

Johnson Controls Sustainability Supplier Rating

Supplier info

Johnson Controls Sustainability Supplier Rating

***required**

***First name**

{Enter text answer}

[_____]

***Last name**

{Enter text answer}

[_____]

***Company**

{Enter text answer}

[_____]

***Vendor ID**

{Enter text answer}

[_____]

***My company supplies the following Johnson Controls division(s):**

{Choose all that apply}

- Automotive Experience
- Building Efficiency
- Power Solutions
- Corporate

***Select the location for your headquarters:**

{Choose one}

- Asia (China, Japan, Korea)
- South East Asia (India, Thailand, Malaysia)
- Europe (EU, South America, South Africa)
- North America

Which industry best describes your company's primary business?

{Choose one}

- Aerospace/Information Technology
- Automotive
- Chemical
- Computers & electronic products
- Consumer Products
- Diversified Industrial Goods and Services
- Electric Utility
- Electronics
- Energy
- Food/Agri Industry
- Forest Products
- Health Care & Health Care Products
- Imaging Products & Services
- Industrial & Institutional Appearance Protection
- Oil and Gas Production
- Packaging
- Pharmaceutical
- Semiconductor
- Transportation

- Construction, Chemical and Petroleum
- Travel & Leisure (Cruise)
- Other (please specify) []

F 1.1

Johnson Controls Sustainability Supplier Rating

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F1. Supplier Ethics

Enter your score

F 1.1 Supplier complies with the Johnson Controls Ethics Policy or equivalent policy?

{Enter text answer}

[]

- 0 = Supplier unwilling to or does not comply with Ethics policy or equivalent.**
- 2 = Supplier complies with most aspects of the Ethics Policy or equivalent, but is unsure that all aspects of the policy is communicated. Compliance throughout the supplier organization is not confirmed.**
- 3 = Supplier fully complies with Ethics Policy or equivalent**
- 4 = Supplier fully complies with Ethics Policy (i.e., provides or has on public web copies of Ethics Policy and requires documented compliance from Management level employees, Ethics Certification / training Records**
- 5 = Supplier has developed an Ethics policy that fully complies with Johnson Controls Ethics Policy, provides or has on public web copies of their Ethics Policy and requires documented compliance from Management level employees, Ethics Certification / training Records**

Total score = [Totals] / 5 (85 points total)

F 1.2

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F1. Supplier Ethics

Enter your score

- 0 = Supplier is unwilling to determine or is unsure if its suppliers comply with the Johnson Controls Ethics policy or equivalent.**
- 3 = Supplier believes most of its suppliers comply with the Johnson Controls Ethics policy or equivalent, but is unable to provide documentation that the supplier actually complies.**
- 5 = Supplier knows most of its suppliers comply with the Johnson Controls Ethics policy or equivalent and is able to provide documentation that the supplier actually complies.**

F 1.2 Supplier ensures its suppliers are compliant with the Johnson Controls Ethics Policy or equivalent.

{Enter text answer}

[]

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Total score = [Totals] / 10 (85 points total)

F 2.1

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F2. Environmental Management Systems

F 2.1 Supplier has a management system that is either certified to or compliant with ISO 14001?

{Enter text answer}

[]

0 = Supplier is not compliant or has no plans to be certified to or compliant with ISO 14001 within one year.

2 = Supplier is not compliant with ISO 14001, but plans to be certified or compliant within one year.

3 = Supplier is compliant to ISO 14001.

4 = Supplier is compliant with ISO 14001 and plans to be certified within one year.

5 = Supplier is certified to ISO 14001 and can provide documentation about the certification.

Enter your score

Johnson Controls Sustainability Supplier Rating

Total score = [Totals] / 15 (85 points total)

F 3.1

Enter your score

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F.3 Energy and Climate

F 3.1 Supplier has programs in place to effectively reduce its energy consumption?

{Enter text answer}

[]

0 = Supplier has no energy reduction program in place.

