GRAS Notice (GRN) No. 826 https://www.fda.gov/food/generally-recognized-safe-gras/gras-notice-inventory



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November 5, 2018

Food and Drug Administration Center for Food Safety & Applied Nutrition Office of Food Additive Safety (HFS-255) 5001 Campus Drive College Park, MD 20740-3835

Attention: Dr. Paulette Gaynor Re: GRAS Notification—Dihydroquercetin



Dear Dr. Gaynor:

GRAS Associates, LLC, acting as the Agent for Blue California is submitting for FDA review Form 3667 and the enclosed CD, free of viruses, containing a GRAS notification for *Dihydroquercetin*. Along with Blue California's determination of safety, an Expert Panel of qualified persons was assembled to assess the composite safety information of the subject substance with the intended use as an ingredient in non-alcoholic beverages (up to 0.02 g per L), flavored fermented milk and dairy products (up to 0.02 g per kg), and chocolate products (up to 0.07 g per kg). The attached documentation contains the specific information that addresses the safe human food uses for the subject notified substance as discussed in the GRAS guidance document.

If additional information or clarification is needed as you and your colleagues proceed with the review, please feel free to contact me via telephone or email.

We look forward to your feedback.

Sincerely,

(b) (6)

William J. Rowe President Agent for Blue California GRAS Associates, LLC 27499 Riverview Center Blvd., Suite 212 Bonita Springs, FL 34134 <u>wrowe@nutrasource.ca</u> Enclosure: GRAS Notification for Blue California - *Dihydroquercetin* 

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		OFFICE OF FOOD ADDITIVE SAFETY		826	DATE OF RECEIPT		
DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration		ESTIMATED DA	ILY INTAKE	INTENDED USE FOR INTERNET			
		Subpart E of Part 170)	NAME FOR INT	ERNET			
			KEYWORDS				
completed form	and attachments		al media to: Office	of Food Additiv	(see Instructions): OR Transmit ve Safety (HFS-200), Center for Park, MD 20740-3835.		
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		SECTION B - INFORM	ATION ABOUT	THE NOTIFIER	R		
	Name of Contact	Person		Position or Title	e		
	Hadi Omrani		Technical Director - Regulatory Affairs				
1a. Notifier	Organization ( <i>if applicable</i> ) Blue California						
	Mailing Address ( 30111 Tomas	number and street)					
ity		State or Province	Zip Code/P	ostal Code	Country		
ancho Santa M	largarita	California	92688		United States of America		
elephone Numb 19-635-1991 X		Fax Number 949-635-1984	E-Mail Add hadi@blue	ress cal-ingredients.	com		
	Name of Contact William J. Rowe	Person		Position or Tit President	lle		
1b. Agent or Attorney if applicable)	Organization (if applicable) GRAS Associates						
	Mailing Address (number and street) 27499 Riverview Center Blvd., Suite 212						
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SECTION C - GENERAL ADMINISTRATIVE INFORMATION	
1. Name of notified substance, using an appropriately descriptive term Dihydroquercetin	
2. Submission Format: (Check appropriate box(es))  C Electronic Submission Gateway  Paper  If applicable give number and type of physical media	
4. Does this submission incorporate any information in CFSAN's files? (Check one)	
6. Statutory basis for conclusions of GRAS status (Check one)	
Scientific procedures (21 CFR 170.30(a) and (b)) Experience based on common use in food (21 CFR 170.30(a) and (c)) 7. Does the submission (including information that you are incorporating) contain information that you view as trade secret or as confidential commercial or financial information? (see 21 CFR 170.225(c)(8)) Yes (Proceed to Item 8 Xes (Proceed to Item 8 Xes (Proceed to Section D)	-
	1010
SECTION D - INTENDED USE	
<ol> <li>Describe the intended conditions of use of the notified substance, including the foods in which the substance will be used, the levels in such foods, and the purposes for which the substance will be used, including, when appropriate, a description of a subpopulation ex to consume the notified substance.</li> </ol>	
Dihydroquercetin is intended to be used as an ingredient in conventional non-alcoholic beverages (up to 0.02 g per L), flavored fermented milk and dairy products (up to 0.02 g per kg), and chocolate products (up to 0.07 g per kg).	ŧ
Does the intended use of the notified substance include any use in product(s) subject to regulation by the Food Safety and Inspection Service (FSIS) of the U.S. Department of Agriculture?	1
(Check one)	
<ol> <li>If your submission contains trade secrets, do you authorize FDA to provide this information to the Food Safety and Inspection Servic U.S. Department of Agriculture? (Check one)</li> </ol>	e of the
Yes No, you ask us to exclude trade secrets from the information FDA will send to FSIS.	

	N E – PARTS 2 -7 OF YOUR GRAS NOTICE	s of this form)
NZ PART 2 of a CRAS nation: Identity method	of manufacture, specifications, and physical or technical effect (170	220)
		230].
PART 3 of a GRAS notice: Dietary exposure		
PART 4 of a GRAS notice Self-limiting leve		
PART 5 of a GRAS notice: Experience base	d on common use in foods before 1958 (170 245)	
PART 6 of a GRAS notice: Narrative (170.2	50).	
PART 7 of a GRAS notice: List of supporting	g data and information in your GRAS notice (170.255)	
Yes No Did you include this other information in the list o Yes No	ant FDA to consider in evaluating your GRAS notice? of attachments?	
1. The undersigned is informing FDA that Blue	e California	
	(name of notifier)	
has concluded that the intended use(s) of Dihy	droquercetin	
	thed notice. is (are) not subject to the premarket approval requirements on that the substance is generally recognized as safe recognized as	
	agrees to make the data and information that are the conclusion of GRAS status available to FDA if FDA these data and information during customary business hours at the a and information to FDA if FDA asks to do so	asks to see them,
30111 Tomas, Rancho Santa Margar	ita, CA 92688 raddress of notifier or other location.	
as well as favorable information, pertine	RAS notice is a complete, representative, and balanced submission t ent to the evaluation of the safety and GRAS status of the use of the ded herein is accurate and complete to the best or his/her knowledg benalty pursuant to 18 U S C 1001	substance The notifying
3. Signature of Responsible Official, Agent_or Attorney	Printed Name and Title	Date (mm/dd/yyyy)
(b) (6)	Katrina Emmel on behalf of William J. Rowe, President	11/05/2018

#### SECTION G - LIST OF ATTACHMENTS

List your attached files or documents containing your submission, forms, amendments or supplements, and other pertinent information. Clearly identify the attachment with appropriate descriptive file names (or titles for paper documents), preferably as suggested in the guidance associated with this form. Number your attachments consecutively. When submitting paper documents, enter the inclusive page numbers of each portion of the document below.

Attachment Number	Attachment Name	Folder Location (select from menu) (Page Number(s) for paper Copy Only)
	Multiple Appendices – Appendices 1 through 7	
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# **GRAS Notification**

of

# Dihydroquercetin (DHQ)

# Food Usage Conditions for General Recognition of Safety

on behalf of

# **Blue California**

30111 Tomas Rancho Santa Margarita, CA 92688

11/5/18

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#### 11/5/18

# FOREWORD

Blue California based its Generally Recognized as Safe (GRAS) assessment of dihydroquercetin primarily on the composite safety information, i.e., scientific procedures with corroboration from history of use. The safety/toxicity of dihydroquercetin, history of use of dihydroquercetin, and compositional details, specifications, and method of preparation of the subject ingredient were reviewed. In addition, a search of the scientific and regulatory literature was conducted through September 10, 2018, with particular attention paid to adverse reports, as well as those that supported conclusions of safety. Those references that were deemed pertinent to this review are listed in Part 7. The composite safety/toxicity studies, in concert with dietary exposure information, ultimately provide the specific scientific foundation for the GRAS conclusion.

At Blue California's request, GRAS Associates, LLC ("GA") convened an Expert Panel to complete an independent safety evaluation of Blue California's dihydroquercetin preparation. The purpose of the evaluation is to ascertain whether Blue California's conclusion that the intended food uses of dihydroquercetin as described in Part 3 are generally recognized as safe, i.e., GRAS, under the intended conditions of use. In addition, Blue California has asked GRAS Associates to act as Agent for the submission of this GRAS notification.

# PART 1. SIGNED STATEMENTS AND CERTIFICATION

# A. Basis of Exclusion from the Requirement for Premarket Approval Pursuant to Subpart E of 170<sup>1</sup>

Blue California has concluded that its  $\geq$  95% dihydroquercetin preparation, BC-DHQ<sup>TM</sup>, is GRAS in accordance with Section 201(s) of the Federal Food, Drug, and Cosmetic (FD&C) Act. This determination was made in concert with an appropriately convened panel of experts who are qualified by scientific training and experience. The GRAS determination is based primarily on scientific procedures as described in the following sections. The evaluation accurately reflects the intended conditions of food use for the designated BC-DHQ<sup>TM</sup> preparation.

#### Signed:

Agent for Blue California

#### (b) (6)

William J. Rowe President GRAS Associates, LLC 27499 Riverview Center Blvd. Suite 212 Bonita Springs, FL 34134

Date: 11/5/18

#### B. Name and Address of Responsible Party

Blue California 30111 Tomas Rancho Santa Margarita, CA 92688

As the Responsible Party, Blue California accepts responsibility for the GRAS conclusion that has been made for its  $\geq$  95% dihydroquercetin preparation, which is also referred to BC-DHQ<sup>TM</sup>, as described in the subject safety evaluation; consequently, Blue California's BC-DHQ<sup>TM</sup> preparation, which meets the conditions described herein, is not subject to premarket approval requirements for food ingredients.

#### C. Common Name and Identity of Notified Substance

The common name of the ingredient to be used on food labels is dihydroquercetin.

#### D. Conditions of Intended Use in Food

Blue California's BC-DHQ<sup>TM</sup> preparation ( $\geq$  95% dihydroquercetin) is intended to be added as an ingredient into various food categories as described in Part 3. The serving levels reflect good manufacturing practices principles in that the quantities added to foods should not exceed the amounts reasonably required.

#### E. Basis for GRAS Conclusion

Pursuant to 21 CFR 170.30(a) and (b), Blue California's BC-DHQ<sup>TM</sup> preparation ( $\geq$  95% dihydroquercetin) has been concluded to be GRAS on the basis of scientific procedures as discussed in the detailed description provided below.

BC-DHQ<sup>™</sup> is not subject to premarket approval requirements of the FD&C Act based on Blue California's conclusion that the substance is GRAS under the conditions of its intended food use.

Blue California certifies, to the best of our knowledge, that this GRAS notice is a complete, representative, and balanced assessment that includes all relevant information available---both favorable and unfavorable---that is pertinent to the evaluation of the safety and GRAS status of the subject  $\geq$  95% dihydroquercetin preparation. The preparation of this safety evaluation also included a comprehensive literature search through September 10, 2018.

## F. Availability of Information

The data and information that serve as the basis for this GRAS Notice will be maintained at the offices of Blue California, Rancho Santa Margarita, CA, and will be made available during customary business hours.

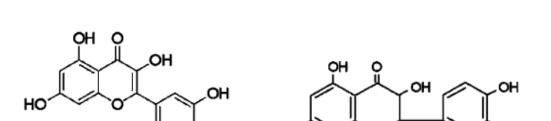
Blue California certifies that no data or information contained herein are exempt from disclosure under the Freedom of Information Act (FOIA). No non-public, safety-related data were used by the Expert Panel to reach a GRAS conclusion.

# PART 2. IDENTITY, METHOD OF MANUFACTURE, SPECIFICATIONS, AND PHYSICAL OR TECHNICAL EFFECT

# A. Chemical Identity of Ingredient

Flavonoids are a diverse chemical class of secondary metabolites universally found in the plant kingdom (Fowler and Koffas, 2009). Vegetables and fruits contain many flavonoids in the form of flavonols, flavones, and flavanones. It is estimated that the dietary intake of flavonoids ranges from 0.05 to 1 gram per person per day (Stevens et al., 1999). The total flavonol and flavone intake is reported to be between 3 and 65 mg per day, where the lowest reported intake is in Finland and the highest intake is in Japan (Justesen et al., 2000).

Dihydroquercetin, which is commonly referred to in the literature as taxifolin, is a flavanonol that is structurally similar to quercetin. The chemical structures of quercetin and dihydroquercetin are provided in Figure 1.



#### Figure 1. Chemical Structures of Quercetin and Dihydroquercetin<sup>a</sup>

Quercetin

Dihydroquercetin

<sup>a</sup> Adapted from Vladimirov et al. (2009).

Common or Usual Name:	Dihydroquercetin
Chemical Name:	(2R)-2-(3,4-dihydroxyphenyl)-3,5,7-trihydroxy-2,3-
	dihydrochromen-4-one; (2R,3R)-3,3',4',5,7-
	pentahydroxyflavanone
Synonyms:	Taxifolin; (2R,3R)-trans-Dihydroquercetin, (2R,3R)-
	Dihydroquercetin; Taxifoliol; Dystylin; Catechin
	hydrate; (+)-Dihydroquercetin; (+)-Taxifolin; DHQ
IATES, LLC	Page 6 of 107

# CAS Number: 480-18-2 Molecular Formula: C15H12O7 Molecular Mass: 304.25 daltons

Dihydroquercetin has been the subject of numerous studies and US patents since the early 1950s, when Giessman and Lischner first determined the chemical structure (Gupta et al., 1971). Dihydroquercetin is currently marketed and sold as a pharmaceutical ingredient and as a "natural antioxidant additive" for food applications (Liu et al., 2014).

In certain foods, such as peanuts, white wine, and onions, dihydroquercetin is present as both an aglycone and a glycoside (Itaya and Igarashi, 1992; Oi et al., 2012; Singleton and Trousdale, 1983). Additionally, dihydroquercetin has also been identified as a component of bee pollen (Silva et al., 2009). A list of botanical species in which dihydroquercetin has been identified is provided in 0.

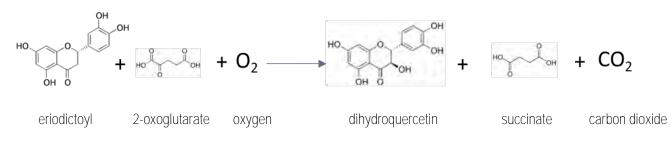
#### **B. Manufacturing Processes**

Blue California uses an enzymatic bioconversion reaction to produce BC-DHQ<sup>™</sup> high purity dihydroquercetin from eriodictyol, a bitter-masking flavanone extracted from plant materials. Eriodictyol is converted to dihydroquercetin by flavanone 3β-hydroxylase (F3H), a ubiquitous enzyme found in higher order plants that catalyzes the following reaction:

Flavone + 2-oxoglutarate +  $O_2 \leftrightarrow$  dihydroflavonol + succinate +  $CO_2$ 

Blue California uses a nonpathogenic and nontoxigenic strain of wild-type *Escherichia coli* K12 W3110 to produce F3H. The microbe is a gram-negative, non-spore forming, facultative anaerobe, with a long history of safe industrial use. *E. coli* K12 is the most commonly used industrial strain and is GRAS under 21 CFR 170.36.

The conversion of eriodictyol to dihydroquercetin, by F3H enzyme in the presence of 2oxoglutarate, is shown in Figure 2.



# Figure 2. Bioconversion of Eriodictyol to Dihydroquercetin

## 1. Fermentation Process

The glycerol stock of *E. coli* W3110 strain (carrying apple F3H gene) is removed from storage at - 70°C, thawed to room temperature, and grown in 50-mL LB culture seed media at 37°C. After 16 hours, the growing Seed Culture 1 is transferred to 2-L LB culture seed media as Seed Culture 2. When the cells read  $OD_{600}$  = 5, they are transferred to 500-L fermenters<sup>2</sup>. This Seed Culture 3 is then transferred to a 60-ton production fermenter.

The *E. coli* W3110 strain cells are cultured in the presence of a peptone yeast extract<sup>3</sup> for 24 hours and then harvested by centrifugation. The cells are passed through a homogenizer, and the resulting mixture is separated by another centrifugation step. The supernatant is passed through an ion exchange column which retains the F3H enzyme. F3H is then eluted from the column with sodium chloride solution and mixed with a reaction buffer in a 60-ton reaction tank with slow agitation. The reaction buffer is prepared with ferrous sulfate (FeSO<sub>4</sub>) and disodium phosphate (Na<sub>2</sub>HPO<sub>4</sub>), after which the pH is adjusted with phosphoric acid (H<sub>3</sub>PO<sub>4</sub>).

Eriodictyol, derived from orange peel, is dissolved in methanol and fed into the reaction tank containing the enzyme-buffer mixture. The reaction is allowed to proceed to completion, which is verified by high performance liquid chromatography (HPLC) analysis. The reaction mixture is then heated to 85°C for 20 minutes to denature the enzymes, and the supernatant is removed for down-stream processing.

# 2. Extraction and Purification

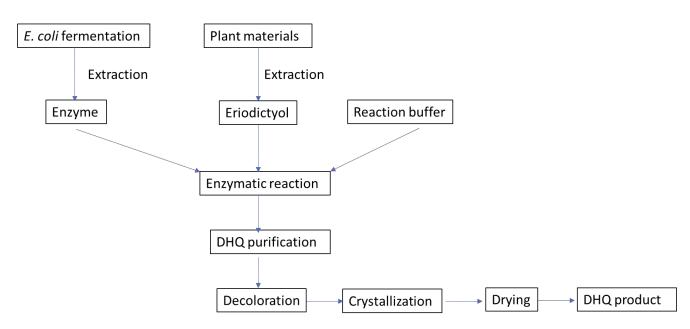
The enzymatic conversion mixture is centrifuged and the supernatant is transferred to an ionexchange resin column. The column is washed with warm water and the dihydroquercetin is eluted with food grade ethanol. The eluent is condensed with a wipe-film evaporator, and the condensate is then transferred to a crystallization tank and crystallized by chilling. The crystals are subsequently re-dissolved in water and the solution is passed through activated charcoal to remove any colorant from fermentation. The resulting high purity dihydroquercetin preparation is dried in a baking oven and crushed into fine powder.

<sup>3</sup> Peptone yeast extract aids in *E. coli* cell growth, and ultimately increases enzyme production. GRAS ASSOCIATES, LLC

<sup>&</sup>lt;sup>2</sup> Blue California uses older, larger cells to perform the measurement.

A manufacturing process flow chart for the production of the BC-DHQ<sup>TM</sup> high purity dihydroquercetin is provided in Figure 3.

Raw materials used in the manufacturing process are suitable food-grade materials, and are used in accordance with applicable US Federal Regulations and Current Good Manufacturing Practice (CGMP). All resins and processing aids are food grade materials. Supporting documentation for the raw materials and processing aids is provided in Appendix 2.



# Figure 3. Manufacturing Flow Chart for BC-DHQ<sup>™</sup>

## C. Product Specifications

#### 1. Specifications for Dihydroquercetin

There are no known established standardized specifications for dihydroquercetin; however, specifications for Ametis JSC's taxifolin-rich *Larix gmelinii* preparation were reviewed and reported on by the European Food Safety Authority (EFSA) (Turck et al., 2017). Ametis JSC's specifications, compared with the specifications for Blue California's DHQ preparation, are shown in Table 1. These data demonstrate that Blue California's BC-DHQ<sup>™</sup> is similar in composition to Ametis JSC's dihydroquercetin material.

Physical and Chemical	Ametis JSC's	Blue California's BC-	Blue California's BC-DHQ™		
Parameters	Dihydroquercetin Specifications <sup>a</sup>	Specification	Method		
Appearance Form & Color	White or straw-coloured powder	Off white to white powder	Visual		
Moisture	≤ 10%	≤5%	USP		
Bulk Density	NS	≥ 0.15 g/mL	USP		
Tap Density	NS	≥ 0.30 g/mL	USP		
Particle size	NS	> 95% through Mesh #60 sieve	USP		
Taxifolin	$\geq$ 90.0% (on dry basis)	≥ 95% (as dihydroquercetin, on dry basis)	HPLC		
Ethanol	< 5,000 mg/kg	< 1,000 ppm	USP		
Methanol	NS	< 200 ppm	USP		
Dichlorodiphenyltrichloroethane (DDT)	≤ 0.05 mg/kg	NS <sup>b</sup>	NA		
Heavy Metals	NS	< 10 ppm	USP		
Lead	≤ 0.5 mg/kg	< 0.5 ppm	ICP-MS		
Arsenic	≤ 0.02 mg/kg	< 0.5 ppm	ICP-MS		
Cadmium	≤ 0.5 mg/kg	< 0.5 ppm	ICP-MS		
Mercury	≤ 0.1 mg/kg	< 0.5 ppm	ICP-MS		
Total Viable Count	≤ 10,000 cfu/g	< 5,000 cfu/g	AOAC		
Enterobacteria + div. Gram-negative bacteria	≤ 100 cfu/g	NS	NA		
Total coliform	NS	< 100 cfu/g	AOAC		
Total Yeast & Mold	≤ 100 cfu/g	< 100 cfu/g	AOAC		

# Table 1. Specifications and Analysis for Blue California's BC-DHQ<sup>™</sup>

Physical and Chemical	Ametis JSC's Dihydroquercetin	Blue California's BC-DHQ™		
Parameters	Specifications <sup>a</sup>	Specification	Method	
E. coli	Negative in 1 g	Negative	AOAC	
Salmonella spp.	Negative in 10 g	Negative	AOAC	
Staphylococcus aureus	Negative in 1 g	NS	NA	
Pseudomonas spp.	Negative in 1 g	NS	NA	

<sup>a</sup> From Turck et al. (2017)

<sup>b</sup> Blue California does not have a specification for DDT, since BC-DHQ<sup>™</sup> is derived from a fermentation process. However, DDT was an analyte in pesticide screens conducted on five representative lots of BC-DHQ<sup>™</sup> (Appendix 5) and no concerns were noted upon review.

NS – Not specified; NA – Not applicable; USP – United States Pharmacopeia; HPLC – High Performance Liquid Chromatography; ICP-MS – Inductively Coupled Plasma-Mass Spectrometry; AOAC – Association of Official Analytical Chemists; ppm – Parts per million; cfu – Colony forming unit

# 2. Specifications for Blue California's Dihydroquercetin Preparation and Supporting Methods

Blue California has adopted product specifications for its dihydroquercetin that are comparable to Ametis JSC's specifications, as reported to EFSA (Turck et al., 2017) for dihydroquercetin as a consumable human food substance. The compositions of five non-consecutive lots of Blue California's BC-DHQ<sup>™</sup> preparation, as well as product specifications, are provided in Table 2.

Physical and	Blue California		Results of Batch Numbers			
Chemical Parameters	BC-DHQ <b>™</b> Specifications	(b) (6)				
Appearance Form & Color	Off white to white powder	Pass	Pass	Pass	Pass	Pass
Bulk Density	≥ 0.15 g/mL	0.16 g/mL	0.15 g/mL	0.16 g/mL	0.17 g/mL	0.16 g/mL
Tap Density	≥ 0.30 g/mL	0.32 g/mL	0.32 g/mL	0.34 g/mL	0.32 g/mL	0.32 g/mL
Particle Size	> 95% through mesh #60 sieve	100%	100%	100%	100%	100%
Dihydroquercetin Assay- HPLC	≥ 95% (on dry basis)	97.8%	97.8%	97.3%	95.2%	97.7%
Loss on Drying	≤ 5%	3.32%	3.71%	3.25%	3.48%	3.82%

Table 2. Specifications for Blue California's Dihydroquercetin Preparation

Physical and	Blue California		Results of Batch Numbers			
Chemical Parameters	BC-DHQ <b>™</b> Specifications	(b) (6)				
Ethanol	< 1,000 ppm	Pass	Pass	Pass	Pass	Pass
Methanol	< 200 ppm	Pass	Pass	Pass	Pass	Pass
Heavy Metals	< 10 ppm	Pass	Pass	Pass	Pass	Pass
Lead	< 0.5 ppm	<0.25 ppm	<0.25 ppm	<0.25 ppm	<0.25 ppm	<0.25 ppm
Arsenic	< 0.5 ppm	<0.5 ppm	<0.5 ppm	<0.5 ppm	<0.5 ppm	<0.5 ppm
Cadmium	< 0.5 ppm	<0.25 ppm	<0.25 ppm	<0.25 ppm	<0.25 ppm	<0.25 ppm
Mercury	< 0.5 ppm	<0.1 ppm	<0.1 ppm	<0.1 ppm	<0.1 ppm	<0.1 ppm
			1			
Total Plate Count	< 5,000 cfu/g	<1,000 cfu/g	< 1,000 cfu/g	<1,000 cfu/g	< 500 cfu/g	<1,000 cfu/g
Total Coliform	< 100 cfu/g	< 3 cfu/g	< 10 cfu/g	< 3 cfu/g	< 3 cfu/g	< 3 cfu/g
Total Yeast & Mold	< 100 cfu/g	< 10 cfu/g	< 100 cfu/g	< 10 cfu/g	< 10 cfu/g	< 10 cfu/g
E. coli	Negative	ND	ND	ND	ND	ND
Salmonella	Negative	ND	ND	ND	ND	ND

ND - Not detected; ppm - Parts per million; cfu - Colony forming unit

Blue California analyzes its high purity dihydroquercetin preparation by HPLC. A method verification report, which includes representative chromatograms, is provided in Appendix 3. In addition to the presentation of key specifications found in Table 2 for comparison with generally accepted purity standards, certificates of analysis for five representative lots of dihydroquercetin are provided in Appendix 4.

Blue California has also analyzed representative lots of material for pesticides (Appendix 5). No concerns were noted upon review.

## D. Physical or Technical Effect

Dihydroquercetin will be added to conventional foods and beverages as an ingredient as defined by 21 CFR 170.3(o)(20).

# E. Stability

# 1. Published Stability on Dihydroquercetin

Ametis JSC submitted results of a stability study to EFSA on its dihydroquercetin material stored in dark glass containers over a time period of 3 months under normal storage conditions (25°C, 65% relative humidity) and for 24 weeks under accelerated conditions (40°C, 75% relative humidity). The taxifolin content of samples stored at 40°C was reported to be 94.5% after 1 week and 97.5% after 30 weeks. Furthermore, Ametis JSC provided information indicating that soymilk concentrate fortified with taxifolin was observed to have a loss of 6.8% taxifolin at 4°C, 3.2% taxifolin at 10°C, and 10.3% taxifolin at 20°C over the course of a year. The EFSA panel considered the stability data provided by Ametis JSC to be sufficient and did not raise any safety concerns (Turck et al., 2017).

# 2. Stability Data for Blue California's Dihydroquercetin

Blue California conducted a 6-month accelerated stability study on its BC-DHQ<sup>TM</sup> high purity dihydroquercetin at  $40 \pm 2^{\circ}$ C and  $75 \pm 5\%$  relative humidity. A summary of the accelerated stability results is presented in Table 3.

	Dihydroquercetin						
Duration	Appearance	Moisture (%)	Dihydroquercetin Assay (%) Dry weight	Total Plate Count			
t=0	Beige powder	3.15	97.4	25 cfu/g			
1 month	Beige powder	3.15	96.9	30 cfu/g			
2 months	Beige powder	3.11	97.6	25 cfu/g			
3 months	Beige powder	3.18	97.2	45 cfu/g			
6 months	Beige powder	3.21	97.4	30 cfu/g			
	D	ihydroquercetin					
Duration	Appearance	Moisture (%)	Dihydroquercetin Assay (%) Dry weight	Total Plate Count			
t=0	Beige powder	3.56	97.5	20 cfu/g			
1 month	Beige powder	3.50	97.6	25 cfu/g			
2 months	Beige powder	3.61	97.5	15 cfu/g			
3 months	Beige powder	3.60	97.3	30 cfu/g			
6 months	Beige powder	3.66	97.4	35 cfu/g			

# Table 3. Blue California's BC-DHQ<sup>™</sup> Stability Data

Dihydroquercetin (b) (6)					
Duration	Appearance	Moisture (%)	Dihydroquercetin Assay (%) Dry weight	Total Plate Count	
t=0	Beige powder	3.12	97.2	50 cfu/g	
1 month	Beige powder	3.13	96.7	40 cfu/g	
2 months	Beige powder	3.20	97.1	35 cfu/g	
3 months	Beige powder	3.22	97.2	40 cfu/g	
6 months	Beige powder	3.28	97.3	40 cfu/g	
Dihydroquercetin (b) (6)					
Duration	Appearance	Moisture (%)	Dihydroquercetin Assay (%) Dry weight	Total Plate Count	
t=0	Beige powder	3.36	95.4	10 cfu/g	
1 month	Beige powder	3.42	95.3	15 cfu/g	
2 months	Beige powder	3.50	95.5	25 cfu/g	
3 months	Beige powder	3.55	95.4	10 cfu/g	
6 months	Beige powder	3.62	95.4	25 cfu/g	
	D	ihydroquercetin (b	)) (6)		
Duration	Appearance	Moisture (%)	Dihydroquercetin Assay (%) Dry weight	Total Plate Count	
t=0	Beige powder	3.76	97.8	30 cfu/g	
1 month	Beige powder	3.78	97.6	25 cfu/g	
2 months	Beige powder	3.79	97.6	30 cfu/g	
3 months	Beige powder	3.82	97.5	15 cfu/g	
6 months	Beige powder	3.87	97.8	30 cfu/g	

The stability data in the scientific literature for dihydroquercetin, along with Blue California's stability testing results for BC-DHQ<sup>TM</sup>, support the position that Blue California's BC-DHQ<sup>TM</sup> preparation is well-suited for the intended food uses.

In addition, Blue California claims a 2-year shelf life for BC-DHQ<sup>TM</sup>.

## PART 3. DIETARY EXPOSURE

The subject dihydroquercetin preparation is intended to be used as an ingredient in a limited number of human food categories, similar to those categories and use levels evaluated by EFSA for Ametis JSC's 90% dihydroquercetin preparation (Turck et al., 2017). The intended food use categories and use levels for Blue California's BC-DHQ<sup>™</sup> dihydroquercetin preparation are presented in Table 4.

Food Category	Maximum Level of Use
Non-alcoholic beverages	0.02 g/L
Flavored fermented milk and dairy products	0.02 g/kg
Chocolate products	0.07 g/kg

## Table 4. Blue California's Intended BC-DHQ<sup>™</sup> Food Uses

# A. Estimate of Dietary Exposure to BC-DHQ<sup>™</sup>

In 2016, EFSA reviewed a petition for the use of taxifolin-rich (DHQ-rich) extract from Dahurian Larch (*Larix gmelinii*) as a novel food ingredient at various per serving levels in specific conventional foods: alcohol-free beverages, fermented milk and dairy products, and chocolates, as well as in dietary supplements with a recommended daily dose of 100 mg per day (Turck et al., 2017). The notifier --Ametis JSC-- indicated that their DHQ preparation was intended for use in foods for the general population aged 9 years and up.

Estimated intake levels of DHQ were prepared for the European population based on EFSA's Comprehensive Food Composition Database for 'consumers only,' as shown in Table 5. The combined intake from all intended food uses considering the 97.5<sup>th</sup> percentile intake estimates and 100 mg DHQ per day from supplements resulted in an estimated daily intake of 158 mg DHQ for adults and 146.2 mg DHQ for adolescents. EFSA noted that the estimated dietary intake calculation was conservative.

Subpopulation	Food Category	Use Level (g/kg)	Mean (mg/day)	95 <sup>th</sup> Percentile (mg/day)	97.5 <sup>th</sup> Percentile (mg/day)
Adolescents (10 to 17 years of age)	Non-alcoholic beverages	0.0250	10.5	20.4	30.3
	Flavored fermented milk and dairy products	0.019	2.4	5.8	7.5
	Chocolate products	0.070	2.5	7.6	8.4
	Combined consumption for all categories		15.4	33.8	46.2
Adults (Aged 18 years or older)	Non-alcoholic beverages	0.0250	9.4	28.8	36.4
	Flavored fermented milk and dairy products	0.019	4.1	11.2	13.9
	Chocolate products	0.070	2.3	6.0	7.7
	Combined consumption for all categories		15.8	46.0	58.0

Table 5. Estimated Daily Intake of DHQ from Conventional Foods<sup>a</sup>

<sup>a</sup> Adapted from Turck et al. (2017) Note: The intake estimates were performed at levels slightly different from the proposed intake levels.

Following a request from the European Commission, the EFSA Panel on Dietetic Products, Nutrition and Allergies (NDA) subsequently conducted a supplementary safety assessment for dihydroguercetin by considering also those population groups that were originally excluded---at the request of the applicant (i.e., infants, young children and children up to 9 years)---for the food categories set out in the application, and by taking into consideration the extension of use of taxifolin from yogurt to a wider range of dairy products, as shown in Table 6. These updated use levels were then used to determine the estimated intake of dihydroguercetin for specific population subgroups, as shown in Table 7.

Food Category	Maximum Level of Use
Unflavored fermented milk products, including natural unflavored buttermilk (excluding sterilized buttermilk) non heat-treated after fermentation	0.020 g/kg
Flavored fermented milk products including heat-treated products	0.020 g/kg
Dehydrated milk	0.052 g/kg
Cream and cream powder	0.070 g/kg
Cheese and cheese products	0.090 g/kg
Unripened cheese	0.090 g/kg
Ripened cheese	0.090 g/kg
Whey cheese	0.090 g/kg
Processed cheese	0.090 g/kg
Fats and oils essentially free from water (including anhydrous milkfat)	0.164 g/kg
Cocoa and chocolate products	0.070 g/kg
Fruit juices	0.020 g/L
Vegetable juices	0.020 g/L
Fruit nectars and vegetable nectars and similar products	0.020 g/L
Flavored drinks with sugar	0.020 g/L
Plavored drinks with sweetener <sup>a</sup> Adapted from EFSA (2017)	0.020 g/L

#### Table 6. Dihydroquercetin Proposed Uses and Use Levels as Evaluated by EFSA<sup>a</sup>

Adapted from EFSA (2017)

Population Group	Range of means (mg/kg bw/day)	Range of high intakes (95 <sup>th</sup> percentile) <sup>b</sup> (mg/kg bw/day
Infants (up to 1 year)	0.12-0.34	0.32-0.74
Toddlers (1-3 years)	0.34-0.94	0.74-1.54
Other children (4-9 years)	0.28-0.73	0.66-1.47
Adolescents (10-17 years)	0.19-0.39	0.36-0.76
Adults (18-64 years)	0.09-0.22	0.24-0.52
Elderly (>64 years)	0.05-0.17	0.13-0.32

## Table 7. Dihydroquercetin Intake Estimates for Specific Subpopulations<sup>a</sup>

<sup>a</sup> Adapted from EFSA (2017)

<sup>b</sup> Based on surveys with > 60 consumers

Based on the expanded proposed uses and use levels, the highest estimated 95<sup>th</sup> percentile intake for a 70-kg adult with a combined dihydroquercetin intake from fortified foods (36 mg) and food supplements (100 mg) slightly decreased from 146 mg DHQ per day (Turck et al., 2017) to 136 mg DHQ per day (EFSA, 2017).

For adolescents (aged 14-18 years) with a mean body weight (bw) of 61 kg, the combined dihydroquercetin intake from fortified foods (46 mg) and food supplements (100 mg) was estimated to be 146 mg per day. The EFSA Panel noted that this estimate is considered conservative, as the calculations were based on consumption data for a population group of children aged 10-17 years, which included children below 14 years of age with lower body weight and food intake per person.

The EFSA Panel calculated the highest mean and 95<sup>th</sup> percentile intakes per kg bw amongst all population groups to be for toddlers (1-3 years), as 0.94 and 1.54 mg DHQ per kg bw per day, respectively. Children aged 4-9 years were estimated to have a slightly lower 95<sup>th</sup> percentile daily intake of 1.47 mg DHQ per kg bw per day.

The EFSA Panel concluded that the taxifolin-rich extract from Dahurian Larch is safe under the proposed conditions of use (EFSA, 2017).

A review of the published literature did not identify any estimates of daily dietary intakes of DHQ by the US population from the background diet. However, a non-exhaustive literature search indicates that DHQ is naturally-occurring in many foods common to the human diet, as shown in Table 8.

Dietary Source	Concentration	Reference
Apple flesh	1,300 mg/kg	Vega-Villa et al. (2009)
Apple skin	7,400 mg/kg	Vega-Villa et al. (2009)
Red onions	98 mg/kg	Slimestad et al. (2007)
Tomato		Turck (2017)
Olive oil	129.4 mg/kg	Carrasco Pancorbo et al. (2004)
Sorghum grain		Gujer et al. (1986)
White grapes		Masa et al. (2007)
Strawberries		Sun et al. (2014a)
Mulberries	21 µg/g (fresh weight)	Zhang et al. (2008)
Açaí		Gallori et al. (2004)
Peanuts		Pratt and Miller (1984)
Pine seeds	172 mg/100 g	Lantto et al. (2009)
Thyme	41.96-93.73 µg/g (essential oil)	Varga et al. (2015)
Citrus fruits		Kawaii et al. (1999)
White wine		Pozo-Bayón et al. (2003)
Beer	1 mg/L	Gerhäuser (2005)
Walnut		Zhao et al. (2017)
Mexican oregano		Lin et al. (2007)
Prickly pear		Dok-Go et al. (2003)
Fenugreek seeds		Yu et al. (2017)
Almond skin	9.0 <b>µ</b> g/g	Fallico et al. (2011)

# Table 8. Dietary Sources of Dihydroquercetin<sup>a</sup>

<sup>a</sup> Including dihydroquercetin derivatives such as taxifolin deoxyhexose found in açaí

Blue California intends to use BC-DHQ<sup>™</sup> in conventional foods similar to those identified in the initial EFSA review, at various levels as detailed in Table 4. FDA's methodology was applied to estimate mean and high total consumption using USDA survey data on daily consumption of various food types (FDA, 2006). FDA methodology is recognized as a method that overestimates consumption. Estimated Daily Intakes (EDIs) for these proposed conventional food categories, with respect to the intended use levels, are provided in Table 9.

Food Category	Maximum Use Level of DHQ (g/serving)	USDA Mean Grams of Food Consumed (All Individuals) <sup>a</sup>	RACC serving size (g) <sup>b,c</sup>	Mean mg DHQ Consumed (All Individuals)	Mean x 2 mg DHQ Consumed (All Individuals)	Reference Number, Page Number
Non-alcoholic beverages	0.0072	821	360	16.42	32.84	(2) table 9.7, page 32
Fermented milk and dairy products <sup>d</sup>	0.0034	8	170	0.16	0.32	(2) table 9.4, page 29
Candy containing chocolate	0.0021	4	30	0.28	0.56	(1) Appendix B, page 244
Total				16.86	33.72	

#### Table 9. Conventional Foods Dietary Intake Estimations for Dihydroquercetin

<sup>a</sup> Mean grams food consumed for all individuals taken from Reference 2 or calculated from Reference 1

<sup>b</sup> Reference Amounts Customarily Consumed (RACC) as indicated by FDA, Available at:

https://www.fda.gov/downloads/food/guidanceregulation/guidancedocumentsregulatoryinformation/labelingnutrition/ucm513820.pdf (Accessed 7/6/17)

<sup>c</sup> For liquids, assume 1 mL = 1 g.

<sup>d</sup> Determined using yogurt USDA mean grams of food consumed and RACC serving size.

Reference List

<sup>1</sup> Foods Commonly Eaten in the United States Quantities Consumed Per Eating Occasion and in a Day, 1994-96 Helen Smiciklas-Wright, Diane C. Mitchell, Sharon J. Mickle, Annetta J. Cook, Joseph D. Goldman. Available at:

http://www.ars.usda.gov/SP2UserFiles/Place/12355000/pdf/Portion.pdf (Accessed 8/25/18)

<sup>2</sup> DATA TABLES: Results from the USDA's 1994-96 Continuing Survey of Food Intakes by Individuals and 1994-96 Diet and Health Knowledge Survey Table Set 10 Food Surveys Research Group, Beltsville Human Nutrition Research Center, Agricultural Research Service, U.S. Department of Agriculture, 10300 Baltimore Ave., Bldg. 005, Rm 102, BARC-West, Beltsville, Maryland 20705-2350. Available at: https://www.ars.usda.gov/ARSUserFiles/80400530/pdf/Csfii3yr.pdf (Accessed 8/25/18)

The estimated daily mean intake of dihydroquercetin for the US population is slightly greater than the estimated daily mean intake for the European population (see Table 5); however, the highly conservative 'mean X 2' estimated daily intake of DHQ for the US population is less than the 97.5<sup>th</sup> percentile estimated daily intake for the European population (33.72 mg per day and 58.0 mg per day, respectively).

It should be noted that the EFSA Panel considered the total daily intake of DHQ from both conventional foods (at 58.0 mg per day for adults and 46.2 mg per day for adolescents) in addition to 100 mg DHQ per day from dietary supplements in its review, resulting in an estimated combined DHQ intake of 158 mg per day for adults and 146.2 mg per day for adolescents. No concerns about these dietary intake levels were raised, which supports the safety of the proposed use levels of BC-DHQ<sup>™</sup> (Table 4) with a resulting estimated daily intake of 33.72 mg per day.

