

National Stock Exchange of India Ltd.  
BSE Ltd.

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Date 17<sup>th</sup> October, 2016

## Scrip Code-

National Stock Exchange of India Ltd.: SIEMENS EQ  
BSE Ltd.: 500550

## Statement showing holding of securities and shareholding pattern pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sirs,

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the statement showing holding of securities and shareholding pattern for the quarter ended 30<sup>th</sup> September, 2016.

Kindly take the above information on record and acknowledge the receipt.

Yours faithfully,  
For **Siemens Limited**

**Ketan Thaker**  
Company Secretary

Encl: a.a.

Siemens Ltd.  
CIN: L28920MH1957PLC010839

130, Pandurang Budhkar Marg, Worli  
400018 Mumbai  
India

Tel.: +91 (22) 2498 7000  
Website: [www.siemens.co.in](http://www.siemens.co.in)  
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Registered Office: 130, Pandurang Budhkar Marg, Worli, Mumbai 400 018. Telephone +91 22 3967 7000. Fax +91 22 3967 7500.  
Sales Offices: Ahmedabad, Bangalore, Chandigarh, Chennai, Coimbatore, Hyderabad, Kharghar, Kolkata, Luchnow, Mumbai, Nagpur, New Delhi, Pune, Vadodara.

## Format of holding of specified securities

- 1 Name of Listed Entity : **SIEMENS LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : **BSE.: 500550 /  
NSE.: SIEMENS EQ**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/  
Reg. 31(1)(b)/Reg.31(1)(c) **31(1)(b)**
- a. If under 31(1)(b) then indicate the report for Quarter ending : **30th September, 2016**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment -

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes	No
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								(IX)						Total as a % of (A+B+C)	(XII)		(XIII)		
								No of Voting Rights			Total as a % of (A+B+C)				No.	As a % of total Shares held	No.		As a % of total Shares held
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(a)	(b)	(a)	(b)	(XIV)	
(A)	Promoter & Promoter Group	2	267,089,913	0	0	267,089,913	75.00	267,089,913	0	267,089,913	75.00	0	75.00	0	0.00	0	0.00	267,089,913	
(B)	Public	116,916	89,027,586	0	0	89,027,586	25.00	89,027,586	0	89,027,586	25.00	0	25.00	0	0.00		NA	85,293,947	
(C)	Non Promoter- Non Public	1	2,756	0	0	2,756	0.00	2,756	0	2,756	0.00	0	0.00	0	0.00		NA	2,756	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		NA	0	
(C2)	Shares held by Employee Trusts (*)	1	2,756	0	0	2,756	0.00	2,756	0	2,756	0.00	0	0.00	0	0.00		NA	2,756	
	<b>Total</b>	<b>116,919</b>	<b>356,120,255</b>	<b>0</b>	<b>0</b>	<b>356,120,255</b>	<b>100.00</b>	<b>356,120,255</b>	<b>0</b>	<b>356,120,255</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>352,386,616</b>	

(\*) - Prior to issuance of SEBI Circular CIR/CFD/DIL/3/2013 dated 17th January, 2013 and SEBI (Share Based Employee Benefits) Regulations, 2014, the Company had launched the Share Matching Plan (SMP) Scheme of 2010, 2011 and 2012 having validity of 3 years each. These Schemes have since matured and no further shares have been purchased by the Trust/trustees thereafter. The aforesaid shareholding under C2 represents the shares held by SMP Trust for and behalf of the respective Participants under the framework of the abovementioned SMP Schemes. Since each SMP Scheme has matured, the SMP Trust is taking all necessary steps to transfer/otherwise dispose of the aforesaid shareholding as per the requirements of the Scheme or otherwise. The Company does not have any other SMP Scheme in operation.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									(IX)						(XII)		(XIII)			
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No.	As a % of total shares held		
									Class X	Class Y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	Total as a % of Total Voting rights	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)		
(1)	Indian																			
(a)	Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(f)	Bodies Corporate		2	267,089,913	0	0	267,089,913	75.00	267,089,913	0	267,089,913	75.00	0	75.00	0	0.00	0	0.00	0	267,089,913
i	Siemens Aktiengesellschaft, Germany		1	255,351,805	0	0	255,351,805	71.70	255,351,805	0	255,351,805	71.70	0	71.70	0	0.00	0	0.00	0	255,351,805
ii	Siemens Metals Technologies Vermögensverwaltungs GmbH		1	11,738,108	0	0	11,738,108	3.30	11,738,108	0	11,738,108	3.30	0	3.30	0	0.00	0	0.00	0	11,738,108
	Sub-Total (A)(2)		2	267,089,913	0	0	267,089,913	75.00	267,089,913	0	267,089,913	75.00	0	75.00	0	0.00	0	0.00	0	267,089,913
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			2	267,089,913	0	0	267,089,913	75.00	267,089,913	0	267,089,913	75.00	0	75.00	0	0.00	0	0.00	0	267,089,913