2 = Supplier has initiated efforts to reduce energy consumption.

3 = Supplier has an energy reduction program in place, which includes having reduction goals, action plans and trend data.

4 = Supplier has an energy reduction program in place, which includes having reduction goals, action plans and trend data and publicly discloses its energy reduction goals.

5 = Supplier has an energy reduction program in place, which includes having reduction goals, action plans and trend data and publicly discloses both its energy reduction goals and reduction results.

Total score = [Totals] / 20 (85 points total)

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F 3.2

F.3 Energy and Climate

Enter your score

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 3.2 Supplier has programs in place to effectively reduce its greenhouse gas emissions (GHG)?

{Enter text answer}

[]

0 = Supplier has no GHG reduction program in place.

2 = Supplier has initiated efforts to reduce GHG emissions.

3 = Supplier has a GHG reduction program in place, which includes having reduction goals, action plans and trend data.

4 = Supplier has a GHG reduction program in place, which includes having reduction goals, action plans and trend data and publicly discloses its GHG reduction goals.

5 = Supplier has a GHG reduction program in place, which includes having reduction goals, action plans and trend data and publicly discloses both its GHG reduction goals and results.

Total score = [Totals] / 25 (85 points total)

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F 3.3

F.3 Energy and Climate

Enter your score

Please answer the following social and environmental questions to the best of your knowledge.

***required**

0 = Supplier does not report its climate change risks and greenhouse gas emissions to the Carbon Disclosure Project.

2 = Supplier has not reported its climate change risks and greenhouse gas emissions to the Carbon Disclosure Project, but intends to next time the report is due.

- 3 = Supplier partially reports its climate change risks and greenhouse gas emissions to the Climate Disclosure Project.**
- 4 = Supplier fully reports its climate change risks and greenhouse gas emissions to the Climate Disclosure Project, but does not make the data publicly available.**
- 5 = Supplier fully reports its climate change risks and greenhouse gas emissions to the Climate Disclosure Project and makes the data publicly available.**

F 3.3 Supplier reports its greenhouse gas (GHG) emissions to the Carbon Disclosure Project (CDP)

{Enter text answer}

[]

Total score = [Totals] / 30 (85 points total)

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F 4.1

Enter your score

F.4 Material Efficiency

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 4.1 Supplier has programs in place to effectively minimize water consumption?

{Enter text answer}

[]

0 = Supplier has no program in place to reduce water consumption.

2 = Supplier has initiated a program to minimize water consumption.

3 = Supplier has a water consumption reduction program in place, which includes having reduction goals, action plans and trend data

4 = Supplier has a water consumption reduction program in place, which includes having reduction goals, action plans and trend data and publicly discloses its reduction goals.

5 = Supplier has a water consumption reduction program in place, which includes having reduction goals, action plans and trend data and publicly discloses both its reduction goals and results.

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Total score = [Totals] / 35 (85 points total)

F 4.2

Enter your score

F.4 Material Efficiency

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 4.2 Supplier has programs in place to effectively minimize wastes (recycling programs, manufacturing waste, etc.)?

{Enter text answer}

[]

0 = Supplier has no program in place to reduce waste.

2 = Supplier has initiated a program to minimize waste generation.

3 = Supplier has a waste minimization program in place, which includes having reduction goals, action plans and trend data.

4 = Supplier has a waste minimization program in place, which includes having reduction goals, action plans and trend data and publicly discloses its reduction goals.

5 = Supplier has a waste minimization program in place, which includes having reduction goals, action plans and trend data and publicly discloses both its reduction goals and reduction results.

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Total score = [Totals] / 40 (85 points total)

F 5.1

Enter your score

F.5 Employee care

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 5.1 Safety and health program?

{Enter text answer}

[]

0 = Supplier does not have a safety program that is fully compliant with all applicable regulations.

3 = Supplier does not have a fully compliant safety program but has actions in place to ensure compliance within six months.