# B. Estimated Dietary Exposure to Any Other Substance That is Expected to be Formed In or On Food

No other substances are expected to be formed in or on food under the intended conditions of use for Blue California's BC-DHQ<sup>TM</sup>.

#### C. Dietary Exposure to Contaminants or Byproducts

There are no known concerns regarding dietary exposure to contaminants or byproducts of dihydroquercetin.

## PART 4. SELF-LIMITING LEVELS OF USE

There are no known self-limiting levels of use.

#### PART 5. EXPERIENCE BASED ON COMMON USE IN FOOD BEFORE 1958

#### A. Other Information on Dietary Exposure

#### 1. History of Traditional Medicinal and Human Food Use

There are no known documented medicinal or human food uses of dihydroquercetin prior to January 1, 1958.

Dihydroquercetin is naturally occurring in a number of foods that are part of the American diet, including apples, red onions, tomatoes, olive oil, sorghum, white grapes, strawberries, mulberries, açai, peanuts, pine nuts, thyme, citrus fruits, white wine, and beer. It has also been reported that over 250 taxifolin-containing food supplements, foods, and cosmetic products were registered by the Russian Federation by April 2009, with recommended adult dosages ranging from 5 to 100 mg of taxifolin per day. In addition, taxifolin derived from larch wood is used as an ingredient in dietary supplements in Russia, Switzerland, Canada, and the U.S. (Turck et al., 2017).

#### 2. U.S. Regulatory History

A search of FDA's GRAS Notification (GRN) database using the terms "dihydroquercetin," "DHQ," and "taxifolin" yielded no results.

As noted on their corporate website, Ametis JSC reported "self-affirmed" GRAS status for its dihydroquercetin product, Lavitol, in 2009 (Ametis JSC, Date Unknown).

## 3. Canadian Regulatory History

A search of the Health Canada website, using the terms "taxifolin" and "dihydroquercetin" resulted in no results relevant to food additive regulations.

## 4. European Regulatory History

In December, 2016, EFSA responded to a novel food application for a taxifolin-rich extract prepared from Dahurian Larch. The EFSA Panel noted that the specifications, representative batch data, and stability data presented by Ametis JSC were sufficient and did not present any safety concerns. Intended uses for the taxifolin-rich extract (~90% dihydroquercetin) included non-alcoholic beverages, fermented milk and dairy products, and chocolate. As a food supplement, a daily dose of 100 mg per day was also proposed. The Panel noted that the provided genotoxicity data "do not raise concern." Using a no observed adverse effect level (NOAEL) of 1,500 mg per kg bw per day derived from a subchronic toxicity study in rats and estimated combined intake levels from conventional foods and dietary supplements, the EFSA Panel determined a margin of safety of 660 for adults, 460 for adolescents, and 960 for children aged 9-14 years. The Panel concluded that taxifolin-rich extract from Dahurian Larch is safe as a novel food under the intended conditions of use proposed by Ametis JSC (Turck et al., 2017).

In November of 2017, following a request from the European Commission, the EFSA NDA Panel conducted a supplementary safety assessment for taxifolin by considering also those population groups which were originally excluded at the request of the applicant (i.e. infants, young children and children up to 9 years) for the food categories set out in the application, and by taking into the extension of use of taxifolin from yogurt to a wider range of dairy products. The Panel concluded that the taxifolin-rich extract from Dahurian Larch was safe under the proposed conditions of use (EFSA, 2017).

#### 5. Asian Regulatory History

A search of the websites of Japan's Ministry of Health, Labour and Welfare, FDA Philippines, the Agri-Food and Veterinary Authority of Singapore, and FDA Taiwan using the terms "taxifolin" and "dihydroquercetin" resulted in no results for use as a food additive.

## 6. Other Regulatory History

A search of the Food Standards Australia New Zealand (FSANZ) website using the terms "taxifolin" and "dihydroquercetin" resulted in no regulatory results for use as a food additive.

It has been reported that as of April 2009, over 250 dihydroquercetin-containing products were registered with Russian Federation regulatory bodies (Turck et al., 2017). A search of the Ministry of Agriculture of the Russian Federation website using the terms "taxifolin" and "dihydroquercetin" resulted in no results.

#### 11/5/18

# PART 6. NARRATIVE

# A. Discussion on Safety Data on Dihydroquercetin

From an extensive online database search, current to September 2018, using the terms "dihydroquercetin," "biological activity and dihydroquercetin," and "safety and dihydroquercetin," references were scanned for relevant biological effects and safety data on DHQ. Many of the studies found referred to DHQ by one of its synonyms--- taxifolin or diquertin. The novel foods dossier submitted to EFSA by Ametis JSC, a Russian company, was reviewed. The dossier contained unpublished studies and published studies that had no English translations available. In addition, the EFSA scientific opinion on taxifolin-rich extract from Dahurian Larch, which was adopted on December 13, 2016, was reviewed. In October 2017, EFSA released a statement on the safety of taxifolin-rich extract from Dahurian Larch following the completion of a supplementary safety assessment; this document was also reviewed as it considered those populations which were originally excluded from the December 2016 document at the request of the applicant. The more relevant studies are summarized in the following sections.

#### 1. in Vitro Toxicology Studies

Weidmann (2012) conducted a review to evaluate the therapeutic promise of DHQ in major disease states including cancer, cardiovascular disease, and liver disease based on the proposed mechanisms of action. Both in vitro and in vivo studies were reviewed and the authors concluded that DHQ works on many molecular targets that have beneficial effects in some disease conditions but the same effects may be detrimental in other disease conditions, specifically hypoxia mediated cardiovascular disease. This was the only comment made specifically regarding safety in this review.

The cytotoxicity of a number of flavonoids, including taxifolin, toward cultured human lung embryonic fibroblasts (TIG-1) and human umbilical vein endothelial (HUVE) cells was examined (Matsuo, 2005). Taxifolin was found to be slightly toxic to TIG-1 cells and to HUVE cells at levels up to 200 µM following incubation at 37°C for 24 hours.

To evaluate the phototoxic potential of taxifolin, a 3T3 Neutral Red Uptake Phototoxicity Test was conducted according to Organisation for Economic Co-operation and Development (OECD) TG 432 (Rajnochova Savobodova, 2017). The authors used HaCaT keratinocytes (immortalized human keratinocytes), normal human epidermal keratinocytes, and dermal fibroblasts to better approximate human skin. Taxifolin was found to be nonphototoxic and photostable.

Blue California determined that the conclusions in the Weidmann (2012) review and the other reported studies do not raise any concerns about the safety of DHQ.

# 2. Acute and Subacute Toxicity Studies

Schauss et al. (2015) discussed a good laboratory practices (GLP) compliant unpublished acute oral toxicity study in albino outbred rats (gender unspecified) in which no toxicological or gross pathological effects were observed following a single oral gavage dose of 75, 150, and 1,500 mg per kg bw Lavitol<sup>®</sup> (91-98% DHQ) as compared to a negative control of potato starch.

In a follow-up GLP-compliant subacute oral toxicity study, male and female albino outbred rats were dosed for 7 consecutive days with 10,000 or 15,000 mg per kg bw of Lavitol<sup>®</sup> (90.94%) DHQ) via oral gavage and the study included concurrent controls (Schauss et al., 2015). Animals were observed for mortality, external appearance, behavior, clinical signs, sensory reactivity to auditory, visual and proprioceptive stimuli and muscle strength. Body weights and food consumption were evaluated as well. Blood was collected on day 0 and day 8 for hematological and biochemical evaluation and urine was collected on day 0 and 8. Animals were euthanized on day 8 and underwent a full necropsy with organ weights. There was no difference between test groups and control animals with respect to the quantities of water and food consumed. The administration of Lavitol<sup>®</sup> did not affect animals' behavior, fur, skin, or mucous membranes. There were no differences in white blood cell count, hemoglobin, hematocrit, basophils, eosinophils, monocytes, or lymphocytes between test and control animals. A decreased red blood cell (RBC) count for females at both doses was evident on day 8, and significant differences in RBC volumes between the control group of female rats and the experimental group of females, were noted but were within normal biological limits. There was no difference in urinalysis between groups and gross pathology revealed no abnormalities. The histopathological and morphological changes observed in all groups and between sexes were considered incidental, physiologically related, and not induced by the test substance.

The effect of DHQ on mean blood pressure and macro- and micro-rheological blood parameters in 17-week-old hypertensive Wistar-Kyoto spontaneously hypertensive (SHR) rats was evaluated following oral dosing at 20 mg per kg bw per day for 6 weeks (Plotnikov, 2017a). No adverse effects related to the DHQ exposure were reported in the SHR rats. In another study, which evaluated the changes in angiotensin-converting enzyme activity in the aorta of male normotensive Wistar-Kyoto and SHR rats, animals were dosed by daily gavage with DHQ at 100 or 300 µg per kg for two weeks beginning at postnatal weeks 10 to 12 or 12 to 14 (Slashcheva, 2016). Blood pressure and the activity of angiotensin-converting enzyme in the aorta of SHR rats normally increases with age; however, dosing with DHQ had no effect on the increase of these parameters. DHQ administration to 14-week-old normotensive Wistar-Kyoto rats at 300 µg per kg was associated with reduced activity of the angiotensin-converting enzyme. No adverse effects were reported relating to DHQ exposure.

# 3. Subchronic Toxicity Studies

A subchronic 90-day study in 96 albino outbred rats (48 males and 48 females) to determine the safety of Lavitol<sup>®</sup> was performed by Schauss et al. (2015). Three experimental groups (12 male;

12 female) received 50, 150, or 1,500 mg per kg bw of Lavitol® each day by oral gavage, while a fourth group (12 males: 12 female) received 1% potato starch as a vehicle control group. The phytochemical composition of Lavitol<sup>®</sup> used in this study was DHQ 92.20%, aromadendrin 2.35%, eriodictyol 0.53%, guercetin 0.26%, naringenin 0.17%, and pinocembrin 0.11%. All of the animals in the study exhibited comparable weight gain throughout out the dosing period. The guantity of food and water consumed by the animals in all other groups was not significantly different compared with control animals. There were no abnormal changes in skin and fur appearance, except that the animals administered 50 and 150 mg per kg bw had significantly thicker and fluffier hair compared with the 1,500 mg per kg bw dose group and the control dose group. No abnormal movement was present in any of the groups. Males in the 150 mg per kg bw group were more active during the first month of the study compared with the other groups and controls; however, during the third month of study, males in the 50 and 150 mg per kg bw group were significantly more active than the males in the 1,500 mg per kg bw group and controls. Stool disturbances were observed in all groups throughout the study, but they were significantly lower in male and female 150 mg per kg bw groups compared with controls. No edema, hyperemia, or pathological excretions were observed in any of the treatment groups. There were no changes in the corneal reflex of any animals tested, or any differences in pupil size or width of palpebral fissure. All indices of hematological analysis were within normal values among groups, and the urine analyses of control and treated animals were within normal ranges. In addition, there were no clinically relevant histopathological differences between experimental and control animals.

In the 2017 EFSA document, a GLP-compliant toxicity study was reported with taxifolin (90.5% DHQ). Wistar albino rats (n= 10 per sex per group) were used in this study and exposed to 0, 50, 150, or 1,500 mg per kg bw per day by oral gavage for 90 days and included a recovery group, which were observed for 28 days following the treatment period (n = 5 rats per sex per group) (EFSA, 2017). The control group received the vehicle, which was a 1% starch solution. No mortality was noted. Absolute body weights in high dose males corresponded with the trend for lower food consumption in this same group. No differences were noted in any other groups in either body weights or food consumption. No differences were noted in the ophthalmological examinations, electrocardiograms and behavioral activity. Minor changes in hematology parameters and clinical biochemistry were noted but there was no dose response. Urinalysis and relative organ weights were not significantly different between groups. Gross pathological changes were noted in the stomach and adrenal glands in one or both sexes in multiple dose groups including the controls. These effects were not determined to be test article related, but were thought to be associated with the aggressive behavior seen during the study and were assumed to have led to stress and local irritation in the stomach. The EFSA panel concluded that the NOAEL was 1,500 mg per kg bw per day.

In a study to evaluate the process of peroxidation in male outbred albino rats following the administration of DHQ for 3 months, the diets of rats were supplemented daily with a DHQ dose of either 86, 860, or 3,000 mg per kg bw (Chernyak, 2009). A control group receiving only the

standard diet was included in the study as well as a reference control group receiving rutin at 86 mg per kg bw. At 86 mg per kg bw, DHQ was as potent as rutin in modulating the process of peroxidation. Safety endpoints were not included in this study; however, no mortalities or adverse effects were specifically reported.

A study was conducted where the effects of DHQ on microvascularization and microcirculation in the cerebral cortex of SHR rats during the development of arterial hypertension was evaluated (Plotnikov, 2017b). Animals were dosed with 50 mg per kg bw DHQ in 1% starch gel via oral gavage for 6 weeks. Concurrent controls, both SHR and normotensive Wistar Kyoto rats, received 1% starch gel only. An improvement in the microcirculation was noted and no adverse effects related to exposure to DHQ were reported.

# 4. Chronic Toxicity Studies

Booth and Deeds (1958) investigated the chronic oral toxicity of DHQ in albino rats. Ten rats of each sex were administered dietary levels of 0.125, 0.25, 0.5, and 1.0% DHQ with a control group of 20 per sex receiving the basal diet only. At the end of 226 days, 50 percent of the animals receiving 0.5% and 1.0% of the test material were terminated along with an equal number of animals that were fed the basal diet. The remaining animals in these dose groups were terminated after 450 days. At the end of 249 days, 50 percent of the animals that were dosed with 0.125% and 0.25% of the test material were sacrificed along with an equal number of controls. The remaining animals in these dose groups were sacrificed after 650 days. Rats underwent a weekly clinical exam and were weighed weekly as well. During the course of the study, no differences in appearance, behavior, food intake, or growth were noted between experimental animals and controls. No treatment-related deaths occurred. There were no adverse gross nor microscopic changes that were attributable to DHQ, with the exception of vacuolization in the livers of female rats fed 1% DHQ. The authors stated that this was most likely due to fat deposition. The authors concluded that no significant toxicological effects were observed from the long-term administration of DHQ to albino rats at a dietary level of up to 1% (Booth and Deeds, 1958).

In the Schauss et al. (2015) report, a 6-month chronic toxicity study in rats and dogs performed by Shkarenkov et al. (1998; paper and abstract not found) was described. Rats received either control solution, 150 mg DHQ preparation (purity not indicated) per kg bw per day, or 15,000 mg DHQ preparation per kg bw per day via intragastric administration. Dogs received 190 mg DHQ preparation per kg bw per day in their food. In both studies, results did not show any evidence of DHQ toxicity.

## 5. Reproductive/Developmental Toxicity Studies

Schauss et al. (2015) conducted GLP compliant prenatal and postnatal developmental toxicity studies of Lavitol<sup>®</sup> in 80 pregnant female rats. Following a 14-day acclimation and observation period, rats were mated over a 13-day period by placing one male with two females during two

estrus cycles. Mating was confirmed by the presence of sperm in a vaginal smear, and this was considered gestational day 1. Females were divided into four groups as follows: group 1 (n= 20), the control group, received 3 mL of a 1% starch solution per day; group 2 (n = 20) was administered 75 mg per kg bw of Lavitol® during the period of organogenesis-from gestational day 6 to 16; group 3 (n =20) was given 1,500 mg per kg bw per day of Lavitol<sup>®</sup> during the same period; and group 4 (n = 20) received 75 mg per kg bw per day of Lavitol<sup>®</sup> during the period of implantation, organogenesis, and fetogenesis-from the 1st to the 19<sup>th</sup> days of gestation. Animals were dosed via daily oral gavage based on the most recent body weight taken on day 1, 8, 14, 17, 18, 19, 20, and 21 of gestation. The phytochemical composition of Lavitol<sup>®</sup> used in this study was DHQ 92.19%, aromadendrin 3.57%, eriodictyol 0.58%, quercetin 0.33%, naringenin 0.17%, and pinocembrin 0.17%. During the dosing period, animals were observed three times daily for signs of pharmacological and/or toxicological effects and included general appearance, behavioral changes, and locomotor activity. Mean group body weight, percentage of body weight gain, feed and water consumption were determined during the study. Blood and urine were collected from females prior to mating, on day 20 of gestation prior to delivery in that group, and on day 18 or 20 for those euthanized on those days. In each group, 5 pregnant females were sacrificed on day 18 and another five on day 20 of gestation. The remaining pregnant females per group were allowed to give birth and raise the offspring until weaning on day 25 – 30 of lactation. There were no signs of toxicity during the dosing period, all females gained weight during the gestation period, and no statistically or biologically relevant differences were noted in hematological or clinical chemistry parameters between groups and controls. No spontaneous abortions were recorded in any of the Lavitol® groups and no significant differences were found in the number of corpora lutea/dam, implantation sites, resorptions, late fetal deaths, non-live implants or the percent pre- and post-implantation loss, gender ratio differences, or combined fetal weights.

The fetuses collected on day 18 or 20 were examined for the shape of the body, head size, limb extension, sex, digits, skin, umbilical region, anus and genitalia, nares, pinna, eyes and oral cavity. Two-thirds of the fetuses from each litter underwent a skeletal examination and one-third were fixed in Bouin's fluid and underwent a visceral examination. The litters allowed to continue to weaning were evaluated for the number and sex of the pups, the numbers of stillbirths and live births, and the presence of gross abnormalities. The date of detection of primary fur, ear unfolding, incisor eruption, eye opening, testes descent and vaginal patency were recorded. Pups were weighed every 3 days from day 3 to 42 and the crania-caudal size of each pup was measured up to day 42 to determine if somatic neural growth was affected. Multiple sensorymotor reflex changes were evaluated up to day 42. Blood was collected from 15 pups of each sex from each group following fasting and evaluated for hematological and clinical chemistry parameters. Pups were euthanized on day 43 and underwent a complete gross pathological examination. Microscopic evaluations were conducted on the heart, liver, kidneys, spleen, adrenal gland, and testicles. Lavitol<sup>®</sup> had no effect on litter size, physical development, survival, reflex measurements, behavioral variables, or gross examination, and histopathology revealed

no abnormalities related to exposure. The authors concluded that Lavitol<sup>®</sup> exposure did not result in embryotoxic or teratogenic effects on the development of offspring.

In the EFSA report from 2017, a developmental toxicity study is reported in which pregnant female rats (n=20) were dosed with taxifolin rich extract (at least 90% taxifolin) at 0, 75, or 1,500 mg per kg bw from gestation day 6 to 16 by oral gavage. This study was conducted in compliance with GLP as per the Ministry of Health of the Russian Federation. The endpoints for the study included clinical signs, mortality, body weight, functional observations, clinical chemistry, fetus survival and gross, skeletal and visceral examination of the fetuses and for the offspring, body weight, sensory motor evaluation, clinical chemistry, necropsy and histopathological examinations were done. All dams survived and no clinical signs of toxicity were noted during the prenatal dosing. No adverse effects were noted in the fetuses with respect to litter size, weight, formation of organs and general development. The conclusion was that no embryotoxic or teratogenic effects were seen at dose levels up to 1,500 mg per kg bw.

Blue California concludes that the results of the significant number of acute, subacute, subchronic, chronic and reproductive/developmental toxicity studies reviewed do not raise any concerns about the safety of DHQ. Blue California also notes the similarity between the developmental toxicity study summarized in the ESFA report from 2017 and the Schauss et al. (2015) study, though it is unclear if the studies are independent or duplicates.

#### 6. Genotoxicity/Mutagenicity Studies

#### a. Comet Assay

Schauss et al. (2015) demonstrated that Lavitol<sup>®</sup> does not induce DNA-damage in a GLPcompliant single-cell gel electrophoresis Comet assay. The Lavitol<sup>®</sup> used in this study had a phytochemical composition of DHQ 97.51%, aromadendrin 1.55%, eriodictyol 0.1%, and quercetin 0.15%. Single oral doses of 15 or 2,000 mg Lavitol<sup>®</sup> per kg bw, methyl methanesulfonate as a positive control or 1% ethanol as a negative control, were administered to 8- to 10-week-old male CBAxC57B1/6 mice (n=20; 5 per group). These doses correspond to the daily therapeutic dose of 15 mg per kg bw and a subchronic dose that exceeded the therapeutic dose by >100 times that dose. Gel electrophoresis results showed that these doses of Lavitol<sup>®</sup> did not induce DNA damage in cytogenic preparations of femoral bone marrow, blood samples, and liver samples from the experimental animals.

Zhanataev et al. (2008) studied the genotoxic properties of a DHQ preparation derived from larch (FlavitPure, 90% DHQ) in male and female C57B1/6 mice (animal numbers not specified) using a DNA-Comet assay. To test for the induction of DNA damage, a DHQ preparation was administered either repeatedly or as a single dose. In the repeated-dose study, the DHQ preparation was administered as daily doses of 0.15 and 1.5 mg per kg bw for five days and then the animals were terminated 3 hours after the last dose. In the single-dose study, the respective DHQ preparations were administered once as doses of 15, 150, and 2,000 mg per kg, and the

animals were terminated three hours later. Concurrent vehicle (1% ethanol) and positive (cyclophosphamide) controls were included in the study. Gel electrophoresis results showed that there were no significant differences between test animals and controls for either sex or at any dose level. The authors concluded that DHQ does not exhibit any genotoxic effects.

#### b. Micronucleus Test in Human Lymphocytes

A GLP-compliant micronucleus test conducted according to OECD Guideline 487 showed that Lavitol<sup>®</sup> did not increase the induction of micronuclei in cultured human lymphocytes *in vitro* in the presence and absence of S9 activation mix (rat liver tissue homogenate used in biological assays) (Schauss et al., 2015). The phytochemical composition of Lavitol<sup>®</sup> used in this study was DHQ 97.5%, aromadendrin 1.55%, eriodictyol 0.10%, and quercetin 0.15%. The maximum final concentration to which the cells were exposed was 3,043 mg per mL, dosed at 1% volume per volume (v/v), to enable testing up to 10 mmol per L.

#### c. Chromosomal Aberration Test

Lavitol<sup>®</sup> (93.7% DHQ) did not increase bone marrow metaphases in mice treated with 2 single doses (15 and 2,000 mg per kg bw) compared to a single dose-treated cyclophosphamide control and negative control groups (Schauss et al., 2015). The study was conducted using CBAxC57B1/6 mice of both sexes. Following an acclimation period, a single dose of 15 or 2,000 mg per kg bw was administered orally in one experiment and in another experiment, mice were dosed orally with 15 mg per kg bw for 5 consecutive days. Prior to euthanasia, animals were dosed with colchicine and then euthanized 2.5 hours later. Femoral bone marrow smears were prepared and then analyzed. There were no significant differences between sexes. It was concluded that Lavitol<sup>®</sup> was not genotoxic in mice, either following a single oral dose up to 2,000 mg per kg bw or following repeated doses at 15 mg per kg bw per day for 5 days.

Zhanataev et al. (2008) performed a chromosome aberration test to determine the genotoxicity of a DHQ preparation (Flavit Company, 90% DHQ) in male and female C57B1/6 mice (number not specified) that included concurrent vehicle (1% ethanol) and positive (cyclophosphamide) controls. The respective DHQ preparations were administered to mice in doses of 1.5 mg per kg bw and 150 mg per kg bw once per day for 5 days. The level of chromosome aberrations in both sexes and both dose levels did not significantly differ from controls. The authors concluded that DHQ does not exhibit any DNA-damaging activities in mammals.

## d. Mutagenicity

A study investigated the mutagenic effects of quercetin and taxifolin on tester strains of *Salmonella typhimurium* TA102 and *Escherichia coli* WP-2 uvrA (Makena et al., 2009). Taxifolin was determined to be not mutagenic in the presence or absence of S9 mix in both TA102 and WP-2 uvrA 2, regardless of the presence of iron or nicotinamide adenine dinucleotide phosphate (NADPH) generating system (NGS). Quercetin, however, was shown to induce mutations in the presence or absence of S9 mix, iron, or NGS. The authors concluded that a minor structural

variation between the two plant polyphenols could elicit a marked difference in their genotoxicities.

Blue California concludes that the results of these *in vitro* and *in vivo* genotoxicity and *in vivo* mutagenicity studies do not raise any concerns about the safety of DHQ.

#### 7. Clinical Studies

Many of the clinical studies summarized in Part 6.A.7 have been previously reviewed by EFSA. While some of the publications cited in the Ametis application for approval are obscure and unavailable for review, Blue California notes that the EFSA Panel did not raise any concerns regarding the safety of DHQ.

#### a. Clinical Studies on Dihydroquercetin

Rohdewald (2018) completed a review on the effects of Pycnogenol<sup>®</sup> in mild stage 1 and 2 osteoarthritis (OA). Pycnogenol<sup>®</sup> contains multiple polyphenols and other components, and it mimics a sustained release formulation by its natural combination of short and long-acting antiinflammatory substances. Based on other published reports, Pycnogenol<sup>®</sup> contains 14.35 µg taxifolin per mg. The maximum plasma concentration of taxifolin following Pycnogenol® intake is at 8 hours, and it is still present at 14 hours, while other components have peak concentrations at 1 to 4 hours. Taxifolin could also be found in the synovial fluid taken from the OA patients suggesting a local anti-inflammatory action. This review identified three identically designed clinical trials that investigated the role of Pycnogenol® in OA treatment. All three studies were randomized, double-blind, and placebo-controlled and study participants were middle aged (48-54 years old) patients suffering from mild stage 1 or 2 OA. The patients were all treated three times daily with total doses of either 100 or 150 mg per day Pycnogenol<sup>®</sup> added to their existing nonsteroidal anti-inflammatory drugs (NSAID) therapy. The success of the add-on supplementation was objectivated by using the Western Ontario McMasters University (WOMAC) questionnaire for OA for a period of 3 months. In all 3 Pycnogenol<sup>®</sup> groups, the use of NSAIDs was significantly reduced. In one study, the evaluation of the NSAID use was more precisely evaluated. This study reported that the consumption of Pycnogenol<sup>®</sup> was associated with a reduction of the intake of NSAIDs by 58%. No unwanted side effects linked to Pycnogenol® intake were reported in any of the three studies. The authors concluded that Pycnogenol® acts as an anti-inflammatory and chondroprotective add-on supplement providing long-lasting positive effects in OA patients.

A two-week study investigated the effect of different treatments, including diquertin (not less than 90% dihydroquercetin), on patients suffering from acute pneumonia and hospitalized within the first 3 days of the disease (Kolhir et al., 1998). The 112 patients were divided into 3 groups: group 1 (n=50) received standard therapy, group 2 (n=32) received standard therapy plus  $\alpha$ -tocopherol acetate and sodium thiosulphate; and group 3 (n=30) received the standard therapy plus 40-60 mg of diquertin per day. Patients in group 3 showed a more rapid disappearance of

physical signs of disease as compared to the control group, more rapid lung tissue restoration, decreased pulmonary fibrosis, and a tendency towards improved indicators of ventilatory function of the lung as well as increased levels of endogenous antioxidants. No safety endpoints were discussed, and no side effects were observed.

Twenty-nine patients, aged 56-78 years, with discirculatory encephalopathy were treated with 80 mg taxifolin per day over the course of 18-21 days. Patients displayed significant improvement in psychoemotional conditions. No safety endpoints were discussed, and no side effects were observed (Zavolokov and Ilyuhina, 2001; Ametis, 2010).

In single and multi-dose studies on Pycnogenol<sup>®</sup>, a maritime pine bark extract containing 14.35 µg taxifolin per mg, in human volunteers discussed earlier, no safety endpoints were discussed and no adverse effects were reported (Grimm et al., 2006).

In a randomized, double-blind, placebo-controlled study, the effects of taxifolin supplementation were studied on 68 hypertensive patients, aged 50-70 years, who suffered from atherosclerosis. The effects on hemodynamic and biochemical parameters, endothelial function and neurological status were evaluated. Subjects were treated with 80 mg taxifolin per day, along with standard therapy over the course of 12 weeks. Positive effects on lipid metabolism and cerebral microcirculation were observed. No safety endpoints were discussed, and no side effects were observed (Britov and Aparina, 2006; Ametis, 2010).

Twenty-eight patients, aged 50-76 years, with chronic microcirculatory disturbances were treated with 75 mg taxifolin per day over the course of 3 months. Treatment resulted in improved blood microcirculation and rheological indices and strengthened capillary walls. No safety endpoints were discussed, and no side effects were observed (Kozlov et al., 2006; Ametis, 2010).

Koshkin and Nastavsheva (2008) studied the effect of taxifolin on forty patients, aged 39-75 years, suffering from atherosclerosis of the lower extremities. Patients received 60 mg taxifolin per day (Capilar), over the course of 2 months, in the form of oral, or oral and topical, doses. Improved microcirculation, decrease in ischemic pain, and the ability of patients to walk longer distances without pain were observed. No safety endpoints were discussed, and there were no side effects (Koshkin and Nastavsheva, 2008; Ametis, 2010).

The effects of taxifolin supplementation (Capilar) were studied on 20 patients, aged 32-68 years, suffering from post-operative ischemic heart disease after aorta-coronary shunting. Subjects were treated with 60 mg taxifolin per day for 12-17 days. A control group (n=10) was included and received basic rehabilitation only. Treatment resulted in significant improvement in microcirculation, blood oxygenation, and psychoemotional conditions. No safety endpoints were discussed and no side effects were observed (Shakula et al., 2007; Ametis, 2010).

A 12-week study on taxifolin treatment in 40 patients with diabetes mellitus (aged  $56.2 \pm 8.5$  years) was conducted by Nedosugova (2006). Patients received 120 mg taxifolin per day in

addition to basic therapy and the control group (n=20) received a placebo plus basic therapy. A significant decrease in HbA1x levels and an improved sensitivity to insulin were observed. No safety endpoints were discussed, and no side effects were observed (Nedosugova, 2006; Ametis, 2010).

A 12-week study was conducted on taxifolin treatment in 20 patients (aged 30-68 years) with diabetes-related onychomycosis of feet and hands. Patients received 120 mg taxifolin per day in addition to basic therapy. The level and value of oligopeptides indicated significantly decreased malondialdehyde (MDA) levels and coefficient of intoxication. No safety endpoints were discussed, and there were no side effects reported (Davudova and Zoloeva, 2009; Ametis, 2010).

The effect of taxifolin supplementation on male patients with chronic pulmonary obstructive disease (n=20, aged 30-65 years) was investigated in an 18-21 day study. Patients were treated with 80 mg taxifolin (Capilar) per day. Increased blood oxygenation, improved rheological blood parameters, and normalized indices of tissue and organ microcirculation were observed, as well as a reported increase in tolerance to physical exercise and improved functioning of the respiratory and cardiovascular systems. No safety endpoints were discussed, and no side effects were observed (Shakula et al., 2008; Ametis, 2010).

# b. Clinical Studies on Ascovertin and Laviocard

Ascovertin is a complex of DHQ and ascorbic acid, and it is used as a drug in Russia for health conditions with an underlying mechanism of oxidative stress (Neveu, 2006). This combination is currently available in the US as a dietary supplement.<sup>4</sup> Laviocard is a very similar preparation containing both taxifolin (30 mg) and ascorbic acid (70 mg).

Twenty patients with ischemic heart disease were treated with Ascovertin (1 tablet, 3 times per day; 20 mg taxifolin and 50 mg ascorbic acid per tablet) over the course of 3 months. A control group of 20 patients receiving basic therapy and a placebo was included in the study. Ametis reported significantly decreased anginal episodes per week, and positive effects on the hemorheological status were observed. Safety endpoints and side effects were not discussed (Tyukavkina et al., 2001; Ametis, 2010).

Two clinical studies were conducted by The Russian Academy of Medical Science. In the first study, 31 patients with arteriosclerosis and stage I-II discirculatory encephalopathy and 20 healthy volunteers were given 2 tablets of Ascovertin (40 mg dihydroguercetin and 100 mg ascorbic acid per 2 tablets) three times per day in week 1 and then 1 tablet (20 mg dihydroquercetin and 50 mg ascorbic acid per tablet) three times daily during weeks 2 and 3 (Plotnikov et al., 2004a). The authors concluded that treatment with Ascovertin improved attention, memory, mental performance, normalized sleep, relieved headaches and decreased

<sup>&</sup>lt;sup>4</sup> For example, Life Extension Vitamin C with Dihydroquercetin 1,000 mg, available for direct purchase from Life Extension, as well as Swanson Health Products and Amazon.com. GRAS ASSOCIATES, LLC

fatigability in patients with discirculatory encephalopathy. In the second study by Plotnikov et al. (2004b), 21 patients with stage I or II vascular encephalopathy with atherosclerosis, aged 45-65 years and a control group of 10 age-matched patients, were given Ascovertin for 21 days (abstract only). Patients experienced a decrease in blood viscosity and blood-clotting tendency, which improved attention, relieved vertigo and headaches, and normalized sleep compared to age-matched controls. The authors did not observe any adverse effects.

Subsequently, Plotnikov et al. conducted additional 3-week studies in patients suffering from cerebral atherosclerosis (n=21, median age  $60.4 \pm 4.8$  years), arterial hypertension (n=38, median age  $60 \pm 5$  years), and type 2 diabetes mellitus (n=19, median age  $56 \pm 4$  years). The dosing schedule was the same as reported in the studies above. In all studies, a significant decrease in blood viscosity was observed, along with an increase in time of erythrocyte aggregation and significant decrease in quantity of primary and secondary products of lipid peroxidation. Patients with cerebral atherosclerosis displayed improved short-term memory and ability to concentrate. Patients with arterial hypertension and type 2 diabetes had decreased blood pressure and an increase in the systolic index. No safety endpoints were discussed, and no side effects were observed in any of the studies (Plotnikov et al., 2005; Ametis, 2010).

In a three-month clinical study, Plotnikov et al. also studied the effect of Ascovertin treatment on 31 patients (aged <65 years) with ischemic heart disease. Dosing was in the form of tablets that contained 20 mg DHQ and 50 mg ascorbic acid. During the first week of the study, patients received 1 tablet four times per day, for total doses of 80 mg DHQ and 200 mg ascorbic acid. For the second and third months, patients received 1 tablet three times per day, for a total of 60 mg DHQ and 150 mg ascorbic acid. Two control groups were included in the study; one with 20 patients (10 with ischemic heart disease; 10 with a history of myocardial infarction) who received basic therapy only and another group of healthy volunteers (n=10). Patients displayed an increase in the deformability index of the erythrocytes, a decreased level of fibrinogen, episodes of stenocardia, and level of primary and secondary products of lipid peroxidation. In addition, there was a decrease in nitroglycerine administration and tolerability to physical exercise. No safety endpoints were discussed, and no side effects were observed (Plotnikov et al., 2005; Ametis, 2010).

In a two-week study, Plotnikov et al. (2005) investigated the effect of taxifolin and ascorbic acid treatment on 25 female patients, aged 20-34, who were scheduled to undergo ovarian surgery. Patients received 120 mg taxifolin and 300 mg ascorbic acid per day for four days prior to, and 10 days following, surgery. A control group of 23 patients underwent basic therapy. Patients displayed decreased MDA levels, while levels of catalase and superoxide dismutase (SOD) were significantly increased. No safety endpoints were discussed, and no side effects were reported (Plotnikov et al., 2005; Ametis, 2010).

In a similar study, Tikhonov (2008) studied the effect of Laviocard on patients with chronic venous insufficiency (n=15) or atherosclerosis (n=15). A control group of 30 patients receiving only the basic therapy were included in the study. Patients received 1 tablet per day, providing 30 GRAS ASSOCIATES, LLC Page 32 of 107

mg taxifolin and 70 mg ascorbic acid, for 30 days as well as basic therapy. Positive changes in hemodynamic indices, rheological blood parameters and normalization of cholesterol metabolism was seen in the group receiving taxifolin. No safety endpoints were discussed and no side effects were observed (Tikhonov, 2008; Ametis, 2010).

Blue California has reviewed these clinical studies, which show that DHQ is well tolerated in humans, even those with various diseases, and agree that they support the safety of the proposed use of DHQ.

### 8. Absorption, Distribution, Metabolism, and Excretion (ADME)

The absorption of a dietary flavonoid depends on its physiochemical properties such as molecular size, configuration, lipophilicity, solubility and pH (Kumar, 2013). The flavonoids are then absorbed from either the small intestine or colon depending on the structure of the flavonoid. Following absorption, the flavonoids are conjugated in the liver by glucuronidation, sulfation or methylation, or are metabolized to smaller phenolic compounds.

In the late 1950s, Booth and Deeds reported on the metabolism of dihydroquercetin in humans. Two volunteers were given 2 grams of dihydroquercetin orally, and their urine samples were analyzed for metabolites; 3,4-dihydroxyphenylacetic acid, m-hydroxyphenylacetic acid, and 3methoxy-4-hydroxyphenylacetic acid were observed. The authors noted that these are the same metabolites excreted following oral administration of quercetin or 3,4-dihydroxyphenylalanine (DOPA) in rats, rabbits, and humans (Booth and Deeds, 1958).

The urinary metabolites of French maritime pine bark extract, which is known to contain dihydroquercetin, were studied after oral administration of 5.28 grams and 1.06 grams in a human volunteer. Taxifolin conjugated as a glucuronide/sulfate was excreted in the urine within 18 hours post dosing, with peak excretion at 2-3 hours. The recovery of taxifolin in the urine ranged from 7-8% (Düweler and Rohdewald, 2000).

In a study with Pycnogenol<sup>®</sup>, 33 patients with severe osteoarthritis scheduled for a knee arthroplasty were randomized to two groups; one receiving 200 mg per day Pycnogenol<sup>®</sup> for three weeks and the other receiving no treatment (Mulek, 2017). The authors concluded that the results of this study provided the first evidence that polyphenols are distributed in the synovial fluid of patients with osteoarthritis.

Single and multi-dose studies on Pycnogenol<sup>®</sup>, a maritime pine bark extract containing 14.35 µg taxifolin per mg, were conducted in human volunteers (Grimm et al., 2006). In a single-dose study, eleven volunteers (five female, six male) received 300 mg Pycnogenol<sup>®</sup> (calculated dose of 4.31 mg taxifolin) orally after 24-hours on a flavonoid-restricted diet. Taxifolin (both free and conjugated) was not detected in plasma prior to 2 hours post-dosing, and maximum concentrations were observed after 8 hours, before dropping to a steady level until 14 hours post dosing (experiment end). The authors calculated a maximal plasma concentration (C<sub>max</sub>) of

approximately 33.34 ng per mL for taxifolin, with a time of maximal plasma concentration ( $T_{max}$ ) of ~8.2 hours and a terminal half-life ( $T_{1/2}$ ) of ~8.89 hours based on the concentration of free taxifolin in the plasma samples.

In a subsequent multiple-dose study, five volunteers (4 female, 1 male) received 200 mg Pycnogenol® (equivalent to 2.87 mg taxifolin) via tablet for five days to reach steady state conditions, after 24-hours on a flavonoid-restricted diet (Grimm et al., 2006). Plasma samples were obtained 4 hours after the final dose; however, at this dose level, plasma taxifolin levels were below the limit of quantitation in all samples. The authors indicated that the delayed observation of taxifolin after a single dose, as well as the lack of steady-state levels in plasma following multiple doses, may be due to metabolic degradation. The authors noted that following oral ingestion, *Clostridium orbiscindens* in the gastrointestinal tract has the ability to degrade taxifolin to 3,4-dihydroxyphenylacetic acid and phloroglucan; however, neither of these metabolites were observed in the plasma samples.

In 2003, Schoefer et al. investigated the anaerobic degradation of taxifolin by *C. orbiscindens*. When *C. orbiscindens* strain I2 cells were treated with 1 mM taxifolin, both 3,4dihydroxyphenylacetic acid and alphitonin were observed. After treatment with 0.5 mM taxifolin, degradation to 3,4-dihydroxyphenylacetic acid was complete within 5 hours, while alphitonin was not detected. The authors concluded that *C. orbiscindens* may be as important as *Eubacterium ramulus* for flavonoid degradation in the human gastrointestinal tract (Schoefer et al., 2003).

In a study to evaluate the metabolism of taxifolin *in vivo*, twelve Sprague-Dawley rats were maintained in metabolic cages with *ad libitum* access to food and water and were divided into two groups following acclimation (Yang, 2016). Taxifolin was prepared in 0.5% analytical-grade sodium carboxymethyl cellulose (CMC-Na) solution and one group was dosed with vehicle and the other with 200 mg per kg body weight of taxifolin, once daily for 3 days. During the dosing period, urine and feces were collected at 0-24 hours following the first and second dosing, in the treated and control groups, respectively. Following the last administration, blood samples were collected at 0.5, 1, and 1.5 hours from 2 rats per time point. There were 191 metabolites tentatively identified: of these 154 were new metabolites, 69 were new compounds, and 32 were dimers. Seventeen metabolites were found to have various taxifolin-related bioactivities and the potential targets of taxifolin and 63 metabolites were predicted using PharmMapper, with results showing that more than 60 metabolites have the same five targets. These metabolites may exert the same pharmacological effects as taxifolin through an additive effect on the same drug targets. This observation indicated that taxifolin is bioactive not only in the parent form, but also through its metabolites.

