, "Particular requirements for Automatic and Semi0-Automatic Laboratory Equipment for analysis and other purposes", and EN 60825:2002, "Radiation safety of laser products, equipment classification, requirements and user's guide."

EMC

This instrument meets European requirements for emission and immunity (EMC Directive 89/336/EEC). This instrument has been tested to and complies with standard EN 61326 (Class B), "Electrical Equipment for Measurement, Control and Laboratory Use – EMC Requirements."

Australian EMC Standards

This instrument has been tested to and complies with standard AS/NZS 2064, "Limits and Methods Measurement of Electromagnetic Disturbance Characteristics of Industrial, Scientific, and Medical (ISM) Radio-frequency Equipment."

Chemical safety

⚠ WARNING GENERAL CHEMICAL HANDLING. To minimize hazards, ensure laboratory personnel read and practice the general safety guidelines for chemical usage, storage, and waste provided below, and consult the relevant SDS for specific precautions and instructions:

- Read and understand the Safety Data Sheets (SDSs) provided by the chemical manufacturer before you store, handle, or work with any chemicals or hazardous materials. To obtain SDSs, see the "Documentation and Support" section in this document.
- Minimize contact with chemicals. Wear appropriate personal protective equipment when handling chemicals (for example, safety glasses, gloves, or protective clothing).
- Minimize the inhalation of chemicals. Do not leave chemical containers open. Use only with adequate ventilation (for example, fume hood).
- Check regularly for chemical leaks or spills. If a leak or spill occurs, follow the manufacturer's cleanup procedures as recommended in the SDS.
- Handle chemical wastes in a fume hood.
- Ensure use of primary and secondary waste containers. (A primary waste container holds the immediate waste. A secondary container contains spills or leaks from the primary container. Both containers must be compatible with the waste material and meet federal, state, and local requirements for container storage.)
- After emptying a waste container, seal it with the cap provided.
- Characterize (by analysis if necessary) the waste generated by the particular

applications, reagents, and substrates used in your laboratory.
- Ensure that the waste is stored, transferred, transported, and disposed of according to all local, state/provincial, and/or national regulations.
- IMPORTANT! Radioactive or biohazardous materials may require special handling, and disposal limitations may apply.

WARNING **HAZARDOUS WASTE (from instruments).** Waste produced by the instrument is potentially hazardous. Follow the guidelines noted in the preceding General Chemical Handling warning.

WARNING **4L Reagent and Waste Bottle Safety.** Four-liter reagent and waste bottles can crack and leak. Each 4-liter bottle should be secured in a low-density polyethylene safety container with the cover fastened and the handles locked in the upright position.

Cleaning and decontamination

CAUTION **Cleaning and Decontamination.** Using a cleaning or decontamination method not specified by the manufacturer may result in damage to the equipment. For the protection of others, ensure the instrument is properly decontaminated prior to having the instrument serviced at your facility or before sending the instrument for repair, maintenance, trade-in, disposal, or termination of a loan. Decontamination forms may be requested from customer service.

Biological hazard safety

⚠ **WARNING** **BIOHAZARD.** Biological samples such as tissues, body fluids, infectious agents, and blood of humans and other animals have the potential to transmit infectious diseases. Follow all applicable local, state/provincial, and/or national regulations. Wear appropriate protective equipment, which includes but is not limited to: protective eyewear, face shield, clothing/lab coat, and gloves. All work should be conducted in properly equipped facilities using the appropriate safety equipment (for example, physical containment devices). Individuals should be trained according to applicable regulatory and company/institution requirements before working with potentially infectious materials. Read and follow the applicable guidelines and/or regulatory requirements in the following:

In the U.S.:

- U.S. Department of Health and Human Services guidelines published in Biosafety in Microbiological and Biomedical Laboratories found at: **www.cdc.gov/biosafety**
- Occupational Safety and Health Standards, Bloodborne Pathogens (29 CFR§1910.1030), found at: **www.access.gpo.gov/nara/cfr/waisidx_01/ 29cfr1910a_01.html**
- Your company's/institution's Biosafety Program protocols for working with/handling potentially infectious materials.
- Additional information about biohazard guidelines is available at: **www.cdc.gov**

In the EU:

- Check local guidelines and legislation on biohazard and biosafety precaution and refer to the best practices published in the World Health Organization (WHO) Laboratory Biosafety Manual, third edition, found at: **www.who.int/ csr/resources/publications/biosafety/WHO_CDS_CSR_LYO_2004_11/en/**

Safety Labels on Instruments

The following CAUTION, WARNING, and DANGER statements may be displayed on Applied Biosystems instruments in combination with the safety symbols described in the preceding section.

English	Francais
CAUTION Hazardous chemicals. Read the Safety Data Sheets (SDSs) before handling.	**ATTENTION** Produits chimiques dangeureux. Lire les fiches techniques de sûreté de matériels avant la manipulation des produits.
CAUTION Hazardous waste. Read the waste profile (if any) in the site preparation guide for this instrument before handling or disposal.	**ATTENTION** Déchets dangereux. Lire les renseignements sur les déchets avant de les manipuler ou de les éliminer.
CAUTION Hazardous waste. Refer to SDS(s) and local regulations for handling and disposal.	**ATTENTION** Déchets dangereux. Lire les fiches techniques de sûreté de matériels et la régulation locale associées à la manipulation et l'élimination des déchets.
WARNING Hot lamp.	**AVERTISSEMENT** Lampe brûlante.
WARNING Hot. Replace lamp with an Applied Biosystems lamp.	**AVERTISSEMENT** Composants brûlants. Remplacer la lampe par une lampe Applied Biosystems.
CAUTION Hot surface.	**ATTENTION** Surface brûlante.