5 = Supplier has a safety program that is fully compliant with all applicable regulations.

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Total score = [Totals] / 45 (85 points total)

F 5.2

Enter your score

F.5 Employee care

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 5.2 Supplier maintains records of employee injuries and accidents and has a program in place to reduce recurrence of similar incidents?

{Enter text answer}

[_____]

0 = Supplier has not documented procedures to monitor injuries and illnesses.

2 = Supplier has documented procedures to monitor injuries and illnesses.

3 = Supplier has documented procedures to monitor injuries and illnesses including the investigation of each accident with the goal of identifying permanent corrective actions.

4 = Supplier has documented procedures to monitor injuries and illnesses including the investigation of each accident with the goal of identifying permanent corrective actions and ensures necessary emergency medical treatment.

5 = Supplier has documented procedures to monitor injuries and illnesses including the investigation of each accident with the goal of identifying permanent corrective actions, ensures necessary emergency medical treatment, and provides follow up to prevent repeat injuries and illnesses. Appropriate safety data is reported to management and the public.

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Total score = [Totals] / 50 (85 points total)

F 5.3

Enter your score

F.5 Employee care

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 5.3 Employees are at least 16 years old and all employees work voluntarily?

{Enter text answer}

[_____]

0 = Supplier does not have policies in place that specifically restrict force labor and having employees younger than 16 years old.

3 = Supplier has policies in place that specifically restrict force labor and having employees that are younger than 16 years old.

5 = Supplier has policies in place that specifically restrict force labor and having employees that are younger than 16 years old and includes measures to verify.

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Total score = [Totals] / 55 (85 points total)

F 5.4

Enter your score

F.5 Employee care

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 5.4 Supplier maintains a workplace free of physical and mental harassment, abuse and discrimination?

{Enter text answer}

[]

0 = Supplier does not have a program to maintain a workplace free of physical and mental harassment, abuse and discrimination.

3 = Supplier has a program to maintain a workplace free of physical and mental harassment, abuse and discrimination.

4 = Supplier has a program to maintain a workplace free of physical and mental harassment, abuse and discrimination and there are no regulatory issues regarding discrimination.

5 = Supplier has a program to maintain a workplace free of physical and mental harassment, abuse and discrimination, there are no regulatory issues regarding discrimination, and has additional programs in place to actively encourage diversity in the workforce

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Total score = [Totals] / 60 (85 points total)

F 5.5

Enter your score

F.5 Employee care

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 5.5 Supplier maintains fire detection, suppression equipment and adequate exits as required by regulations?

{Enter text answer}

[]

0 = Supplier does not have programs to maintain fire detection, suppression equipment and adequate exits as required by regulations.

3 = Supplier has programs to maintain appropriate fire detection, suppression equipment and adequate exits as required by regulations.

5 = Supplier has programs to maintain appropriate fire detection, suppression equipment and adequate exits as required by regulations. Has documented emergency plans as well as worker training and drills.

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Total score = [Totals] / 65 (85 points total)

F 5.6

Enter your score

F.5 Employee care

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 5.6 Supplier allows employees a right to organize and bargain collectively in a manner that is legally compliant and workers' representatives are not subject to discrimination and have appropriate access to workplaces necessary to carry out their respective functions?

{Enter text answer}

[_____]

0 = Supplier does not have policies and procedures requiring its employees to respect voluntary freedom of association and guarantee the right to organize and bargain collectively within the applicable laws and regulations.

3 = Supplier does have policies and procedures requiring its employees to respect voluntary freedom of association and guarantee the right to organize and bargain collectively within the applicable laws and regulations.

5 = Supplier does have policies and procedures requiring both employees and suppliers to respect voluntary freedom of association and guarantee the right to organize and bargain collectively within the applicable laws and regulations.