In a study to investigate the pharmacokinetics of plant phenolic compounds, rats were dosed intravenously with a single dose of DHQ at 1, 3, 10, and 30 mg per kg and with a single oral dose at 50 and 500 mg per kg (Vosoboinikova, 1993). Non-linear pharmacokinetic behavior was demonstrated following intravenous administration and after oral administration DHQ was detected in only trace amounts in the plasma.

In vitro metabolism studies on taxifolin were conducted on human and rat hepatocytes in cell suspensions and primary cultures (Vacek et al., 2012). The major taxifolin metabolite was its sulfated conjugate and the methylated and dehydroxylated metabolites were also observed in human hepatocytes. Methylated and glucuronide conjugates were also observed in rat hepatocytes.

Blue California has reviewed these ADME studies, conducted both in humans and animals, and concludes that they do not raise any concerns about the safety of DHQ when used at the proposed levels.

### 9. Biological Activity of Dihydroguercetin

Dihydroquercetin has been used in used as an ingredient in dietary supplements in Russia, Switzerland, Canada, and the US (Turck et al., 2017) as a home remedy for conditions related to oxidative stress as it is a known antioxidant. However, scientific studies have shown that dihydroguercetin may provide some benefit in antioxidative, chemoprotective, hepatoprotective, and anti-inflammatory activities. As the biological action or mechanisms of action of an ingredient may reveal potential safety related concerns, a summary of the studies on biological activity of dihydroquercetin is presented in Appendix 6. It should be noted that no adverse effects related to dihydroguercetin were reported in any of the studies.

#### 10. Summary

Blue California's DHQ product is manufactured with suitable food-grade materials and analyzed using HPLC to prepare a method verification report. Analysis of Blue California's DHQ product showed that it is substantially equivalent to Ametis JSC's DHQ material, which is used as a dietary ingredient in Russia.

Acute, subacute, subchronic, chronic, and reproductive and developmental animal studies were reviewed and all showed that DHQ is well tolerated in laboratory animal models. There is a substantial amount of published literature that supports the safety of DHQ in human subjects. A number of ADME studies in both humans and animals were also reviewed. In December of 2016. and again in late 2017 for additional groups not evaluated in the first review, EFSA reviewed a novel food application for a taxifolin-rich extract for Ametis JCS and concluded that the extract would be safe under the proposed conditions of use.

### **B. GRAS Criteria**

FDA defines "safe" or "safety" as it applies to food ingredients as:

"...reasonable certainty in the minds of competent scientists that the substance is not harmful under the intended conditions of use."5

<sup>&</sup>lt;sup>5</sup> See 21 CFR 170.3 (e)(i) and 81 FR 54959 Available at: https://www.federalregister.gov/documents/2016/08/17/2016-19164/substancesgenerally-recognized-as-safe (Accessed on 4/15/17). GRAS ASSOCIATES, LLC

Amplification is provided in that the conclusion of safety is to include probable consumption of the substance in question, the cumulative effect of the substance and appropriate safety factors. It is FDA's operational definition of safety that serves as the framework against which this evaluation is provided.

Furthermore, in discussing GRAS criteria, FDA notes that:

"...General recognition of safety requires common knowledge, throughout the expert scientific community knowledgeable about the safety of substances directly or indirectly added to food, that there is reasonable certainty that the substance is not harmful under the conditions of its intended use."

"'Common knowledge' can be based on either "scientific procedures" or on experience based on common use of a substance in food prior to January 1, 1958." <sup>6</sup>

FDA discusses in more detail what is meant by the requirement of general knowledge and acceptance of pertinent information within the scientific community, i.e., the so-called "common knowledge element," in terms of the two following component elements:<sup>7</sup>

- Data and information relied upon to establish safety must be generally available, and this is most commonly established by utilizing published, peer-reviewed scientific journals; and
- There must be a basis to conclude that there is consensus (but not unanimity) among qualified scientists about the safety of the substance for its intended use, and this is established by relying upon secondary scientific literature such as published review articles, textbooks, or compendia, or by obtaining opinions of expert panels or opinions from authoritative bodies, such as JECFA and the National Academy of Sciences.

General recognition of safety based upon scientific procedures shall require the same quantity and quality of scientific evidence as is required to obtain approval of a food additive. General recognition of safety through scientific procedures shall be based upon the application of generally available and accepted scientific data, information, or methods, which ordinarily are published, as well as the application of scientific principles, and may be corroborated by the application of unpublished scientific data, information, or methods.

The apparent imprecision of the terms "appreciable," "at the time," and "reasonable certainty" demonstrates that the FDA recognizes the impossibility of providing absolute safety in this or any other area (Lu, 1988; Renwick, 1990; Rulis and Levitt, 2009).

<sup>&</sup>lt;sup>6</sup> See 81 FR 54959 Available at: <u>https://www.federalregister.gov/documents/2016/08/17/2016-19164/substances-generally-recognized-as-safe</u> (Accessed on 4/15/17).

As noted below, this safety assessment to ascertain GRAS status for dihydroquercetin for the specified food uses meets FDA criteria for reasonable certainty of no harm by considering both the technical and common knowledge elements.

### C. Expert Panel Findings on Safety of BC-DHQ<sup>™</sup>

An evaluation of the safety and GRAS status of the intended use of Blue California's BC-DHQ<sup>™</sup> high purity dihydroquercetin preparation has been conducted by an Expert Panel convened by GRAS Associates; the Panel consisted of Kara Lewis, Ph.D. as Panel Chair; Margitta Dziwenka, DVM, DABT; and Stanley Omaye, Ph.D. The Expert Panel reviewed Blue California's dossier as well as other publicly available information available to them. The individuals who served as Expert Panelists are qualified to evaluate the safety of foods and food ingredients by merit of scientific training and experience.

The GRAS Expert Panel report is provided in Appendix 7.

#### D. Common Knowledge Elements for GRAS Conclusions

The first common knowledge element for a GRAS conclusion requires that data and information relied upon to establish safety must be generally available; this is most commonly established by utilizing studies published in peer-reviewed scientific journals. The second common knowledge element for a GRAS conclusion requires that consensus exists within the broader scientific community.

#### 1. Public Availability of Scientific Information

The majority of studies reviewed on DHQ have been published in the scientific literature; however, the Ametis JSC novel food dossier submitted to EFSA contained a number of unpublished studies or published studies with no English translation. EFSA published a critical evaluation on taxifolin-rich extract from Dahurian Larch (~90% DHQ) in December 2016, and concluded that it is safe for use as a food supplement (EFSA et al., 2016). EFSA also released a second statement in late 2017 on the safety of the same extract, but this time considered all population groups, which was implemented as Commission Regulation (EU) 2018/461. Relevant toxicity studies, ADME studies, and a number of clinical studies found in the published literature support the conclusion that DHQ is well-tolerated in humans.

#### 2. Scientific Consensus

The second common knowledge element for a GRAS conclusion requires that there be a basis to conclude that consensus exists among qualified scientists about the safety of the substance for its intended use. Blue California intends to use its DHQ preparation as an ingredient in a limited number of human food categories.

EFSA reviewed the body of data available on DHQ in December 2016 and again in late 2017, and concluded that the taxifolin-rich extract (~90% DHQ) was safe as a novel food under the intended conditions of use proposed by Ametis JSC. The levels proposed were 100 mg per day for use as an ingredient in non-alcoholic beverages, fermented milk and dairy products, and chocolate.

Blue California's proposed levels of use range from 0.02 g per L in non-alcoholic beverages and flavored fermented milk and dairy products to 0.07 g per kg in chocolate products. The intended levels of use proposed by Blue California result in estimated exposures of 46.2 mg (97.5<sup>th</sup> percentile) for adolescents from 10 to 17 years of age and 58.0 mg (97.5<sup>th</sup> percentile) for adults, which are much lower than those reviewed by EFSA.

The relevant animal toxicity studies, ADME studies, reproductive and/or developmental toxicity, genotoxicity and mutagenicity studies, in addition to the human clinical studies, support the conclusion that the intended levels of use do not raise any safety concerns.

Blue California maintains that well-qualified scientists would conclude that DHQ is generally recognized as safe for use in food given the regulatory and safety data available.

#### E. Conclusion

The ingestion of Blue California's DHQ preparation from the intended uses of 0.02 g per L in nonalcoholic beverages, 0.02 g per kg in flavored fermented milk and dairy products, and 0.07 g per kg in chocolate products results in intakes that are safe within the limits of established historical use of 100 mg per day (EFSA, 2017; Turck et al., 2017) and published safety studies in animals with NOAELs in subchronic toxicity studies up to 1,500 mg per kg bw per day.

In consideration of the aggregate safety information available on DHQ, as well as the report from the designated Expert Panel provided in Appendix 7, Blue California concludes that the DHQ preparation defined in this comprehensive GRAS Assessment, and produced under Current Good Manufacturing Practices with food grade materials and processing aids, is safe for use as an ingredient in foods other than infant formulas and meat and poultry products, and is generally recognized as safe (GRAS) within the meaning of the Food, Drug, and Cosmetic Act.

This declaration has been made in accordance with FDA's standard for food ingredient safety, i.e., reasonable certainty of no harm under the intended conditions of use.

### PART 7. LIST OF SUPPORTING DATA AND INFORMATION IN THE GRAS NOTICE.

### A. List of Acronyms

4-HNE	4-hydoxynonenal
ADME	Absorption, Distribution, Metabolism and Excretion
AOAC	Association of Official Agricultural Chemists
Apo-A1	apolipoprotein A-1
АроВ	apolipoprotein B
ARPE-19	human RPE cells
bw	body weight
CCI <sub>4</sub>	tetrachloromethane
CFR	Code of Federal Regulations
CFU or cfu	Colony Forming Unit
CGMP	Current Good Manufacturing Practice
Cmax	maximum serum concentration
CMC-Na	sodium carboxymethyl cellulose
DDT	dichlorodiphenyltrichloroethane
DHQ	Dihydroquercetin
DN	Diabetic neuropathy
DOPA	3,4-dihydroxyphenylalanine
DPPH	2,2-diphenyl-1-picrylhydrazyl
DU145	human prostate cancer cells
EC <sub>50</sub>	half maximal effective concentration
EDI	Estimated daily intake
EFSA	European Food Safety Authority
EGFR	EGF receptor
<b>ER</b> GRAS ASSOCIATES, LL	Estrogen receptor

F3H	flavanone 3β-hydroxylase
FDA	Food and Drug Administration
FD&C	Federal Food, Drug, and Cosmetic Act
FOIA	Freedom of Information Act
FRAP	Ferric reducing antioxidant property
FSANZ	Food Safety Authority Australia/New Zealand
g	gram
GA	GRAS Associates
GLP	Good Laboratory Practice
GRAS	Generally Recognized as Safe
GRN	GRAS Notification
GSH-Px	glutathione peroxidase
GSSG-R	glutathione reductase
HaCat	immortalized human keratinocytes
HeLa	Human cervical cancer cells
HepG2	human liver cells
HFD	high fat diet
HPLC	High Performance Liquid Chromatography
HUVE	Human umbilical vein endothelial cells
IC <sub>50</sub>	half maximal inhibitory concentration
ICP-MS	Inductively coupled plasma mass spectrometry
lgM	immunoglobulin M
JEFCA	The Joint FAO/WHO Expert Committee on Food Additives
kg	kilogram
L	Liter
LLC	Limited Liability Corporation

MCAO	Middle cerebral arterial occlusion
MDA	malondialdehyde
mg	milligram
mL	milliliter
mM	millimolar
mMol	millimole
n	number
ng	nanogram
NA	Not applicable
NADPH	nicotinamide adenine dinucleotide phosphate
ND	Not detected
NDA	The EFSA Panel of Dietetic Products, Nutrition and Allergies
NGS	NADPH generating system
NOAEL	No Observed Adverse Effect Level
NS	Not specified
NSAID	Nonsteroidal anti-inflammatory drug
OA	Osteoarthritis
OECD	Organisation for Economic Co-operation and Development
ОН	Hydroxyl
OVCAR-3	human ovarian cancer cells
Ph.D.	Doctor of Philosophy
PI3K	phosphoinositide 3-kinase
ppm	parts per million
RACC	Reference Amounts Customarily Consumed
RBC	red blood cell
SHR	spontaneously hypertensive

SOD	Superoxide dismutase
T <sub>1/2</sub>	Half-life
TBARS	Thiobarbituric acid reactive substance
TIG-1	Human lung embryonic fibroblasts
T <sub>max</sub>	Time to maximum plasma concentration
μg	microgram
US or U.S.	United States
USDA	United States Department of Agriculture
USP	United States Pharmacopeia
VEGF	vascular endothelial growth factor
v/v	volume per volume
WOMAC	Western Ontario McMasters University

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#### C. Appendices

## Appendix 1 Botanical Sources of Dihydroquercetin

Plant Species	Common name	Source	Dihydroquercetin Form	Reference
Agrimonia pilosa Ledeb	Hairy agrimony	Herb	Taxifolin-3-glucoside	Wei et al. (2009b)
Allium cepa L.	Onion	Bulb	Taxifolin Taxifolin-3-glucoside Taxifolin-7-glucoside Taxifolin- <b>4</b> '-gluocoside	Slimestad et al. (2007)
Amygdalus lycioides Spach	NA	Branchelets	(2R,3R)-Taxifolin	Gaggeri et al. (2012)
Arachia hypogoea	Spanish peanut	Peanut	Dihydroquercetin	Pratt and Miller (1984)
Berchemia floribunda	NA	Bark	Cis-Dihydroquercetin Trans-Dihydroquercetin	Wang et al. (2006a)
Blumea balsamifera DC	Capa, Sambong	Leaves	Dihydroquercetin-4'-methyl ether Dihydroquercetin-7,4'-dimethyl ether	Nessa et al. (2005)
<i>Casearia gosspiosperma</i> Briq.	NA	Leaves	(+)-Taxifolin	Vieira Júnior et al. (2017)
Cedrus deodara	Himalayan cedar	NR	Dihydroquercetin	Awad et al. (2015)
Chromolaena odorata	Siam weed	Leaf, stem, and root	Taxifolin 4'-methyl ether Taxifolin 7-methyl ether	Ling et al. (2007)
Coreopsis tinctoria	Plains coreopsis; garden tickseed; golden tickseed	Capitula	Taxifolin-7-Ο- <b>β</b> -D- glucopyranoside	Han et al. (2016) <sup>b</sup>
Equisetum arvense	Common horsetail	NR	Dihydroquercetin	Syrchina et al. (1975)
Fragaria vesca	Strawberry	Fruit	Taxifolin-3-O-arabinoside	Sun et al. (2014a)
Glycomis pentaphylla	Orangeberry; ginberry	NR	Cis-Dihydroquercetin Trans-Dihydroqueretin	Chen et al. (2016)
Hovenia dulcis Thunberg	Oriental Raisin	Fruit	Taxifolin	Park et al. (2016)
Hydnocarpus alpina	NA	NR	(2R,3R)-Taxifolin 3-O- rhamnoside	Balamurugan et al. (2015) <sup>b</sup>
Hymenaea courbaril L.	West Indian Locust	Xylem sap	Taxifolin	da Costa et al. (2014)
Juglans mandshurica	Manchurian walnut	Stem-bark	Taxifolin	Min et al. (2003)
Juglans regia L.	English walnut, Persian walnut, common walnut	NR	(2S,3S)-Taxifolin-3-O-α-D- arabinofuranoside (2S,3S)-Taxifolin-3-O-α-L- arabinofuranoside	(Zhao et al., 2017)⁵
Larix gmelinii	Dahurian larch	Wood Wood, roots, branches, needles	Dihydroquercetin Taxifolin	Hemingway and Hillis (1969); Kolhir et al. (1996); Ma et al. (2014); Ma et al. (2012) Liu et al. (2014)

### Table 1-A. Botanical Sources of Dihydroquercetin

Plant Species	Common name	Source	Dihydroquercetin Form	Reference
Ledum procumbens	Labrador tea	Shoots	Dihydroquercetin	Ganina and Popova (2015)
Lippia graveolens	Mexican oregano	Herb	Taxifolin	Lin et al. (2007)
Machilus japonica	NA	Stem	(+)-Taxifolin	Joo et al. (2014)
Malus x domestica	Apple	Skin and flesh	(2S,3R)-(+)Taxifolin (2S,3S)-(-)Taxifolin (2R,3R)-(+)Taxifolin (2R,3S)-(-)Taxifolin (2S,3R)-(+)Taxifolin glycoside (2S,3S)-(-)Taxifolin glycoside (2R,3R)-(+)Taxifolin glycoside (2R,3S)-(-)Taxifolin glycoside	Vega-Villa et al. (2009)
Mimusops manilkara	NA	NR	Dihydroquercetin	Baky et al. (2016)
Morus alba L.	Mulberry	Seeds	(+)-dihydroquercetin	Lee et al. (2011) <sup>a</sup>
Mutingia calabura	Calabur tree	Leaves	Dihydroquercetin	Zakaria et al. (2014)
Opuntia ficus-indica var. saboten	Prickly pear	Fruit and stem	(+)-Dihydroquercetin	Dok-Go et al. (2003); Kim et al. (2017)
Picea abies Karst.	Norway spruce	Phloem	Taxifolin glycoside Taxifolin 3-O- <b>β</b> -D-glucoside	Brignolas et al. (1995); Lieutier et al. (2003)
Piceaa smitiana (wall) Boiss	West Himalayan Spruce	Aerial parts	Dihydroquercetin	Bashir et al. (2018)
Pinus brutia	Turkish pine	Bark	Taxifolin Taxifolin-O-hexoside	Cretu et al. (2013)
Pinus pinaster	French Maritime Pine	Bark	Taxifolin	Nishioka et al. (2007)
Polygonum amphibium	Water knotweed	Herb	Taxifolin	Smolarz (2002)
Polygonum aviculare	Common knotgrass	Herb	Taxifolin	Smolarz (2002)
Polygonum bistorta	Bistort	Herb	Taxifolin	Smolarz (2002)
Polygonum convolvulus	Wild buckwheat	Herb	Taxifolin	Smolarz (2002)
Polygonum hydropiper	Water pepper	Sprout Herb	( <i>2R,3R</i> )-(+)-Taxifolin Taxifolin	Miyazawa and Tamura (2007) Smolarz (2002)
Polygonum lapathifolium spp. Nodosum	NA	Herb	Taxifolin	Smolarz (2002)
Polygonum lapathifolium spp. Tomentosum	NA	Herb	Taxifolin	Smolarz (2002)
Polygonum mite	Tasteless water pepper	Herb	Taxifolin	Smolarz (2002)
Polygonum orientale	NA	Leaves, wood, and seeds	Taxifolin	Wei et al. (2009a)
Polygonum persicaria	Lady's thumb	Herb	Taxifolin	Smolarz (2002)
Pouteria campechiana	NA	Leaves	Taxifolin-3-O-α-L- rhamnopyranoside Baky et al. (2016)	

GRAS ASSOCIATES, LLC

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Plant Species	Common name	Source	Dihydroquercetin Form	Reference
			<i>trans</i> -Taxifolin-3-O-α-L- arabinopyranoside Taxifolin-3-O-α-L- arabinofuranoside	
Pseudotsuga menziesii Franco	Douglas fir	Wood and bark Needles	Dihydroquercetin Dihydroquercetin- <b>3'</b> -glucoside	Hemingway and Hillis (1969) Stafford and Lester (1981)
Rhizoma smilacis glabrae	Tu Fu Ling	ʻherb extract powder'	(2S,3R)-(+)Taxifolin (2S,3S)-(-)Taxifolin (2R,3R)-(+)Taxifolin (2R,3S)-(-)Taxifolin	Vega-Villa et al. (2009)
Rosa canina L.	Dog-rose	Rose hip	<ul> <li>(+)-Taxifolin</li> <li>(+)-Taxifolin 3-O-β-D- xylopyranoside</li> <li>(+)-Taxifolin 3-O-α-L- arabinofuranoside</li> </ul>	Fujii and Saito (2009)
Rosa davurica	Amur rose	Roots	(+)-Taxifolin 3-O- <b>β</b> -D-apio-D- furanoside	Yoshida et al. (1989)
Silybum marianum	Milk Thistle	Seeds	Taxifolin (+)-Taxifolin	Tedesco et al. (2004); Polyak et al. (2010); Sato et al. (2013); Zholobenko and Modriansky (2014)
Trigonella foenum-graecum	Fenugreek	Seeds	Dihydroquercetin	Yu et al. (2017)
Vitus vinifera c.v. Cabernet Gernischet	Cabernet grapes	Grape skin	Dihydroquercetin-3- <i>O</i> - rhamnoside	Meng et al. (2012)
Vitus vinifera 'Malbec'	Malbec grapes	Red wine	Dihydroquercetin-3- <i>O</i> - glucoside	Fanzone et al. (2015)

<sup>a</sup> Article in Korean; Information based on abstract (in English) only

<sup>b</sup> Abstract only NA – Not Applicable; NR – Not reported

## Appendix 2 Specifications and Certificates of Analyses for Production Processing Aids

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#### Appendix 2.2 Yeast Peptone

# **Test Report**

Check (Trade) Word No. 2016-SP13935

Product Name: Angel Yeast (yeast extract)

Specifications and Model: Powder

Unit Being Tested: Angel Yeast (Liuzhou) Co., Ltd.

Test Category: Commissioned inspection

Three Gorges Center for Food and Drug Control

### Three Gorges Center for Food and Drug Control Test Report

Check (Trade) W			Page 1 out of		
Product Name	Angel Yeast (yeast extract)	Specifications and Model	Powder		
Sample Grade	N/A	Trademark	Angel		
Unit Being Tested	Angel Yeast Co., Ltd.	Address of Unit Being Tested	N/A		
Trust Unit Name	Angel Yeast Co., Ltd.	Test Category	Commissioned inspection		
Manufacturer	Angel Yeast Co., Ltd.	Production Date / Lot Number	(b) (6)		
Sampling Personnel	N/A	Commissioned By	Biying Luo		
Sampling Site	N/A	Sampling Date	N/A		
Sample Quantity	500g * 2	Sent Date	12/21/2016		
Sample Batch	N/A	Test Date	12/22/2016 - 01/19/2017		
Test Items	See attached pages	Sample Description	Normal, meet inspection requirement		
Test Standard(s)	(b) (6)				
Test Conclusion	The sample meets the r	equirements of (b) (6)			
	(Stamp)				
	Date of Issue: 01/20/2017				

Approver: Ailing Luo

Examiner: Suyuan Li

Major Tester: Dinghuan Zhao

### Three Gorges Center for Food and Drug Control Test Result

No	k (Trade) Word Test Items		Unit	Specification	Test	Page 2 out of Evaluation
no.	reschems		onic	specification	Results	Lyanadion
1	Color		N/A	Light yellow to light brown	Yellow	Pass
2	Smell		N/A	Odor that yeast peptone should be	No strange smell	Pass
3	Exterior		N/A	Powder or paste	Powder	Pass
4	Impurities		N/A	No visible foreign impurities	No visible foreign impurities	Pass
5	Total Nitrogen (measured on dry basis)		96	>= 8.0	11.8	Pass
6	Amino Nitrogen (measured on dry basis)		96	>= 1.5	3.3	Pass
7	Moisture		96	<= 6.0	3.8	Pass
8	Ash		96	<= 15.0	9.0	Pass
9	NaCl		%	<= 2.0	0.5	Pass
10	рН		N/A	5.3 – 7.2	5.8	Pass
11	Pb		mg/kg	<= 2	< 0.1	Pass
12	Total As		mg/kg	<= 2	0.13	Pass
13	Total number of colonies		cfu/g	<= 50000	4200	Pass
14	Coliforms		MON/g	<= 0.3	< 0.3	Pass
15	Pathogens	Staphylococcus aureus	/25g	Cannot have any	Not detected any, /25g	Pass
		Salmonella	/25g	Cannot have any	Not detected any, /25g	Pass

Blank Below

Ferrous S	ulfate
Co	
	Test Report
	No: (b) (6) (b) (6)
	Anti-counterfeiting code
t name	Ferrous sulfate
ing tested	
acturer	Jiangsu Kolod Food Ingredients Co., Ltd.
ing Unit	Jiangsu Kolod Food Ingredients Co., Ltd.
nd	Consigned Inspection

The Center of Lianyungang Product Quality Supervision and Inspection

### The Center of Lianyungang Product Quality Supervision and Inspection

### **Test Report**

No: (b) (6)

Page 1 of 2 pages

			Trademark	Kolod	
Product name	Ferrous	sulfate	Trademark		
			(nominal)	-	
Manufacturer		Jiangsu Ko	olod Food Ingredier	nts Co., Ltd.	
Entrusting	Jiangsu	ı Kolod Fo	od Ingredients Co.,	Ltd./ South Side of	
Unit/Address/Tel./	Weier Ro	ad, Econor	nic Development Z	one, Guanyun County	
Postcode		/0	518-85110538/2220	000	
Unit being tested			-		
Test Kind	Consi Inspe	igned ction	Sample No.	(b) (6)	
Quantity of Sample	100	0 g	Sample Grade	-	
Date of Test	February 13, 2017 to February 27, 2017		Producing Date/Batch No.	-\-	
Status of Samples	The sample has met the testing requirements		Date of Delivery	February 10, 2017	
Status of Sealed Sample	-	-	Sealed Sample Examined by	Li Zhenzhen	
Place of Test	The Center of Lianyungang Product Quality Supervision and Inspection				
Test Basis	GB 29211-2012 National Food Safety Standard for Food Additive Ferrous Sulfate				
Test Conclusion	Upon testing, the sample has met the standard requirements specified in GB 29211-2012 and the test conclusion is qualified.				
Notes			-		
Chiefly tested by: Lin Zexin Reviewed by: Gu Tiantian Approved by: Wang Lin*		(Seal of Inspection Unit) (Special Seal of Inspection of the Center of Lianyungang Product Quality Supervision and Inspection (2)) Issued on: February 27, 2017			

### **Test Result**

No.: (t	o) (6)
---------	--------

Page 2 of 2 pages

Serial No.	Test Items		Unit	Technical Requirements	Test Results	Individu al Judge
1	Sensory	Color	-	Grey or blue green	Blue green	Qualified
I Requirements	Texture	-	Granular crystals	Granular crystals	Qualified	
2	Ferrous sulfate (measuring in FeSO4 · 7H2O), w%		-	99.5-104.5	99.8	Qualified
3	Рb		mg/kg	≤2	<2	Qualified
4	Hg		mg/kg	≤1	Undetected (detection limit: 0.002mg/kg)	Qualified
5	As		mg/kg	≤3	<3	Qualified
Notes				-		



The Center of Lianyungang Product Quality Supervision and Inspection

### The Center of Lianyungang Product Quality Supervision and Inspection

### **Test Report**

No: H2017WTS0164

Page 1 of 2 pages

	Food additive	disodium	Trademark	Kolod
Product name	hydrogen pł	nosphate	Trademark	
	(anhydr	-	(nominal)	-
Manufacturer	Jiangsu Kolod Food Ingredients Co.			o., Ltd.
Entrusting	Jiangsu Kolod Food Ingredients Co., Ltd./South Side of Weie			outh Side of Weier
Unit/Address/Tel./	Road, Econon	Road, Economic Development Zone, Guanyun County /0518-		
Postcode	85110538/222000			-
Unitbeing tested	-			
Test Kind	Consigned Ir	spection	Sample No.	(b) (6)
Quantity of Sample	100 g		Sample Grade	-
Date of Test	February 13 February 1		Producing Date/Batch No.	-\-
	The sample h		Date of	February 10,
Status of Samples	testing requi		Date of Delivery	2017
Status of Sealed Sample	-		Sealed Sample Examined by	Li Zhenzhen
Place of Test	The Center of Lianyungang Product Quality Supervision and Inspection			Supervision and
Test Basis	GB 25568-2010 National Food Safety Standard for Food Additive Disodium Hydrogen Phosphate			
Test Conclusion	Upon testing, the sample has met the standard requirements specified in GB 25568-2010 and the test conclusion is qualified.			
Notes			-	
		(Seal of	f Inspection Unit) (	Special Seal of
Chiefly tested by:W	ang Yisheng	Inspection of the Center of Lianyungang		
Reviewed by: Gu Ti	antian	Product Quality Supervision and Inspection		
Approved by: Wang	Lin*	(2))		
		Issued on: February 21, 2017		

## Test Result

No:	(b)	(6)
<b>.</b>		

Page 2 of 2 pages

Serial No.	Test Items		Unit	Technical Requirements	Test Results	Individual Judge
1	Sensory	Color	-	White	White	Qualified
1	Requirements	Texture	-	Powder	Powder	Qualified
2	Disodium hydrogen phosphate (Na <sub>2</sub> HPO <sub>4</sub> , measuring in a dry basis), w%		-	≥98.0	98.5	Qualified
3	As		mg/kg	≤3	<3	Qualified
4	Heavy metal (measuring in Pb)		mg/kg	≤10	<10	Qualified
5	Рb		mg/kg	≪4	<4	Qualified
6	Fluoride (measuring in F)		mg/kg	≤50	5	Qualified
7	Insoluble substance, w/%		-	≤0.2	Undetected	Qualified
8	Loss on drying (Na <sub>2</sub> HPO <sub>4</sub> ), w%		-	≤5.0	0.3	Qualified
Notes	-					

11/5/18

Appendix 2.5 Phosphoric A	cid
UTA	AL
(b) (6)	(b) (6)
Inspect	ion and Test Report
(	'b) (6)
Sample Name	85% industrial phosphoric acid
Applicant:	Jiangsu ChengXing Phosph-Chemicals Co.,Ltd.
Inspection & Test Category:	Consigned Inspection

Jiangyin Product Quality Supervision and Testing Institute

Ins	pection and Testing Report					
b) (6)	Page 1 of 2					
Name of Applicant	Jiangsu ChengXing Phosph-Chemicals Co. 1rd.					
Address of Applicant	618 Meiyuan Avenue, Jiangyin City					
Information of Manufacturer	Jiangsu ChengXing Phosph-Chemicals Co_Ltd.\ 618 Mervuan Avenue, Jiangvin City (The sample information is provided by the entrusting party and thus the entrusting party shall be responsible for the authenticity of such information.)					
The following sample inf	ormation is provided and confirmed by the entrusting party;					
Sample Name	\$5% industrial phosphoric acid					
Quantity of Sample	500ml					
Sample description	Batch No.: (b) (6) Sample Grade: First-rated product Date of Production: February 7, 2017					
Method of Delivery\Date	Delivered by Entrusting Party February 24, 2017					
Test Date	February 27, 2017 to March 16, 2017					
Basis of Inspection and Test	GB/T 2091-2008 Industrial Phasphoric Acid					
	Upon testing, the sample has met the standard requirements specified in GB/T 2091-2008 Industrial Phosphoric Acid.					
Notes	-					
	Reviewed by: Prepared Izsued on: March 16, 2017 Lu Yeqing by: Li Juan					
	Lu Yeqing Li Juan (Special Seal of Inspection and Testing of Jiangyin Product Quality Supervision and Testing Institute)					

11/5/18

### Inspection and Test Results

No. (2017) HGWJ0153

Page 2 of 2

Appearance Chroma	Hei	Colorless and transparent or light colored wiscous liquid	Qualified	Qualified
Chroma	Hei			
	Zeng	≤20	<20	Qualified
bosphoric acid (H3PO4)	%	≥85.0	855	Qualified
Chloride (measuring in CI)		≪0.0005	<0.0005	Qualified
Sulfate (measuring in SO4)		≤0.003	<0.003	Qualified
Fe		≤0.002	<0.002	Qualified
As		≪0.0001	<0.0001	Qualified
eavy metal (measuring in Pb)	%	≤0.001	<0.001	Qualified
	hloride (measuring in CI) ulfate (measuring in SO4) Fe As eavy metal (measuring in	hloride (measuring in CI) % ulfate (measuring in SO4) % Fe % As % eavy metal (measuring in Pb) %	hloride (measuring in CI)% $\leq 0.0005$ ulfate (measuring in SO4)% $\leq 0.003$ Fe% $\leq 0.002$ As% $\leq 0.0001$ eavy metal (measuring in Pb)% $\leq 0.001$	hloride (measuring in CI)       % $\leq 0.0005$ $< 0.0005$ ulfate (measuring in SO4)       % $\leq 0.003$ $< 0.003$ Fe       % $\leq 0.002$ $< 0.002$ As       % $\leq 0.0001$ $< 0.0001$ eavy metal (measuring in Pb)       % $\leq 0.001$ $< 0.001$

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#### Appendix 2.6 Eriodictyol

# Nantong Haitian Biotech Co., Ltd Certificate Of Analysis

Product Name Lot No Date Of Manufacturing Qty. QC acceptance date QC Country Of Origin Original Manufacturer Sterilization Status Package Size

ERIODICTYOL 98% (b) (6) 2017-08-02 750kg 2017-08-07 China Nantong Haitian Biotech Co.,Ltd. Treated by steam 15kg/drum

ATTRIBUTES	SPECIFICATION	METHODS	RESULTS
Appearance	off-white Powder	CP2000	Pass
Odor	Characteristic	OLFACTORY	Pass
Taste	Tasteless	GUSTATORY	Pass
Loss On drying	≤5.0%	CP2000	0.1%
Heave Metals	≪10PPM	CP2000	Pass
Bulk density	0.150.3g/ml	CP2000	0.16g/ml
Tap density	≥0.2g/m1	CP2000	0.30g/m
Particle Side	≥95%through Mesh#80 Sieve	CP2000	96.3%
Ash	≤10.0%	CP2000	0.16%
Assay	≥98%	HPLC	98.5%
Lead	≤3PPM	ICP	<3PPM
Arsenic	≪3PPM	ICP	<3PPM
Cadmium	≪3PPM	ICP	<3PPM
Hg	≪3PPM	ICP	<3PPM
Total Plate Count	≤1000cfu/gm	AOAC	50cfu/g
Total Coliform	≤100cfu/gm	AOAC	none
Yeast And Molds	≤100cfu/gm	AOAC	15cfu/g
E.Coli.	NEGATIVE	AOAC	none
Salmonella	NEGATIVE	AOAC	none
TESTED BY:	GU- DANTONG	DATE: 09-15-17	
APPROVED BY	2 107.00 x solaryout	DATE: 09-15-17	

Appendix 2.7 Sodium Chloride

# **Test Report**

(2015) Commission Checked No. 4

Product Name: <u>Non-iodized refined salt</u> Specifications and Model: <u>N/A</u> Trademark: <u>N/A</u> Trust Unit: <u>Zhongyan Dongxing Yanhua Co., Ltd.</u> Manufacture: <u>Zhongyan Dongxing Yanhua Co., Ltd.</u> Test Category: <u>Commissioned inspection</u>

# QUALITY SUPERVISION INSPECTION CENTER OF NATIONAL LIGHT INDUSTRY WELL MINERAL SALT ADMINISTRATION

# Description

1. Entrusted inspection is only responsible for the sample.

2. This Inspection Report is invalid if no official seal of the inspection unit.

3. The copy of this Inspection Report is invalid if no official seal of the re-stamped inspection unit.

4. Altered "Inspection Report" is invalid.

5. If there is any objection to the Inspection Report, please submit written opinions to the inspection unit within 15 days from the date of receipt of the Inspection Report, and shall be deemed to recognize the Inspection Report.

6. If no preparation, inspection, review, and approval of the signature, the Inspection Report is invalid.

7. If no objection to the Inspection Report within one month after receipt, the sample should be taken back, otherwise it will be dealt with in accordance with the relevant provisions.

# Brief Introduction of Quality Supervision and Testing Center of National Light Industry Well Salt

The Center has passed the China National Accreditation Board for accreditation of Conformity Assessment Laboratory and Food Inspection Agency. The laboratory is in good condition and well equipped, mainly to carry out salt products, food, chemical products, food additives, and feed additives testing, but also bear the quality supervision and inspection, revision of national standards, industry standards and test methods of research, testing personnel technical training, and technical advice business.

Address: No. 11 Dongxing Temple, Zigong City, Sichuan Province Zip code: 643000 Tel: <sup>(b) (6)</sup> Fax:

11/5/18

# QUALITY SUPERVISION INSPECTION CENTER OF NATIONAL LIGHT INDUSTRY WELL MINERAL SALT ADMINISTRATION Test Report

Page 3 out of 4

			Fage 5 Out 01 4
Product Name	Non-iodized refined salt	Trademark	N/A
Trust Unit	Zhongyan Dongxing Yanhua Co., Ltd.	Specifications and Model	N/A
Address	Dindyuan Salt Mine, Dingyuan County, Chuzhou City, Anhui Province	Sampling Batch	80t
Zip Code	N/A	Sample Amount	500g
Product Unit	Zhongyan Dongxing Yanhua Co., Ltd.	Sample Grade	N/A
Sampling Date and Site	N/A	Sent Date	01/07/2015
Production Date / Lot Number	(b) (6)	Sent By	Sufang Chen
Test Date	01/13/2015	Test Category	Commissioned inspection
Test Standard(s)	GB5461-2000 GB/T5009.15-2003 GB/T5009.17-2003	Environment	11°C
Sample Reception Description	Mailed, plastic bag packaging solid.	, packaging intact, the san	nple is white granular
Test Conclusion	Based on GB 5461-2000 and C requirement of non-iodized re		
		(Stamp)	
		Date of Issue: 01,	/20/2015
Remarks	All information related to the provided by the client, who is information provided.		
Approver: Wenj		ng Fu Major Test	er: Qian Tan
Droporod by 7b		J,	-

Prepared by: Zhiyong Chen

11/5/18

# QUALITY SUPERVISION INSPECTION CENTER OF NATIONAL LIGHT INDUSTRY WELL MINERAL SALT ADMINISTRATION Test Report

	rest hepoirt		Page 3 out of 4
Test Items	Specification	Test Results	Evaluation
Level of whiteness, degree	>= 80	88	Pass
Granularity (0.15 – 0.85) mm, %	>= 85	99	Pass
NaCl, %	>= 99.10	99.45	Pass
Moisture, %	<= 0.30	< 0.01	Pass
Water-insoluble, %	<= 0.05	< 0.01	Pass
As, mg/kg	<= 0.5	< 0.5	Pass
Pb, mg/kg	<= 2.0	< 2.0	Pass
Cd, mg/kg	<= 0.5	< 0.005	Pass
Total Hg, mg/kg	<= 0.1	< 0.025	Pass
Ba, mg/kg	<= 15.0	< 15.0	Pass
[Fe(CN)₀]⁴-, mg/kg	<= 10.0	4.8	Pass
I, mg/kg	< 5	0.1	Pass
Sensation: white, taste salty, no strange smell, no obvious foreign substance that is not related to salt.	Meet the requirements	Meet the requirements	Pass

Blank Below

### Appendix 2.8 Methanol

Certification #(No.): 320816090024 - 1Q Date: October 12, 2016

CCIC JIANGSU CO., LTD

### **Quality Certification (Cabin)**

Item Name: Methanol (in bulk)

Weight: -9,584.530- metric tons (4750.000 metric tons and 4,834.530 metric tons) (bill of lading)

Transportation tool: "VISINO ENERGY 1" Ship

Loading Berth: 1P/S, 2P/S, 3P/S, 4P/S, 5P/S, 6P/S & SLOP-P/S

Transit: from MIDDLE EAST to China Taicang

Inspection location: Taicang Power Shell Petrochemical Co. LTD

Inspection Date: October 10, 2016 to October 12, 2016

Contract #: HI/1608/6421

BL #: SEV1605-01& SEV1605-02

### Inspection Results:

According to GB/T 6680-2003 Standards, our company inspector took samples from the items before unloading and did tests. Results are shown as below:

Inspected item	Inspection Method	Inspection Results
Specific gravity (20/20, °C)	ASTM D4052-15	0.7927
Color intensity (Pt-Co)	ASTM D1209-05(2011)	<5
Acidity (acetic acid)/(mg/kg)	ASTM D1613-06 (2012	12
Potassium permanganate test (15°C)/min	ASTM D1363-06 (2011	>60
Acetone (mg/kg)	IMPCA 001-14	<30
Sulfuric acid scrubbing color intensity (Pt-Co)	ASTM E346-08#1	20
Water miscibility test	GB/ T 6324.1-2004	Pass (1+3)
Water content (mass fraction)/%	ASTRM E1064-16	0.018
Distillation range (0°C, 760mmHg), °C	ASTM D1078-11	
Initial boiling point, °C		64.5
Dry point, °C		64.7
Purity(dry basis) (mass fraction)/%	IMPCA 001-14	99.98
Ethanol/ (mg/kg)	IMPCA 001-14	<5
Chlorinity/ (mg/kg)	SN/T 2994-2011	0.069
Sulfur content / (mg/kg)	ASTM D5453-16	<0.5
Iron content / (mg/kg)	ASTM E394-15	<0.01
Non-volatile matter / (mg/100mL)	ASTM D1353-13	0.1
Exterior condition	IMPCA 003-98	Transparent, no mechanical impurity
Aromatic hydrocarbon / (mg/kg)	GC.FID	<0.20
	END *	

Based on our knowledge, we have tried our best to finish the above tests. Issuance of this certification does not imply the exemption of responsibility from the round turn and others beneficial partners.