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. - Not Applicable

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
								Class X	Class Y										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)	(XIII)	(XIV)				
(1) Institutions								Class X	Class Y	Total			(a)	(b)	(a)	(b)			
(a) Mutual Funds/UTI		84	11,107,372	0	0	11,107,372	3.12	11,107,372	0	11,107,372	3.12	0	0	0	0	0	NA	11,103,872	
i Hdfc Trustee Company Limited		12	5,843,554	0	0	5,843,554	1.64	5,843,554	0	5,843,554	1.64	0	0	0	0	0	NA	5,843,554	
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
(e) Foreign Portfolio Investors		308	17,637,880	0	0	17,637,880	4.95	17,637,880	0	17,637,880	4.95	0	0	0	0	0	NA	17,636,380	
(f) Financial Institutions/ Banks		29	246,421	0	0	246,421	0.07	246,421	0	246,421	0.07	0	0	0	0	0	NA	225,761	
(g) Insurance Companies		32	21,440,834	0	0	21,440,834	6.02	21,440,834	0	21,440,834	6.02	0	0	0	0	0	NA	21,438,834	
i Life Insurance Corporation of India		21	17,527,365	0	0	17,527,365	4.92	17,527,365	0	17,527,365	4.92	0	0	0	0	0	NA	17,527,365	
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
Sub-Total (B)(1)		453	50,432,507	0	0	50,432,507	14.16	50,432,507	0	50,432,507	14.16	0	0	0	0	0	NA	50,404,847	
Central Government/ State Government(s)/ President of India		1	250	0	0	250	0.00	250	0	250	0.00	0	0	0	0	0	NA	250	
Sub-Total (B)(2)		1	250	0	0	250	0.00	250	0	250	0.00	0	0	0	0	0	NA	250	
(3) Non-institutions																			
(a) Individuals -		115,128	34,113,499	0	0	34,113,499	9.58	34,113,499	0	34,113,499	9.58	0	0	0	0	0	NA	30,529,812	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		115,121	33,384,374	0	0	33,384,374	9.37	33,384,374	0	33,384,374	9.37	0	0	0	0	0	NA	29,800,687	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	729,125	0	0	729,125	0.20	729,125	0	729,125	0.20	0	0	0	0	0	NA	729,125	
(b) NBFCs registered with RBI		1	2,772	0	0	2,772	0.00	2,772	0	2,772	0.00	0	0	0	0	0	NA	2,772	
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	NA	0	
(e) Any Other (specify)		1,333	4,478,558	0	0	4,478,558	1.26	4,478,558	0	4,478,558	1.26	0	0	0	0	0	NA	4,356,266	
i Trusts		26	229,758	0	0	229,758	0.06	229,758	0	229,758	0.06	0	0	0	0	0	NA	229,758	
ii Bodies Corporate		896	3,804,311	0	0	3,804,311	1.07	3,804,311	0	3,804,311	1.07	0	0	0	0	0	NA	3,682,019	
iii Directors(Wtd's/And Other Directors)		5	26,000	0	0	26,000	0.01	26,000	0	26,000	0.01	0	0	0	0	0	NA	26,000	
iv Clearing Member		406	418,489	0	0	418,489	0.12	418,489	0	418,489	0.12	0	0	0	0	0	NA	418,489	
Sub-Total (B)(3)		116,462	38,594,829	0	0	38,594,829	10.84	38,594,829	0	38,594,829	10.84	0	0	0	0	0	NA	34,888,850	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		116,916	89,027,586	0	0	89,027,586	25.00	89,027,586	0	89,027,586	25.00	0	0	0	0	0	NA	85,293,947	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %) : Not Applicable																			
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
No. of shareholders - 1,547 No. of Shares - 255,689																			

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

(1)	(i) Category & Name of the Shareholders	(ii) PAN	(iii) No. of shareholder	(iv) No. of fully paid up equity shares held	(v) Partly paid-up equity shares held	(vi) Nos. of shares underlying Depository Receipts	(vii) Total nos. shares held	(viii) Shareholding % calculated as per SCRR, 1957	(ix) Number of Voting Rights held in each class of securities			(x) No. of Shares Underlying Outstanding convertible securities (Including Warrants)	(xi) Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	(xii) Number of Locked In shares		(xiii) Number of Shares pledged or otherwise encumbered		(xiv) Number of equity shares held in dematerialized form	
									(ix)					(xii)		(xiii)			
									No of Voting Rights					No.	As a % of total Shares held	No.	As a % of total shares held		
									Class X	Class Y	Total								Total as a % of Total Voting rights
(a)	Name of DR Holder (if available)																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (*)		1	2,756	0	0	2,756	0.00	2,756	0	2756	0.00	0	0.00	0	0.00	0	0.00	2,756
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	2,756	0	0	2,756	0.00	2,756	0	2756	0.00	0	0.00	0	0.00	0	0.00	2,756

(\*) - Prior to issuance of SEBI Circular CIR/CFD/DIL/3/2013 dated 17th January, 2013 and SEBI (Share Based Employee Benefits) Regulations, 2014, the Company had launched the Share Matching Plan (SMP) Scheme of 2010, 2011 and 2012 having validity of 3 years each. These Schemes have since matured and no further shares have been purchased by the Trust/trustees thereafter. The aforesaid shareholding under C2 represents the shares held by SMP Trust for and behalf of the respective Participants under the framework of the abovementioned SMP Schemes. Since each SMP Scheme has matured, the SMP Trust is taking all necessary steps to transfer/otherwise dispose of the aforesaid shareholding as per the requirements of the Scheme or otherwise. The Company does not have any other SMP Scheme in operation.