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Total score = [Totals] / 70 (85 points total)

F 6.1

Enter your score

F.6 Environmental, health and safety inspections and audits

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 6.1 Does the supplier have an effective Environmental, Health and Safety (EHS) management system?

{Enter text answer}

[_____]

0 = Supplier has no EHS Program involving periodic inspections or audits.

1 = Supplier has an EHS Program but only conducts inspections or audits of selected workplaces.

2 = Supplier has an EHS Program.

3 = Supplier has an EHS Program and conducts inspections or audits of all workplaces annually.

4 = Supplier has an EHS Program, conducts inspections or audits of all workplaces annually and includes reduction goals, action plans, and trend data.

5 = Supplier has an EHS Program that has produced consistent annual improvements in safety and environmental outcomes/ metrics.

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Total score = [Totals] / 75 (85 points total)

F 7.1

Enter your score

F.7 Supply chain management for sustainability

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 7.1 Supplier has a method to ensure that its own suppliers follow social, environmental, and economic practices that support overall sustainability efforts, including on site audits as deemed appropriate.

{Enter text answer}

[]

0 = Supplier does not have a policy and/or procedures supporting sustainability within its supply chain.

2 = Supplier does have a policy and/or procedures supporting sustainability within its supply chain.

3 = Supplier does have a policy and/or procedures supporting sustainability within its supply chain and requires an assessment of their suppliers' capabilities with regard to social and environmental sustainability as part of sourcing decisions and performance indicators.

5 = Supplier does have a policy and/or procedures supporting sustainability within its supply chain, requires an assessment of their suppliers' capabilities with regard to social and environmental sustainability as part of sourcing decisions and performance indicators, and shares information about best practices, technology, and resource sharing programs where applicable.

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Total score = [Totals] / 80 (85 points total)

F 8.1

Enter your score

F.8 Diverse business development

Please answer the following social and environmental questions to the best of your knowledge.

***required**

F 8.1 Does the supplier understand and support strategies to work with diverse businesses? A diverse business is defined as a company that is at least 51 percent owned, managed and controlled by one or more minority persons or persons from historically underutilized population groups, or non-minority women, or a small business that conforms to guidelines established by the United States Small Business Administration. The designation of historically underutilized population group is based on local country definitions outside the United States.

{Enter text answer}

[]

0 = Supplier does not meet minimum requirements of designating a Diversity Business Coordinator, NMSDC/WBENC Region Council membership and attending supplier diversity networking events submitting DBI annual plan.

1 = Supplier supports diversity business involvement as evidenced by designating a Diversity Business Coordinator,

NMSDC/WBENC Region Council membership and attending supplier diversity networking events submitting DBI annual plan.

2 = Supplier supports diversity business involvement as evidenced by submitting the Diversity Business Involvement Form as well as has achieved and reported a minimum of 70% of Johnson Controls sales 5% target.

3 = Supplier supports diversity business involvement as evidenced by submitting the Diversity Business Involvement Form as well as has achieved and reported a minimum of 80% of Johnson Controls sales 5% target.

4 = Supplier supports diversity business involvement as evidenced by submitting the Diversity Business Involvement Form as well as has achieved and reported a minimum of 90% of Johnson Controls sales 5% target.

5 = Supplier supports diversity business involvement as evidenced by submitting the Diversity Business Involvement Form as well as has achieved and reported a minimum of 100% of Johnson Controls sales 5% target.

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Total score = [Totals] / 85 (85 points total)

Comments

Please feel free to add comments or suggestion to improve this questionnaire.

{Enter answer in paragraph form}

[

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Johnson Controls Sustainability Supplier Rating

Exit Page

Thank you. Your ratings has been received.

If you would like to print your answers, please click on the "review" button below.

Johnson Controls Sustainability Supplier Rating

Total score = [Totals] / 85 ([Percentage]%)

Sustainability Rating (total)

0

1-17

18-34

35-51

51-68

68-85

Global Scorecard points

0
1
2
4
6
8