Industrial and Commercial Registration #: 320191000002448

Appendix 2.9

Ethanol

# ETHYL ALCOHOL

# Certificate of analysis

	Qua	lity		
Item	Guaranteed reagent (GR)	Standard grade	Result	
Color	Colorle transp		Colorless and transparent	Qualified
Odor	Characteristic	No foreign odor	No foreign odor	Qualified
Taste	Pure	Purer	Purer	Qualified
Colorimetric reading	≤1	0	8	Qualified
Ethanol (% Vol)	≥95.5	≥95.0	95.0	Qualified
Sulphuric acid color index	≤10	≤60	50	Qualified
Oxidation min	≥30	≥20	25	Qualified
Acetaldehyde (mg/L)	≤2	≤30	20	Qualified
Methanol (mg/L)	≤50	≤150	115	Qualified
1-propanol (mg/L)	≤15	≤100	70	Qualified
Isobutanol and isoamyl alcohol (mg/L)	≤2	≤30	25	Qualified
Acid (Acetic acid) (mg/L)	≤10	≤20	16	Qualified
Cyanide (HCN) (mg/L)	<u> </u>	5	3	Qualified
Conclusion	The product is	qualified acco	rding to GB10343-2008	standard
Conclusion		Date: 2016.3.13 (YYYY.MM. D		
Inspector: Ling, Fen and Zhai	ng, Shiyu		Auditor: Li, H	ongming

# Appendix 2.10 Ion-Exchange Resin

A Perfect Blend of Science and Nature

July 20, 2018

# FOOD GRADE STATEMENT

BLUE CALIFORNIA hereby certifies that all the processing aids and the following materials used in the manufacturing process of BC-DHQ <sup>™</sup> Dihydroquercetin 95% are food grade materials.

- 1. 0.22 µm sterile filter
- 2. Ion Exchange Resin

We certify this to be true to the best of our knowledge.

Sincerely,

Hadi Omrani

Hadi Omrani Manager- Technical and Regulatory Affairs

Corporate Headquarters 30111 Tomas, Rancho Santa Margarita, CA 92688 Tel: 949-635-1990 Fax: 949-635-1984 Website: www.bluecal-ingredients.com

# LANSHEN RESIN

Shaanxi Lanshen Special Resin Co., Ltd. Creating more value for client

# **Quality Test Report**

JL8.2.4-3

Product Name	LS-38	Serial Number	2017-015
Test Standard(s)	Enterprise Standards	Test Date	06/20/2017
Appearance of product	Light yellow or yellow o	opaque spherical particle	25

No.	Test Items	Test Result	Remarks
1	Particle size range (0.315 – 1.25mm) %	95.98	Pass
2	Water content (%)	55.67	Pass
3	Weak base exchange capacity (mmol/g)	4.52	Pass
4	Strong base exchange capacity (mmol/g)	2.08	Pass
5	Bulk density in wet state (g/ml)	0.73	Pass
6	True density in wet state (g/ml)	1.10	Pass
Conclusion	Pass (Stamp)		
Tester	Songsong Zhang	Examiner	Jinhua Feng

LANSHEN RESIN—WWW.SXLANSHEN.COM

TEL:86-29-86690026 FAX:86-29-892834

State Fore				
	estry Administration of th	e People's Republic of China		
Quality	Quality Inspection and Supervision station of Forest Products			
	Laboratory Analy	ysis Report		
Analysis Method:				
GB/T12496.	1~12496.22-99			
Testing Item and Resul	ts:			
I. Material		Wood		
2. Granularity		200 Mesh		
3. Methylene (mg/g)		198		
4. % Ferric Salt		0.02		
5. % Moisture Content		9.3		
6. % Heavy Metal		0.02		
7. PH		5.63		
8. % Chloride		0.1		

Requesting Agent: Livin City Jiangyin Active Carbon Facility	Analyzed by:
Sample Description: 767 Type Active Carbon	Approved by:
Sample Number:	

February 16, 2017

# Appendix 2.12 Ingredient Statement



A Perfect Blend of Science and Nature

October 3, 2018

# **INGREDIENT STATEMENT**

Product: Item Number: BC-DHQ ™ Dihydroquercetin 95% BC0107730

We hereby certify that all of the raw materials used in a manufacturing process of Dihydroquercetin (BC-DHQ<sup>™</sup>), are suitable food-grade materials, and are used in accordance with applicable US Federal Regulations and current Good Manufacturing Practices (cGMP).

We certify this to be true to the best of our knowledge.

Sincerely,

Hadi Ownawi

Hadi Omrani Manager, Technical and Regulatory Affairs

# Appendix 3 Analytical Method and Representative Chromatograms

Please refer to the Appendix 3 report, provided as a separate file.

Appendix 3 Appendix 3 Method Verification of DHQ by HPLC Report.pdf

# Appendix 4 Certificates of Analyses for Multiple Production Lots of BC-DHQ<sup>TM</sup>

OF ANALYS Natural preserv al Manufacturer: tion/Re-test date: ry of Origin: TO METHODS VISUAL VISUAL VISUAL OLFACTORY	Co.	
al Manufacturer: tion/Re-test date: ry of Origin: IO METHODS VISUAL VISUAL OLFACTORY	Blue California Co. August 19-2018 China RESULTS PASS	
tion/Re-test date: ry of Origin: TO METHODS VISUAL VISUAL OLFACTORY	August 19-2018 China RESULTS PASS	
VISUAL VISUAL OLFACTORY	PASS	
VISUAL OLFACTORY		
GUSTATORY	PASS PASS	
HPLC	97.8% ( dry base )	
USP 34 USP 34 ICP-MS ICP-MS ICP-MS USP 34 USP 34 USP 34 USP 34 USP 34 USP 34 USP 34 USP 34 USP 34 USP 34 AOAC AOAC AOAC	3.32% PASS < 0.5 ppm < 0.25 ppm < 0.25 ppm < 0.1 ppm PASS PASS 0.16 g/ml 0.32 g/ml 100% < 1,000 cft/gm < 3 cft/gm < 10 cft/gm ND	
re	USP 34 USP 34 ICP-MS ICP-MS ICP-MS ICP-MS USP 34 USP 34 USP 34 USP 34 USP 34 USP 34 USP 34	USP 34       3.32%         USP 34       PASS         ICP-MS       <0.5 ppm

Approved by: J.H.Zhou (QC Manager) Revision date: 03-14-2018

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 THIS PRODUCT SHOULD BE STORED SEALED IN A COOL AND DRY PLACE.



# CERTIFICATE OF ANALYSIS

Product: BC-DHQ <sup>TM</sup> Dihydroquercetin 95% (Natural preservative) Item# BC0107730

Lot No: Date of Manufacturing: QC acceptance date: This product has NOT 1	October 28-2016 Es	riginal Manufacturer: xpiration/Re-test date: ountry of Origin: or ETO	Blue California October 28-2018 China
ATTRIBUTES	SPECIFICATION	METHODS	RESULTS
APPEARANCE	Off white to white power	ler VISUAL	PASS
FOREIGN MATTER.	ABSENT	VISUAL	PASS
ODOR.	CHARACTERISTIC	OLFACTORY	PASS
TASTE	CHARACTERISTIC	GUSTATORY	PASS
DIHYDROQUERCETT	N ≥95%	HPLC	97.8% ( dry base
LOSS ON DRYING	< 5%	USP 34	3.71%
HEAVY METALS	< 10 ppm	USP 34	PASS
ARSENIC	< 0.5 ppm	ICP-MS	< 0.5 ppm
CADMIUM	< 0.5 ppm	ICP-MS	<0.25 ppm
LEAD	< 0.5 ppm	ICP-MS	< 0.25 ppm
MERCURY	< 0.5 ppm	ICP-MS	< 0.1 ppm
ETHANOL	<1,000 ppm	USP 34	PASS
METHANOL	< 200 ppm	USP 34	PASS
BULK DENSITY	$\geq$ 0.15 g/ml	USP 34	0.15 g/ml
TAP DENSITY	≥ 0.30 g/ml	USP 34	0.32 g/ml
PARTICLE SIZE:	> 95% through Mesh #60	Sieve USP 34	100%
TOTAL PLATE COUNT	< 5,000 cfu/gm	AOAC	<1,000 cfu/gm
TOTAL COLIFORM	< 100 cfu/gm	AOAC	< 10 cfu/gm

<100 cfu/gm YEAST AND MOLDS <100 cfu/gm AOAC <100 cfu/gm E. COLI: NEGATIVE AOAC ND SALMONELLA NEGATIVE AOAC ND SHELF LIFE 2 YEARS HPLC PASS

Approved by: J.H.Zhou (QC Manager) Revision date: 04-06-18

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\* THIS PRODUCT SHOULD BE STORED SEALED IN A COOL AND DRY PLACE.

# Appendix 4.3 Certificate of Analysis BC-DHQ<sup>™</sup> (b) (6)



# CERTIFICATE OF ANALYSIS

Product: BC-DHQ <sup>™</sup>Dihydroquercetin 95% (Natural preservative) Item# BC0107730

Lot No:(b) (6)Original Manufacturer:Blue CaliforniaDate of Manufacturing:April 25-2017Expiration/Re-test date:April 25-2019QC acceptance date:June 08-2017Country of Origin of Raw Material:ChinaThis product has NOT been treated by Irradiation or ETO

	ATTRIBUTES	SPECIFICATION	METHODS	RESULTS
-	APPEARANCE	Off white to cream powder	VISUAL	PASS
	FOREIGN MATTER	ABSENT	VISUAL	PASS
	ODOR	CHARACTERISTIC	OLFACTORY	PASS
	TASTE	CHARACTERISTIC	GUSTATORY	PASS
	DIHYDROQUERCETIN	≥95%	HPLC	97.3% ( dry base )
	LOSS ON DRYING	<5%	USP 34	3.25%
	HEAVY METALS	<10 ppm	USP 34	PASS
	ARSENIC	< 0.5 ppm	ICP-MS	< 0.5 ppm
	CADMIUM	< 0.5 ppm	ICP-MS	< 0.25 ppm
	LEAD	< 0.5 ppm	ICP-MS	< 0.25 ppm
	MERCURY	< 0.5 ppm	ICP-MS	< 0.10 ppm
	ETHANOL	<1,000 ppm	USP 34	PASS
	METHANOL	<200 ppm	USP 34	PASS
	BULK DENSITY	$\geq 0.15 \text{ g/ml}$	USP 34	0.16 g/ml
	TAP DENSITY	≥ 0.30 g/ml	USP 34	0.34 g/ml
	PARTICLE SIZE:	>95% through Mesh #60 Sieve	USP 34	100%
	TOTAL PLATE COUNT	< 5,000 cfu/gm	AOAC	<1,000 cfu/gm
	TOTAL COLIFORM	< 100 cfu/gm	AOAC	<3 cfu/gm
	YEAST AND MOLDS	< 100 cfu/gm	AOAC	<10 cfu/gm
	E. COLI:	NEGATIVE	AOAC	ND
	SALMONELLA	NEGATIVE	AOAC	ND
	SHELF LIFE	2 YEARS	HPLC	PASS

Approved by: J.H.Zhou (QC Manager) Revision date: 04-06-2018

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THIS PRODUCT SHOULD BE STORED SEALED IN A COOL AND DRY PLACE.

# Appendix 4.4 Certificate of Analysis BC-DHQ<sup>TM</sup> <sup>(b) (6)</sup>



# CERTIFICATE OF ANALYSIS

Product: BC-DHQ TM Dihydroquercetin 95% (Natural preservative) Item# BC0107730

Lot No:	(b) (6)	Original Manufacturer:	Blue California
Date of Manufac	cturing: May 25-2017	Expiration/Re-test date:	May 25-2019
	late: June 08-2017	Country of Origin:	China
This product has	NOT been treated by Irra	diation or ETO	

	ATTRIBUTES	SPECIFICATION	METHODS	RESULIS
F	APPEARANCE	Off white to cream powder	VISUAL	PASS
	FOREIGN MATTER	ABSENT	VISUAL	PASS
	ODOR	CHARACTERISTIC	OLFACTORY	PASS
	TASTE	CHARACTERISTIC	GUSTATORY	PASS
	DIHYDROQUERCETIN	≥9 <b>5%</b>	HPLC	95.2% ( dry base )
	LOSS ON DRYING	< 5%	USP 34	3.48%
	HEAVY METALS	< 10 ppm	USP 34	PASS
	ARSENIC	< 0.5 ppm	ICP-MS	< 0.5 ppm
	CADMIUM	< 0.5 ppm	ICP-MS	< 0.25 ppm
	LEAD	< 0.5 ppm	ICP-MS	<0.25 ppm
	MERCURY	< 0.5 ppm	ICP-MS	< 0.1 ppm
	ETHANOL	<1,000 ppm	USP 34	PASS
	METHANOL	< 200 ppm	USP 34	PASS
	BULK DENSITY	$\geq$ 0.15 g/ml	USP 34	0.17 g/ml
	TAP DENSITY	$\geq$ 0.30 g/ml	USP 34	0.32 g/ml
	PARTICLE SIZE:	> 95% through Mesh #60 Sieve	USP 34	100%
	TOTAL PLATE COUNT	< 5,000 cfu/gm	AOAC	< 500 cfu/gm
	TOTAL COLIFORM	< 100 cfu/gm	AOAC	< 3 cfu/gm
	YEAST AND MOLDS	< 100 cfu/gm	AOAC	< 10 cfu/gm
	E. COLI:	NEGATIVE	AOAC	ND
	SALMONELLA	NEGATIVE	AOAC	ND
	SHELF LIFE	2 YEARS	HPLC	PASS

Approved by: X.Y. Mao (QC Manager) Revision date: 03-14-2018

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THIS PRODUCT SHOULD BE STORED SEALED IN A COOL AND DRY PLACE.

# Appendix 4.5 Certificate of Analysis BC-DHQ<sup>™</sup> <sup>(b) (6)</sup>



30111 Tomas Rancho Santa Margarita, CA 92688 Tel: 949.635.1990 Fax: 949.635.1988

# CERTIFICATE OF ANALYSIS

Product: BC-DHQ ™ Dihydroquercetin 95% (Natural preservative) Item# BC0107730

Lot No:	(b) (6)	Original Manufacturer:	Blue California
Date of Manufacturing:	June 12-2017	Expiration/Re-test date:	June 12-2019
	June 26-2017	Country of Origin:	China

ATTRIBUTES	SPECIFICATION	METHODS	RESULTS
APPEARANCE	Off white to cream powder	VISUAL	PASS
FOREIGN MATTER	ABSENT	VISUAL	PASS
ODOR	CHARACTERISTIC	OLFACTORY	PASS
TASTE	CHARACTERISTIC	GUSTATORY	PASS
DIHYDROQUERCETIN	<u>≥</u> 95%	HPLC	97.7% (dry base)
LOSS ON DRYING	< 5%	USP 34	3.82%
HEAVY METALS	< 10 ppm	USP 34	PASS
ARSENIC	< 0.5 ppm	ICP-MS	< 0.5 ppm
CADMIUM	< 0.5 ppm	ICP-MS	< 0.25 ppm
LEAD	< 0.5 ppm	ICP-MS	< 0.25 ppm
MERCURY	< 0.5 ppm	ICP-MS	< 0.1 ppm
ETHANOL	< 1,000 ppm	USP 34	PASS
METHANOL	< 200 ppm	USP 34	PASS
BULK DENSITY	$\geq$ 0.15 g/ml	USP 34	0.16 g/ml
TAP DENSITY	≥ 0.30 g/ml	USP 34	0.32 g/ml
PARTICLE SIZE:	> 95% through Mesh #60 Sieve	USP 34	100%
TOTAL PLATE COUNT	< 5,000 cfu/gm	AOAC	< 1,000 cfu/gm
TOTAL COLIFORM	< 100 cfu/gm	AOAC	< 3 cfu/gm
YEAST AND MOLDS	< 100 cfu/gm	AOAC	< 10 cfu/gm
E. COLI:	NEGATIVE	AOAC	ND
SALMONELLA	NEGATIVE	AOAC	ND
SHELF LIFE	2 YEARS	HPLC	PASS

Approved by: J.H.Zhou (QC Manager) Revised date: 03-21-2018

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\* THIS PRODUCT SHOULD BE STORED SEALED IN A COOL AND DRY PLACE.

# Appendix 5 Pesticide Analyses for Multiple Production Batches of BC-DHQ<sup>TM</sup>

🔅 eurofins	Supplement A	nalysis Center	Eurofins Scientific Ir Supplement Analysis Cen 1365 Redwood W Petaluma, CA 949 Tel.+1 707 792 73
July 17, 2017			Fax:+1 707 792 73
Cecilia Cecilia McCollum Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 9	92688		
		OF ANALYSIS	
	AR-17-KK	-008895-01	
	Batch #: (b)	(6)	
Sample Identification: Sample #: (b) (6) Description: BC-DH Condition: Beige p Date Received: July 03	Q, Powder, (b) (6) xowder in a double ziploch	k bag received at room temper	rature.
QA12C: Pesticides - USP 5	61 Screen (USP 39)		
Method Reference: USP 56			Theoretica
Completed: 07/17/2017		Result	Level
Acephate		<0.10 mg/kg	
[Method performed by an	outsource lab.]		
Alachlor	and the second	<0.02 mg/kg	
Aldrin and Dieldrin (sum of	)	<0.02 mg/kg	
Azinphos-ethyl		<0.02 mg/kg	
Azinphos-methyl		<0.05 mg/kg	
Bromophos-ethyl		<0.02 mg/kg	
Bromophos-methyl		<0.02 mg/kg	
Bromopropylate Chlordano (ours of sig., tra	na and	<0.05 mg/kg	
Chlordane (sum of cis-, tra Oxychlordane)	ris- and	<0.05 mg/kg	
Chlorfenvinphos		<0.02 mg/kg	
Chlorpyrifos-ethyl		<0.02 mg/kg	
Chlorpyrifos-methyl		<0.02 mg/kg	
Chlorthal-dimethyl		<0.01 mg/kg	
Cyfluthrin (sum of)		<0.10 mg/kg	
Cyhalothrin, lambda-	1.00	<0.02 mg/kg	
Cypermethrin and isomers	(sum of)	<0.1 mg/kg	
DDT (total) Deltamethrin		<0.02 mg/kg	
Diazinon		<0.10 mg/kg <0.02 mg/kg	
Dichlofluanid		<0.02 mg/kg	
Dichlorvos		<0.02 mg/kg	
Dicofol		<0.02 mg/kg	
Dimethoate/Omethoate (su		<0.10 mg/kg	
Endosulfan (sum of isomer	rs and endo, sulfate)	<0.02 mg/kg	
Endrin		<0.02 mg/kg	
Ethion		<0.02 mg/kg	
Etrimfos Eenchlombos (sum)		<0.05 mg/kg	
Fenchlorphos (sum)		<0.10 mg/kg	
Fenitrothion		<0.02 mg/kg	

All work done in accordance with Eurofins General Terms and Conditions of Sale (USA); full text on reverse or www.eurofinsus.com/Terms\_and\_Conditions.pdf

🔅 eurofins	Sample #:	(b) (6)	
			Rancho Sa
QA12C: Pesticides - USP 561	Screen (USP 39)		
Method Reference: USP 561			
Completed: 07/17/2017		Result	
Fensulfothion (sum of parent	ovone and	<0.05 mg/kg	
sulfones)		istoo higing	
Fenthion (sum of fenthion, -c	(xons, -sulfones)	<0.05 mg/kg	
Fenvalerate		<0.20 mg/kg	
Flucythrinate		<0.05 mg/kg	
Fluvalinate, tau-		<0.05 mg/kg	
Fonofos		<0.02 mg/kg	
Heptachlor (heptachlor+ cis-	, trans- h. epoxide	<0.03 mg/kg	
Hexachlorobenzene		<0.01 mg/kg	
Hexachlorocyclohexane ison	ners (other than	<0.02 mg/kg	
gamma)			
Lindane (gamma-HCH)		<0.01 mg/kg	
Malathion and malaoxon (su	m of)	<0.02 mg/kg	
Mecarbam		<0.05 mg/kg	
Methacriphos		<0.05 mg/kg	
Methamidophos		<0.05 mg/kg	
Methidathion		<0.02 mg/kg	
Methoxychlor		<0.05 mg/kg	
Mirex		<0.01 mg/kg	
Monocrotophos		<0.10 mg/kg	
Parathion-ethyl and Paraoxo	n-ethyl (sum of)	<0.20 mg/kg	
Parathion-methyl and Paraos of)	xon-methyl (sum	<0.20 mg/kg	
Pendimethalin		<0.10 mg/kg	
Pentachloranisole		<0.01 mg/kg	
Permethrin and isomers (sur	n of)	<0.2 mg/kg	
Phosalone		<0.04 mg/kg	
Phosmet		<0.05 mg/kg	
Piperonyl butoxide (PBO)		<1.0 mg/kg	
Pirimiphos-ethyl		<0.05 mg/kg	
Pirimiphos-methyl (incl. N-de	esethyl-)	<0.10 mg/kg	
Procymidone		<0.10 mg/kg	
Profenofos		<0.10 mg/kg	
Prothiofos		<0.05 mg/kg	
Pyrethrum (sum of cinerins, j pyrethrins)	jasmolins,	<3.0 mg/kg	
Quinalphos		<0.05 mg/kg	
Quintozene (sum		<0.1 mg/kg	
quintozene,pentachloraniline S 421	(MPPS)	<0.02 mg/kg	
Tecnazene		<0.05 mg/kg	
Tetradifon		<0.05 mg/kg	
Vinclozolin		<0.05 mg/kg	
QA23Q: Bromide, inorganic (	GC)		
Method Reference: EURL-SF		ing Fumigants	
Completed: 07/17/2017	and the second states of	Result	
and the second se			

All work done in accordance with Eurofins General Terms and Conditions of Sale (USA): full text on reverse or www.eurofinsus.com/Terms\_and\_Conditions.pdf

<10 mg/kg

Page 2 of 3

Theoretical Level

Bromide

[Method performed by an outsource lab.]

# 11/5/18

Blue California Co. 30111 Tomas

cho Santa Margarita, CA 92688

> Theoretical Level

🔅 eurofins

Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

> Theoretical Level

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS) Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001 Completed: 07/17/2017 Total Dithiocarbamates, as CS2

[Method performed by an outsource lab.]

Result <0.01 mg/kg

Results pertain only to the items tested. All results are reported on an as-is basis unless otherwise stated. Estimation of uncertainty of measurement is available upon request. Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

Sample #: (b) (6)



Kent Rader **BU Manager** 

ppendix 5.2	Pesticide Analys	sis BC-DHQ™ <sup>(b) (6)</sup>	
🔅 eurofi		Analysis Center	Eurofins Scientific In Supplement Analysis Cente 1365 Redwood Wa Petaluma, CA 9495 Tel.+1 707 792 730 Fax:+1 707 792 730
July 17, 2017			
Cecilia Cecilia McColl Blue California Co. 30111 Tomas Rancho Santa Margar	rita, CA 92688	and a second	
		TE OF ANALYSIS	
		KK-008897-01	
	Batch #. (b)	(6)	
Sample Identificatio	n:		
Sample #:	(b) (6)		
Description:	BC-DHQ, Powder, (b) (6)	Contraction of the state of the	
Condition: Date Received:		ock bag received at room temperature.	
Date Neceiveu.	ouly 03, 2017		
	- USP 561 Screen (USP 39)		-
Method Reference: Completed: 07/17/2		Result	Theoretical
			Level
Acephate	at here and a second second second	<0.10 mg/kg	
Alachlor	d by an outsource lab.]	<0.02 mg/kg	
Aldrin and Dieldrin	(sum of)	<0.02 mg/kg	
Azinphos-ethyl	(sum of)	<0.02 mg/kg	
Azinphos-methyl		<0.05 mg/kg	
Bromophos-ethyl		<0.02 mg/kg	
Bromophos-methy	4	<0.02 mg/kg	
		<0.05 mg/kg	
Bromopropylate Chlordane (sum of	cie trone and	<0.05 ma/ka	
Chlordane (sum of	f cis-, trans- and	<0.05 mg/kg	
Chlordane (sum of Oxychlordane)	f cis-, trans- and		
Chlordane (sum of Oxychlordane) Chlorfenvinphos	f cis-, trans- and	<0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl		<0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl	И	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl	И	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of)	И	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambo	yl Ja-	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambo Cypermethrin and	yl Ja-	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.1 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambo	yl Ja-	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambo Cypermethrin and DDT (total)	yl Ja-	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambo Cypermethrin and DDT (total) Deltamethrin	yl Ja-	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambo Cypermethrin and DDT (total) Deltamethrin Diazinon	yl Ja-	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methy Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) DT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol	da- isomers (sum of)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Dialothrin (sum of) Dialothrin (sum of) Dichlofluanid Dichlofluanid Dichlofluthrin (sum of) Dichlofluthrin (	da- isomers (sum of) noate (sum)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Dialothrin (sum of) Dialothrin (sum of) Dichlofluanid Dichlofluanid Dichlofluthrin (sum of) Dichlofluthrin (	da- isomers (sum of)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Dialothrin (sum of) Dialothrin (sum of) Dichlofluanid Dichlofluanid Dichlofluthrin (sum of) Dichlofluthrin (	da- isomers (sum of) noate (sum)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.1 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methy Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Dialothin, lambo Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometh Endosulfan (sum of)	da- isomers (sum of) noate (sum)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-ethyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Dolt (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometh Endosulfan (sum of Endrin	da- isomers (sum of) noate (sum)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-ethyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyhalothrin, lambo Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometh Endosulfan (sum of Endrin Ethion	da- isomers (sum of) hoate (sum) of isomers and endo. sulfate)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum of Oxychlordane) Chlorperifos-ethyl Chlorpyrifos-ethyl Chlorpyrifos-methy Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Diazinon Dictiofluanid Dichlorvos Dicofol Dimethoate/Ometh Endosulfan (sum of Endrin Ethion Etrimfos	da- isomers (sum of) hoate (sum) of isomers and endo. sulfate)	<0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	

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C: Pesticides - USP 561 Scr od Reference: USP 561	reen (USP 39)
npleted: 07/17/2017	Result
ensulfothion (sum of parent, -ox	
ulfones)	iona ana seconiging
enthion (sum of fenthion, -oxons	s, -sulfones) <0.05 mg/kg
envalerate	<0.20 mg/kg
lucythrinate	<0.05 mg/kg
luvalinate, tau-	<0.05 mg/kg
onofos	<0.02 mg/kg
eptachlor (heptachlor+ cis-, tran	
exachlorobenzene	<0.01 mg/kg
exachlorocyclohexane isomers	(other than <0.02 mg/kg
amma)	
indane (gamma-HCH)	<0.01 mg/kg
lalathion and malaoxon (sum of	
lecarbam	<0.05 mg/kg
lethacriphos	<0.05 mg/kg
lethamidophos	<0.05 mg/kg
lethidathion	<0.02 mg/kg
lethoxychlor	<0.05 mg/kg
lirex	<0.01 mg/kg
lonocrotophos	<0.10 mg/kg
arathion-ethyl and Paraoxon-eth	
arathion-methyl and Paraoxon-i f)	methyl (sum <0.20 mg/kg
endimethalin	-0.10 malka
entachloranisole	<0.10 mg/kg <0.01 mg/kg
ermethrin and isomers (sum of)	
hosalone	<0.04 mg/kg
hosmet	<0.05 mg/kg
iperonyl butoxide (PBO)	<1.0 mg/kg
irimiphos-ethyl	<0.05 mg/kg
irimiphos-methyl (incl. N-deseth	
rocymidone	<0.10 mg/kg
rofenofos	<0.10 mg/kg
rothiofos	<0.05 mg/kg
vrethrum (sum of cinerins, jasm	
yrethrins)	
uinalphos	<0.05 mg/kg
uintozene (sum	<0.1 mg/kg
uintozene, pentachloraniline, MP	PS)
421	<0.02 mg/kg
ecnazene	<0.05 mg/kg
etradifon	<0.05 mg/kg
inclozolin	<0.05 mg/kg

Method Reference: EURL-SRM, Bromine	Containing Fumigants
Completed: 07/17/2017	Result
Bromide	<10 mg/kg
[Method performed by an outsource lab.	1

Theoretical Level

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Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

> Theoretical Level

<sup>11/5/18</sup> 

🔅 eu

eurofins	Sample #: (b) (6)	Blue California Co. 30111 Tomas
		Rancho Santa Margarita, CA 92688
QA602: EBDCs (Dithiocarb	amates) (CS2 method, GC-MS)	10000
Method Reference: J. Agric	c. Food Chem. Vol. 49 pp 2152, 2001	Theoretical
Completed: 07/17/2017	Result	Level
Total Dithiocarbamates, as	s CS2 <0.01 mg/kg outsource lab.]	

Results pertain only to the items tested. All results are reported on an as-is basis unless otherwise stated. Estimation of uncertainty of measurement is available upon request. Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

(b) (6)

Kent Rader **BU Manager** 

ppendix 5.3	Pesticide Analysi	s BC-DHQ™ <sup>(b) (6)</sup>	
🔅 eurofin	IS		Eurofins Scientific Ind
S. Curonn			Supplement Analysis Cente
	Supplement A	nalysis Center	1365 Redwood Way Petaluma, CA 94954 Tel.+1 707 792 7300 Fax:+1 707 792 7309
July 11, 2017			
Cecilia Cecilia McCollui Blue California Co. 30111 Tomas Rancho Santa Margarit	a, CA 92688		
	CERTIFICATI	E OF ANALYSIS	
	AR-17-K	<-008606-01	
	Batch #:(b)	(6)	
Sample Identification			
Sample #:	b) (6)		
Description:	BC-DHQ, Powder, (b) (6)	and a second second second	
	Beige powder in a double ziploo	k bag received at room tempe	erature.
Date Received:	luly 03, 2017		
	USP 561 Screen (USP 39)		
Method Reference:		and the second se	Theoretical
Completed: 07/11/20	17	Result	Level
Acephate		<0.10 mg/kg	
[Method performed	by an outsource lab.]		
Alachlor		<0.02 mg/kg	
Aldrin and Dieldrin (	sum of)	<0.02 mg/kg	
Azinphos-ethyl		<0.02 mg/kg	
Azinphos-methyl		<0.05 mg/kg	
and the second sec		<0.02 mg/kg	
Bromophos-ethyl		SU.UZ MY/KY	
Bromophos-ethyl Bromophos-methyl		<0.02 mg/kg	
Bromophos-methyl Bromopropylate	is-, trans- and	<0.02 mg/kg <0.05 mg/kg	
Bromophos-methyl	is-, trans- and	<0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of c	iis-, trans- and	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane)	is-, trans- and	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos	is-, trans- and	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl	is-, trans- and	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of)		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.01 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total)		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total)		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos		<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol	omers (sum of)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho	comers (sum of) bate (sum)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho Endosulfan (sum of	omers (sum of)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.10 mg/kg <0.1 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho Endosulfan (sum of Endrin	comers (sum of) bate (sum)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho Endosulfan (sum of Endrin Ethion	comers (sum of) bate (sum)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.02 mg/kg <0.02 mg/kg <0.1 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of c Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyhalothrin, lambda Cypermethrin and is DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlofluanid Dichlofluanid Dichlofluanid Dichlofluanid Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho Endrin Ethion Etrimfos	ecomers (sum of) nate (sum) isomers and endo. sulfate)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.01 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of c Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho Endosulfan (sum of Endrin Ethion Etrimfos Fenchlorphos (sum)	ecomers (sum of) nate (sum) isomers and endo. sulfate)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.05 mg/kg <0.01 mg/kg	
Bromophos-methyl Bromopropylate Chlordane (sum of c Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-methyl Chlorthal-dimethyl Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) Cyfluthrin (sum of) DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Ometho Endosulfan (sum of Endrin Ethion Etrimfos	ecomers (sum of) nate (sum) isomers and endo. sulfate)	<0.02 mg/kg <0.05 mg/kg <0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.01 mg/kg <0.02 mg/kg <0.10 mg/kg <0.02 mg/kg	

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# Α

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# 11/5/18

Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

		Rancho Santa Marganta, CA 92688
QA12C: Pesticides - USP 561 Screen (USP 39)		
Method Reference: USP 561		Theoretical
Completed: 07/11/2017	Result	Level
Fensulfothion (sum of parent, -oxons and sulfones)	<0.05 mg/kg	
Fenthion (sum of fenthion, -oxons, -sulfones)	<0.05 mg/kg	
Fenvalerate	<0.20 mg/kg	
Flucythrinate	<0.05 mg/kg	
Fluvalinate, tau-	<0.05 mg/kg	
Fonofos	<0.02 mg/kg	
Heptachlor (heptachlor+ cis-, trans- h. epoxide	<0.03 mg/kg	
Hexachlorobenzene	<0.01 mg/kg	
Hexachlorocyclohexane isomers (other than gamma)	<0.02 mg/kg	
Lindane (gamma-HCH)	<0.01 mg/kg	
Malathion and malaoxon (sum of)	<0.02 mg/kg	
Mecarbam	<0.05 mg/kg	
Methacriphos	<0.05 mg/kg	
Methamidophos	<0.05 mg/kg	
Methidathion	<0.02 mg/kg	
Methoxychlor	<0.05 mg/kg	
Mirex	<0.01 mg/kg	
Monocrotophos	<0.10 mg/kg	
Parathion-ethyl and Paraoxon-ethyl (sum of)	<0.20 mg/kg	
Parathion-methyl and Paraoxon-methyl (sum	<0.20 mg/kg	
of)		
Pendimethalin	<0.10 mg/kg	
Pentachloranisole	<0.01 mg/kg	
Permethrin and isomers (sum of)	<0.2 mg/kg	
Phosalone	<0.04 mg/kg	
Phosmet	<0.05 mg/kg	
Piperonyl butoxide (PBO)	<1.0 mg/kg	
Pirimiphos-ethyl	<0.05 mg/kg	
Pirimiphos-methyl (incl. N-desethyl-)	<0.10 mg/kg	
Procymidone	<0.10 mg/kg	
Profenofos	<0.10 mg/kg	
Prothiofos	<0.05 mg/kg	
Pyrethrum (sum of cinerins, jasmolins,	<3.0 mg/kg	
pyrethrins)	and the second second	
Quinalphos	<0.05 mg/kg	
Quintozene (sum	<0.1 mg/kg	
quintozene,pentachloraniline,MPPS)		
S 421	<0.02 mg/kg	
Tecnazene	<0.05 mg/kg	
Tetradifon	<0.05 mg/kg	
Vinclozolin	<0.05 mg/kg	
QA23Q: Bromide, inorganic (GC)		
Method Reference: EURL-SRM, Bromine Containi	ing Eumigants	Theoretical
Completed: 07/11/2017	Result	Level
Bromide		20701
Method performed by an outsource lab I	<10 mg/kg	

Sample #: (b) (6)

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Page 2 of 3

[Method performed by an outsource lab.]

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### 11/5/18

Theoretical

Level

Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS) Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001 Completed: 07/11/2017 Total Dithiocarbamates, as CS2

[Method performed by an outsource lab.]

Result <0.01 mg/kg

Results pertain only to the items tested. All results are reported on an as-is basis unless otherwise stated. Estimation of uncertainty of measurement is available upon request. Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

Sample #: (b) (6)

### (b) (6)

Kent Rader **BU Manager** 

ppendix 5.4	Pesticide Analysi	s BC-DHQ™ <sup>(b) (6)</sup>	
🔅 eurofi	ns Supplement A	nalysis Center	Eurofins Scientific Inc Supplement Analysis Cente 1365 Redwood Way Petaluma, CA 9495 Tel.+1 707 792 7300
July 11, 2017			Fax:+1 707 792 7309
Cecilia Cecilia McCol Blue California Co. 30111 Tomas Rancho Santa Marga	rita, CA 92688		
		E OF ANALYSIS	
		K-008605-01	
	Batch #: (b)	(6)	
	-A.		
Sample Identificatio			
Sample #:	(D) (O) BC-DHQ, Powder, (b) (6)		
		ck bag received at room temperature.	
Date Received:		at may received at room temperature.	
OMOD Destados			
Method Reference:	- USP 561 Screen (USP 39)		Theoretical
Completed: 07/11/2		Deput	Level
		Result	Level
Acephate	all a lot of the second se	<0.10 mg/kg	
	ed by an outsource lab.]	D 00	
Alachlor	(	<0.02 mg/kg	
Aldrin and Dieldrin	i (sum of)	<0.02 mg/kg	
Azinphos-ethyl		<0.02 mg/kg	
Azinphos-methyl		<0.05 mg/kg	
Bromophos-ethyl		<0.02 mg/kg	
Bromophos-methy	1	<0.02 mg/kg	
Bromopropylate	ente autor oute	<0.05 mg/kg	
Chlordane (sum o	r cis-, trans- and	<0.05 mg/kg	
Oxychlordane) Chlorfenvinphos		<0.02 mg/kg	
Chlorpyrifos-ethyl		<0.02 mg/kg	
Chlorpyrifos-meth		<0.02 mg/kg	
Chlorthal-dimethyl		<0.01 mg/kg	
Cyfluthrin (sum of		<0.10 mg/kg	
Cyhalothrin, lambo		<0.02 mg/kg	
Cypermethrin and		<0.1 mg/kg	
DDT (total)	the second s	<0.02 mg/kg	
Deltamethrin		<0.10 mg/kg	
Diazinon		<0.02 mg/kg	
Dichlofluanid		<0.02 mg/kg	
Dichlorvos		<0.02 mg/kg	
Dicofol		<0.02 mg/kg	
Dimethoate/Omet		<0.10 mg/kg	
	of isomers and endo. sulfate)	<0.02 mg/kg	
Endrin		<0.02 mg/kg	
Ethion		<0.02 mg/kg	
Etrimfos		<0.05 mg/kg	
and the second se			
Fenchlorphos (sur	n)	<0.10 mg/kg	
and the second se	n)	<0.02 mg/kg <0.02 mg/kg <0.03 mg/kg	

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Page 1 of 3

eurofins	Sample #: (b) (6)
A12C: Pesticides - USP 561 S	creen (USP 39)
Method Reference: USP 561	
Completed: 07/11/2017	Result
Fensulfothion (sum of parent, -	oxons and <0.05 mg/kg
Fenthion (sum of fenthion, -oxo	ons, -sulfones) <0.05 mg/kg
Fenvalerate	<0.20 mg/kg
Flucythrinate	<0.05 mg/kg
Fluvalinate, tau-	<0.05 mg/kg
Fonofos	<0.02 mg/kg
Heptachlor (heptachlor+ cis-, tr	
Hexachlorobenzene	<0.01 mg/kg
Hexachlorocyclohexane isomer	
gamma)	to found that
Lindane (gamma-HCH)	<0.01 mg/kg
Malathion and malaoxon (sum)	
Mecarbam	<0.05 mg/kg
Methacriphos	<0.05 mg/kg
Methamidophos	<0.05 mg/kg
Methidathion	<0.02 mg/kg
Methoxychlor	<0.05 mg/kg
Mirex	<0.03 mg/kg
and the second sec	
Monocrotophos	<0.10 mg/kg
Parathion-ethyl and Paraoxon-e	
Parathion-methyl and Paraoxor	n-methyl (sum <0.20 mg/kg
of)	
Pendimethalin Pentachloranisole	<0.10 mg/kg
	<0.01 mg/kg
Permethrin and isomers (sum o	
Phosalone	<0.04 mg/kg
Phosmet	<0.05 mg/kg
Piperonyl butoxide (PBO)	<1.0 mg/kg
Pirimiphos-ethyl	<0.05 mg/kg
Pirimiphos-methyl (incl. N-dese	
Procymidone	<0.10 mg/kg
Profenofos	<0.10 mg/kg
Prothiofos	<0.05 mg/kg
Pyrethrum (sum of cinerins, jas pyrethrins)	emolins, <3.0 mg/kg
Quinalphos	<0.05 mg/kg
Quintozene (sum	<0.1 mg/kg
quintozene,pentachloraniline,M S 421	(IPPS) <0.02 mg/kg
Tecnazene	<0.05 mg/kg
Tetradifon	<0.05 mg/kg
Vinclozolin	<0.05 mg/kg

Theoretical Level

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Result

<10 mg/kg

Page 2 of 3

Blue California Co. 30111 Tomas

92688

Theoretical Level

Rancho Santa Margarita, CA

GRAS ASSOCIATES, LLC

Completed: 07/11/2017

[Method performed by an outsource lab.]

Bromide

<sup>11/5/18</sup> 

🔅 eurofins

Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS) Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001 Completed: 07/11/2017 Resu Total Dithiocarbamates, as CS2

[Method performed by an outsource lab.]