English	Francais
DANGER High voltage.	**DANGER** Haute tension.
WARNING To reduce the chance of electrical shock, do not remove covers that require tool access. No user-serviceable parts are inside. Refer servicing to Applied Biosystems qualified service personnel.	**AVERTISSEMENT** Pour éviter les risques d'électrocution, ne pas retirer les capots dont l'ouverture nécessite l'utilisation d'outils. L'instrument ne contient aucune pièce réparable par l'utilisateur. Toute intervention doit être effectuée par le personnel de service qualifié de Applied Biosystems.
DANGER Class 3B laser radiation present when open and interlock defeated. Avoid direct exposure to laser beam.	**DANGER** Class 3B rayonnement laser en cas d'ouverture et d'une neutralisation des dispositifs de sécurité. Eviter toute exposition directe avec le faisceau.
DANGER Class 3B laser radiation when open. Avoid direct exposure to laser beam.	**DANGER** Class 3B rayonnement laser en cas d'ouverture. Eviter toute exposition directe avec le faisceau.
DANGER Class 2(II) laser radiation present when open and interlock defeated. Do not stare directly into the beam	**DANGER** de Class 2(II) rayonnement laser en cas d'ouverture et d'une neutralisation des dispositifs de securite. Eviter toute exposition directe avec le faisceau.
DANGER Class 2(II) laser radiation present when open. Do not stare directly into the beam.	**DANGER** de Class 2(II) rayonnement laser en cas d'ouverture. Eviter toute exposition directe avec le faisceau.
DANGER Class 2(II) LED when open and interlock defeated. Do not stare directly into the beam.	**DANGER** de Class 2(II) LED en cas d'ouverture et d'une neutralisation des dispositifs de securite. Eviter toute exposition directe avec le faisceau.
DANGER Class 2(II) LED when open. Do not stare directly into the beam.	**DANGER** de Class 2(II) LED en cas d'ouverture. Eviter toute exposition directe avec le faisceau.
CAUTION Moving parts.	**ATTENTION** Parties mobiles.

Workstation Safety

Correct ergonomic configuration of your workstation can reduce or prevent effects such as fatigue, pain, and strain. Minimize or eliminate these effects by configuring your workstation to promote neutral or relaxed working positions.

⚠ **CAUTION** **MUSCULOSKELETAL AND REPETITIVE MOTION HAZARD**. These hazards are caused by potential risk factors that include but are not limited to repetitive motion, awkward posture, forceful exertion, holding static unhealthy positions, contact pressure, and other workstation environmental factors.

To minimize musculoskeletal and repetitive motion risks:

- Use equipment that comfortably supports you in neutral working positions and allows adequate accessibility to the keyboard, monitor, and mouse.
- Position the keyboard, mouse, and monitor to promote relaxed body and head postures.

Documentation and Support

Related documentation

The following related documents are shipped with the system:

Document title	Pub. Part no.
Applied Biosystems® 3130/3130xl Genetic Analyzers Maintenance, Troubleshooting, and Reference Guide	4477854
Applied Biosystems® 3130/3130xl Genetic Analyzers Quick Reference Card	4477795
Applied Biosystems® 3730/3730xl DNA Analyzers and 3130/3130xl Genetic Analyzers AB Navigator Software Administrator Guide	4477853

Portable document format (PDF) versions of this guide and the documents listed above are also available on the Applied Biosystems 3130 Series Data Collection Software 4 CD.

Note: To open the user documentation included on the Applied Biosystems 3130 Series Data Collection Software 4 CD, use the Adobe® Reader® software available from **www.adobe.com**.

Note: For additional documentation, see "Obtaining Support" on page 177.

Obtaining SDSs

Safety Data Sheets (SDSs) are available from **www.lifetechnologies.com/support**.

Note: For the SDSs of chemicals not distributed by Applied Biosystems, contact the chemical manufacturer.

Obtaining Support

For the latest services and support information for all locations, go to:

www.lifetechnologies.com/support

At the website, you can:

- Access worldwide telephone and fax numbers to contact Technical Support and Sales facilities
- Search through frequently asked questions (FAQs)

- Submit a question directly to Technical Support
- Search for user documents, SDSs, vector maps and sequences, application notes, formulations, handbooks, certificates of analysis, citations, and other product support documents
- Obtain information about customer training
- Download software updates and patches

Computer Configuration

Life Technologies Corporation supplies or recommends certain configurations of computer hardware, software, and peripherals for use with its instrumentation. Life Technologies Corporation reserves the right to decline support for or impose extra charges for supporting nonstandard computer configurations or components that have not been supplied or recommended by Life Technologies Corporation. Life Technologies Corporation also reserves the right to require that computer hardware and software be restored to the standard configuration prior to providing service or technical support. For systems that have built-in computers or processing units, installing unauthorized hardware or software may void the Warranty or Service Plan.

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