Result <0.01 mg/kg

Results pertain only to the items tested. All results are reported on an as-is basis unless otherwise stated. Estimation of uncertainty of measurement is available upon request. Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

Sample #: (b) (6)

# (b) (6)

Kent Rader **BU Manager** 



Theoretical

Level

	Pesticide Analysis	BC-DHQ™ <sup>(b) (6)</sup>	
🎲 eurofi		nalysis Center	Eurofins Scientific Ind Supplement Analysis Cente 1365 Redwood Wa Petaluma, CA 9495 Tel.+1 707 792 730
July 17, 2017			Fax:+1 707 792 7309
Cecilia Cecilia McCol Blue California Co. 30111 Tomas Rancho Santa Marga	arita, CA 92688		
		E OF ANALYSIS	
	Batch # (b)	<-008896-01 (6)	
	Batch #_ (D)		
Sample Identificatio	08:		
Sample Identification Sample #:			
Description:	BC-DHQ, Powder, (b) (6)		
		k bag received at room temperature	B.
Date Received:	July 03, 2017		
OA12C · Pesticides	- USP 561 Screen (USP 39)		
Method Reference:			Theoretical
Completed: 07/17/		Result	Level
Acephate		<0.10 mg/kg	
	ed by an outsource lab.]	so. to highly	
Alachlor	cu by an outsource lab.j	<0.02 mg/kg	
Aldrin and Dieldrin	n (sum of)	<0.02 mg/kg	
Azinphos-ethyl		<0.02 mg/kg	
Azinphos-methyl		<0.05 mg/kg	
Bromophos-ethyl		<0.02 mg/kg	
Bromophos-methy		<0.02 mg/kg	
		<0.05 mg/kg	
Dromopropylate			
Bromopropylate Chlordane (sum o	of cis-, trans- and	<0.05 mg/kg	
Chlordane (sum o Oxychlordane)	of cis-, trans- and		
Chlordane (sum o	of cis-, trans- and	<0.05 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane)		<0.05 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth	l hyl	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl	l hyl	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of	i hyl f)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb	i hyl f) bda-	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and	i hyl f)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.1 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total)	i hyl f) bda-	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.1 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin	i hyl f) bda-	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.10 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon	i hyl f) bda-	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid	i hyl f) bda-	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos	i hyl f) bda-	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyfluthrin (sum of Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol	f hyl f) da- d isomers (sum of)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyfluthrin (sum of Dof Cyfluthrin (sum of Diction) Dictioffuanid Dictioffuanid Dictioffuanid Dictioffuanid Dictioffuanid Dimethoate/Omet	f hyl f) da- d isomers (sum of) thoate (sum)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.1 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyfalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Omet Endosulfan (sum	f hyl f) da- d isomers (sum of)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyfluthrin (sum of DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Omet Endosulfan (sum Endrin	f hyl f) da- d isomers (sum of) thoate (sum)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Omet Endosulfan (sum Endrin Ethion	f hyl f) da- d isomers (sum of) thoate (sum)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Omet Endosulfan (sum Endrin Ethion Etrimfos	f hyl f) oda- d isomers (sum of) thoate (sum) of isomers and endo. sulfate)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg <0.05 mg/kg	
Chlordane (sum o Oxychlordane) Chlorfenvinphos Chlorpyrifos-ethyl Chlorpyrifos-meth Chlorthal-dimethy Cyfluthrin (sum of Cyhalothrin, lamb Cypermethrin and DDT (total) Deltamethrin Diazinon Dichlofluanid Dichlorvos Dicofol Dimethoate/Omet Endosulfan (sum Endrin Ethion	f hyl f) oda- d isomers (sum of) thoate (sum) of isomers and endo. sulfate)	<0.05 mg/kg <0.02 mg/kg <0.02 mg/kg <0.02 mg/kg <0.01 mg/kg <0.10 mg/kg <0.02 mg/kg	

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eurofins	Sample #: (b) (6)	Blue California Co. 30111 Tomas
		Rancho Santa Margarita, CA
QA12C: Pesticides - USP 561 Screen	(USP 39)	92688
Method Reference: USP 561		Theoretical
Completed: 07/17/2017	Result	Level
		Lotor
Fensulfothion (sum of parent, -oxons sulfones)	and south angles	
Fenthion (sum of fenthion, -oxons, -s	ulfones) <0.05 mg/kg	
Fenvalerate	<0.00 mg/kg	
Flucythrinate	<0.05 mg/kg	
Fluvalinate, tau-	<0.05 mg/kg	
Fonofos	<0.02 mg/kg	
Heptachlor (heptachlor+ cis-, trans- h		
Hexachlorobenzene	<0.03 mg/kg	
Hexachlorocyclohexane isomers (oth		
gamma)	<0.02 mg/kg	
Lindane (gamma-HCH)	<0.01 mg/kg	
Malathion and malaoxon (sum of)	<0.02 mg/kg	
Mecarbam	<0.05 mg/kg	
Methacriphos	<0.05 mg/kg	
Methamidophos		
Methidathion	<0.05 mg/kg	
	<0.02 mg/kg	
Methoxychlor	<0.05 mg/kg	
Mirex	<0.01 mg/kg	
Monocrotophos	<0.10 mg/kg	
Parathion-ethyl and Paraoxon-ethyl (		
Parathion-methyl and Paraoxon-meth of)	hyl (sum <0.20 mg/kg	
Pendimethalin	<0.10 mg/kg	
Pentachloranisole	<0.01 mg/kg	
Permethrin and isomers (sum of)	<0.2 mg/kg	
Phosalone	<0.04 mg/kg	
Phosmet	<0.05 mg/kg	
Piperonyl butoxide (PBO)	<1.0 mg/kg	
Pirimiphos-ethyl	<0.05 mg/kg	
Pirimiphos-methyl (incl. N-desethyl-)		
Procymidone	<0.10 mg/kg	
Profenofos	<0.10 mg/kg	
Prothiofos	<0.05 mg/kg	
Pyrethrum (sum of cinerins, jasmolins		
pyrethrins)	o, oto mgmg	
Quinalphos	<0.05 mg/kg	
Quintozene (sum	<0.1 mg/kg	
quintozene,pentachloraniline,MPPS)		
S 421	<0.02 mg/kg	
Tecnazene	<0.05 mg/kg	
Tetradifon	<0.05 mg/kg	
Vinclozolin	<0.05 mg/kg	
QA23Q: Bromide, inorganic (GC)		
Method Reference: EURL-SRM, Bron	nine Containing Fumigants	Theoretical
Completed: 07/17/2017	Result	Level
Bromide	<10 mg/kg	
[Method performed by an outsource		

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Page 2 of 3

# 11/5/18

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GRAS ASSOCIATES, LLC

Sample #: (b) (6)	Blue California Co.
	30111 Tomas
	Rancho Santa Margarita, CA
	92688
arbamates) (CS2 method, GC-MS)	
Agric. Food Chem. Vol. 49 pp 2152, 2001	Theoretical
Result	Level

 QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS)

 Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001

 Completed: 07/17/2017
 Result

 Total Dithiocarbamates, as CS2
 <0.01 mg/kg</td>

[Method performed by an outsource lab.]

Results pertain only to the items tested. All results are reported on an as-is basis unless otherwise stated. Estimation of uncertainty of measurement is available upon request. Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

# (b) (6)

Kent Rader BU Manager

# Appendix 6 Summary of Studies on the Biological Activity of Dihydroquercetin

The bioavailability, metabolism and biological activity of flavonoids is dependent upon the configuration, total number of hydroxyl groups, and substitution of functional groups around the nuclear structure (Kumar, 2013). Many flavonoids have been shown to have multiple biological activities including antioxidative activity, chemoprotective, hepatoprotective, and anti-inflammatory activity in many published studies. A summary of studies related to the biological activity of dihydroquercetin is provided below.

# A. Estrogenic Activity

The estrogenic potential of taxifolin was investigated with several other phytoestrogens using an *in vitro* assay that measured the transcriptional activation of the estrogen receptor (ER) in the BG1Luc4E2 cell line and an *in vivo* mouse uterotrophic bioassay (Jefferson, 2002). Taxifolin was the only phytochemical tested that did not induce transcriptional activation of the ER. In addition, taxifolin was one of the compounds tested *in vivo* that did not increase uterine wet weight. However, all compounds tested induced sensitive and morphological biological parameters such as uterine epithelial cell height increase and uterine gland number increase, demonstrating that all tested phytoestrogens gave some measure of estrogenicity in this assay. Taxifolin demonstrated a non-dose dependent increase in epithelial cell height, with the increase occurring at the lowest dose tested instead of the highest. Although taxifolin did not increase uterine wet weight, uterine gland numbers were significantly increased over control at a taxifolin dose of 500,000 µg per kg per day. The authors concluded that taxifolin appeared to be one of the least potent phytoestrogens tested in this study.

# **B. Anti-Cancer Activity**

The chemopreventive effects of flavonoids has been well characterized and there are many published reports on the chemoprotective and preventative effects of DHQ. DHQ can affect the process of carcinogenesis through multiple mechanism including induction of phase II detoxifying enzymes (which results in the detoxification of carcinogenic intermediates), suppression of cytochrome P450-dependent monooxygenases, fatty acid synthase and antioxidant response element with the effect of DHQ on lipid peroxidation resulting in the most promising chemopreventive and chemotherapeutic action across multiple disease states (Weidmann, 2012).

A study was conducted to investigate the anti-cancer effects of taxifolin on human osterosarcoma cancer cells (Chen, 2018). The study included a control group (8 mice with 14 tumors) and a treatment group (8 mice with 13 tumors). Mice in the treatment group received 25 mg per kg taxifolin once every 2 days over the course of 24 days, while the control group received an equal volume of the saline vehicle. Taxifolin was shown to inhibit the proliferation and diminished

colony formation of U2OS and Saos-2 osteosarcoma cell lines in soft agar in a dose dependent manner. When nude mice bearing U2OS xenograft tumors were treated with taxifolin by intraperitoneal injection, there was a significant inhibition of tumor growth.

In another study, DHQ showed good antitumor activity against HepG2 cell lines, having an inhibition rate of 44.1% at a concentration of 400  $\mu$ mol, and that complexing DHQ with either lecithin or  $\beta$ -cyclodextrin significantly increased the inhibition rate (Zhang, 2017). Furthermore, it was demonstrated that taxifolin suppresses UV-induced skin cancer *in vitro* and in a mouse model by inhibiting EGF-induced cell transformation by targeting the EGF receptor (EGFR) and phosphoinositide 3-kinase (PI3K) (Oi et al., 2012).

Several other *in vitro* studies have demonstrated the chemoprotective effect of DHQ. Zhai et al. (2011) found that taxifolin is an inhibitor of human cervical cancer cells (HeLa) and the mechanism of cell death was related to cell apoptosis. The authors reported that the mechanism of cell death by taxifolin is related to cell apoptosis due to the upregulation of p53 mRNA and P21 mRNA and independent of Bcl-2 mRNA/Bax mRNA apoptosis protein transcription. In a study where the effects of flavonoids on cell proliferation and vascular endothelial growth factor (VEGF) expression in human ovarian cancer cells (OVCAR-3) were evaluated, taxifolin inhibited ovarian cancer cell growth in a dose dependent matter via inhibition of VEGF expression (Luo et al., 2008). Taxifolin was the least potent of the 9 flavonoids tested.

A study by Zhang et al. (2013) demonstrated that taxifolin enhances the apoptotic effect of andrographolide in human prostate cancer (DU145) cells. Taxifolin alone did not significantly affect the DU145 cell proliferation; however, the anti-cancer effect of andrographolide was significantly enhanced by taxifolin. Taxifolin was also a potent inhibitor of the growth of two human lymphoid tissue cell lines, IM-9 and Molt-4, at concentrations ranging from  $10 - 50 \mu$ M (Devi and Das, 1993). The authors concluded that the natural plant polyphenols could be considered for use in the treatment of lymphocyte malignancy. Analysis of anti-lipogenic effects on intact cancer cells, the human LNCaP prostate cancer cell line and the MDA-MB-231 breast cancer cell line, showed that taxifolin was an inhibitor of lipogenesis and had marked effects on cancer cell growth and survival (Brusselmans, 2005).

Lee et al. (2007) studied the chemopreventive effects of taxifolin by measuring quinone reductase activity in HCC 116 cells. To identify the target genes regulated by taxifolin, a DNA microarray was performed using a 3K human cancer chip containing 3,096 human genes associated with carcinogenesis. In the presence of 60 µM taxifolin, sixty-five genes, including a few detoxification enzymes (NQO1, GSTM1) and an antioxidant enzyme (TXNRD1), were up-regulated. This study indicates that taxifolin acts a chemoprotective agent by upregulating genes associated with antioxidant activity and P450 metabolism.

# C. Antioxidant

Many of the biological effects of DHQ, such as protection of neuronal injury, cardio protection, diabetes, and antiaging, are attributable to antioxidant activity. A review was completed to examine the evidence that DHQ is a potent flavonoid antioxidant and the therapeutic promise it may have for conditions such as cancer, cardiovascular disease, and liver disease (Weidmann, 2012). Weidmann (2012) noted that based on the presence of two of the three criteria for effective radical scavenging ability, the presence of the o-dihydroxy structure in the B ring which confers stability, and the 5- and 7-OH groups with 4-oxo function in the A and C rings which give the maximum radical scavenging potential, DHQ is classified as an antioxidant which had a similar anti-oxidant activity profile to  $\alpha$ -tocopherol.

When the antioxidant activity of taxifolin was evaluated by the 2,2-diphenyl-1-picrylhydrazyl (DPPH) test, the half maximal effective concentration (EC<sub>50</sub>) was determined to be 48  $\mu$ g per mL, which was comparable to the activity of  $\alpha$ -tocopherol (51  $\mu$ g per mL) (Boniface, 2017). In another study, DHQ was evaluated for its protective effects on Fenton reagent-treated bone marrow-derived mesenchymal stem cells (Li, 2017). The study employed a variety of antioxidant assays, and the results showed that DHQ can act as an effective •OH scavenger via direct and indirect antioxidant effects.

The antioxidant and antiradical activities of taxifolin was investigated in another study by using different *in vitro* bioanalytical antioxidant methods including DMPD++, ABTS++, O<sub>2</sub>+-, and DPPH+ scavenging effects, the total antioxidant influence, reducing capabilities, and Fe<sup>2+</sup> chelating activities (Topal, 2015). Taxifolin demonstrated 81.02% inhibition of linoleic acid emulsion peroxidation at 30  $\mu$ g per mL, and demonstrated effective DMPD++, ABTS++, O<sub>2</sub>+-, and DPPH+ - scavenging effects, reducing capabilities, and Fe<sup>2+</sup> chelating effects.

In another study, the *in vitro* antioxidant effects of taxifolin were studied in several assays including a DPPH radical scavenging assay, ABTS radical scavenging assay, ferric reducing antioxidant property (FRAP) assay, and hydroxyl (OH) radical scavenging capacity at concentrations of 20, 40, 60, 80, and 100  $\mu$ g per mL (Manigandan et al., 2015). The activities of DPPH, ABTS, FRAP, and OH radical levels were significantly inhibited by taxifolin with half maximal inhibitory concentration (IC<sub>50</sub>) values of 16.48, 66.34, 18.17, and 11.42  $\mu$ g per mL, respectively. This study also investigated the impact of taxifolin on DNA integrity on pUC19 plasmid DNA, and found that there was a strong protective effect at 1.0  $\mu$ g per mL.

Manigandan et al. (2015) also investigated the protective mechanism of taxifolin against cadmium intoxicated zebrafish embryos and found that treatment with taxifolin at 0.1, 1.0, and 10  $\mu$ M significantly enhanced the antioxidant enzyme levels by reducing lipid peroxidation in the embryos.

The potential protective effects of taxifolin on human RPE (ARPE-19) cells cultured under oxidative stress conditions was investigated and the underlying mechanism evaluated (Xie,

2017). The cells were treated with different doses of taxifolin (10, 20, 50, or 100  $\mu$ g per mL) and 0.4 mM of H<sub>2</sub>O<sub>2</sub> for 24 hours, and taxifolin was found to protect the cells against oxidative stress-induced apoptosis.

In a study comparing the antioxidant effects of quercetin and DHQ in human blood cells, DHQ was more potent than quercetin in inhibiting superoxide (Chen and Deuster, 2009). In another study, there was a concentration-dependent inhibition of the oxidative neuronal injuries in primary cultured rat cortical cells with DHQ, which was less potent than quercetin (Dok-Go et al., 2003).

Taxifolin was successful in reducing adult rat brain injury induced by middle cerebral arterial occlusion (MCAO) by reducing oxidative damage (Wang et al., 2006b). Taxifolin administration [0.1 and 1.0 µg per kg body weight (bw) via i.v.] sixty minutes after MCAO protected the rat brain from injury by diminishing cerebral lipid peroxidation and protein nitrosylation.

The role of the antioxidant effect of DHQ has also been demonstrated in *in vivo* and *in vitro* diabetes models. A study by Sun et al. (2014b) demonstrated the potential therapeutic role of taxifolin against diabetic cardiomyopathy using streptozotocin-induced diabetic mice and H9c2 cardiac myoblasts. Taxifolin was dosed at 25, 50, and 100 mg per kg bw. In diabetic mice, taxifolin improved diastolic function, reduced myocardium structure abnormality at the 50 and 100 mg per kg bw dose levels, inhibited myocyte apoptosis and enhanced antioxidant enzyme activity at all dose levels tested. In addition, taxifolin maintained the clarity of the rat lens when incubated with a high concentration of glucose. The antioxidant capacity of DHQ was also responsible for protection against hepatic injury caused by tetrachloromethane (CCl<sub>4</sub>) in male Wistar rats (Teselkin et al., 2000). Animals received 100 mg DHQ per kg bw for 4 days prior to the first administration of CCl<sub>4</sub>, resulting in a higher antioxidant activity in the blood plasma. In a study to determine the effect of taxifolin on cardiac hypertrophy and fibrosis in mouse myocytes, taxifolin arrested the oxidative stress and decreased the expression of 4-hydoxynonenal (4-HNE) induced by pressure overload Guo et al. (2015).

The dietary administration of DHQ to aged mice resulted in the restoration of mitochondrial enzyme activity (Bronnikov et al., 2009). Kv:SHK mice, 23 months old, were divided into two groups: one received 0.05 mg of DHQ by daily oral gavage (n=5); and the other group (n=5) received water only as a control. Mice were dosed for 6 weeks and the activity of citrate synthase, NADH-coenzymeQ1-oxidoreductase (complex 1), and cytochrom-c-oxidase (complex 4) were assessed. The biochemical alterations seen in the DHQ treated group increased the animals' mobility and improved fur and skin condition. In addition, a study found that 86 mg per kg bw DHQ was as potent as rutin in modulating the process of peroxidation in male rats (Chernyak and Shchukina, 2009). In an *in vivo* study, taxifolin was found to have a potential protective effect against oxidative damage and cataracts in the rat lens by inhibiting the hyperosmotic effect that is often seen in diabetes (Haraguchi et al., 1997).

There are indications that some flavonoids may have prooxidant effects (Metodiewa et al., 1999; Yang et al., 2012; Schmalhausen et al., 2007; Choi et al., 2003; Chobot, 2016). Chobot (2016)

conducted an *in vitro* deoxyribose degradation assay to assess the pro- and antioxidant activity of three flavan type flavonoids, including taxifolin. The authors concluded that taxifolin demonstrated no prooxidant activity within the tested concentrations. The highest dose tested was 500  $\mu$ M.

# **D. Hepatoprotective Effects**

DHQ has demonstrated hepatic effects and potential protection against liver injury. The potential hepato-protective mechanisms include lipid peroxidation, apoptosis and some anti-viral effects (Weidmann, 2012). Taxifolin was found to slightly but significantly lower the atherogenic index and serum cholesterol level in rats fed a cholesterol-enriched diet (Itaya and Igarashi, 1992), as well as lower the liver phospholipid concentration, and the serum and liver thiobarbituric acid reactive substances (TBARS) concentration, in rats.

Five-week-old male weanling Wistar rats were divided into three groups of 5-6 rats each and then exposed to either a control, astilbin (0.074%) added, or taxifolin (0.05%) added diet for 10 days (Igarashi et al., 1996). At the end of the dosing period, animals were anesthetized, blood collected from the heart, and the liver was immediately removed and frozen. The serum TBARS concentration, total cholesterol, high density lipoprotein (HDL)-cholesterol, triacylglycerol and phospholipid were determined as well as liver total cholesterol, liver TBARS, catalase, glutathione peroxidase (GSH-Px) and glutathione reductase (GSSG-R) activity. Erythrocyte superoxide dismutase, catalase, and glutathione peroxidase were measured as well. The activity of GSH-Px and GSSG-R were not impacted by feeding astilbin or taxifolin. The study authors concluded that taxifolin may exert cholesterol-lowering activity by its influence on the endogenous cholesterol metabolism. The effect of taxifolin on lipid, apolipoprotein B, and apolipoprotein A-1 synthesis and secretion was determined in human liver (HepG2) cells (Theriault et al., 2000). Taxifolin was found to decrease hepatic lipid synthesis coupled with a decrease in apoB and an increase in apoA-1 secretion, and the authors concluded that this study supports the theory that taxifolin has the potential to help control atherogenesis. Dihydroguercetin was shown to ameliorate concanavalin A-induced mouse experimental fulimant hepatitis (Zhao et al., 2015). A follow-up in vitro study indicated that the mechanism of action DHQ was to scavenge oxidative stress and inhibit the release of inflammatory mediators in mouse RAW264 cell lines.

# E. Immunological/Anti-inflammatory Effects

Low concentrations of DHQ as a food supplement have been shown to increase the immune status of the gilthead seabream (Awad et al., 2015). Fish that received 0.1% DHQ showed the most significant difference in phagocytosis, respiratory burst, immunoglobulin M (IgM) level, total protein, complement, antiprotease and bactericidal activities compared to control.

Taxifolin demonstrated potent anti-inflammatory activity in albino rats and had a therapeutic index almost equal to hydrocortisone in carrageenan-induced oedema (Gupta et al., 1971). The

intraperitoneal administration of taxifolin prevented the increase in serum aminotransferase activity during inflammation, which was similar to the hydrocortisone controls. In another study, taxifolin demonstrated potent and dose-dependent analgesic activity when administered orally and intraperitoneally during a writhing test and formalin test in mice, and against paw edema caused by carrageenan, dextran, and bradykinin in rat (Cechinel-Filho et al., 2000).

# F. Other Effects

Ding et al. (2018) investigated the kidney protective effects of DHQ in rats with diabetic neuropathy (DN) induced by a high fat diet and streptozotocin *in vivo*. Male Sprague-Dawley rats were used in this study and divided into 2 groups: control (n=10) and high fat diet (HFD) group (n=63). The rats in the HFD group were fed the HFD (40 kJ per kg, 20% fat) for 4 weeks and then given a single intraperitoneal dose of streptozotocin. Animals in the control group received a single intraperitoneal injection of the sodium citrate buffer. When animals in the HFD groups were confirmed to be diabetic, they were randomized to 5 groups (n=10) and treated for 12 weeks: DN control; three DN + DHQ groups – 25, 50, and 100 mg per kg bw per day; and a group of DN + Losartan at 20 mg per kg bw per day. Body weights and fasting serum glucose were determined every 2 weeks and urine was collected at the end of the experiment. The authors concluded that DHQ possessed kidney protection effects.

The effect of DHQ on mean blood pressure and macro- and micro-rheological blood parameters in hypertensive SHR rats was evaluated following oral dosing at 20 mg per kg per day for 6 weeks (Plotnikov, 2017a). The mean blood pressure in the experimental rats decreased by 11%, the left ventricular mass index by 2%, and the whole blood viscosity by 7-10% as compared to the control SHR rats, which received no DHQ.

In a study utilizing cultured AML12 hepatocytes and a hyperuricemic mouse model to test the anti-hyperuricemic effect of DHQ, the results suggested that DHQ possesses a potent hypouricemic effect (Adachi, 2017). The inhibitory effect of DHQ on uric acid production was significantly stronger when hepatocytes were treated for 4 hours than those treated for 2 hours when treated with doses of 25, 50, and 100  $\mu$ M, respectively, indicating a time dependent effect. For the mouse model, animals were dosed with guanosine-5'-monophosphate and inosine-5'-monophosphate via intraperitoneal injection at a dose of 300 mg each per kg to induce hyperuricemia one hour after DHQ administration.

# Appendix 7 GRAS Associates Expert Panel Report

# The Generally Recognized as Safe (GRAS) Status of the Proposed Uses of BC-DHQ™

November 5, 2018

# Foreword

An independent panel of experts ("Expert Panel") was convened by GRAS Associates, LLC on behalf of their client, Blue California, to evaluate the safety and Generally Recognized as Safe (GRAS) status of BC-DHQ<sup>™</sup> high purity dihydroquercetin. The members of this Expert Panel<sup>†</sup> are qualified to serve in this capacity by their scientific training and experience in the safety of food and food ingredients.

The Expert Panel, having reviewed the available published studies, and the EFSA expert committee evaluation on taxifolin rich extract concludes that Blue California's DHQ preparation is generally recognized as safe in foods at the usage levels described herein.

Blue California's DHQ<sup>™</sup> is substantially chemically equivalent to other dihydroquercetin preparations already in commercial use. The Expert Panel considered the following evidence as evidence for the safety of Blue California's DHQ<sup>™</sup>:

- BC-DHQ<sup>™</sup> is produced from eriodictyol using an enzymatic bioconversion reaction. This reaction utilizes a nonpathogenic and nontoxigenic stain of wild type *Escherichia coli*, K12 W3110. The manufacturing process also uses suitable food-grade materials that are used in accordance with applicable US Federal Regulations. BC-DHQ<sup>™</sup> is shown to be stable in a 6-month accelerated stability study.
- **ADME studies** in animals and humans indicate that following absorption, DHQ is conjugated in the liver by glucuronidation, sulfation or methylation or is metabolized to smaller phenolic compounds.
- Acute and subacute animal toxicity studies show that DHQ is well tolerated in rats at a single dose of 1,500 mg per kg (at 91-98% DHQ) as well as following 7 days of exposure at up to 15,000 mg per kg bw (90.94% DHQ) in a GLP-compliant study.

<sup>&</sup>lt;sup>†</sup> Dr. Dziwenka holds a Doctor of Veterinary Medicine degree from the University of Saskatchewan and is a Diplomat with the American Board of Toxicology. She has over 21 years' experience as a practicing veterinarian and 18 years of experience in research, preclinical regulatory toxicology, and safety evaluation in food and animal feed additives and GRAS dossier preparation. Dr. Lewis is a biologist with more than 10 years of experience preparing GRAS dossiers. Dr. Omaye is a nutritionist, toxicologist, and professor in the department of Agriculture, Nutrition, and Veterinary Sciences at the University of Nevada, Reno. He is a Fellow of the Academy of Toxicological Sciences, a Certified Nutrition Specialist, and a Certified Food Scientist. All three panelists have extensive technical backgrounds in the evaluation of food ingredient safety and in participating in the deliberations of GRAS Expert Panels. Dr. Lewis served as Chair of the Panel. GRAS ASSOCIATES, LLC Page 105 of 107

- Subchronic animal studies revealed no changes in mortality or body weights, no clinical signs of toxicity or feed consumption, and no treatment-related histopathological findings and other toxicity endpoints following 90 days of exposure by oral gavage in rats at doses up to 1,500 mg per kg bw (92.20% DHQ).
- **Chronic animal studies** showed that dietary levels up to 1% DHQ for up to 650 days was well tolerated in male and female rats. The Expert Panel recognized that this is a study from 1958 and was non-GLP but considered it useful to support the safety conclusion.
- **Reproductive and/or developmental toxicity** was not observed in a GLP-compliant study when given daily to pregnant female rats via oral gavage at doses of up to 1,500 mg per kg bw per day from gestational day 6 to 16 or up to 75 mg per kg bw in pregnant females rats from gestational day 1 to 19.
- **Genotoxicity and mutagenicity studies** have shown no *in vitro* or *in vivo* genotoxicity or *in vivo* mutagenesis. Studies conducted included a GLP-compliant single-cell gel electrophoresis Comet assay and a DNA-comet assay, a GLP-compliant micronucleus assay in human lymphocytes and a mutagenicity study in *S. typhimurium* and *E. coli* strains.
- **Clinical studies** show that DHQ is well-tolerated in humans with various disease conditions. No adverse effects were observed in these studies which included some well-designed clinical studies in patients with various disease conditions. The Expert Panel noted some of the studies cited in the Ametis (2010) novel food application were obscure and difficult to find. EFSA has previously reviewed these studies in the Ametis application.
- The European Food Safety Authority (EFSA) released a scientific opinion on a novel food, taxifolin-rich extract from Dahurian Larch in December of 2016 containing a minimum of 90% taxifolin (Turck, 2017b). The novel food was intended to be added to non-alcoholic beverages at concentrations up to 0.02 g per L, to yogurt up to 0.02 g per kg and to chocolate confectionery up to 0.07 g per kg with the target population from 9 years and older. It was also intended to be added to food supplements at 100 mg per day for the general population in ages of 14 years and above. The Panel concluded that the taxifolin-rich extract was safe under these proposed conditions of use. In late 2017, EFSA put out a statement on the safety of the same extract but was asked to take into account all population groups for this review (Turck, 2017a). The Panel concluded that the highest intake estimate per kg bw per day from fortified foods would be in toddlers and children at approximately 1.5 mg per kg bw per day and that the extract would be safe under the proposed conditions of use.
- The estimated daily mean intake of DHQ for the US population using the 'mean x 2' estimated daily intake of DHQ for the US population, 33.72 mg per day, is less than the

97.5<sup>th</sup> percentile estimated daily intake for the European population, 58.0 mg per day, which was considered safe by EFSA.

In summary, a compelling case can be made that scientific consensus exists regarding the safety of Blue California's DHQ<sup>™</sup> in support of a GRAS conclusion under the conditions of its intended use.

### Conclusion

The Expert Panel critically reviewed the data provided by Blue California for their DHQ, as well as publicly available published information obtained from peer reviewed journals and other safety assessments prepared by well-respected international regulatory bodies.

The ingestion of Blue California's DHQ from the intended uses results in intakes that are safe within the limits of established historical use, those evaluated by EFSA, and published safety studies. The levels at which Blue California intends to use its DHQ are the same as those authorized by Commission Regulation (EU) 2018/431.

The Expert Panel unanimously concluded that the proposed uses of Blue California's DHQ, as described in their dossier, and when manufactured using suitable food-grade materials which are used in accordance with applicable US Federal Regulations, is generally recognized as safe (GRAS) when added to the specified human food categories at the proposed levels.

This declaration is made in accordance with FDA's food ingredient safety standard, i.e., reasonable certainty of no harm under the intended conditions of use.

(b) (6)	<b>(b) (6)</b>	(D) (6)
Kara Lewis, Ph.D.	Margitta Dziwenka, DVM, DABT	Stanley Omaye, Ph.D.
Panel Chair		

END



Eurofins Scientific, Inc. 1365 Redwood Way Petaluma, Ca 94951

# **Summary Report**

Method Verification of the Determination of Dihydroquercetin (BC-DHQ<sup>™</sup>) by High Performance Liquid Chromatography (HPLC) and Purity Analysis of Five Production Samples

#### (b) (6)

Prepared by:

Hong You, Ph.D., Principal Scientist Eurofins Scientific, Inc.

(b) (6)

Darlene Enriquez, QA Manager Eurofins Scientific, Inc.

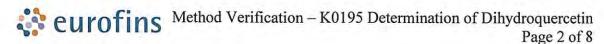
(b) (6)

Kent Rader, Business Unit Manager Eurofins Scientific, Inc.

Approved by:

Cecilia McCollum, Executive Vice President Blue California.

Date Issued: July 26th, 2017



### **I. Study Identification**

### 1. Study Title:

Method Verification of the Determination of Dihydroquercetin (BC-DHQ<sup>™</sup>) by High Performance Liquid Chromatography (HPLC) and Purity Analysis of Five Production Samples

#### 2. Study Objective:

The objective of this study was to verify the assay for dihydroquercetin by High Performance Liquid Chromatography (HPLC) and purity analysis of five production samples using a method modified based on a fully validated ISO-accredited Eurofins inhouse method.

#### 3. Study Coordinator/Performing Laboratory:

Hong You, Ph.D., Principal Scientist Eurofins Scientific, Inc.

Timothy Sit, Analyst Eurofins Scientific, Inc.

Darlene Enriquez, QA Manager Eurofins Scientific, Inc.

Kent Rader, Business Unit Manager Eurofins Scientific, Inc

#### 4. Study Monitors:

Cecilia McCollum, Executive Vice President Blue California

#### 5. Method References:

K0195 Determination of Dihydroquercetin LC-K0023 HPLC Determination of Bioflavonoids (Eurofins ISO-accredited method)

### **II. Study Description**

#### 1. Scope:

This method is applicable to the determination and quantification of dihydroquercetin, in raw materials and BC-DHQ<sup>TM</sup> products. Dihydroquercetin quantitation was determined using the Sigma standard. HPLC-DAD (HPLC with Diode Array Detector) was used as the analytical instrument.

### 2. Test Materials:

Dihydroquercetin dietary supplement finished product

(1) Eurofins sample <sup>(b)</sup> (6)	BC-DHQ, Powder, (b) (6)
(2) Eurofins sample	BC-DHQ, Powder,
(3) Eurofins sample	BC-DHQ, Powder,
(4) Eurofins sample	BC-DHQ, Powder,
(5) Eurofins sample	BC-DHQ, Powder,

### 3. Test Reagents:

(1) Acetonitrile (HPLC Grade), Fisher Catalog #: A998-4, C.A.S #: 75-05-8

(2) Methanol (HPLC Grade), Fisher Catalog #: A452-4, C.A.S #: 67-56-1

(3) O-Phosphoric acid (HPLC Grade), Fisher Catalog #: A260-500, C.A.S #: 7664-38-2

(4) Taxifolin (dihydroquercetin), Sigma Catalog #: 78666, C.A.S #: 480-18-2

(5) Milli-Q water, fresh daily

### 4. Mobile Phase Preparation:

Mobile phase A: 0.2% phosphoric acid in Milli-Q water

Mobile phase B: 100% acetonitrile

Mobile phase C: 100% methanol

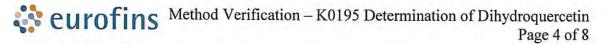
# 5. Reference Standards:

A. Stock standards.

1. Adjust standard concentration for purity and moisture levels (Sigma). Corrections were made based on supplier's Certificate of Analysis.

2. On a microbalance, accurately weighed about 12 mg of dihydroquercetin Sigma standard; quantitatively added 40 mL methanol. This is stock solution.

B. Calibration working standards were prepared by diluting standard stock solution with methanol. The range of quantitation was approximately between 10 ug/mL and 280 ug/mL in solution. A 5 point curve was utilized for determination of linearity for this study. A minimum of 3 point curve will be used for routine quantitation for the current and future samples. The sample test concentration was approximately 75 ug/mL dihydroquercetin, based on the expected test sample



concentration. The adjusted dihydroquercetin standard curve covered the targeting dihydroquercetin sample concentration.

C. Accuracy test was performed by testing routine samples that were spiked with three different levels of the standard stock solution.

D. Sigma dihydroquercetin standard was utilized for system suitability test and as calibration standards. See results section for concentrations.

### 6. Single Lab Verification Study Results:

A. Primary method: See provided method.

#### **B. System Suitability:**

1. Minimum of 5 injections of an approximately 145 ug/ml standard solution were injected during the analysis sequence for dihydroquercetin.

2. Acceptance criteria: The system is considered suitable if

USP tailing factor of the standard peak must be  $T \le 2.0$ Critical resolution must be > 1.5

Standard peak area %RSD  $\leq 2.0$ 

Standard retention time %RSD  $\le 2.0$ 

Standard peak area and retention time results are as follows:

	Dihydroquercetin	PASS/FAIL
Retention time (RT) Range (minutes)	7.61 – 7.71	
RT % RSD	0.568	PASS
Peak area range	1642	÷
Peak area RSD	1.87	PASS
Number of Data Points	5	-

# Dihydroquercetin standard retention time %RSD passed the criteria of less than 2%.

Dihydroquercetin standard peak area %RSD passed the criteria of less than 2%.

3. A Peak Performance Evaluation report was generated using Agilent Chem Station software to include the resolution and USP tailing for dihydroquercetin. Results are as follows:

# Resolution to Next Peak Dihydroquercetin = 3.66PASSUSP Tailing Dihydroquercetin = 1.00PASS

4. The retention time and identity for dihydroquercetin in samples were confirmed using the Sigma dihydroquercetin commercial standards.

# Curofins Method Verification – K0195 Determination of Dihydroquercetin Page 5 of 8

#### C. Linearity:

1. A 5 point calibration curve for dihydroquercetin was developed. The stock standard was diluted into working solutions and then injected. The 5 point calibration curve for this project with relative concentrations for dihydroquercetin was as follows (adjusted for standard purity):

Stock used (mL)	Final working solution (mL)	Relative Concentration (mg/mL)
5	5	0.278
3.75	5	0.208
2.5	5	0.139
1.25	5	0.0695
0.167	5	0.00928

Linearity Results Dihydroqu	ercetin:	
<b>Correlation Coefficient</b>	Criteria	PASS/FAIL
0.99944	> 0.999	PASS

2. The relative standard deviation (RSD) for the response factor ((amount/area) mg/mL/mAU) was determined between calibration levels. The RSD expressed as a percent is to achieve a specification of <5%. The %RSDs achieved between calibration levels was acceptable at 2.58% for dihydroquercetin.

### D. Specificity: For purposes of this study, selectivity is specificity

- 1. Perform selectivity procedures:
  - a. Analyze at least one prep solvent blank.
- 2. Results:

a. Three preparation solvent blanks were tested. The chromatograms were free of interfering peaks. Dihydroquercetin was also shown to not interfere (baseline resolution) with other flavonoids that have similar chemical structures including eriocitrin, rutin, narirutin, naringin, hesperidin, neohesperidin, quercetin, naringenin, and hesperitin.

### E. Accuracy (Recovery):

Accuracy was determined by spiking a sample of known value (740-2017-07030039) with different levels of standard stock solution at the beginning of the study. The analyzed final results were used to compare to their theoretical results for the percentage recovery result. This test was used to determine if the method can accurately determine the analyte results without significant matrix interference.

Spiked stock(mL)	Recovery%	Acceptance criteria	PASS/FAIL
3 (low level)	99.0	95-102%	PASS
5 (mid level)	97.5	95-102%	PASS
10 (high level)	96.3	95-102%	PASS

### F. Precision (Repeatability):

Five lots of BC-DHQ<sup>TM</sup> testing samples were analyzed for purity concentration. Dihydroquercetin stock standard was prepared at about 0.278 mg/mL (5 mL, 3.75 mL, 2.5 mL, 1.25 mL, and 0.167 mL stock solution were used to prepare 5 levels of working calibration standard solution). The range of dihydroquercetin quantitation was approximately between 10 ug/mL and 280 ug/mL. The testing purity samples were prepared at approximately 75 ug/mL with 95% as their expected concentration level. Based on Eurofins' in-house criteria, % RSD for precision measurements shall be less than 5.

Only one dihydroquercetin signal was found in corresponding chromatograms.

Sample lesur	to are as for	10 115.			
		in the second		E	
(b) (6)	Run 1	Run 2	Run 3		
Compound	Result (%w/w)	Result (%w/w)	Result (%w/w)	Average (%w/w)	% Relative Standard Deviation
Dihydroquercetin	93.7	93.7	94.5	94.0	0.492
					6
(b) (6)	Run 1	Run 2	Run 3		
Compound	Result (%w/w)	Result (%w/w)	Result (%w/w)	Average (%w/w)	% Relative Standard Deviation
Dihydroquercetin	94.5	94.9	94.1	94.5	0.423
(b) (6)	Run 1	Run 2	Run 3		1. Anna 1.
Compound	Result (%w/w)	Result (%w/w)	Result (%w/w)	Average (%w/w)	% Relative Standard Deviation
Dihydroquercetin	93.5	94.8	94.1	94.1	0.691
		1995			
(b) (6)	Run 1	Run 2	Run 3		
Compound	Result (%w/w)	Result (%w/w)	Result (%w/w)	Average (%w/w)	% Relative Standard Deviation
Dihydroquercetin	94	94	94.4	94.1	0.245
(b) (6)	Run 1	Run 2	Run 3		
Compound	Result (%w/w)	Result (%w/w)	Result (%w/w)	Average (%w/w)	% Relative Standard Deviation
Dihydroquercetin	92.2	91.5	92	91.9	0.392

#### Sample results are as follows:

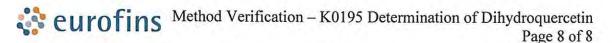
### G. Moisture Correction:

Moisture determination tests were conducted. Sample results after moisture correction are listed below:

	a list i	I Dentit	1.1.1			
(b) (6)		Run 1	Run 2	Run 3		
Compound	Moisture %	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Average	% Relative Standard Deviation
Dihydroquercetin	3.82	97.4	97.4	98.3	97.7	0.492
			i ser si	A. Mart	a second	
(b) (6)		Run 1	Run 2	Run 3		
Compound	Moisture %	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Average	% Relative Standard Deviation
Dihydroquercetin	3.32	97.7	98.2	97.3	97.8	0.423
		Section 1				
(b) (6)		Run 1	Run 2	Run 3		
Compound	Moisture %	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Average	% Relative Standard Deviation
Dihydroquercetin	3.71	97.1	98.5	97.7	97.8	0.691
		200	L			
(b) (6)		Run 1	Run 2	Run 3		
Compound	Moisture %	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Average	% Relative Standard Deviation
Dihydroquercetin	3.25	97.2	97.2	97.6	97.3	0.245
141 C 11	1 - 1 - 1 - 1	1200				
(b) (6)		Run 1	Run 2	Run 3		
Compound Moisture %		Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Result (%w/w) on dry-basis	Average	% Relative Standard Deviation
Dihydroquercetin	3.48	95.5	94.8	95.3	95.2	0.392

# 7. Conclusions:

The results generated met and exceed the acceptance criteria as established in the method verification proposal. All analyses were performed on an Agilent 1100 series HPLC-DAD (HPLC with diode array detector) and processed using Agilent ChemStation software. The primary objective of the study was to accurately determine the concentration of dihydroquercetin in BC-DHQ<sup>™</sup> products without significant matrix interference.



Quantitation of dihydroquercetin was accomplished against Sigma's dihydroquercetin reference material (standard) as described in Eurofins K0195 Determination of Dihydroquercetin.

Limit of detection and limit of quantitation were beyond the scope of this project and considered not necessary because of the high purity of target samples (dihydroquercetin raw material).

Five lots of BC-DHQ<sup>™</sup> samples were tested by this method. All testing results have met client's expected level after moisture corrections. The results showed that the method is linear, specific, suitable, precise and accurate for dihydroquercetin determination in BC-DHQ<sup>™</sup> product matrix.

# LINEARITY & PRECISION (REPEATABILITY)

PREP SHEETS

# Supplement Analysis Center

#### FRM-476.04 HPLC Sample Preparation Sheet

#### Replace: FRM-476.03 Effective Date: 05/25/2017 QA Approval: 05/24/2017

teres at the

						Earliest Sa	ample Due Date	e:		roval: 05/24/20
Date Ente	ered into	e-LIMS: 7/17/17		Analyst:	75	Log #:	6	(d)		
Date Star	te Started 7/11/17						10145	5	Sequence: LCK00	23-17-1360
Prepped	Ву	Tim					ype:513-618	1	nstrument: HPUC	-7
Method M	Name	DHQ				Column I	D: 4086		CI#/Lot #	Exp.
Balance		XP26#2 BP211DH	2			Eluent A:	ZPhos		1956	7/25/17
Vol. Devid	ce	Dispenserre			1	Eluent B:	ACA		17 82 3	1/11/18
Prep Solv	ent	MeOH ACN Milli-Q	Lot #	(b)	Exp. 9/27/17	Eluent C:	MEDH	1	18131	12/7/17
Prep Solv	ent		Lot #	(6)	Exp.	Other Che	emicals:			
Prep Solv		1	Lot #		Exp.					
		mple was Ground. Mark "-" if sample was NC ed into ChemStation.		·····				15000		and the second second
			Sample P	reparation			1	Notes.	÷.	
Val/Rep	Ground	Consulta ID	0		Volu	20 × 2 ×		_		
Use Only A	*	Sample ID	Amount	(mg) Dilution (mL)	THE REPORT OF A DESCRIPTION OF A DESCRIP	Injection Vol. (µL)	Final Dilution (mL)**			
-	-	Control Total	62.75			5	40			
-	1	Coutrol RR	45.020		-					
-		Control Hesp	3.488	40	-					
A		07030039A	3.031	40	-					
1		07030034B	3.076	40	-					
	0	070300346	3,294	40	-					
		07030040 A	3.139	40	-					- 22
		67030040 13	3.416	40	-					
		07030040C	3.201	40	-			1		
		07030041 A	3.072	но	-					
		" " B	3.494	40	-			1		
		11 11 L	3.696	40	_			1		
		07030042 A	3,271	40	~					
					and the second se			_		
V		11 1º B	3. 433	40						

A Note: R (Reported), OOS (Out of Specification), INC (Incomplete) A Ready to report to 2/12/17

MT

MT

Date: 7/17/17

Validated By:

**Reviewed By:** 

Date: 7/17/17

Page \_\_\_\_\_ of \_\_\_\_\_

# 🔆 eurofins

Supplement Analysis Center

FRM-476.04 HPLC Sample Preparation Sheet

#### Replace: FRM-476.03 Effective Date: 05/25/2017 QA Approval: 05/24/2017

							-			QA Appro	val: 05/24/2017			
							Earliest Sa	mple Due Date:						
Date Ente	ntered into e-LIMS: Analyst: Log #:									2				
Date Star	rted						Method:			Sequence:				
Prepped	Ву						Column Ty	vpe:		Instrument:				
Method M	Name						Column ID	:	/	CI#/Lot #	Exp.			
Balance							Eluent A:							
Vol. Devic	се			15 7/17/17	2		Eluent B:		1					
Prep Solv	rent	MeOH ACN Mi	lli-Q Lot #		Exp.		Eluent C:							
Prep Solv	rent		Lot #		Exp		Other Che	micals:		TS 7/17/17				
Prep Solv			Lot #		Exp.	-				1.111				
*Note: Mark ". **Final Dilution	'X" or "V" if si on to be enter	ample was Ground. Mark "-" if sam ed into ChemStation.	ole was NOT Ground.							A second course	and the second			
-			Sample Prepa	ration			1.0 227.1		Notes:					
Val/Rep	Ground			Dil u	Volu Dilution Vol. 2º Dilution									
	*	and a start start	and a start start	and a start ward	Sample ID	Amount (mg	) Dilution (ml	of the second states	º Dilution Vol. (mL)	Injection Vol. (µL)	Final Dilution (mL)**			
b	-	07030043 A	2.947	40		~	5	40						
Þ	-	" " B	3.087	40		-	5	40						
Å		" " C	2.886	40		-	5	40						
	/													
1														
-							1							
						75	-							
						1								
						1/17/17								

Δ Note: R (Reported), OOS (Out of Specification), INC (Incomplete)

**Reviewed By:** 

Validated By:

MA

M

Date: 7(17112

Date: 7/17/17

Page 2 of 3

Supplement Analysis Center

FRM-474.02 HPLC Multiple Reference Material Preparation Sheet Replace: FRM-474.01 Effective date: 03/06/2017 QA Approval: AKO3 03/02/2017

Date Prepared	7/11/17			Log #: 5	,
Prepared by	TIM			Lot Number*:	Expires*:
Method Name	DHR			Other Chemicals or Notes:	
Method #	10145				
Balance	7P26#9				
Vol. Device	Cluss A				
Prep Solvent	MeOH ACN Milli-Q	Lot#	Exp. 9/27/17		
Prep Solvent		Lot#	Exp.		

\*When reusing previously made material, annotate the Lot number, attach a copy of the prep sheet to the data packet, and record the expiration date.

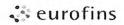
Reference Materia							Dilutions/Injection Volumes	
Analyte Taxifalia	CI#	Exp.	Purity	Amt (mg)	Vol (mL)			
Taxifolin	13 354	12/18	\$5.6	1,045	10		•	
Taxitolia	13354	12/18	85.6	3.137	10	51K 0.2685272	3.75-75 0.2013954	2.6-75 0.1342636
	-					1,25 0,6671318 Stk	0,0084648085	
Tuxitolin	18294	7/20	95.9	2.913	10	0. 2774002	3.75mL→5mL 0.20842515	2.5ML→ 5ML D.138450
	5		1			0.06947505	0,0042318667	
		-						
							75	
							7/17/17	

MI Reviewed By

Page 2 of 3

# ACCURACY

PREP SHEETS



Supplement Analysis Center

FRM-476.04 HPLC Sample Preparation Sheet

				Earliest Sample Due Date: MA				
Date Entered int	o e-LIMS: 7 25 17		Analyst: 75	Log #: 0 0	(6) (b)			
Date Started	7 21 17			Method: 100203	Sequence:			
Prepped By	Tim		Column Type: 5B-CI8 Instrument: HPLC-7		16-7			
Method Name	DHQ		Column ID: 4086	Cl#/Lot #	Exp.			
Balance	XP26#2		Eluent A: 0.2% Phosphone hid , Mil	R 2 Phos- 1962	8/4/17			
Vol. Device	Cluss A		Eluent B: Ace Anitelle	17823	1/11/18			
Prep Solvent	MeOH ACN Milli-Q	Lot # 18164	Exp. 9/27/17	Eluent C: Mothanol	18/31	12/1/17		
Prep Solvent	-	Lot # —	Exp. 🥌	Other Chemicals:				
Prep Solvent	~	Lot # -	Exp. 👝					

\*\*Final Dilution to be entered into ChemStation.

· · · · · · · · · · · · · · · · · · ·			Sample Preparati	on				Notes:
Val/Rep	Ground				Volu			
Use Uniy	*	Sample ID	Amount (mg)	Dilution Vol.	2º Dilution	Injection	Final Dilution	
Δ	-			(mL)	Vol. (mL)	Vol. (µL)	(mL)**	
	1	Control Hesp	4.305	40	-	5	40	
-	-	Control total	50.757	40	-			
	1	control RQ	46.040	40	-			
	1	07030039	3.034	40	-			
	)	07030039 d	3.272	40	1			
	1	07030039 51	3,030	40	ſ			
	)	07030031 52	3.031	40	-			
1		0703 0039 53	3.022	40	-	Y		
								1
	·							
				Te				
	1			10	25/17			
								7
								7
Note: R (Repo	orted), OOS (	Out of Specification), INC (Incomplete)						
Reviewe		) (0)		Date:	7 28 17			
				_		( itel	Intel occi	page _ of _
/alidated	d By:			Date:	89117	_ ( uuti	dated previ	Page of
						NK	( absent) h	17 314117

Supplement Analysis Center

FRM-474.02 HPLC Multiple Reference Material Preparation Sheet Replace: FRM-474.01 Effective date: <u>03/06/2017</u> QA Approval: <u>AKO3 03/02/2017</u>

Date Prepared	7/2017				Log #: 000		
Prepared by	Tim				Lot Number*: -	-	Expires*: -
Method Name	DHQ				Other Chemicals or Notes:		
Method #	10 00-23						
Balance	XP26#2						
Vol. Device	cluss A						
Prep Solvent	MeOH ACN Milli-Q	Lot#	(d)	Exp. 9/27/17			
Prep Solvent	-	Lot#	(6)	Exp			

\*When reusing previously made material, annotate the Lot number, attach a copy of the prep sheet to the data packet, and record the expiration date.

ference Material Preparation*									
							Dilutions/Injection Volum	les	
Analyte	CI#	Exp.	Purity	Amt (mg)	Vol (mL)	Ponot use below po Four point, curve us	Dilutions/Injection Volum sed for calibration HY 7/28/1	7	
xi tolin	18294	7/20	95.4	12.034	40	0.2870109	0.215258175	0.14350545	
						0.071752725	0.0095861641		
					73				
					7/25/17				

	(b) (6)	-
Reviewed B		

Date 7 28/17

# REFERENCE MATERIAL CERTIFICATION OF ANALYSIS

TAXIFOLIN (dihydroquercetin)

294

# Certificate of Analysia :

#### **Product Name:**

Product Number: **Batch Number:** Brand: CAS Number: Formula: Formula Weight: **Quality Release Date:** 

#### analytical standard 78666 (b) (6) Sigma-Aldrich 480-18-2 C15H12O7 304.25 07 JUL 2015

TAXIFOLIN

#### TEST

APPEARANCE (COLOR) APPEARANCE (FORM) **PURITY (HPLC AREA %)** INFRARED SPECTRUM

#### (b) (6)

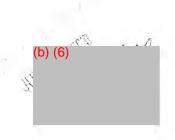
Dr. Claudia Geitner Manager Quality Control Buchs, Switzerland

#### SPECIFICATION

WHITE TO LIGHT BROWN POWDER ≥ 85.0 % CONFORMS TO STRUCTURE RESULT FAINT BROWN POWDER 95.4 % CONFORMS

3050 Spruce Street, Saint Louis, MO 63103 USA

Email USA: techserv@sial.com Outside USA: eurtechserv@sial.com

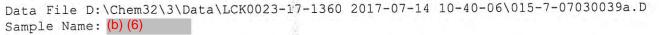


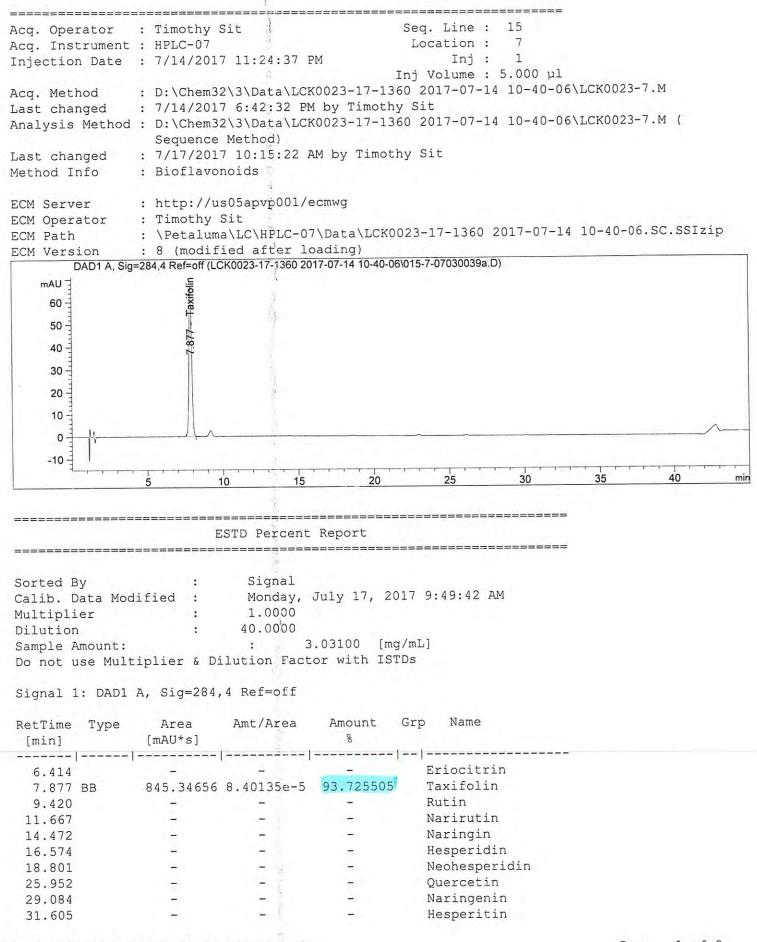
Sigma-Aldrich warrants that at the time of the quality release or subsequent retest date this product conformed to the information contained in this publication. The current specification sheet may be available at Sigma-Aldrich.com. For further inquiries, please contact Technical Service, Purchaser must determine the suitability of the product for its particular use. See reverse side of invoice or packing slip for additional terms and conditions of sale.

# **PRECISION (REPEATABILITY)**

SAMPLE CHROMATOGRAMS

PERCENT WEIGHT RESULTS

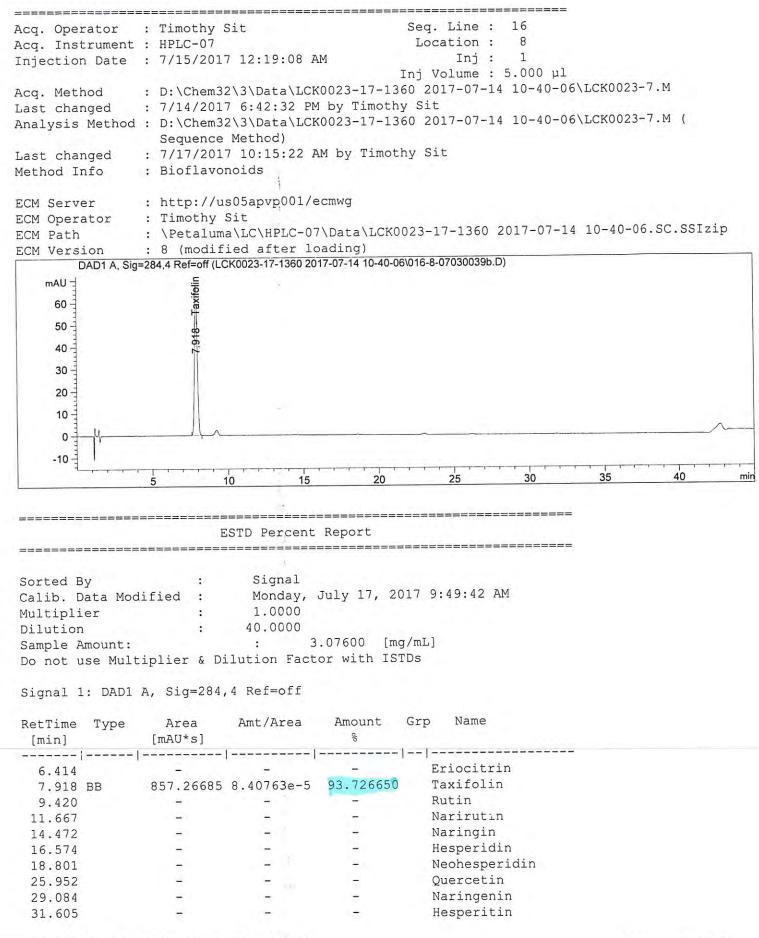




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\*\*\* End of Report \*\*\*

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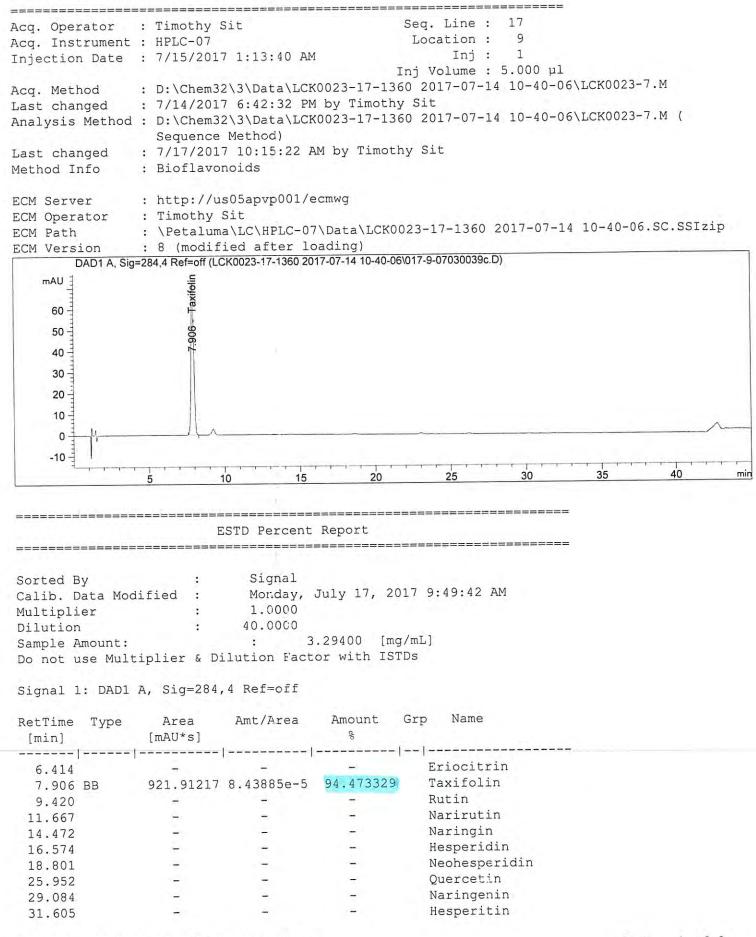
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1 Warnings or Errors :

Warning : Calibrated compound(s) not found

\*\*\* End of Report \*\*\*

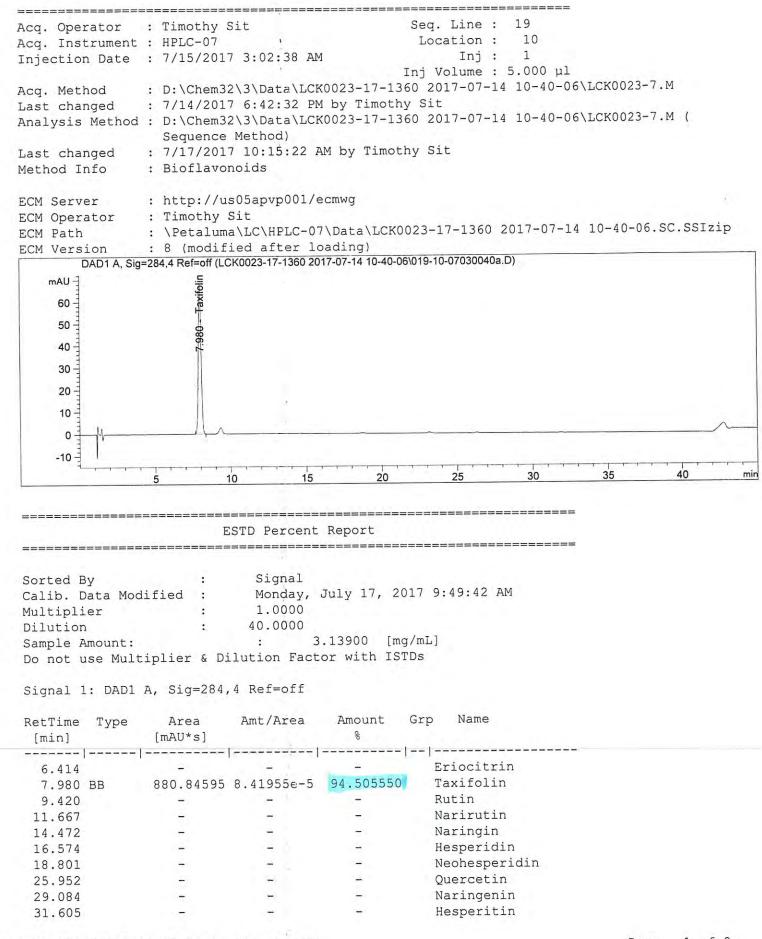
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Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\017-9-07030039c.D Sample Name: (b) (6)

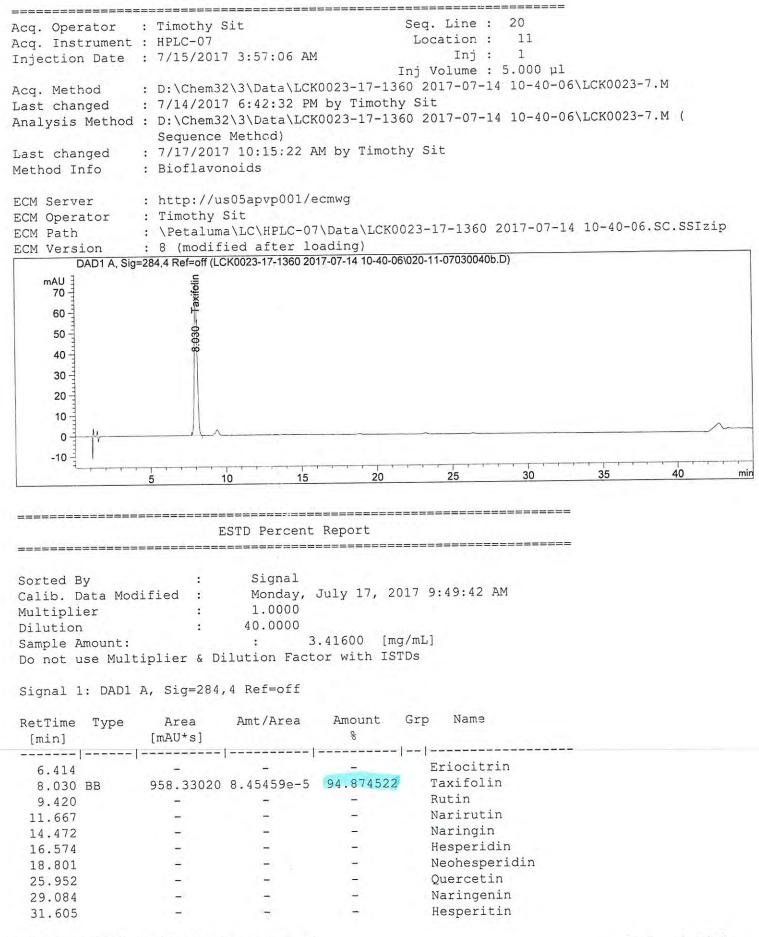
HPLC-07 7/17/2017 10:15:34 AM Timothy Sit

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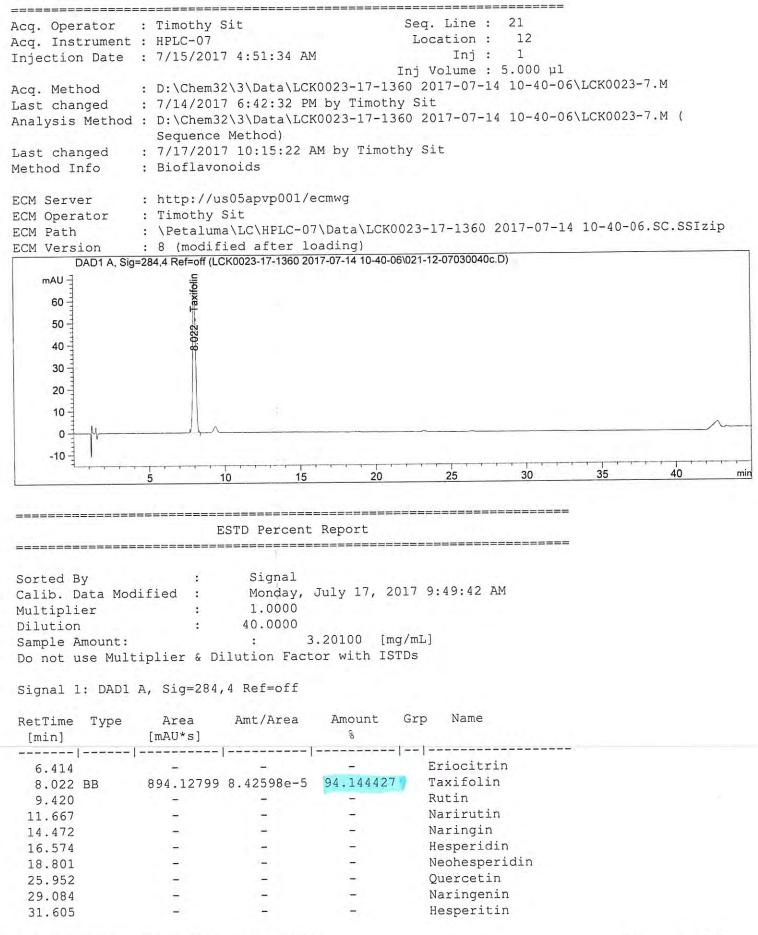
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Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\020-11-07030040b.D Sample Name: (b) (6)



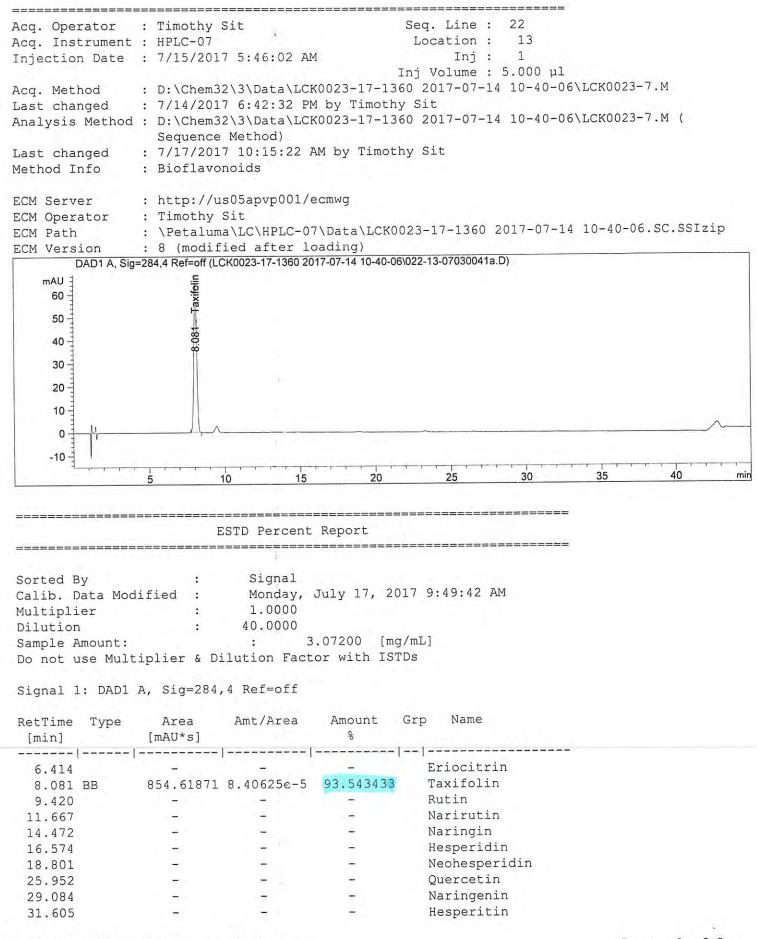
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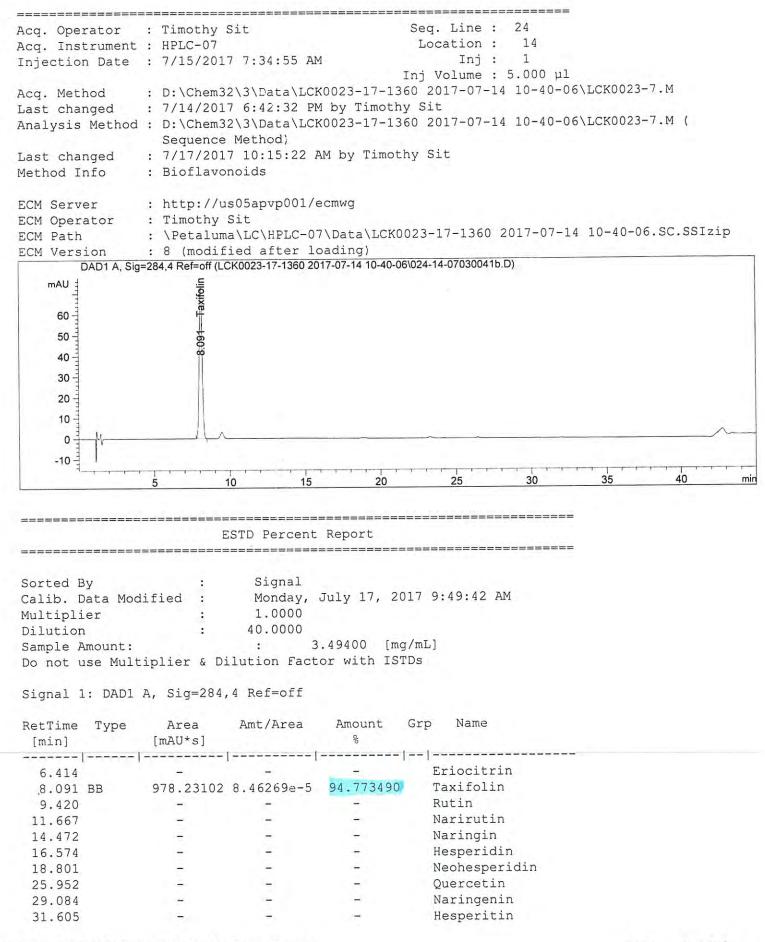
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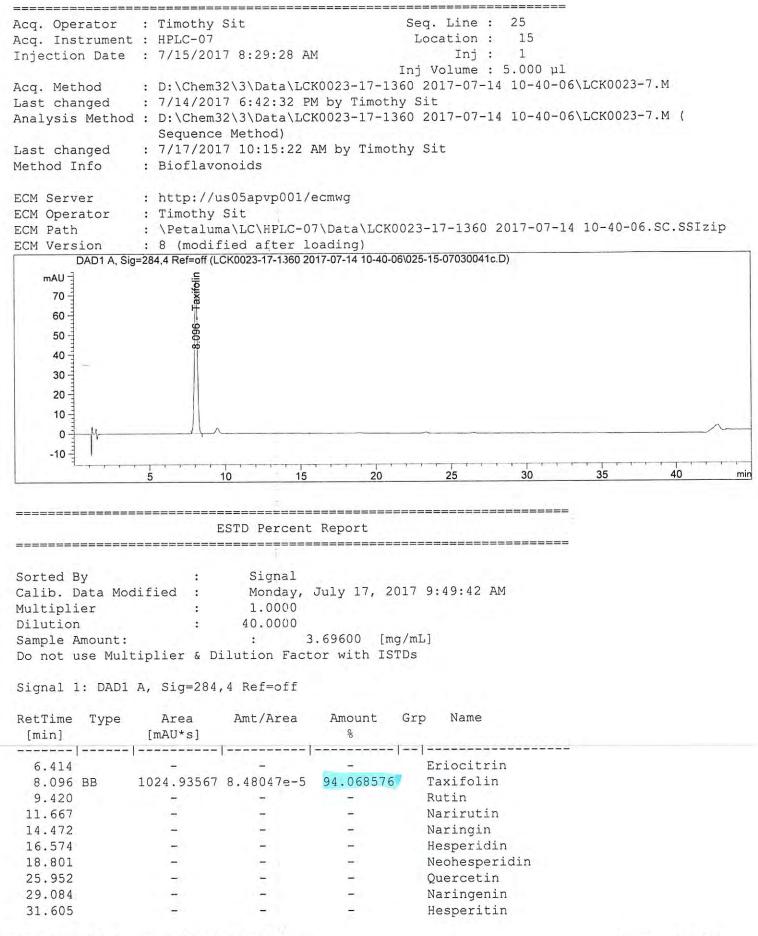
HPLC-07 7/17/2017 10:15:57 AM Timothy Sit

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\024-14-07030041b.D Sample Name: (b) (6)



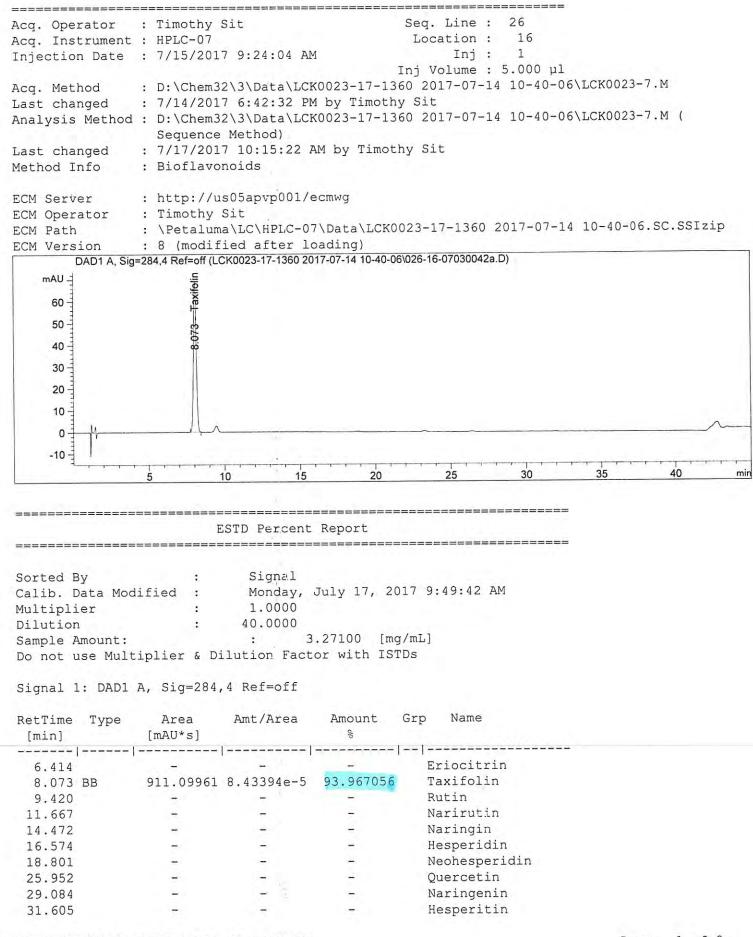
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Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\025-15-07030041c.D Sample Name: (b) (6)

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\026-16-07030042a.D Sample Name: (b) (6)



Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\026-16-07030042a.D Sample Name: (b) (6)

 RetTime Type
 Area
 Amt/Area
 Amount
 Grp
 Name

 [min]
 [mAU\*s]
 %

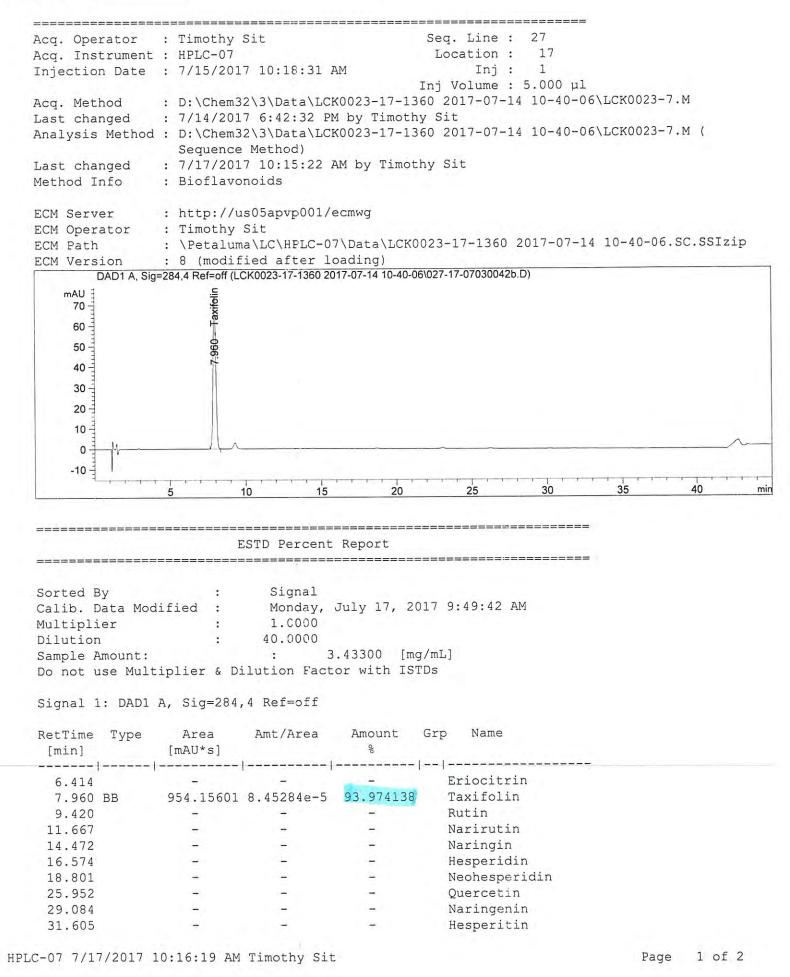
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 %

 Totals :
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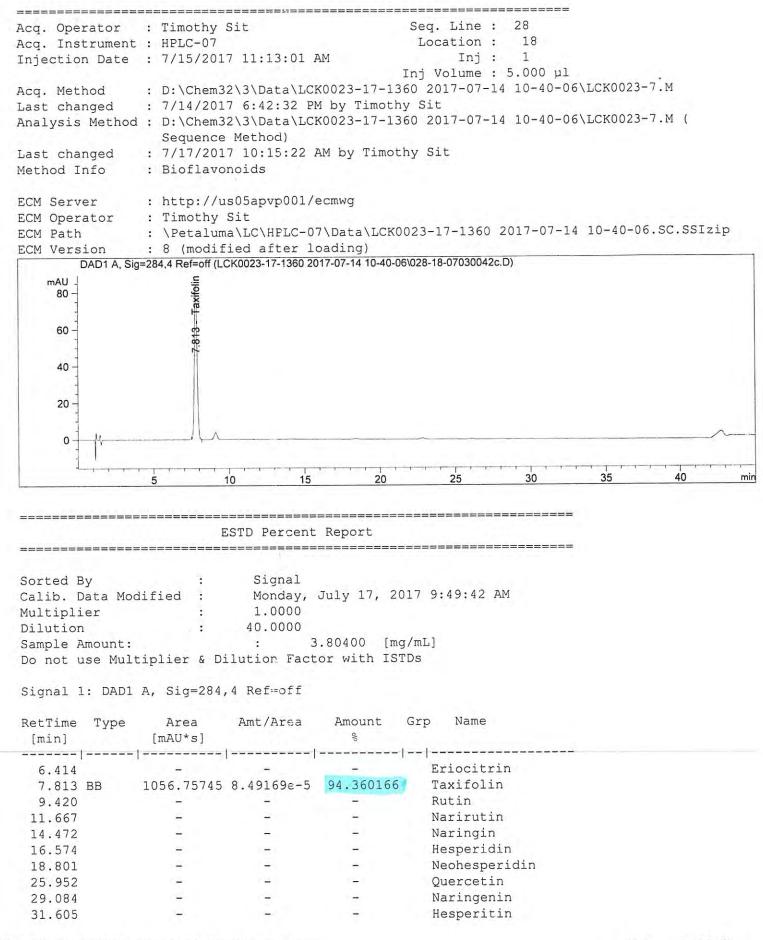
 1 Warnings or Errors :

 Warning : Calibrated compound(s) not found

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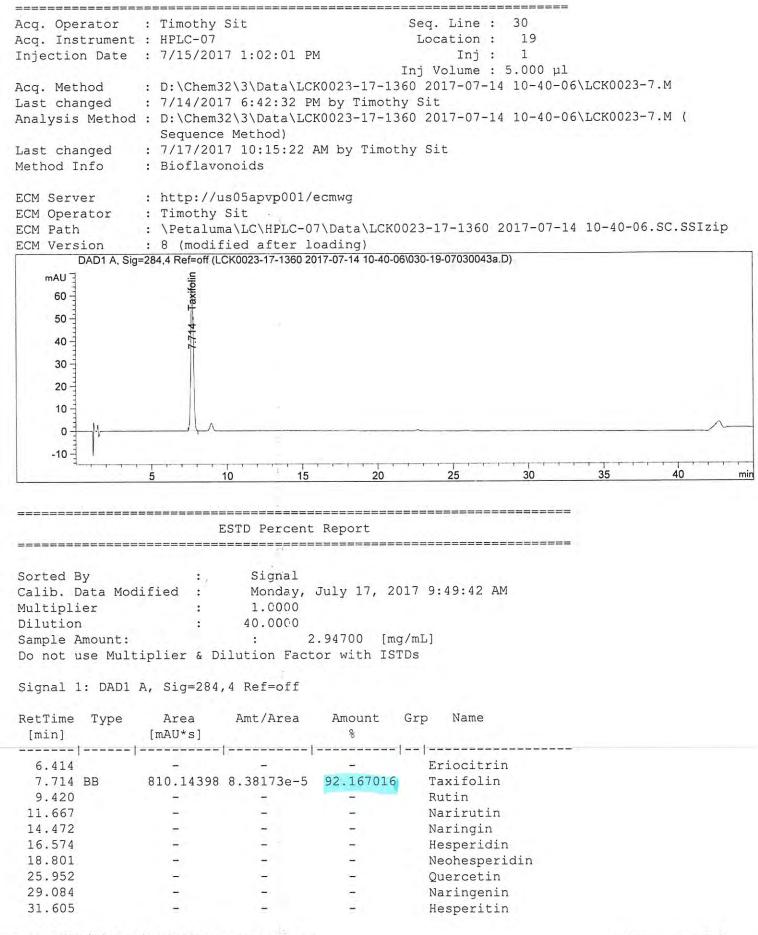


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Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\028-18-07030042c.D Sample Name: (b) (6)

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\030-19-07030043a.D Sample Name: (b) (6)



Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\030-19-07030043a.D Sample Name: (b) (6)

 RetTime Type
 Area
 Amt/Area
 Amount
 Grp
 Name

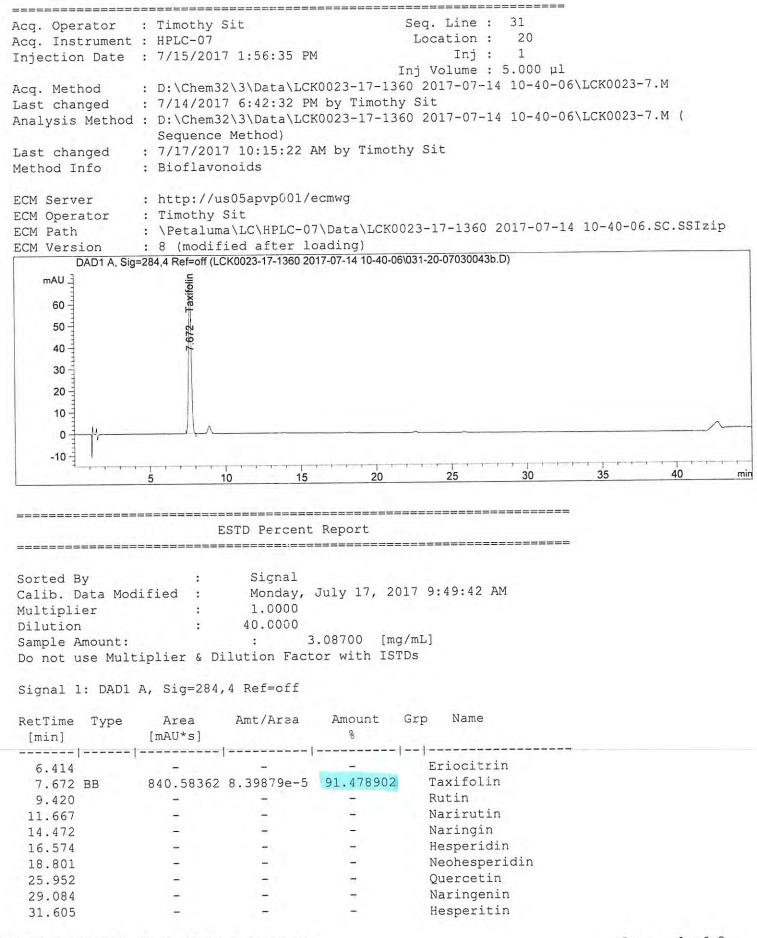
 [min]
 [mAU\*s]
 %

 ----- ----- 92.167016

 1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\031-20-07030043b.D Sample Name: (b)(6)



Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\031-20-07030043b.D Sample Name: (b) (6)

 RetTime Type
 Area
 Amt/Area
 Amount
 Grp
 Name

 [min]
 [mAU\*s]
 %

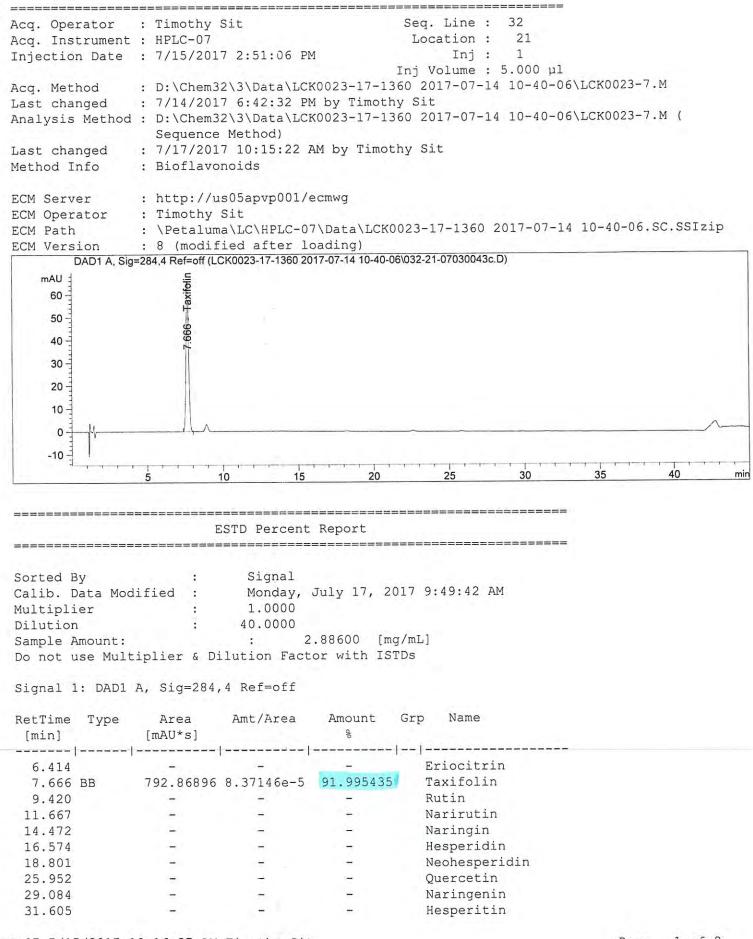
 ------|-----|----- %

 Totals :
 91.478902

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

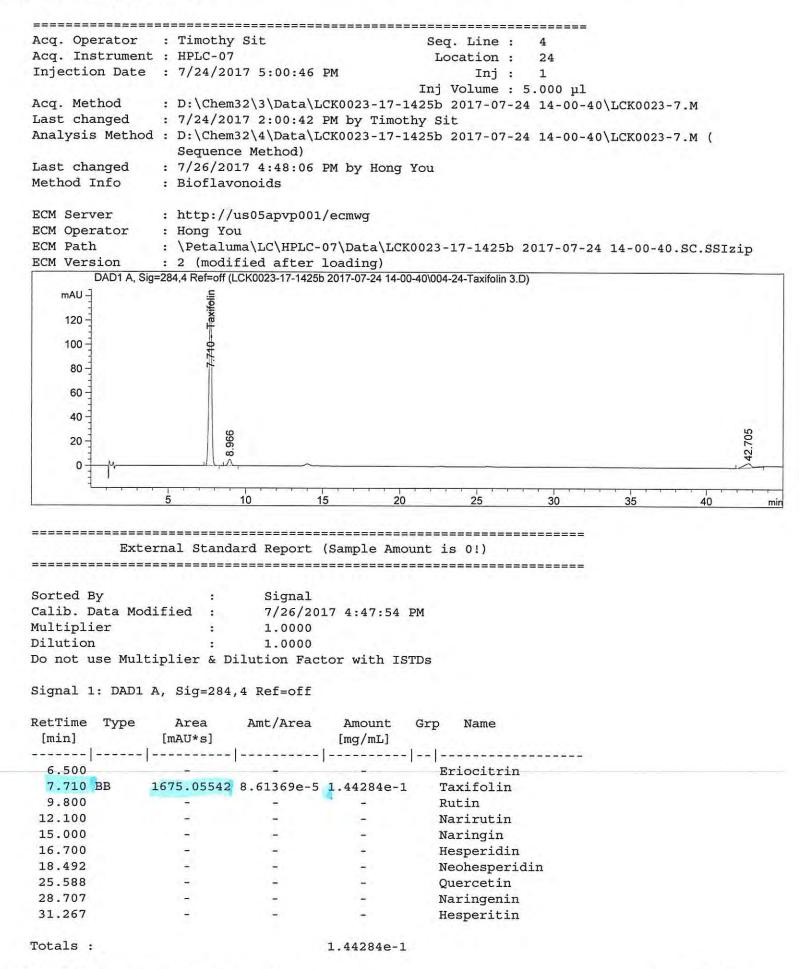
Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\032-21-07030043c.D Sample Name: (b)(6)



### SYSTEM SUITABILITY

# CHROMATOGRAMS

Data File D:\Chem32\4\Data\LCK0023-17-1425D 2017-07-24 14-00-40\004-24-Taxifolin 3.D Sample Name: Taxifolin 3

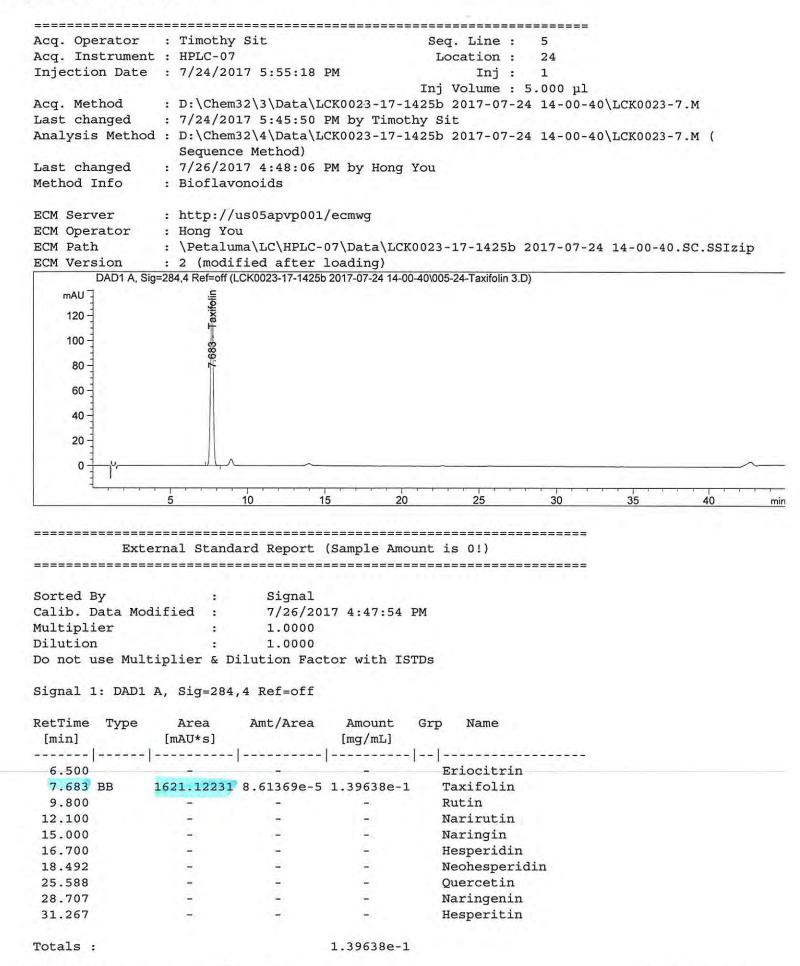


Data File D:\Cnem32\4\Data\LCK0023-1/-1425D 201/-07-24 14-00-40\004-24-Taxifolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

Warning : Calibration warnings (see calibration table listing) Warning : Calibrated compound(s) not found

Data File D:\Cnem32\4\Data\LCK0023-1/-1425D 201/-0/-24 14-00-40\005-24-Taxifolin 3.D Sample Name: Taxifolin 3



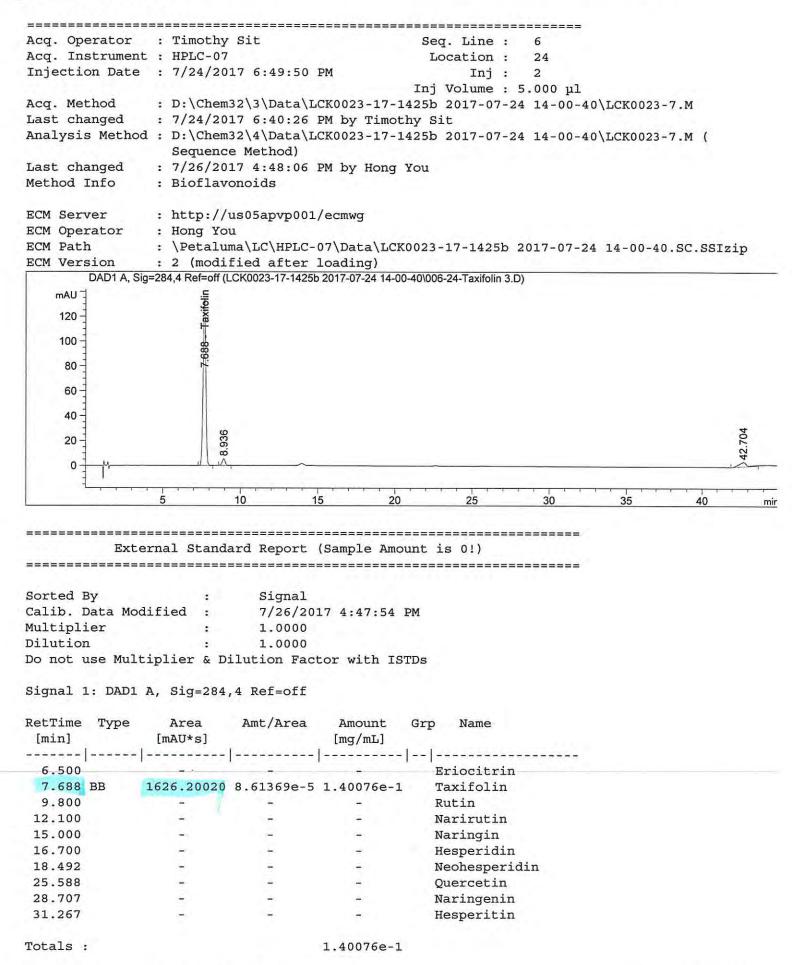
Data File D:\Cnem32\4\Data\LCK0023-1/-1425D 201/-0/-24 14-00-40\005-24-Taxifolin 3.D Sample Name: Taxifolin 3

\*\*\* End of Report \*\*\*

2 Warnings or Errors : Warning : Calibration warnings (see calibration table listing) Warning : Calibrated compound(s) not found

HPLC-32 7/26/2017 4:48:36 PM Hong You

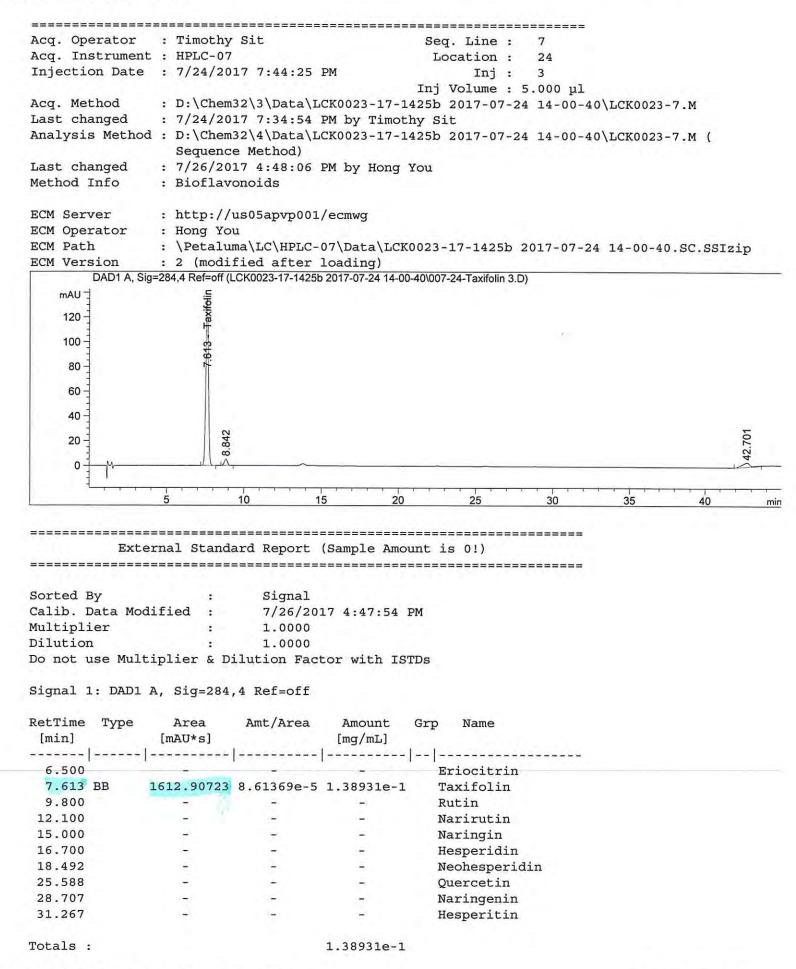
Data File D:\Chem32\4\Data\LCKUU23-1/-1425D 2017-07-24 14-00-40\006-24-Taxifolin 3.D Sample Name: Taxifolin 3



Data File D:\Cnem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\006-24-Taxifolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors : Warning : Calibration warnings (see calibration table listing) Warning : Calibrated compound(s) not found

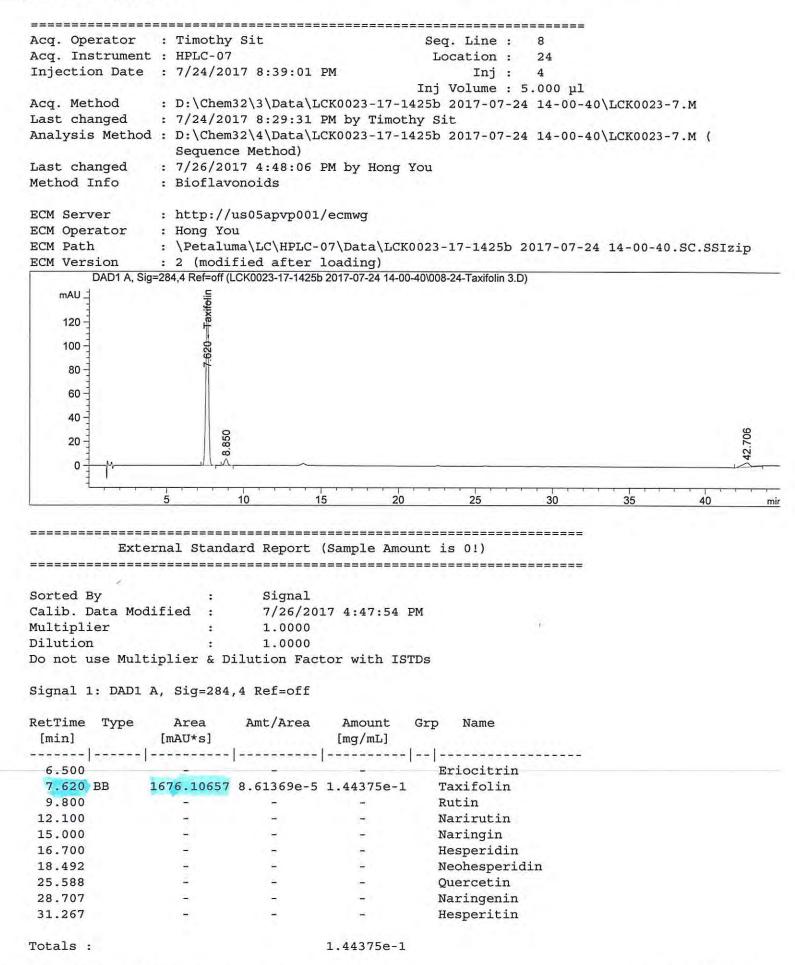
Data File D:\Cnem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\007-24-Taxifolin 3.D Sample Name: Taxifolin 3



Data File D:\Cnem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\007-24-Taxifolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors : Warning : Calibration warnings (see calibration table listing) Warning : Calibrated compound(s) not found

Data File D:\Chem32\4\Data\LCK0023-17-1425D 2017-07-24 14-00-40\008-24-Taxifolin 3.D Sample Name: Taxifolin 3



Data File D: (Chemp2(4)Data(DCK0023-1/-1423D 201/-0/-24 14-00-40(008-24-TaxifOlin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

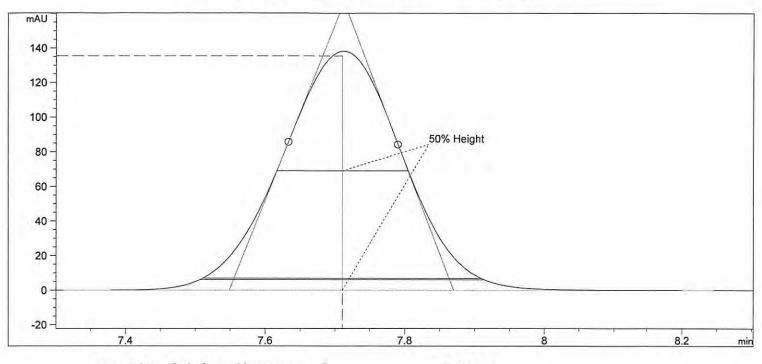
Warning : Calibration warnings (see calibration table listing) Warning : Calibrated compound(s) not found

# SYSTEM SUITABILITY

## PEAK PERFORMANCE REPORT

Data Path D:\Chem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\004-24-Taxitolin 3.D Sample Name Taxifolin 3

Peak Performance Evaluation DAD1 A, Sig=284,4 Ref=off RT 7.71042 min



Deb Mine (min) + (intermeters)	T 71040
Ret.Time [min] t (integrator)	7.71042
Ret.Time [min] t (peak model)	7.70917
Void time [min] (Column) t0	-
k'	
Height [mAU] (integrator)	135.43806
Height [mAU] (peak model)	138.17695
Area [mAU*s]	1675.0554
Peakwidth method	Half height (EP)
Peakwidth [min]	0.18838
Peak Start [min]	7.31042
Peak End [min]	8.29250
Skew	0.05173
Excess	0.18116
Symmetry (integrator)	0.97427
Symmetry ( Foley Dorsey at 10% height)	0.99939
Symmetry (USP at 10% height)	1.00030
USP Tailing (at 5% height)	1.00400
Noise of classic noise range [mAU]	-
Signal to noise ratio(classic range)	1298.903244
Integration Type	BB
Time Increment [ms]	400.00000
Data Points	180
Moment0	1673.5133
Moment1	7.712033
Moment2	0.006679
Moment3	0.000028
Moment4	0.000142
Efficiency [Plates/Column]	9278
Efficiency [Plates/Meter]	_
Foley Dorsey [Plates/Column]	9106
Foley Dorsey [Plates/Meter]	
Selectivity to prev peak	<u>2</u>
Selectivity to next peak	1.16286
Resolution to prev peak	_
Resolution to next peak	3.66611
Reportation to next peak	J. OUULL

Data Path D:\Chem32\4\Data\LCK0023-1/-1425D 2017-07-24 14-00-40\004-24-Taxitolin 3.D Sample Name Taxifolin 3

Configuration settings Void time and Column Configured : From Data File Void Time(min) : -Column Length(cm) : -Peak Width method selected : Half height (EP)

#### SPECIFICITY

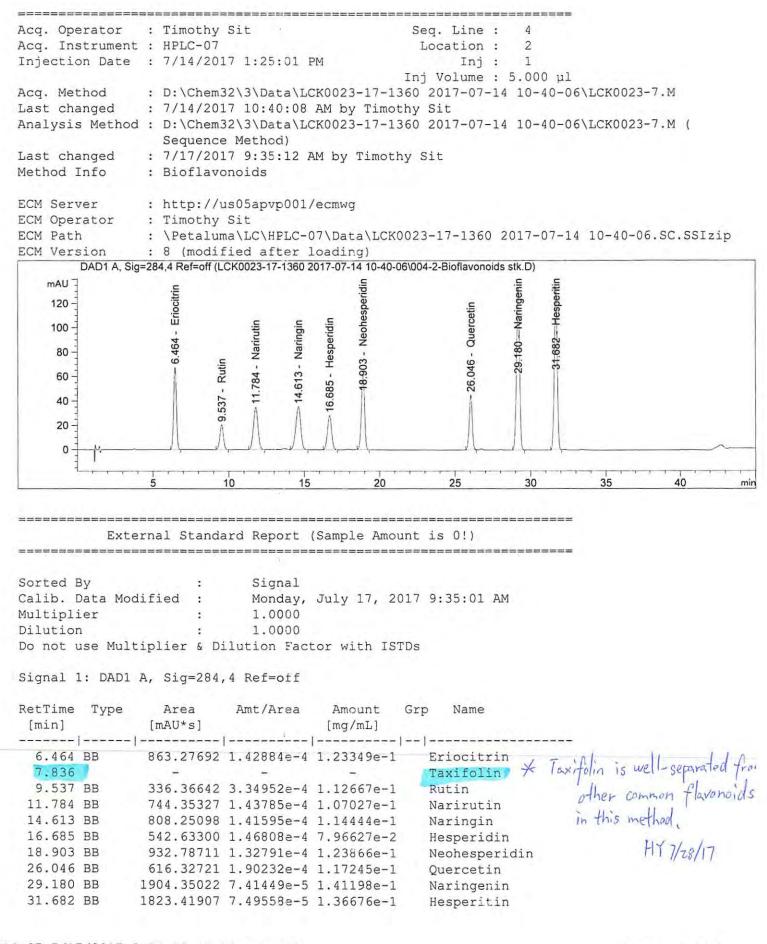
*;*\*

 $\int_{X_2} dx$ 

. 17\* \*

CHROMATOGRAMS

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\004-2-Bioflavonoids stk.D Sample Name: Bioflavonoids stk



Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\004-2-Bioflavonoids stk.D Sample Name: Bioflavonoids stk

Totals :

1.05613

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\001-1-blank.D Sample Name: blank

Acq. Operator	: Timothy Sit	Seq. Line : 1
Acq. Instrument		Location : 1
Injection Date	: 7/14/2017 10:41:42 AM	Inj : 1 Inj Volume : 5.000 µl
Acq. Method	. D.\Chom22\2\Data\ICK0022	11) VOLUME : 5.000 µI 17-1360 2017-07-14 10-40-06\LCK0023-7.M
Last changed	: 7/14/2017 10:40:08 AM by 7	21 - 19 - 19 - 19 - 19 - 19 - 19 - 19 -
		17-1360 2017-07-14 10-40-06\LCK0023-7.M (Sequence
Anarysis Mechod	Method)	1/-1300 201/-0/-14 10-40-08(hck0023-7.M (Sequence
Last changed	: 7/17/2017 4:40:26 PM by Ti	mothy Sit
Method Info	: Bioflavonoids	moeny bit
ECM Server	: http://us05apvp001/ecmwg	
ECM Operator	: Hong You	
ECM Path		LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip
ECM Version	: 9 g=284,4 Ref=off (LCK0023-17-1360 2017-07-14 1	0 40 06/001 1 block D)
	J-204,4 Rei-011 (LCR0023-17-1360 2017-07-14 1	0-40-06(001-1-Dialik.D)
mAU		٨
2		
0 +		
-2-		
54 L'		
-4 -		
-6 -		
-8		
-0 ]		
-10 -		
1	<del>. ] ]</del>	
	5 10 15	20 25 30 35 40 n
Exte	ernal Standard Report (Sample	Amount is 0!)
	***************************************	
Sorted By	: Signal	
Calib. Data Mod	그는 물건에서 가슴을 들었다. 그는 것은 것은 것은 것이 같은 것은 것이 같이 많이	7, 2017 9:49:42 AM
Multiplier	: 1.0000	
Dilution	: 1.0000	
Do not use Mult	iplier & Dilution Factor with	ISTDs
Signal 1; DAD1	A, Sig=284,4 Ref=off	
	A, Sig=284,4 Ref=off Area Amt/Area Amoun	t Grp Name
	Area Amt/Area Amoun	이 같은 것 같은
RetTime Type	Area Amt/Area Amoun [mAU*s] [mg/mL	이 같은 것 같은
RetTime Type [min] 	Area Amt/Area Amoun [mAU*s] [mg/mL	J
RetTime Type [min]    6.414	Area Amt/Area Amoun [mAU*s] [mg/mL	.]   Eriocitrin
RetTime Type [min]    6.414 7.836	Area Amt/Area Amoun [mAU*s] [mg/mL	.]   Eriocitrin Taxifolin
RetTime Type [min]    6.414 7.836 9.420	Area Amt/Area Amoun [mAU*s] [mg/mL	.]   Eriocitrin Taxifolin Rutin
RetTime Type [min]    6.414 7.836 9.420 11.667	Area Amt/Area Amoun [mAU*s] [mg/mL	.]   Eriocitrin Taxifolin Rutin Narirutin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472	Area Amt/Area Amoun [mAU*s] [mg/mL	]   Eriocitrin Taxifolin Rutin Narirutin Narirutin Naringin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472 16.574	Area Amt/Area Amoun [mAU*s] [mg/mL	J   Eriocitrin Taxifolin Rutin Narirutin Naringin Hesperidin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472 16.574 18.801	Area Amt/Area Amoun [mAU*s] [mg/mL	J Eriocitrin Taxifolin Rutin Narirutin Naringin Hesperidin Neohesperidin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472 16.574 18.801 25.952	Area Amt/Area Amoun [mAU*s] [mg/mL	J Eriocitrin Taxifolin Rutin Narirutin Naringin Hesperidin Neohesperidin Quercetin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472 16.574 18.801	Area Amt/Area Amoun [mAU*s] [mg/mL	J Eriocitrin Taxifolin Rutin Narirutin Naringin Hesperidin Neohesperidin Quercetin Naringenin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472 16.574 18.801 25.952	Area Amt/Area Amoun [mAU*s] [mg/mL	J Eriocitrin Taxifolin Rutin Narirutin Naringin Hesperidin Neohesperidin Quercetin
RetTime Type [min]    6.414 7.836 9.420 11.667 14.472 16.574 18.801 25.952 29.084	Area Amt/Area Amoun [mAU*s] [mg/mL	J Eriocitrin Taxifolin Rutin Narirutin Naringin Hesperidin Neohesperidin Quercetin Naringenin

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\001-1-blank.D Sample Name: blank

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Area Percent Report

Sorted By	:	Signal
Calib. Data Modified		Monday, July 17, 2017 9:49:42 AM
Multiplier	162	1.0000
Dilution	:	1.0000
Do not use Multiplier	& Dil	tion Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

E	Peak #	RetTime [min]	Туре	Width [min]	Area [mAU*s]	Area %	Name
-							
	1	6.414		0.0000	0.00000	0.0000	Eriocitrin
	2	7.836		0.0000	0.00000	0.0000	Taxifolin
	3	9.420		0.0000	0.00000	0.0000	Rutin
	4	11.667		0.0000	0.00000	0.0000	Narirutin
	5	14.472		0.0000	0.00000	0.0000	Naringin
	6	16.574		0.0000	0.00000	0.0000	Hesperidin
	7	18.801		0.0000	0.00000	0.0000	Neohesperidin
	8	25.952		0.0000	0.00000	0.0000	Quercetin
	9	29.084		0.0000	0.00000	0.0000	Naringenin
	10	31.605		0.0000	0.00000	0.0000	Hesperitin

Totals :

0.00000

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\002-1-blank.D Sample Name: blank Acq. Operator : Timothy Sit Seq. Line : 2 Acq. Instrument : HPLC-07 Location : 1 Injection Date : 7/14/2017 11:36:07 AM Inj : 2 Inj Volume : 5.000 µl : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M Acq. Method Last changed : 7/14/2017 10:40:08 AM by Timothy Sit Analysis Method : D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (Sequence Method) : 7/17/2017 4:40:26 PM by Timothy Sit Last changed Method Info : Bioflavonoids ECM Server : http://us05apvp001/ecmwg ECM Operator : Hong You : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip ECM Path : 9 ECM Version DAD1 A, Sig=284,4 Ref=off (LCK0023-17-1360 2017-07-14 10-40-06\002-1-blank.D) mAU -2-0 -

External Standard Report (Sample Amount is 0!)

15

Sorted By	:	Signal
Calib. Data Modified	1	Monday, July 17, 2017 9:49:42 AM
Multiplier		1.0000
Dilution	:	1.0000
Do not use Multiplier	&	Dilution Factor with ISTDs

10

Signal 1: DAD1 A, Sig=284,4 Ref=off

5

RetTime Type [min]	e Area [mAU*s]	Amt/Area	Amount [mg/mL]	Grp Name	
		[]			
6.414	÷.		-	Eriocitrin	
7.836	÷.	-	-	Taxifolin	
9.420	-	- E .	- in the second se	Rutin	
11.667		-	i H	Narirutin	
14.472	- Si	-	-	Naringin	
16.574	+		÷	Hesperidin	
18.801	÷		-	Neohesperidin	
25.952	÷.	÷	÷.	Quercetin	
29.084	÷		- <del>4</del> 0	Naringenin	
31.605			-	Hesperitin	

20

25

30

35

40

min

Totals :

-2 -4 -6 -8 -10

0.00000

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\002-1-blank.D Sample Name: blank

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Area Percent Report

Sorted By		Signal
Calib. Data Modified	:	Monday, July 17, 2017 9:49:42 AM
Multiplier		1.0000
Dilution	÷.	1.0000
Do not use Multiplier	& Dilu	tion Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

ak #	RetTime [min]	Туре	Width [min]	Area [mAU*s]	Area %	Name
1	6.414		0.0000	0.00000	0.0000	Eriocitrin
2	7.836		0.0000	0.00000	0.0000	Taxifolin
3	9.420		0.0000	0.00000	0.0000	Rutin
4	11.667		0.0000	0.00000	0.0000	Narirutin
5	14.472		0.0000	0.00000	0.0000	Naringin
6	16.574		0.0000	0.00000	0.0000	Hesperidin
7	18.801		0.0000	0.00000	0.0000	Neohesperidin
8	25.952		0.0000	0.00000	0.0000	Quercetin
9	29.084		0.0000	0.00000	0.0000	Naringenin
10	31.605		0.0000	0.00000	0.0000	Hesperitin

Totals :

0.00000

1 Warnings or Errors :

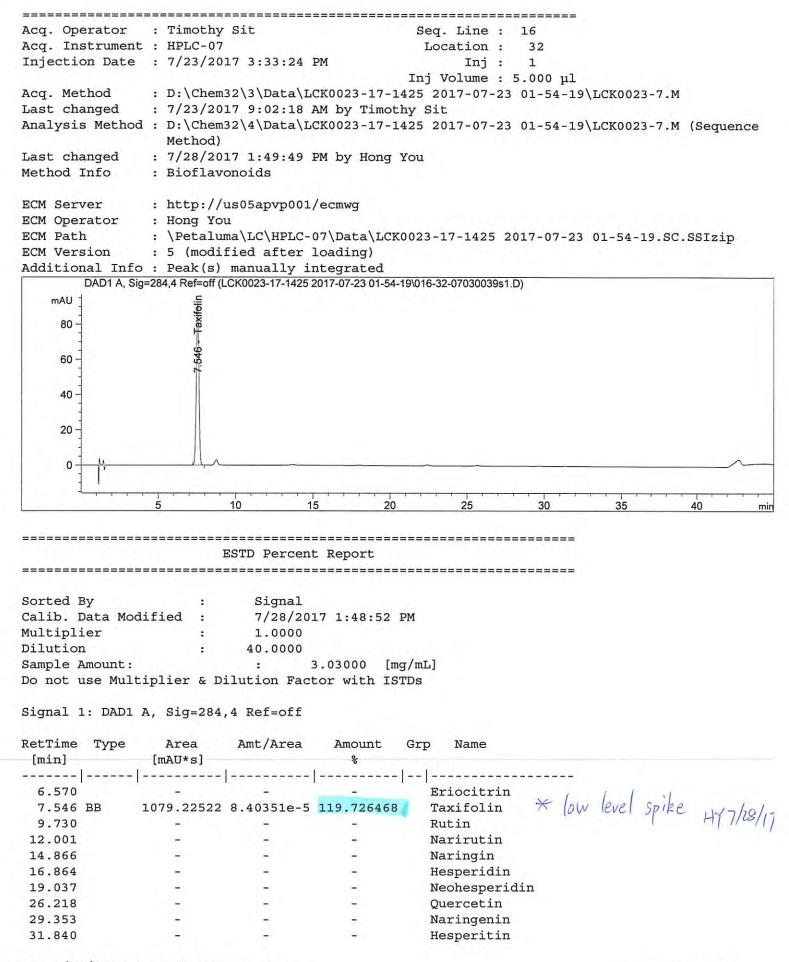
Warning : Calibrated compound(s) not found

\*\*\* End of Report \*\*\*

# ACCURACY

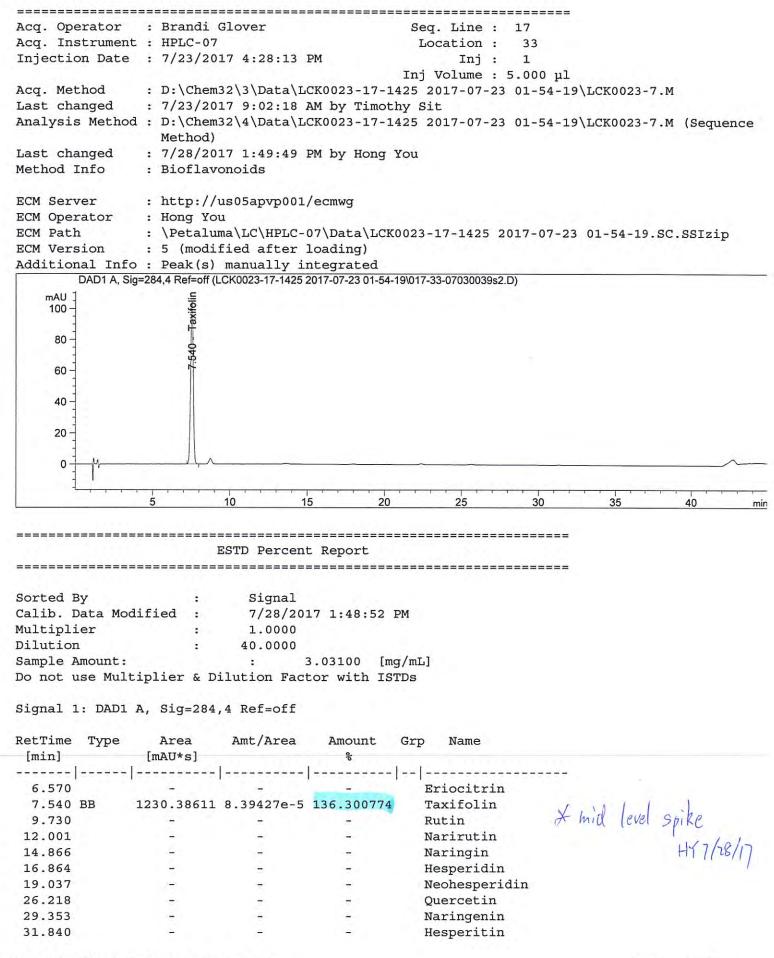
# CHROMATOGRAMS

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\016-32-07030039s1.D Sample Name: (b) (6)



Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\016-32-07030039s1.D Sample Name: (b) (6)

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\017-33-07030039s2.D Sample Name: (b) (6)



Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\017-33-07030039s2.D Sample Name: (b) (6)

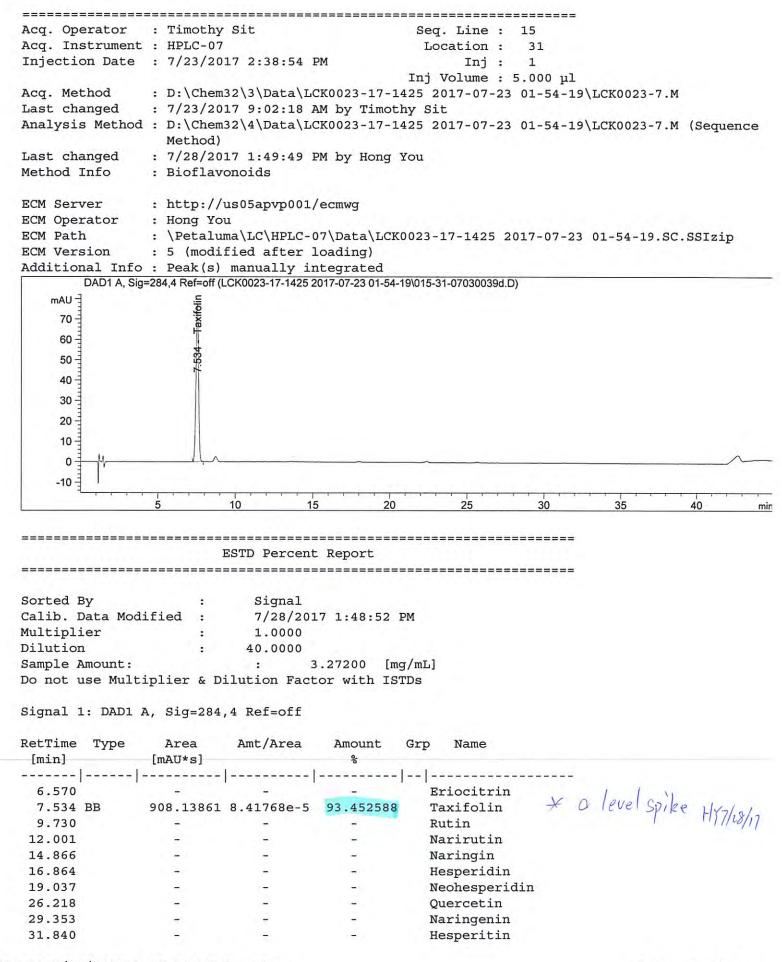
Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\018-34-07030039s3.D Sample Name: (b) (6) Acq. Operator : Brandi Glover Seq. Line : 18 Acq. Instrument : HPLC-07 Location : 34 Injection Date : 7/23/2017 5:22:43 PM Inj : 1 Inj Volume : 5.000 µl : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M Acq. Method : 7/23/2017 9:02:18 AM by Timothy Sit Last changed Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence Method) Last changed : 7/28/2017 1:49:49 PM by Hong You Method Info : Bioflavonoids : http://us05apvp001/ecmwg ECM Server ECM Operator : Hong You ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip ECM Version : 5 (modified after loading) Additional Info : Peak(s) manually integrated DAD1 A, Sig=284,4 Ref=off (LCK0023-17-1425 2017-07-23 01-54-19\018-34-07030039s3.D) mAU --Taxifolir 120 100 80 60 -40 20 -0 5 10 15 20 25 30 35 40 min ESTD Percent Report Sorted By : Signal Calib. Data Modified : 7/28/2017 1:48:52 PM Multiplier 1.0000 : Dilution 40.0000 : 3.02200 Sample Amount: [mg/mL] : Do not use Multiplier & Dilution Factor with ISTDs Signal 1: DAD1 A, Sig=284,4 Ref=off RetTime Type Area Amt/Area Amount Name Grp [mAU\*s] % [min] ---------|--| -----6.570 Eriocitrin -7.559 BB 1628.23657 8.37815e-5 180.563915 Taxifolin 9.730 Rutin -× high level spike HT7/28/17 12.001 Narirutin -14.866 Naringin 16.864 Hesperidin 19.037 -Neohesperidin 26.218 Quercetin 29.353 2 Naringenin 31.840 Hesperitin

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\018-34-07030039s3.D Sample Name: (b) (6)

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\014-30-07030039.D Sample Name: (b) (6) Acq. Operator : Timothy Sit Seq. Line : 14 Acq. Instrument : HPLC-07 Location : 30 Injection Date : 7/23/2017 1:44:23 PM Inj : 1 Inj Volume : 5.000 µl Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M Last changed : 7/23/2017 9:02:18 AM by Timothy Sit Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence Method) Last changed : 7/28/2017 1:49:49 PM by Hong You Method Info : Bioflavonoids : http://us05apvp001/ecmwg ECM Server ECM Operator : Hong You ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip ECM Version : 5 (modified after loading) Additional Info : Peak(s) manually integrated DAD1 A, Sig=284,4 Ref=off (LCK0023-17-1425 2017-07-23 01-54-19\014-30-07030039.D) mAU 7 -Taxifoli 60 50 40 30 20-0 --10 10 15 20 25 30 35 40 min ESTD Percent Report Sorted By . . . Signal Calib. Data Modified : 7/28/2017 1:48:52 PM Multiplier 1.0000 : Dilution : 40.0000 Sample Amount: : 3.03400 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs Signal 1: DAD1 A, Sig=284,4 Ref=off RetTime Type Area Amt/Area Amount Name Grp [min] [mAU\*s] % -----6.570 0 level spike HX 7/28/17 -Eriocitrin 7.495 BB 824.30042 8.42677e-5 91.578025 Taxifolin 9.730 Rutin --12.001 Narirutin ---14.866 Naringin 16.864 Hesperidin -19.037 --Neohesperidin 26.218 Quercetin 29.353 Naringenin \_ 31.840 Hesperitin

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\014-30-07030039.D Sample Name: (b) (6)

Data File D:\Cnem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\015-31-07030039d.D Sample Name: (b)(6)



Data File D: \Chem32\4\Data\LCKUU23-1/-1425 201/-0/-23 01-54-19\015-31-07030039d.D Sample Name: (b) (6)

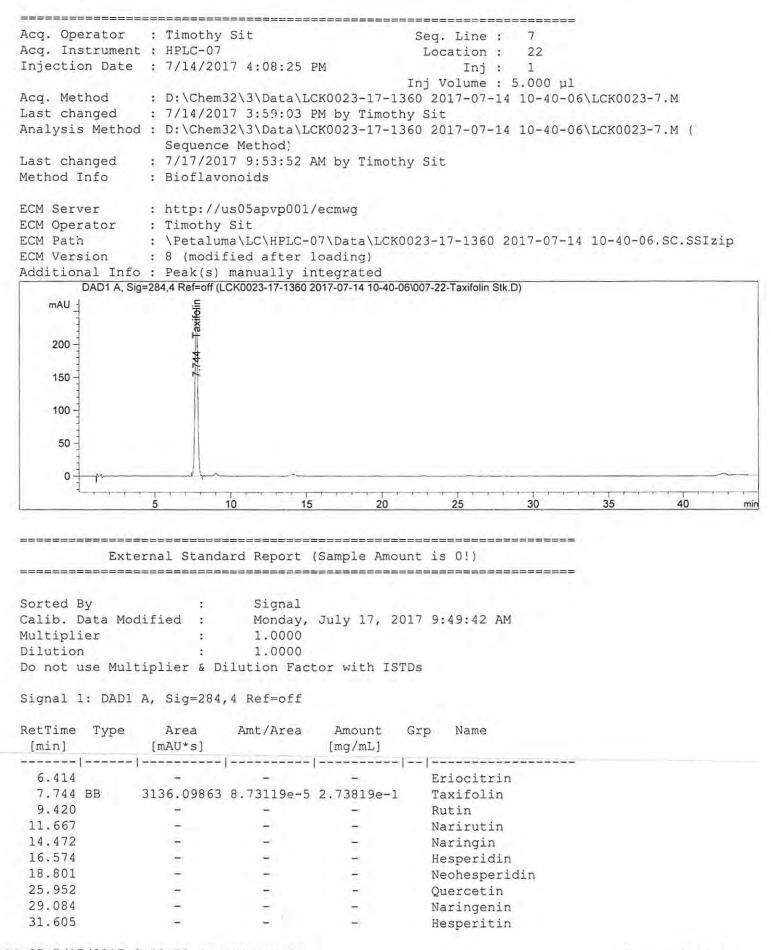
\*\*\* End of Report \*\*\*

### LINEARITY

### CHROMATOGRAMS

### DIHYDROQUERCETIN 5 POINT CALIBRATION FOR PURITY DETERMINATION

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\007-22-Taxifolin Stk.D Sample Name: Taxifolin Stk



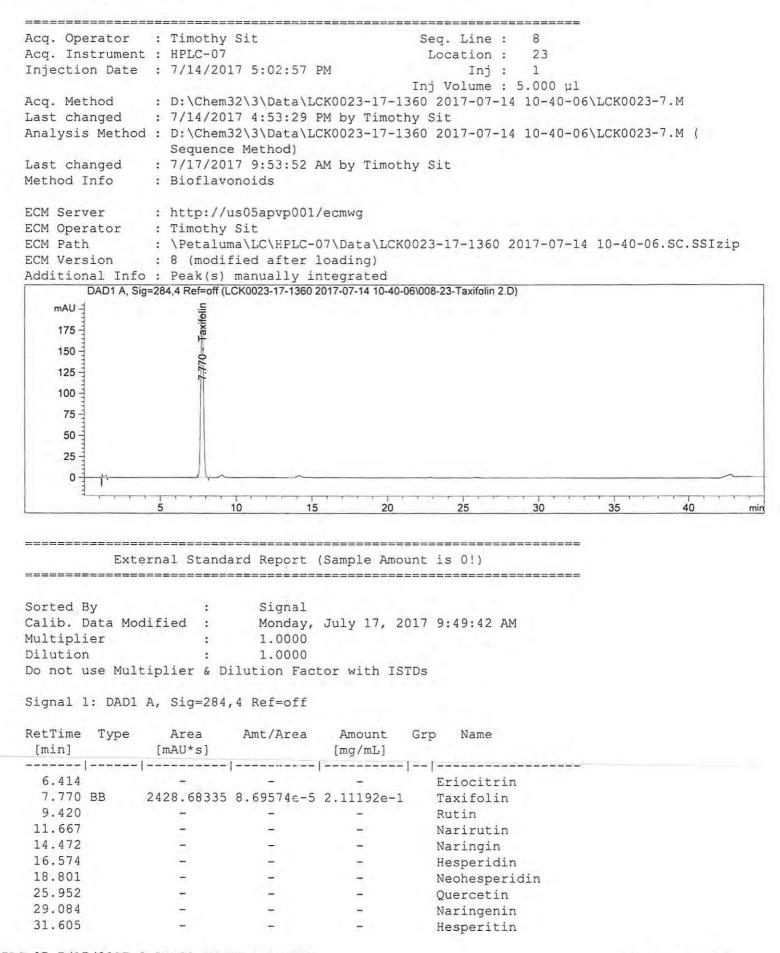
Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\007-22-Taxifolin Stk.D Sample Name: Taxifolin Stk

 RetTime Type
 Area
 Amt/Area
 Amount
 Grp
 Name

 [min]
 [mAU\*s]
 [mg/mL]

 ------|-----|
 ------|------|----- Important Provided P

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\008-23-Taxifolin 2.D Sample Name: Taxifolin 2



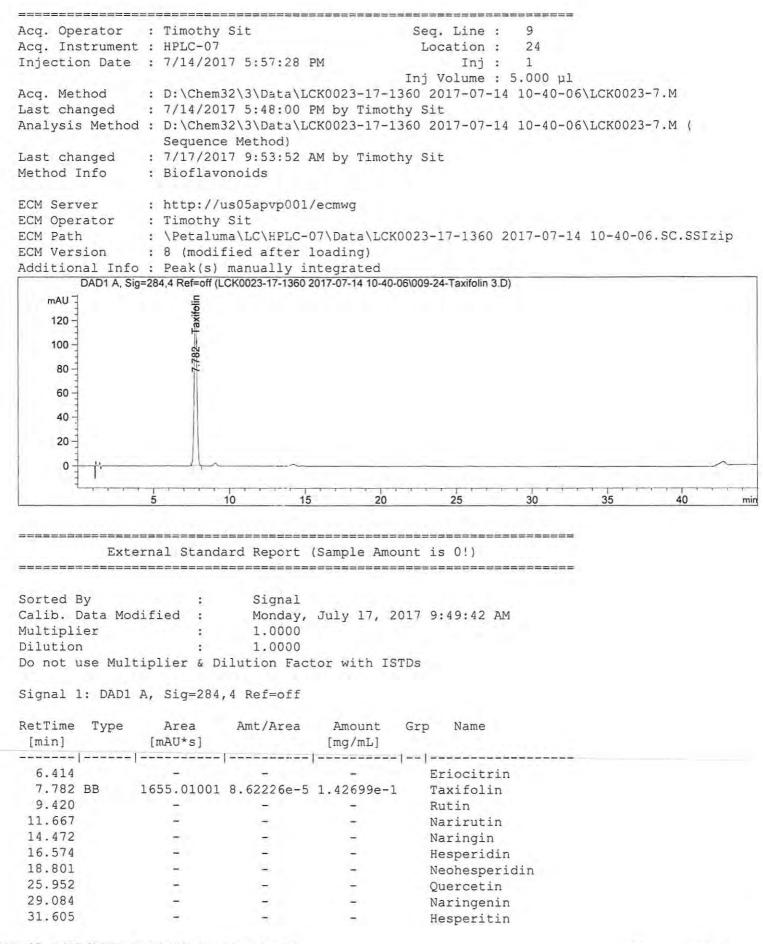
Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\008-23-Taxifolin 2.D Sample Name: Taxifolin 2

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

\*\*\* End of Report \*\*\*

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\009-24-Taxifolin 3.D Sample Name: Taxifolin 3



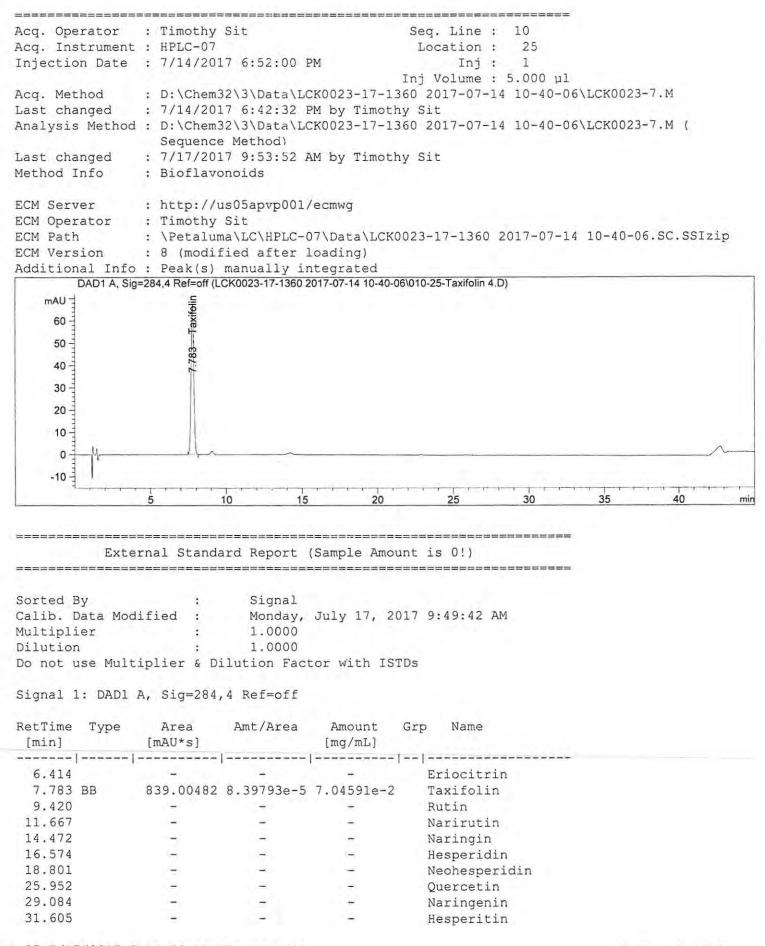
Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\009-24-Taxifolin 3.D Sample Name: Taxifolin 3

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

\*\*\* End of Report \*\*\*

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\010-25-Taxifolin 4.D Sample Name: Taxifolin 4



Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\010-25-Taxifolin 4.D Sample Name: Taxifolin 4

 RetTime Type
 Area
 Amt/Area
 Amount
 Grp
 Name

 [min]
 [mAU\*s]
 [mg/mL]

 ----- ----- ----- ----- 

 Totals :
 7.04591e-2

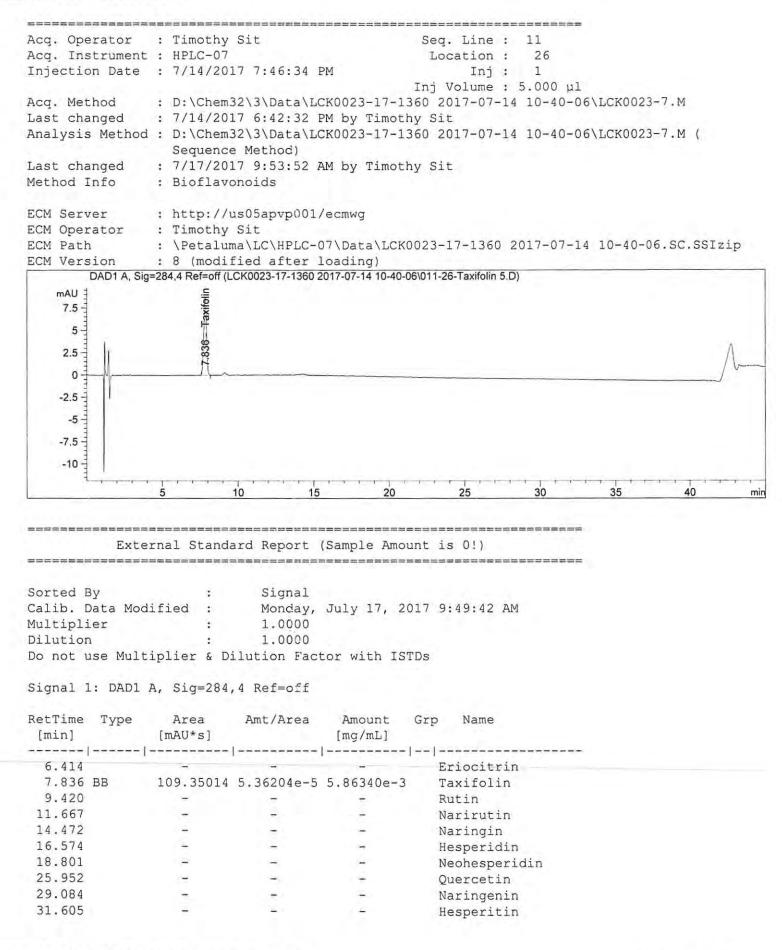
1 Warnings or Errors :

Warning : Calibrated compound(s) not found

\_\_\_\_\_

\*\*\* End of Report \*\*\*

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\011-26-TaxitoLin 5.0 Sample Name: Taxifolin 5



Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\011-26-Tax11011n 5.0 Sample Name: Taxifolin 5

Totals :

5.86340e-3

1 Warnings or Errors :

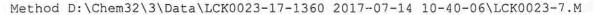
Warning : Calibrated compound(s) not found

\*\*\* End of Report \*\*\*

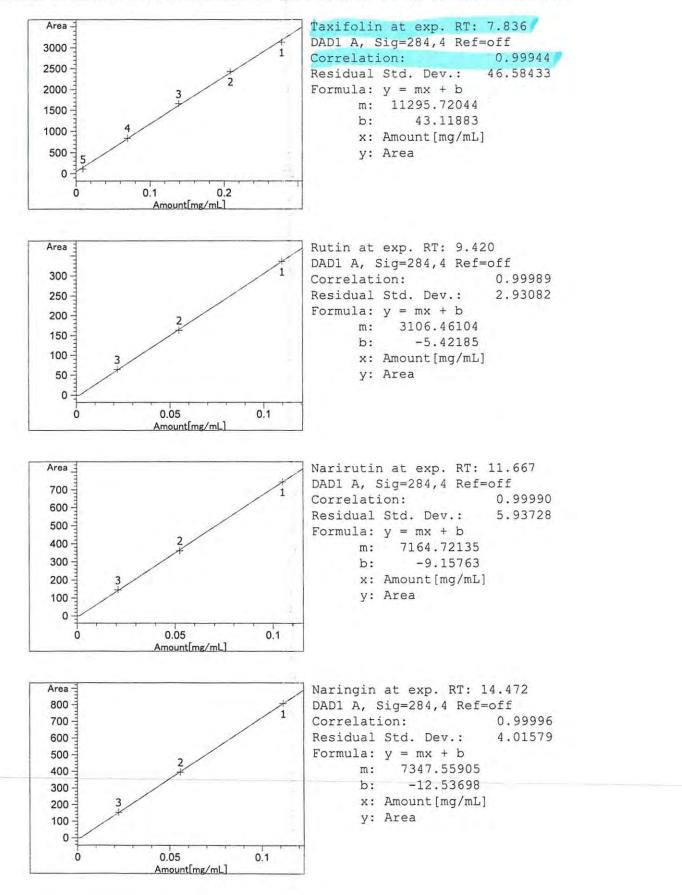
### LINEARITY

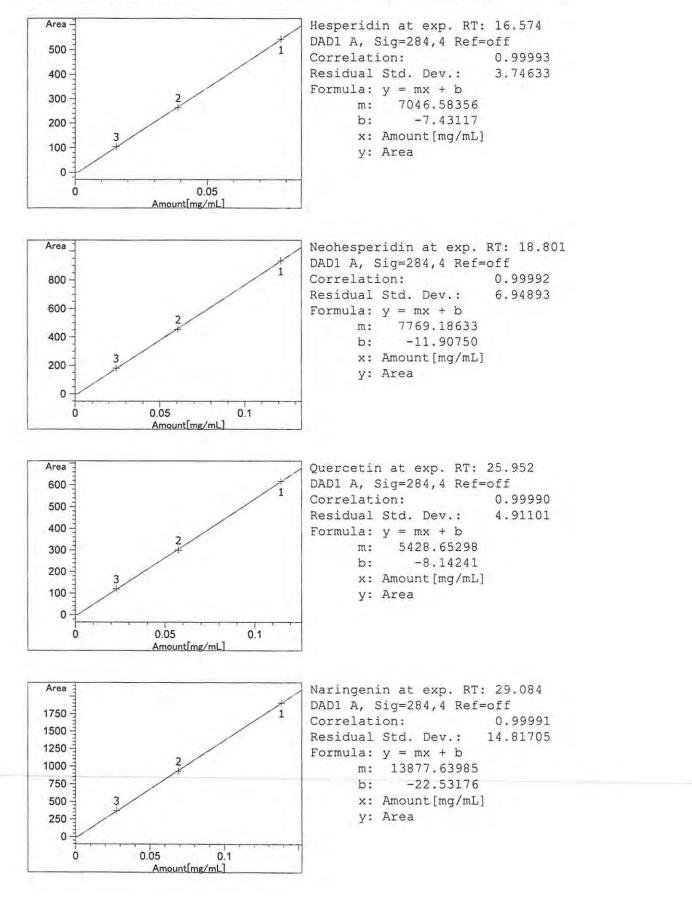
# CALIBRATION TABLE

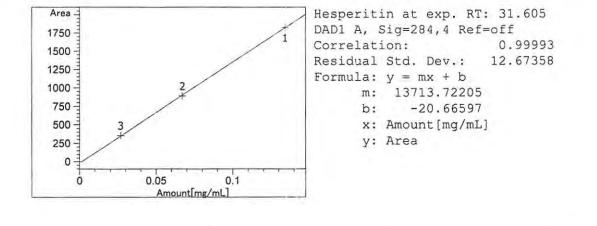
Method D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M Calibration Table General Calibration Setting \_\_\_\_\_\_ Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM Signals calculated separately : No 1 Rel. Reference Window : 5.000 % 0.000 min Abs. Reference Window : Rel. Non-ref. Window : 5.000 % Abs. Non-ref. Window : 1.000 min Uncalibrated Peaks : not reported Partial Calibration : Yes, identified peaks are reca Correct All Ret. Times: No, only for identified peaks Yes, identified peaks are recalibrated Linear Curve Type 4 Origin : Ignored Weight Equal : Recalibration Settings: Average Response : Average all calibrations Average Retention Time: Floating Average New 75% Calibration Report Options : Printout of recalibrations within a sequence: Calibration Table after Recalibration Normal Report after Recalibration If the sequence is done with bracketing: Results of first cycle (ending previous bracket) \_\_\_\_\_ Signal Details \_\_\_\_\_ Signal 1: DAD1 A, Sig=284,4 Ref=off \_\_\_\_\_ \_\_\_\_\_ Overview Table RT Sig Lvl Amount Area Rsp.Factor Ref ISTD # Compound [mg/mL] 6.414 1 3 2.42183e-2 167.28256 1.44775e-4 No No Eriocitrin 2 6.05457e-2 421.37521 1.43686e-4 1 1.21091e-1 863.27692 1.40270e-4 7.836 1 5 9.28187e-3 109.35014 8.48821e-5/ No No Taxifolin



terrar and the second sec	-		[mg/mL]					Compound
	-						-	
				839.00482				
				1655.01001/				
				2428.68335				
				3136.09863				
9.420	1	3	2.19478e-2	64.23846	3.41662e-4	No	No	Rutin
		2	5.48695e-2	162.65974	3.37327e-4			
		1	1.09739e-1	336.36642	3.26249e-4			
667	1	3	2.09837e-2	144.18347	1.45535e-4	No	No	Narirutin
		2	5.24592e-2	361.90015	1.44955e-4			
		1	1.04918e-1	744.35327	1.40953e-4			
.472	1	3	2.23087e-2	153.40550	1.45423e-4	No	No	Naringin
		2	5.57716e-2	394.00327	1.41551e-4			
		1	1.11543e-1	808.25098	1.38006e-4			
5.574	1		1.55800e-2		1.49453e-4	No	No	Hesperidin
	-		3.89500e-2		1.47535e-4			
			7.79000e-2		1.43559e-4			
8.801	1		2.42648e-2		1.34715e-4	No	No	Neohesperidin
	+		6.06620e-2		1.33684e-4	no	110	Neonesperrain
			1.21324e-1		1.30066e-4			
5.952	1		2.29516e-2		1.92977e-4		No	Quercetin
1. 552	T		5.73790e-2		1.91660e-4		IVO	Quercetin
				616.32721				
0.084	1			369.43033			NIC	Naringenin
.084	1			926.69012			No	Naringenin
COF				1904.35022				11
.605	Ť			353.78403			NO	Hesperitin
				889.21442				
		T	1.34190e-1	1823.41907	7.35925e-5			
				Peak Sur	n Table			
		3	; in table*	* *				
'No Er	ntr 							
	ntr 	===		Calibratio				
NO Er	ntr 			Calibratio	Eriocitrin	at e	exp. 1	RT: 6.414
Area	ntr 	:1es 		Calibratio	Eriocitrin DAD1 A, Si	at e g=284	exp. 1	RT: 6.414 ef=off
	ntr 	:1es 		Calibratio	Eriocitrin DAD1 A, Si Correlatio	at e g=284 n:	exp. 1 1,4 Re	RT: 6.414 ef=off 0.99994
Area -	ntr 			Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S	at e g=284 n: td. I	exp. 1 1,4 Re Dev.:	RT: 6.414 ef=off
Area	ntr 			Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y	at e g=284 n: td. I	exp. 1 1,4 Re Dev.: < + b	RT: 6.414 ef=off 0.99994 5.58027
Area	ntr ===			Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y	at e g=284 n: td. I 7196	exp. 1 1,4 Re Dev.: k + b 5.2290	RT: 6.414 ef=off 0.99994 5.58027
Area -	ntr ===			Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y	at e g=284 n: td. I 7196	exp. 1 1,4 Re Dev.: < + b	RT: 6.414 ef=off 0.99994 5.58027
Area	ntr 	3		Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y m: b:	at e g=284 n: td. I 7196	exp. 1 1,4 Re Dev.: k + b 5.2290	RT: 6.414 ef=off 0.99994 5.58027 08 33
Area	ntr	3		Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y m: b:	at e g=284 n: td. I 7196 -9	exp. 1 1,4 Re Dev.: k + b 5.2290 9.816	RT: 6.414 ef=off 0.99994 5.58027 08 33
Area 400 400 400 400 400 400 400 400 400 40	ntr	3		Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y m: b: b: x: A	at e g=284 n: td. I 7196 -9	exp. 1 1,4 Re Dev.: k + b 5.2290 9.816	RT: 6.414 ef=off 0.99994 5.58027 08 33
Area	ntr	3		Calibratio	Eriocitrin DAD1 A, Si Correlatio Residual S Formula: y m: b: b: x: A	at e g=284 n: td. I 7196 -9	exp. 1 1,4 Re Dev.: k + b 5.2290 9.816	RT: 6.414 ef=off 0.99994 5.58027 08 33







HPLC-07 7/17/2017 9:53:44 AM Timothy Sit

### **MOISTURE DETERMINATION**

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NOTEBOOK PAGE

PROJECT NO. ELIMS ENTY: TITLE LOSS ON Drying 113 7/19 Start BOOK NO. PT-005 Work continued from Page \_ 2017 hethod: KO(48 oven: 2/19/2017 TOO. condition: 105901 Zhours Balance: BPZ/10#1 Start Time: 5:02PM startTemp: 105°C End Time: 7:05pm End temp: 105°C +Dish(97 Emply prysample 5 Saugere j. Moisture Notes + 0154(9) 17-070 96.7556 94,7967 96.6808 3,8185 0039 17-0703 88,7567 3,3197 87.0216 0040 17-0703-95.3157 935920 29 3 004 93,8424 17-0703 91,8534 247 0042 89.992.7 88,2170 17-0703 3.4803 10 604 17-0710 91.9518 90,1886 0.0624 0044 84.1988 17-0710 86,0259 86,0248 0.0602 0046 15 . 1 ÷ RCT 20 7115/2017 • 25 Work continued to Page www.scientificbindery.com SIGNATURE DATE Robert An WITNESS DISCLOSED TO AND UNDERSTOOD BY DATE DATE FIISM B 200 PH ©

🔅 eurofins	Always check on-line for validity Determination	of Dihydroquercetin by HPLC	
Document number: O-TC-MET16243			Test Method
Old Reference:			
Version: 1	x		Organisation level: 4-Laboratory Site
Approved by: U6HR Effective Date 09-AUG-2017	Document users: 6_SA_HPLC	CONFIDENTIAL	Responsible: EUCAPE_QA
		VVIII IVEII I INE	

# UNCONTROLLED/ Not For Distribution

- 1) Procedure
- 2) Definitions
- 3) Responsibility
- 4) Safety
- 5) Environmental Conditions
- 6) Equipment
- 7) Reference Materials/Reagents
- 8) Quality Control Plan
- 9) Procedure
- 10) Calculations
- 11) References

#### 1) Procedure

This method is for the determination of dihydroquercetin (taxifolin) by high performance liquid chromatography (HPLC). This method has been verified for use on raw material (purities).

#### 2) Definitions

N/A

#### 3) Responsibility

Senior operations will implement this method. Only properly trained personnel may perform this method. The revision of, or any deviation from, this method requires written approval of supervisory personnel prior to initiation of work.

#### 4) Safety

Follow all applicable safety, health, and environmental programs.

#### 5) Environmental Conditions

N/A

#### 6) Equipment

HPLC, Agilent 1100 HPLC or equivalent Column, Agilent Zorbax SB-C18 Column, 4.6 X 150 mm, 3.5 micron or equivalent Analytical balance, 0.00001 g resolution Microbalance, 0.000001 g resolution Sonicator Serological pipets, various sizes Class A pipettes, various sizes Disposable glass pipets, various sizes 0.45 μm PTFE filter Graduated cylinder, 1000-mL Glass eluent bottles, 1000-mL VOA vials, 20-mL and 40-mL sizes

🔅 eurofins	Always check on-line for validity Determination of Dihy	droquercetin by HPLC	Level:
Document number: O-TC-MET16243			Test Method
Old Reference:			
Version: 1		ANTIRENTIAL	Organisation level: 4-Laboratory Site
Approved by: U6HR Effective Date 09-AUG-2017	Document users: 6_SA_HPLC	VIEIBEITTAL	Responsible: EUCAPE_QA

Amber autosampler vials Disposable syringes, 5-mL

#### 7) Reference Materials/Reagents

Taxifolin (dihydroquercetin), Sigma #78666, CAS# 480-18-2 Methanol, HPLC grade Phosphoric Acid ( $H_3PO_4$ ), HPLC grade Acetonitrile, HPLC grade Milli-Q water, fresh daily

#### 8) Quality Control Plan

- 1. A preparation solvent blank must be free of interfering peaks, and is analyzed every ten samples.
- 2. Linearity must be demonstrated by a 3-point calibration reference material or other means. Correlation coefficients of reference material curves must be greater than 0.999.
- 3. Response factors of reference material calibration levels must agree within 10% of the average of the response factors for the complete calibration curve.
- 4. Bracket each run with reference material injections, and include an additional reference material injection after every five sample injections.
- 5. Every tenth sample in a set must be prepared and analyzed in duplicate. If the set is fewer than ten samples, one sample in the set must be run in duplicate. The percent difference between duplicate results must be less than ten for finished products and less than two for purity samples.
- 6. If estimated levels or specifications have been provided, the sample area count must not fall more than 10% above the area counts of the reference material curve.
- 7. Beer's Law must be met.

#### 9) Procedure

#### Mobile Phase Preparation:

0.2% phosphoric acid in Milli-Q water:

- 1. Using a graduated cylinder, measure 1000 mL of Milli-Q water and transfer to a fresh 1000-mL eluent bottle.
- 2. Transfer 2.0 mL of phosphoric acid, via a 1.0-mL serological pipet to the eluent bottle.
- 3. Swirl to mix and label appropriately.

Note: This solution may be stored at room temperature for up to two weeks.

#### **Reference Material Preparation:**

- 1. Using commercially available reference materials, on a microbalance, accurately weigh  $1.0 \pm 0.1$  mg of taxifolin and transfer to a 20-mL VOA vial.
- 2. Dilute with 10.0 mL of methanol via a 10.0-mL class A volumetric pipet.
- 3. Sonicate for  $15 \pm 2$  minutes to dissolve.
- 4. If warming during sonication has occurred, allow the solution to cool to room temperature.
- 5. Prepare the following two dilutions of this stock solution for use as calibration standards along with the stock solution to create a 3-point calibration curve:
  - a. Using a class A pipet, transfer 2.5 mL into a 5-mL volumetric flask, fill to volume with

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Document number: O-TC-MET16243			Test Method
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Approved by: U6HR Effective Date 09-AUG-2017	Document users: 6_SA_HPLC	CURTIDENTIAL	Responsible: EUCAPE_QA

methanol, and invert to mix several times.

- b. Using a class A pipet, transfer 1.0 mL into a 5-mL volumetric flask, fill to volume with methanol, and invert to mix several times.
- 6. Transfer the reference material solutions to separate amber autosampler vials and cap.

Note: Correct the reference material concentration using the following calculation:

[reference materialmg/mL]corrected

[reference material<sub>mg/mL</sub>] × % purity

#### Sample Preparation:

- 1. Sample size should be based on client specifications or estimates and prepared according to the calibration reference material levels. Weigh an accurate amount into a 40-mL VOA vial.
- 2. Dilute with 40.0 mL of methanol via a 40.0-mL class A volumetric pipet.
- 3. Sonicate for  $20 \pm 2$  minutes.
- 4. If warming during sonication has occurred, allow the solution to cool to room temperature.
- 5. Filter through a 0.45-µm PTFE filter into an amber autosampler vial, cap, and analyze.

#### **Instrument Conditions:**

Column Temperature:	35°C				
Detection:	UV 284 nm				
Flow Rate:	1.25 mL/minute				
Injection Volume:	5.0 µL				
Gradient Program:		% H_PO			
		<u>(0.2% in Milli-Q</u>			
	Time (min)	Water)	% Acetonitrile	% Methanol	
	1.0	74.0	11.0	15.0	
	12.0	74.0	11.0	15.0	
	31.0	60.0	25.0	15.0	
	40.0	45.0	40.0	15.0	
	41.0	3.0	82.0	15.0	
	44.0	2.0	96.0	2.0	
	45.0	74.0	11.0	15.0	
Run Time:	45.0 minutes				
Post Time:	8.0 minutes				
Detention Times	Taulfalia (Dihudua)		the second s		

Retention Times: Taxifolin (Dihydroquercetin) ~7.7 minutes

#### 10) Calculations

% dihydroquercetin (taxifolin) (Area (sample)-Calibration intercept)×100 Calibration slope x [sample]

Where, [] sample concentration is in mg/mL

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Approved by: U6HR Effective Date 09-AUG-2017	Document users: 6_SA_HPLC	VELIBENTIAL	Responsible: EUCAPE_QA

#### Calibration curve settings:

Type: Linear Origin: Ignore Weight: Equal

#### 11) References

1. HPLC Determination of Bioflavonoids, LC-K0023.01, Effective 03/23/2012.

End of document

#### Version history

Version	Approval	Revision information
1	09.AUG.2017	

From:	Katrina Emmel
То:	DiFranco, Stephen
Subject:	Re: GRN 000826 for dihydroquercetin Cease to Evaluate
Date:	Wednesday, September 25, 2019 4:02:05 PM
Attachments:	image001.png
	image002.png

Good Afternoon Dr. DiFranco,

I am confirming receipt of the cease to evaluate letter on behalf of Blue California.

Thank you,

Katrina

Katrina Emmel, Ph.D. Senior Scientist/Project Manager/Associate

GRAS Associates LLC A Nutrasource Company

emmel@gras-associates.com



LinkedIn | Twitter | Blog



On Sep 25, 2019, at 12:46 PM, DiFranco, Stephen <<u>Stephen.DiFranco@fda.hhs.gov</u>> wrote:

Dear Dr. Emmel,

Please see the attached letter regarding GRAS notice GRN 000826 on dihydroquercetin submitted on behalf of Blue California. If you have any additional questions or concerns, please don't hesitate to contact me at<u>stephen.difranco@fda.hhs.gov</u> or by phone at 240.402.2710.

Best,

Steve

#### Stephen DiFranco, PhD

Chemist/Consumer Safety Officer

Center for Food Safety and Applied Nutrition Office of Food Additive Safety Division of Food Ingredients U.S. Food and Drug Administration Tel: 240-402-2710 stephen.difranco@fda.hhs.gov

<image013.png>

<image014.jpg> <image015.jpg> <image016.jpg> <image017.jpg> <image018.jpg>

<GRN 826 Cease to Evaluate Letter\_final trans.pdf>

#### **Bonnette**, Richard

From:	Katrina Emmel <emmel@gras-associates.com></emmel@gras-associates.com>
Sent:	Tuesday, December 04, 2018 2:33 PM
To:	Bonnette, Richard
Cc:	William J. Rowe; Amy Mozingo GRAS Associates
Subject:	Submission to the FDA GRAS notification for Dihydroquercetin and Reb E on behalf of
	Blue California

#### Hello Mr. Bonnette,

We can confirm that no confidential information is included in either the Dihydroquercetin or Rebaudioside E notifications, and the pages are releasable under FOIA.

Thank you,

Katrina

Katrina Emmel, Ph.D. Senior Scientist/Project Manager/Associate GRAS Associates, LLC.

emmel@gras-associates.com

From: Bonnette, Richard <<u>Richard.Bonnette@fda.hhs.gov</u>> Sent: December-04-18 1:23 PM To: William J. Rowe <<u>wrowe@nutrasource.ca</u>> Subject: submission to the FDA GRAS notification program for Reb E

Mr. Rowe,

Similar to my email from earlier, we noted some pages labelled as confidential in the rebaudioside E submission dated November 15, 2018 (on behalf of Blue California). The pages confidential notes are 48-49, and 62-66. Can you confirm that these pages are releasable under FOIA? Thanks, Richard

From: Bonnette, Richard Sent: Tuesday, December 04, 2018 9:50 AM To: <u>wrowe@nutrasource.ca</u> Subject: submission to the FDA GRAS notification program for dihydroquercetin

#### Dear Mr. Rowe,

We've completed a prefiling evaluation of the submission dated November 5, 2018 regarding GRAS uses of dihydroquercetin in food and had a quick detail to clarify before we move forward with filing. We see that in Part 1 and on Form 3667 you have noted that the submission does not contain trade secret or confidential information. We note that on pages 79-83 of the submission and pages 100-103 of the appendix contain stamps or language suggesting these documents are confidential. Just wanted to confirm with you that these pages are indeed releasable. If this is the case,

I'll append your response to this email to the submission and we'll move forward with filing. Let me know if this isn't the case and we can talk about options.

Thanks, Richard

Richard E. Bonnette, M.S. Center for Food Safety and Applied Nutrition Office of Food Additive Safety U.S. Food and Drug Administration Tel: 240-402-1235 richard.bonnette@fda.hhs.gov



